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Epigenetics of Colorectal Cancer

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Abstract

In the early years of the molecular biology revolution, cancer research was mainly focused on genetic changes (ie, those that altered DNA sequences). Although this has been extremely useful as our understanding of the pathogenesis and biology of cancer has grown and matured, there is another realm in tumor development that does not involve changing the sequence of cellular DNA. This field is called "epigenetics" and broadly encompasses changes in the methylation of cytosines in DNA, changes in histone and chromatin structure, and alterations in the expression of microRNAs, which control the stability of many messenger RNAs and serve as "master regulators" of gene expression. This review focuses on the epigenetics of colorectal cancer and illustrates the impact epigenetics has had on this field.

Keywords

microRNA; DNA Methylation; Histone Modifications; Genomic Instability

Colorectal cancer (CRC) is a disease caused by genetic alterations. The best known alterations are those that change the DNA sequence: point mutations, insertion-deletion mutations, rearrangements, and so on. These types of mutations typically alter the gene product by changing the amino acid sequence of protein or by altering the quantity of protein produced. Common examples in the context of CRC include point mutations at specific codons of the KRAS gene, which abrogate its ability to be regulated, and deletions of key tumor suppressor genes such as p53 or SMAD4. In other types of cancer, amplifications of oncogenes and the inappropriate splicing of a sequence coding an oncogene so that it is controlled by a highly active promoter are prominent carcinogenic mechanisms. These aberrations dominated cancer research discoveries in the first few decades of the modern era of molecular biology.

Over the past decade, however, attention has been focused on alterations in the regulation of gene expression that do not involve a change in the DNA sequence of the cell. These are referred to as "epigenetic" changes, and the most prominent involves changes in DNA

Supplementary Material

Note: To access the supplementary material accompanying this article, visit the online version of *Gastroenterology* at [www.gastrojournal.org, and at http://dx.doi.org/10.1053/j.gastro.2012.09.032.](http://www.gastrojournal.org,andathttp://dx.doi.org/10.1053/j.gastro.2012.09.032)

Conflicts of interest

The authors disclose no conflicts.

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methylation. However, epigenetics can be viewed more broadly to include all of the changes in expression of genes that occur through modified interactions between the regulatory portions of DNA or messenger RNAs (mRNAs) that are not directly caused by a change in the DNA sequence. This usually occurs through changes in the promoters of genes, modification in the stability of transcripts, or alterations in the splicing of transcripts. However, these may be caused by a mutation or a sequence variation in a gene or regulatory element distant from the gene being regulated. Therefore, the borders between genetic and epigenetic alterations may blur, because minor genetic alterations or single nucleotide polymorphisms may modify DNA methylation or mRNA stabilization, change the expression of multiple other genes, and have a profound effect on cell behavior.

DNA Methylation

Gene expression is regulated to a considerable degree by interactions between transcription factors and the start codon (ATG) and DNA sequences immediately 5′ upstream or 3′ downstream of the start sequence. In about half of human genes, the promoter is rich in C-G sequences (called CpG sites because of the C-phosphodiester-G bond). CpG sequences are relatively absent from most other places in the genome. If a cluster of CpGs (ie, a CpG island) is encountered in a DNA sequence, it is likely to be in a genetic regulatory element. In CRC, there are examples of both hypermethylation and hypomethylation abnormalities, which are a central focus of this review (Figure 1).

One of the mechanisms by which a cell can completely silence gene expression is to modify the promoter region and prevent transcription factors from interacting with the DNA, diminishing gene expression. This is mediated by DNA methyltransferases (DNMTs), which catalyze the covalent addition of a methyl group to the 5′ carbon of cytosine, creating 5 methyl-cytosine, which some have called the "fifth base" of DNA. CpG islands are defined as sequences of at least 200 bases in length (usually >500) with >50% CG content and "a ratio of observed to expected CpGs that is $>60\%$."^{1, 2}

Most CpG sites are maintained in a methylated state, especially those that are not in the promoter region of a gene, whereas the CpGs are not methylated in actively expressed genes. Methylation starts at one end of the CpG island and extends through the promoter and start site of the gene, which alters the 3-dimensional configuration of the DNA, inhibiting interaction with transcription factors, which silences gene expression.³ Importantly, this epigenetic modification is stably passed to the progeny of the parent cell, maintained by "histone marking" at the sites of methylation.⁴ Permanent silencing of genes is a prominent part of normal development, because certain genes are no longer used after the embryonic stage; moreover, maladaptive cellular behaviors may occur if certain developmental genes are inappropriately expressed in differentiated tissues. The X chromosome needs to inactivate one of its 2 copies in female mammalian cells, and it does so by completely methylating CpG sites throughout the chromosome, leading to the formation of the chromatin Barr body.⁵

