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α -Synuclein oligomers and clinical implications for Parkinson disease

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Abstract

Protein aggregation within the central nervous system has been recognized as a defining feature of neurodegenerative diseases since the early 20th century. Since that time, there has been a growing list of neurodegenerative disorders, including Parkinson disease, which are characterized by inclusions of specific pathogenic proteins. This has led to the long-held dogma that these characteristic protein inclusions, which are composed of large insoluble fibrillar protein aggregates and visible by light microscopy, are responsible for cell death in these diseases. However, the correlation between protein inclusion formation and cytotoxicity is inconsistent suggesting another form of the pathogenic proteins may be contributing to neurodegeneration. There is emerging evidence implicating soluble oligomers, smaller protein aggregates not detectable by conventional microscopy, as potential culprits in the pathogenesis of neurodegenerative diseases. The protein α -synuclein is well recognized to contribute to the pathogenesis of Parkinson disease and is the major component of Lewy bodies and Lewy neurites. However, α -synuclein also forms oligomeric species with certain conformations being toxic to cells. The mechanisms by which these α -synuclein oligomers cause cell death are being actively investigated as they may provide new strategies for diagnosis and treatment of Parkinson disease and related disorders. Here we review the possible role of α -synuclein oligomers in cell death in Parkinson disease and discuss the potential clinical implications.

Introduction

It has long been appreciated that neurodegenerative diseases share a common theme of abnormal protein aggregation. Early work by neuropathologists demonstrated that insoluble aggregates of misfolded proteins, observable under the light microscope, are associated with many neurodegenerative conditions including Parkinson disease (PD).¹ Subsequent work in the genetics underlying familial forms of these conditions and use of immunohistochemical methods led to identification of the major protein components of these inclusions. From these discoveries, the concept of proteinopathies has evolved with classification of neurodegenerative disorders based on the primary aggregated protein: synucleinopathies, amyloidopathies, tauopathies, prionopathies, trinucleotide repeat disorders, and TDP-43

proteinopathies (Table). The central feature of protein inclusions among neurodegenerative diseases supported a causal relationship with neuronal death. However, further neuropathological observations, as well as characterization of animal models of these diseases, have challenged the dogma that protein inclusions alone lead to neurodegeneration. Investigations into protein aggregation using biochemical and high resolution microscopic techniques have revealed that, in addition to large insoluble inclusion bodies, many of these disease-associated proteins have a propensity to form small soluble aggregates, or oligomers. Although limited primarily to *in vitro* experiments, these initial findings suggested that oligomers may contribute to the pathogenicity of these proteins. More recent discoveries from studies with *in vivo* models and human patients are providing further support for this hypothesis. In this review, we focus on the potential role of α -synuclein (α -syn) oligomers as mediators of neurodegeneration in PD. There is increasing evidence that certain α -syn oligomeric species confer toxicity to cells through a variety of possible mechanisms. This emerging paradigm implicates α -syn oligomers in the early stages of neurodegeneration, opening new areas of investigation into biomarkers and therapeutics for PD and possibly other synucleinopathies.

α -Synuclein aggregation: from monomers to oligomers to inclusions

α -Syn is a 14-kDa neuronal protein from a family of structurally related proteins that are highly expressed in the brain.^{2,3} Under physiological conditions, α -syn is enriched at presynaptic terminals where it promotes the assembly of the SNARE machinery⁶ and is proposed to play a role in neurotransmitter release,⁵ as well as protection of nerve terminals against injury.⁴ α -Syn has been widely accepted to have a natively unfolded tertiary structure although recent studies have suggested it to be an α -helically folded tetramer in its native conformation.^{5,6} However, these findings have not been widely replicated and the main physiological form of α -syn in the brain appears to be an unfolded monomer.⁷ How pathogenic proteins such as α -syn proceed from monomers to inclusions is proposed to be a multistep process (Fig 1A).⁸ Aggregation of two or more monomers leads to the formation of soluble oligomeric species, which have also been termed protofibrils because they are fibrillization intermediates.⁹ Initial characterization of α -syn oligomers came from *in vitro* experiments in which recombinant α -syn was found to spontaneously aggregate.¹⁰ This occurred in the absence of other proteins and the propensity to aggregate increased with higher concentrations of α -syn. High resolution microscopic techniques, such as atomic force microscopy, allowed for direct visualization of the oligomers which range in size from 4 to 24 nm. Various morphologies were observed including spherical, chain-like, annular, and tubular oligomeric structures.¹¹ These oligomers disappear upon formation of amyloid fibrils *in vitro*. The time course of α -syn oligomers and their morphology resemble those observed for *in vitro* oligomers of β -amyloid¹² and mutant huntingtin,¹³ supporting a common aggregation process.

Early investigations into oligomer formation of these proteins were restricted to *in vitro* studies. However, increasing evidence demonstrates that formation of oligomers is not simply an artifact of *in vitro* recombinant proteins but also occurs within cellular and *in vivo* models. A novel assay based on protein-fragment complementation¹⁴ has permitted the detection and measurement of intracellular α -syn oligomers in living cells including cultured cell lines^{15–18} and neurons,¹⁹ as well as extracellular α -syn oligomers in conditioned cell culture media.¹⁹ Furthermore, biochemical studies using methods that can separate protein complexes, such as size exclusion chromatography or non-denaturing protein gel electrophoresis, have provided evidence for endogenous α -syn oligomers in brain extracts from rodent models.^{20,21} Initial evidence for the presence of α -syn oligomers in the central nervous system of PD patients came from their detection in brain extracts from postmortem samples.²² More recently, α -syn oligomers have been identified in plasma²³

and CSF²⁴ of PD patients. Definitive evidence for their presence in the brains of PD patients *in vivo* – for example, with radioligand-based imaging technologies – remains to be obtained (see discussion on biomarkers below).

Oligomeric species can self-associate to form a nucleus or seed. Seed formation is a rate-limiting step after which aggregate growth proceeds rapidly with the further addition of monomers or other oligomers to the nucleus of proteins. This nucleation-dependent step results in the formation of amyloid fibrils which are insoluble large protein aggregates with a cross- β architecture formed by β -strands organized perpendicular to the long axis of the fibril.⁸ Amyloid fibrils display a characteristic fibrillar structure observed by electron microscopy. They also bind to Congo red dye resulting in green birefringence under cross-polarized light and stain with the fluorescent dye thioflavin S. α -Syn, as well as a number of proteins involved in neurodegeneration, are capable of forming these ordered aggregates. Amyloid fibrils are common to the proteinopathies being the primary component of most inclusions regardless of the associated protein (Table).²⁵ In PD, α -syn amyloid fibrils are the major constituents of Lewy bodies (LBs) and Lewy neurites (LNs), the protein inclusions characteristic of the disease.²⁶

Factors which are thought to promote the cascade of α -syn aggregation include missense mutations in the α -syn gene (*SNCA*), such as those associated with rare familial autosomal dominant forms of PD (A53T, A30P, E46K).^{10,27–30} Mutations associated with familial PD in which *SNCA* is duplicated or triplicated^{31,32} also increase the propensity of the protein to aggregate, likely as a result of increased α -syn protein concentrations and consequent macromolecular crowding.³³ In idiopathic PD, polymorphisms in *SNCA* have been identified as risk factors for development of the disease.^{34,35} The effects of these genetic variations on the protein remain to be fully elucidated; however, some polymorphisms may be associated with increased α -syn protein expression^{36,37} and therefore may have consequences similar to *SNCA* multiplications. Aggregation of α -syn protein can also be influenced by its posttranslational modifications. For instance, α -syn phosphorylation on serine 129 promotes aggregation,^{38,39} whereas ubiquitination²³ or nitration²² of α -syn is associated with reduced aggregation.^{18,40} α -Syn aggregation can occur not only from perturbations of the protein itself but also due to dysfunction of the cellular machinery which has evolved to handle detrimental proteins. This includes the chaperone networks which regulate protein folding and refolding of misfolded proteins,⁴¹ as well as the ubiquitin-proteasomal system (UPS) and autophagy-lysosomal pathway (ALP) which are responsible for elimination of harmful proteins (Fig 1A).⁴² Evaluation of human postmortem tissue has demonstrated that UPS components and activity, as well as constituents of the ALP,^{43–45} are reduced in the substantia nigra of PD patients compared to controls. These systems may fail due to saturation as in the case of increased protein expression. Failure may also occur as a result of faulty components; for example, mutation in the gene encoding the lysosomal enzyme glucocerebrosidase is the most common genetic risk factor for PD.⁴⁶ If not affected by saturation or mutations, these systems may simply fail over time. Aging is a major risk factor for PD with multiple contributors, including genetic predisposition and environmental insults, altering the normal course of age-related neuronal dysfunction.^{47,48} The efficacy of the chaperone network, UPS, and ALP are known to decline with age⁴⁹ and this may facilitate accumulation of α -syn in PD.

α -Synuclein oligomers and neurodegeneration

Lewy pathology is a feature of idiopathic and most autosomal dominantly inherited forms of PD.^{1,50,51} LBs are intracytoplasmic inclusions 5 to 25 μ m in diameter, which are found within the soma of neurons, and LNs are dystrophic neuronal processes. Although Lewy pathology is a hallmark of PD, there is accumulating evidence that these insoluble α -syn-

containing inclusions alone do not explain the pathogenesis of the disease. Perhaps the first piece of evidence that these protein inclusions do not invariably cause neurodegeneration was the observation of incidental LBs at autopsy of aged individuals without clinical features of PD or another neurodegenerative disease.⁵² Incidental LBs without degeneration in asymptomatic individuals are not an uncommon finding and constituted approximately 12% in a series of over 1200 consecutive autopsy cases.⁵³ Although this is often proposed to be a presymptomatic stage of PD, the presence of LBs is not directly linked to neuronal loss. Further evidence that protein inclusions are related to, but separable from, neurodegeneration in PD comes from neuropathological analysis of genetic forms of the disease.⁵¹ The most common form of autosomal recessive early-onset PD is associated with mutations in the parkin gene. LBs or LB-like α -syn-positive inclusions have been found in association with neurodegeneration in a minority of cases of parkin-associated parkinsonism^{54–56} with most cases reported to date lacking LBs or LNs.^{57–63} Heterogeneity of neuropathological findings is seen in PD due to mutations in the gene encoding leucine-rich repeat kinase 2 (*LRRK2*). *LRRK2* mutations are the most common known genetic cause of PD occurring in approximately 10% of patients with familial autosomal dominant PD and in close to 4% of patients with sporadic PD.⁶⁴ Postmortem analysis of PD patients with *LRRK2* mutations have demonstrated neurodegeneration both with and without Lewy pathology.^{65–80} Further studies comparing *LRRK2* mutation cases with and without Lewy pathology are expected to help elucidate the contribution of these insoluble α -syn aggregates to neurodegeneration in these genetic forms of PD. Similarly, obtaining a larger number of well described neuropathological cases of *LRRK2* and other genetic forms of PD may assist in explaining why certain gene mutations are associated with Lewy pathology, others without Lewy pathology, and still others with heterogeneous pathologic features.

The inconsistent association between Lewy pathology and neuronal death seen in human autopsy studies is recapitulated in PD animal models. For example, the classic toxin-induced model using 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP) is associated with motor symptoms and dopaminergic neurodegeneration in primates and mice without convincing Lewy pathology.⁸¹ Although α -syn inclusions are absent in this model, α -syn is required for MPTP-induced neurodegeneration as demonstrated by the resistance of α -syn null mice to MPTP intoxication.⁸² In contrast, chronic treatment with the toxin rotenone leads to nigrostriatal pathway neurodegeneration with nigral inclusions similar to Lewy bodies in a subset of treated rats.^{81,83} Targeted overexpression of wild-type or mutant α -syn within the nigrostriatal system using viral delivery in primates or rats induces progressive degeneration of dopaminergic neurons associated with non-fibrillar α -syn inclusions but not LBs or LNs.⁸⁴ In general, transgenic PD models of autosomal dominant forms of PD (α -syn, *LRRK2*) and knockout models of autosomal recessive forms (parkin, PINK1, DJ-1) lack both dopaminergic neuronal loss and Lewy pathology.⁸⁵ The transgenic animals with one of the most severe phenotypes to date are mice expressing human A53T mutant α -syn under the control of the prion-related protein promoter.^{86,87} These animals display neurodegeneration with fibrillary α -syn inclusions but also exhibit a range of α -syn species, including insoluble aggregates and soluble oligomeric forms.⁸⁶ Taken together, the neuropathological findings in human postmortem studies and animal models demonstrate that Lewy pathology alone cannot account for α -syn-associated neuronal death. Thus, a different form of α -syn must also contribute to toxicity caused by the protein.

Evidence for α -synuclein oligomer toxicity

An emerging hypothesis proposes that there are toxic oligomeric species of α -syn. Studies using protein-fragment complementation assays have demonstrated that α -syn oligomers are associated with enhanced toxicity in cell culture models as measured by release of adenylate kinase from damaged cells, ATP levels, or caspase 3/7 activity.^{15–17,19} To examine the

contribution of α -syn oligomerization to cell toxicity in animal models, various α -syn mutants have been designed to possess different aggregation properties. Introduction of one or more missense mutations involving specific alanine residues (i.e., A56P or triple mutant A30P/A56P/A76P) which participate in α -syn amyloid fibril formation generates mutant forms of α -syn with decreased capacity to form fibrils but increased propensity to form soluble oligomers.⁸⁸ These design mutants were expressed in two different invertebrate model organisms: *Drosophila melanogaster* (fruit fly) and *Caenorhabditis elegans* (nematode worm). There was increased loss of dopaminergic neurons in flies expressing either of the oligomer-prone mutants. Those mutants causing the most neuronal loss also demonstrated the greatest motor deficits as measured by a climbing assay. Similarly, worms expressing either of the α -syn mutants also had pronounced dopaminergic neurodegeneration associated with significant impairment in dopamine-mediated behaviors. Interestingly, neurodegeneration associated with expression of these mutants was not restricted to dopaminergic neurons in this model; expression in other neuronal populations resulted in death of non-dopaminergic neurons.⁸⁸ This finding is important in view of the increasing recognition that PD is a multisystem disorder affecting many non-dopaminergic systems.⁸⁹ A similar approach was used to study α -syn oligomer toxicity in a mammalian model.²¹ Different α -syn mutants were designed which included oligomer-forming variants (E35K, E57K) and fibril-promoting variants (α -syn(30–110)). E35K and E57K were devised based on the prediction that these mutations would disrupt salt bridges between β -strands of α -syn and thereby interfere with formation of α -syn amyloid fibrils. Consistent with this prediction, neither of these two mutants showed amyloid fibrils visible by electron microscopy but they each formed pore-like, or annular, oligomeric structures. The α -syn(30–110) variant includes the residues required for amyloid fibril formation but lacks the N- and C-terminal residues which interfere with aggregation. This variant had an increased rate of formation of fibrils similar in structure to those found in LBs. A rat model was used in which viral-mediated overexpression of each of the α -syn mutants in the substantia nigra was achieved by stereotactic injection of lentivirus. E35K and E57K were found to induce the largest amount of dopaminergic neuronal loss in the substantia nigra whereas α -syn(30–110) did not demonstrate a significant toxic effect.²¹ The findings from these three different animal models support a role for α -syn oligomers in mediating cell death *in vivo* with consequences for dopaminergic and possibly non-dopaminergic systems.

Further support for a role of oligomers in neurodegeneration is the observation that higher oligomer levels are associated with disease. For instance, the amount of α -syn oligomers is higher in transgenic mice expressing A53T mutant α -syn compared to transgenic mice expressing wild-type α -syn⁹⁰ and in mouse models of Gaucher disease versus wild-type mice.²⁰ Moreover, α -syn oligomer levels are increased in the cerebral cortex of patients with idiopathic PD or dementia with LBs, a related synucleinopathy, compared to age-matched normal controls.^{22,91} The presence of endogenous α -syn oligomers in common genetic forms of PD has not yet been established. However, patients with parkinsonism and Gaucher disease (to date, universally associated with Lewy pathology^{92–96}) have been found to have increased levels of α -syn oligomers compared to those without parkinsonism or to healthy controls without mutations associated with Gaucher disease.²⁰ Unlike LBs and LNs which are visualized *in situ* with conventional light microscopy at autopsy, α -syn oligomers have only been detected in brain tissue following protein extraction. Novel methods will need to be developed to definitively demonstrate elevated α -syn oligomer levels in intact brains of PD patients (see discussion below on radioligand-based brain imaging). Regardless, these findings suggest a relationship between endogenous α -syn oligomers and the neurodegeneration of PD.

Potential mechanisms of α -synuclein oligomer toxicity

How α -syn oligomers mediate cell death has not yet been fully elucidated but likely involves a number of different intracellular and extracellular mechanisms (Fig 1A). Within the cytoplasm, proteostasis (i.e., protein homeostasis) is maintained by the cell's ability to refold misfolded proteins or target those proteins which are not amenable to refolding for degradation. Maintenance of proteostasis is accomplished through the cooperation of the chaperone system with the cell's protein degradation systems mediated by proteasomes and lysosomes. α -Syn oligomers may promote the demise of the cell by inhibiting these critical systems and disrupting proteostasis. In a bacterial system, α -syn oligomers inhibited the protein refolding rate of the Hsp70 chaperone system whereas monomeric α -syn did not inhibit chaperone function nor did other types of protein aggregates.⁹⁷ Similar findings were observed when the human Hsp70 chaperone system was reconstituted *in vitro*. Overexpression of α -syn is known to suppress the UPS^{98,99} and this may be attributed, at least in part, to oligomeric α -syn. Soluble α -syn oligomers can impair the chymotrypsin-like protease activity of the proteasome *in vitro* whereas β -synuclein, another member of the synuclein protein family, does not affect proteasomal function.¹⁰⁰ Overexpression of α -syn also inhibits the lysosomal enzyme glucocerebrosidase²⁰ but the effects of α -syn oligomers on the ALP require further investigation. Impaired proteostasis can lead to chronic endoplasmic reticulum (ER) stress which can induce cell death.¹⁰¹ Studies in yeast and other cells have demonstrated an association between α -syn-mediated toxicity and chronic ER stress.^{102,103} Recent investigations using transgenic α -syn mice suggest that α -syn oligomers can form within the lumen of the ER, accumulate within the ER, and sensitize neurons to ER stress.^{104,105} Furthermore, postmortem examination of brainstem specimens of PD patients revealed accumulation of α -syn oligomers within the ER compartment¹⁰⁵ suggesting that toxic α -syn oligomers in the intracellular space may mediate neurodegeneration by causing chronic ER stress.

α -Syn oligomers in the extracellular space appear to have different mechanisms of cell toxicity depending on their morphology (Fig 1A). Oligomers with an annular structure have pore-forming activity.¹⁰⁶ Dopaminergic cell lines or primary neuronal cultures treated with α -syn oligomers enriched in the annular conformation display an increase in intracellular calcium (whereas α -syn monomers have no effect).¹⁰⁷ This is associated with caspase activation and a reduction in cell number, both surrogate measures of cell death. Thus annular oligomers may confer cell toxicity by forming a pore which disrupts the cell membrane, similar to the membrane attack complex of the complement system, resulting in influx of extracellular calcium and leading to neuronal death. On the other hand, the globular type of α -syn oligomers may act at synapses to impair neuronal function by altering the function of the glutamatergic receptors. Extracellular application of these oligomers onto cultured hippocampal neurons resulted in increased amplitudes of AMPA receptor-mediated excitatory postsynaptic currents.¹⁰⁸ The amplitudes of NMDA receptor-mediated currents were unaffected. The consequences of AMPA receptor activation by α -syn oligomers remain to be further elucidated but may include dysfunctional synaptic signaling or activation of intracellular pathways leading to excitotoxicity and neuronal death. Evidence for altered synaptic signaling associated with extracellular α -syn oligomers comes from recent studies of long-term potentiation (LTP), a cellular model of synaptic plasticity, in which α -syn oligomers (but not monomers or amyloid fibrils) were found to abolish hippocampal LTP in organotypic brain slices.¹⁰⁹

Globular, as well as protofibrillar, oligomers may also promote neuronal death by seeding, in which soluble oligomers act as nuclei from which insoluble aggregates form (Fig 1A). When applied extracellularly to cultured cells, these forms of α -syn oligomers directly entered cells and lead to intracellular seeding.¹⁰⁷ Seeding is a key step in prion-like mechanisms and thus has been implicated in disease propagation within the nervous system

for many neurodegenerative diseases.¹¹⁰ Support for α -syn seeding and a prion-like process in PD comes from the demonstration by multiple groups that healthy dopaminergic neurons transplanted into PD brains can eventually form LBs.^{111,112} This has been replicated in animal models.^{113,114} In addition to seeding, prion-like propagation requires intercellular transfer of the pathogenic protein. This has been demonstrated for α -syn oligomers in cell culture.^{19,115} The way in which α -syn from the intracellular compartment is released into the extracellular space may occur through passive release; for example, membrane disruption and leakage of cellular contents associated with cell death. Active processes, such as exocytosis¹¹⁶ and calcium-dependent exosomal mechanisms,¹¹⁷ have also been shown in cell culture systems to mediate release of intracellular α -syn. Endocytosis appears to be the mechanism by which extracellular α -syn is taken up by neighboring cells.^{115,118,119} Taken together, these findings implicate certain α -syn oligomeric species in prion-like propagation of neurodegeneration in PD. This topic has recently been reviewed in detail (see 120,121).

Clinical implications for Parkinson disease

Important caveats of α -syn oligomer toxicity have been discovered. First, the toxicity of α -syn oligomers is specific to certain oligomeric species.^{107;122} Next, toxic α -syn oligomers can cause cell death whether they are formed within the intracellular environment¹⁵⁻¹⁷ or exist within the extracellular space.¹⁹ Finally, oligomer-mediated cytotoxicity can be regulated by altering the levels of α -syn oligomers. For instance, molecular chaperones, such as Hsp70^{17,19} and C-terminus of Hsp70-Interacting Protein (CHIP),^{16,18} reduce α -syn oligomer levels and enhanced expression of these chaperone molecules decrease cell death due to α -syn oligomers. These caveats will have implications for clinical applications which are discussed below.

α -Synuclein oligomers as potential biomarkers

If indeed α -syn oligomer toxicity initiates neuronal death in PD by the mechanisms described in the previous section, or by additional undiscovered mechanisms, these toxic oligomers must be present prior to onset of clinical symptoms. This has important implications for diagnosis and treatment of people with PD. At present, the clinical diagnosis of PD relies on the presence of the disease's cardinal motor features: rest tremor, rigidity, bradykinesia, and loss of postural reflexes.¹²³ This method of diagnosis is particularly limited during early stages of the disease when diagnostic accuracy is 90% at best.¹²⁴ The more significant limitation is that the neurodegenerative process associated with PD precedes onset of motor symptoms with approximately 70% of neurons in the ventral lateral substantia nigra being lost before motor features appear.¹²⁵ It has been increasingly recognized that certain non-motor features can antedate the motor symptoms and therefore may have the potential to allow for earlier diagnosis of PD.⁸⁹ However, there are limitations to this approach because most non-motor features are not specific or sensitive for PD or underlying Lewy pathology. Thus, α -syn oligomers could serve as a biomarker that would allow for identification of at-risk individuals before clinical diagnosis. Detection of α -syn oligomers may also provide a means to monitor disease progression and to follow response to treatments.

For α -syn oligomers to be a useful biomarker, methods for reliably measuring oligomer levels in brains of patients are necessary and ideally should be inexpensive and non-invasive. The development of surrogate measures of brain α -syn oligomer levels is in its early stages but recent studies demonstrate the feasibility of detecting oligomers in plasma or CSF.^{23,24} A sandwich enzyme-linked immunosorbent assay (ELISA) method was used to detect soluble α -syn oligomers. PD patients had higher levels of α -syn oligomers in plasma²³ or CSF²⁴ compared to age-matched controls. Depending on the cutoff values selected for a positive test result, sensitivity and specificity of the test measuring oligomers

in plasma was 85% and 53%, respectively, for a diagnosis of PD using clinical diagnosis based on the United Kingdom PD Society Brain Bank criteria¹²⁶ as the gold standard. The assay for α -syn oligomers in CSF had a diagnostic sensitivity of 87% and specificity of 75%. The accuracy of these tests may be improved by modifying the methods (for example, developing antibodies specific for toxic α -syn oligomers) or by using the tests in conjunction with other measures (such as neuroimaging techniques described below). Further validation in larger well-controlled prospective studies will be required to determine whether plasma and/or CSF α -syn oligomers will be useful as biomarkers to diagnose PD in its early stages or to predict which asymptomatic patients are going to develop the disease. Other fluid biomarkers which have not yet been explored include measuring α -syn oligomers in saliva or urine. However, reliability and utility of assays to measure α -syn oligomer levels in bodily fluids may be limited by the inherent propensity of α -syn to spontaneously form oligomers *in vitro* in a time- and concentration-dependent manner.

A more robust and useful technique would directly measure α -syn oligomers *in vivo*. Radioligand-based brain imaging technologies, such as PET and SPECT, could provide a non-invasive method for visualizing α -syn oligomers within the brains of patients. Significant advances have been made in the development of methods to image protein inclusions in AD. For example, ligands for β -amyloid such as Pittsburgh Compound-B (PIB)¹²⁷ and florbetapir F18¹²⁸ are now widely used to image amyloid plaques *in vivo*. Radioligand-based imaging for PD is less advanced with α -syn ligands for direct visualization of LBs and LNs still being developed and characterized.^{129,130} In the absence of specific α -syn ligands, a possible method to indirectly image Lewy pathology could be to measure total amyloid deposits in the brain with a generic amyloid ligand (for example, a thioflavin S derivative) and then subtract out non- α -syn-containing inclusions (for example, β -amyloid plaques measured with PIB). Development of α -syn ligands with specificity for α -syn oligomers may also pose a challenge since the usefulness of radioligand-based methods would require the ability to discriminate between α -syn oligomers and oligomers composed of other proteins (Table). Again, indirectly measuring α -syn oligomers by developing a pan-oligomer ligand and then subtracting out non- α -syn-containing oligomers could be a useful approach. An additional challenge is developing ligands that allow for distinguishing between toxic and non-toxic α -syn oligomeric species. Regardless, the presence of toxic α -syn oligomers in the extracellular space and their potential role in the early pathogenesis of PD may make development of ligands for oligomeric α -syn rather than intracellular fibrillar α -syn both more feasible and clinically useful.

α -Synuclein oligomers as potential treatment targets

The clinical importance of biomarkers for early diagnosis of PD lies in the anticipated discovery of neuroprotective treatments. As with other neurodegenerative diseases such as Alzheimer disease and Huntington disease, diagnostic and treatment approaches for PD are shifting toward attempts at diagnosing very early in order to treat with disease-modifying therapies before more severe neurodegeneration has become well established. The discovery of a role for α -syn oligomers in neuronal loss in PD opens a new area of investigation into disease-modifying therapies for PD and related synucleinopathies using α -syn oligomeric species as the treatment target. The main goal of these therapies would be to reduce toxic α -syn oligomer levels by preventing their formation, disassembling already formed oligomers, or sequestering or removing them from the intracellular and/or extracellular space. Multiple approaches aimed at different steps along the protein aggregation pathway and at various aspects of oligomer toxicity are currently being examined in basic research and preclinical studies. These approaches include altering the posttranslational modification state of α -syn, directly blocking or disrupting oligomer formation, preventing cell-to-cell transmission of

α -syn oligomers, upregulating the chaperone system, or promoting the clearance of toxic oligomeric species (Fig 1B).

Posttranslational modifications of α -syn can influence its propensity to form oligomers. For example, phosphorylation of α -syn on serine 129 promotes α -syn aggregation.³⁹ Protein phosphorylation is regulated by two opposing classes of enzymes: protein kinases, which catalyze the transfer of phosphate to proteins, and protein phosphatases, which dephosphorylate proteins. Thus kinase inhibitors or phosphatase activators can reduce the phosphorylation state of a protein. Several kinase inhibitors have been developed for treatment of non-neurological disorders, especially cancer.¹³¹ Extension of this work to develop specific inhibitors of the kinase that mediates serine α -syn phosphorylation may lead to the development of oligomer-lowering drugs (Fig 1B).¹³² In contrast to phosphorylation, ubiquitination of α -syn can be associated with decreased oligomer levels.¹⁸ Ubiquitination is also regulated by two enzyme families, ubiquitin ligases and deubiquitinases, which add and remove the ubiquitin moiety to and from proteins, respectively. Similar to kinase inhibitors, drugs that inhibit the deubiquitinating enzyme which mediates α -syn ubiquitination may reduce oligomer levels. Although less advanced than kinase inhibitor development, drug discovery programs for the ubiquitin system are expected to expand.¹³¹ The major challenge for this strategy is that phosphorylation and ubiquitination regulate most aspects of cell life and therefore specificity of inhibition is critical to prevent dysregulation of other important cellular functions.

Directly blocking or disrupting α -syn oligomer formation using small molecules or synthetic peptides is another strategy to reduce oligomer-mediated neurodegeneration (Fig 1B). The small molecule curcumin, a polyphenolic compound found in the spice turmeric, was recently demonstrated to bind with high affinity to α -syn monomers.¹³³ Upon binding, curcumin promotes a conformation of α -syn with reduced propensity to aggregate and thereby prevents formation of α -syn oligomers and fibrils. Polyphenols are a structural class of organic chemicals characterized by multiple aromatic rings and produced by many different plants. Other polyphenolic compounds are able to inhibit α -syn oligomer formation and even disaggregate preformed α -syn oligomeric structures *in vitro*, possibly by interacting with the aromatic amino acids of α -syn that facilitate protein aggregation.¹³⁴ Synthetic peptides may also be useful to reduce oligomer levels by interacting with specific regions of α -syn. Peptides can interfere with protein-protein interactions if designed to match the specific amino acid sequence to which a protein binds. The sequence within α -syn responsible for its self-association has been identified as amino acids 64 to 86.¹³⁵ Short synthetic peptides containing part of this sequence (amino acids 69 to 72) bind to full-length α -syn and preclude the assembly of oligomers and fibrils *in vitro*. Membrane-permeable versions of these peptides have been developed¹³⁵ but their effects on α -syn oligomers in cell culture or animal models have not yet been reported. At present, a major limitation of oligomer-targeting small molecules and synthetic peptides as a treatment for PD is attaining adequate permeability through the blood-brain barrier. Additional limitations include bioavailability and metabolic turnover of small molecules, as well as generation of an immune response to peptides or degradation of peptides by endogenous proteases. Moreover, the approaches to targeting α -syn oligomers described above may not discriminate between different forms of α -syn oligomers.

Treatments which target the specific α -syn oligomeric species that are toxic to neurons are expected to reduce neurodegeneration as well as avoid side effects that may result from inhibiting non-toxic α -syn oligomers. The possibility that native cellular α -syn exists as an α -helically folded tetramer and not all α -syn oligomers are toxic⁵ suggests that indiscriminate targeting of α -syn oligomers may impact the physiologic function of endogenous α -syn. Unlike small molecules and synthetic peptides which typically recognize

specific amino acids or short sequences within a protein, antibodies can be designed against protein conformations and therefore are conducive for development as oligomer-specific targeting therapies. Monoclonal mouse antibodies have been generated against α -syn oligomers and a clone which can be internalized by cells to reduce intracellular levels of α -syn oligomers in cell culture has been identified.¹³⁶ Similarly, phage display technology has been used to isolate single chain variable fragments, which are fusion proteins of the heavy and light chains of immunoglobulins, against specific oligomeric conformations of α -syn.^{137,138} Using this technique, two different single chain variable fragments were found to recognize distinct populations of toxic α -syn oligomers. Antibodies also provide a potential means by which intercellular transmission of α -syn oligomers can be blocked, thereby inhibiting prion-like propagation.

Preclinical studies support the potential for immunotherapy as an approach to treat PD. These include studies investigating active immunization using a mouse model of PD.¹³⁹ Specifically, transgenic mice overexpressing human α -syn were vaccinated with recombinant human α -syn. Vaccinated mice which produced high relative affinity anti- α -syn antibodies were found to have reduced levels of α -syn oligomers. Passive immunization of these transgenic mice by injection of a monoclonal anti- α -syn antibody also resulted in a decrease in α -syn oligomer levels.¹⁴⁰ This was associated with improvement in motor behavior, as well as learning and memory tasks, suggesting specificity of targeting toxic oligomeric species. Translation of immunization strategies from animal models to clinical trials are just beginning with the first phase I study investigating active immunization using an α -syn-based peptide vaccine in PD patients (ClinicalTrials.gov, NCT01568099). Clinical trials are further advanced in the field of AD where β -amyloid immunotherapy is being developed.¹⁴¹ β -Amyloid is a suitable target for antibody-based therapies because of its extracellular site of action whereas targeting α -syn may be more challenging because of its intracellular and extracellular pools. There have been setbacks for β -amyloid immunotherapy including early termination of phase II clinical trials testing active immunization due to a small but significant occurrence of meningoencephalitis. There have also been conflicting results regarding the clinical benefit of β -amyloid immunization for AD patients. Regardless, immunotherapy remains a promising area of investigation for AD and an emerging strategy for treatment of PD. It is anticipated that knowledge gained from β -amyloid vaccination in humans will allow for optimization of similar vaccines to avoid detrimental CNS inflammatory reactions. This may not even be a concern for PD if the inflammatory response observed in AD was related to coexistence of cerebral amyloid angiopathy; unlike β -amyloid, α -syn does not deposit in leptomeningeal and cortical blood vessels. Furthermore, using antibodies which are designed to target toxic oligomers, instead of antibodies which do not recognize conformational epitopes, is expected to more specifically treat the underlying pathological process and translate to improved efficacy as well as safety.

An indirect strategy to reduce toxic α -syn oligomeric species is to upregulate the intracellular and extracellular systems responsible for regulating oligomer levels (Fig 1B). Specific chaperone molecules, such as Hsp70^{17,19} and CHIP,^{16,18} can reduce α -syn oligomers likely by multiple mechanisms including refolding misfolded proteins, disrupting protein aggregates, and promoting protein clearance. Several brain permeable small molecules that increase Hsp70 levels have been studied in PD models.¹⁴² In particular, small molecule inhibitors of the chaperone molecule Hsp90, which induce Hsp70 expression, can decrease α -syn oligomerization and rescue α -syn-induced toxicity.¹⁷ Additional strategies to enhance chaperone activity and reduce α -syn levels include viral-mediated gene therapy or transduction-based peptide delivery to increase chaperone levels or to modulate chaperone activity.¹⁴² The ALP is also important in regulating α -syn oligomer levels by clearing oligomers within the intracellular compartment.¹⁴³ Investigations into drugs that

can enhance this pathway and reduce toxic α -syn species are being initiated.^{144,145} Areas for future investigation include strategies to discriminately activate the UPS to expedite the elimination of intracellular α -syn oligomers¹⁴⁶ and to upregulate glia-mediated mechanisms for specific clearance of extracellular α -syn oligomers.^{147,148}

Conclusions

Protein aggregation remains a unifying theme among neurodegenerative diseases but our understanding of the relationship between aggregates of pathogenic proteins and neurodegeneration continues to evolve. As illustrated by ongoing research into the role of α -syn in PD pathogenesis, there has been a shift in focus from protein inclusions to oligomers. In PD and other neurodegenerative conditions, protein inclusions do not represent the sole neurotoxic protein entity. Oligomers have emerged as important contributors to toxicity and therefore their role in neurodegeneration warrants further investigation. If the oligomer hypothesis turns out to be correct, it will have the potential to lead to neuroprotective therapies which, to date, have remained elusive for PD and all other neurodegenerative diseases.

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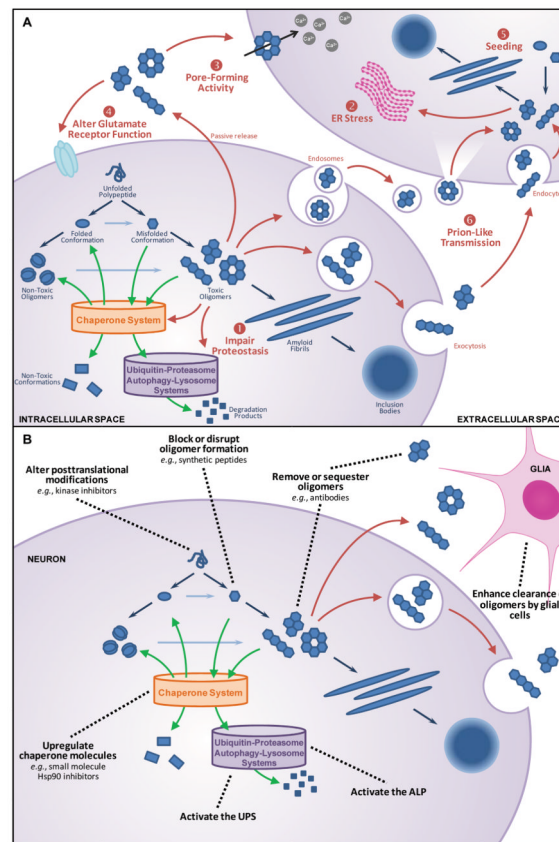


Figure 1. α -Syn oligomers and Parkinson disease

(A) Formation and toxicity of α -syn oligomers. Protein aggregation in neurodegenerative diseases is initiated by aberrant protein folding which leads to the formation of oligomers and eventually amyloid fibrils and inclusions bodies (blue arrows). Certain oligomeric species are toxic to cells by mechanisms that include (1) impairment of proteostasis, (2) chronic endoplasmic reticulum (ER) stress, (3) pore formation, (4) glutamate receptor dysfunction, (5) seeding with (6) prion-like transmission (red arrows), all of which may combine in the pathogenic process of cell death and transmission. Endogenous cellular systems which can reduce oligomer levels are the chaperone network, the ubiquitin-proteasomal system (UPS), and the autophagy-lysosomal pathway (ALP) (green arrows).

(B) Potential treatment strategies which reduce toxic α -syn oligomers to slow or prevent neurodegeneration. These strategies target different steps along the protein aggregation pathway, as well as intracellular and/or extracellular pools of α -syn oligomers. The primary goal of treatment is to reduce toxic oligomer levels directly or indirectly by preventing oligomer formation, disrupting already formed oligomers, promoting degradation of toxic oligomers or conversion of toxic oligomers to non-toxic oligomers, and sequestering or clearing oligomers by antibody or cell-based mechanisms to prevent cell-to-cell transmission (black dotted lines).

Table 1

Table Overview of current evidence for the role of oligomers in neurodegenerative diseases

Proteinopathies	Toxic Protein	Protein Inclusions	Evidence for Toxic Oligomers
Synucleinopathies PD, DLB, MSA	α -Synuclein	Neuronal Lewy bodies Lewy neurites Glial Glial cytoplasmic inclusions	<i>In vitro</i> ¹⁰ Cell culture ¹⁶ Animal models ^{21,88} Postmortem brain ^{22,91}
Amyloidopathies AD, Down syndrome	β -Amyloid	Extracellular Amyloid plaques Vascular Cerebral amyloid angiopathy	<i>In vitro</i> ^{9,149} Cell culture ^{149,150} Animal models ^{149,151} Postmortem brain ^{149,152}
Tauopathies AD, FTLD, FTDP-17, PSP, CBD	Tau	Neuronal Neurofibrillary tangles Pick bodies Corticobasal bodies Glial Tufted astrocytes Astrocytic plaques Oligodendroglial coiled bodies	<i>In vitro</i> ¹⁵³ Cell culture ¹⁵⁴ Animal models ^{155,156} Postmortem brain ¹⁵⁷⁻¹⁵⁹
Prionopathies CJD, GSS, FFI, Kuru	Prion protein	Extracellular Kuru plaques Florid plaques	<i>In vitro</i> ^{160,161} Cell culture ^{161,162} Animal models ¹⁶⁰⁻¹⁶² Postmortem brain ¹⁶³
Trinucleotide repeat disorders HD, DRPLA, SBMA, SCAs	PolyQ protein	Neuronal Intranuclear inclusions	HD/Huntingtin <i>In vitro</i> ^{13,164} Cell culture ^{164,165} Animal models ^{166,167} Postmortem brain ^{167,168} DRPLA/Atrophin-1 Cell culture ¹⁶⁹ SBMA/Androgen receptor <i>In vitro</i> ¹⁷⁰ Animal model ¹⁷¹
TDP-43 proteinopathies FTLD, ALS	TDP-43	Neuronal Cytoplasmic inclusions	–

AD, Alzheimer disease; ALS, amyotrophic lateral sclerosis; CBD, corticobasal degeneration; CJD, Creutzfeld-Jakob disease; DLB, dementia with Lewy bodies; DRPLA, dentatorubral-pallidolysian atrophy; FFI, fatal familial insomnia; FTDP-17, frontotemporal dementia with parkinsonism-17; FTLD, frontotemporal lobar degeneration; GSS, Gerstmann-Straussler-Scheinker syndrome; HD, Huntington disease; MSA,

multiple system atrophy; PD, Parkinson disease; polyQ, polyglutamine; PSP, progressive supranuclear palsy; SBMA, spinobulbar muscular atrophy; SCAs, spinocerebellar ataxias; TDP-43, TAR DNA binding protein