Promoter Hypermethylation in CRC

Altered methylation of DNA was among the first of the genetic aberrations found in CRC, but the initial observations led to confusion that took nearly 2 decades to understand. The earliest study of abnormal methylation in CRC was published in 1983 by Feinberg and Vogelstein.⁶ The presence of 5-methylcytosine in DNA had recently been discovered, and they hypothesized that there may be differences in DNA methylation between colon cancer tissues and normal tissues from which the tumor arose. They used the restriction enzymes HpaI and HpaII, which can discriminate between methylated and unmethylated CpG sites. After digestion, the DNA from 4 CRC samples harbored a significantly larger number of

Goel and Boland Page 3

hypomethylated fragments compared with the normal colon at 3 different genes (γ-globin, α -globin, and human growth hormone). They found a similar phenomenon in one case of lung cancer and noted that hypomethylation was more pronounced in the metastatic tumor from that individual. Although they did not propose that these genes were directly involved in tumorigenesis, they proposed that these 3 genes, located in 3 different genomic locations, were substantially hypomethylated and that the process was possibly progressive in metastasis. They later demonstrated that the hypomethylation was present in adenomatous polyps, indicating that it occurred in the early stages of colorectal neoplasia⁷ and that there was an 8% to 10% reduction in 5-methylcytosine content in human colorectal adenomas and cancers compared with normal mucosa.⁸

Hoping to find specific "cancer-related" genes altered by this type of epigenetic alteration, in 1986, Baylin et al examined methylation of the promoter of the *calcitonin* gene in lung and other cancers and found quite the opposite: hypermethylation.⁹ The functional significance of this was unclear at the time. It did not take long to discover that hypermethylation occurred in the promoter sequences of many genes in cancers, including critical tumor suppressor genes, which resulted in loss of gene expression.^{10–13} A catalogue of genes silenced by promoter hypermethylation accumulated. In 1999, Baylin, Issa, and others coined the term the "CpG island methylator phenotype," or CIMP, for the phenotype in which tumor suppressor genes were methylated, and tumorigenesis occurred— at least in theory—through progressive genetic silencing, possibly even in the absence of any genetic mutations.¹⁴ Methylation is common at the *PTEN, RUNX3*, and *UNC5C* loci in CRC, making these key genes "targets" for silencing in the evolution of a CRC with CIMP.^{15–17} The recognition that a diverse spectrum of genes with an important role in CRC are targets of aberrant methylation (as reviewed in Supplementary Table 1) represented a significant step forward in the understanding of colorectal tumor formation and a paradigm shift for a broader understanding of how cancer evolves.

The earliest reports suggested that there were 2 types of CIMP: one associated with aging (CIMP-A) and one associated with cancer (CIMP-C).^{18, 19} Although there is a progressive increase in methylation of the promoters of the estrogen receptor and other genes as we age, there is not a sharp distinction between the genes methylated in aging and those involved in cancer.²⁰ There is a close relationship between mutations in the *BRAF* gene (particularly the V600E mutation) and CIMP, but it has not been shown that BRAF mutations can cause CIMP. Importantly, the sporadic cases of microsatellite instability (MSI) are usually associated with BRAF mutations, whereas this is almost never the case with Lynch syndrome $CRCs$ ^{21–23} Most CRCs are believed to begin as sporadic adenomas, but some proportion of CRCs with CIMP begin as sessile serrated adenomas, suggesting that there is a novel "pathway" for the evolution of colorectal neoplasia associated with CIMP.^{24, 25} There is no understanding of what causes BRAF mutations or CIMP, but there is an association between CRCs with CIMP and both older age and smoking.^{26, 27} Moreover, both CIMP and BRAF mutations are associated with poorer clinical outcomes and a poorer response to 5 fluorouracril (5-FU)–based adjuvant chemotherapy.^{28, 29} Although the final analysis is still evolving, methylation of the MLH1 gene is associated with resistance to 5-FU, which is reversed on demethylation of that gene in vitro.³⁰ Clinical analyses suggest that patients with MSI CRCs are not candidates for 5-FU–based adjuvant chemotherapy.^{31–33}

It has been shown that CRCs with CIMP are distinct from those with chromosomal instability and that these 2 forms of nuclear derangement represent 2 alternative pathways for the development of CRC.^{34, 35} There is a degree of pathway overlap, because hypermethylation can occur in the APC gene, which is part of the chromosomal instability pathway,³⁶ or the *MLH1* gene, triggering MSI.³⁷ Furthermore, methylation of the *MGMT*

gene occurs during progression of CRC in either pathway and may facilitate the accumulation of point mutations as tumors evolve.³⁸

MSI, Hypermethylation of the MLH1 Promoter, and Lynch Syndrome

The recognition that CIMP occurred in CRC and other tumors did nothing to contradict the genetic processes previously attributed to colorectal carcinogenesis; it simply made it more complicated. Interestingly, one of these "targets" of hypermethylation—the MLH1 gene—at once simplified and complicated the picture.

The MLH1 gene is one of the DNA mismatch repair genes, and germline mutations in MLH1 account for approximately 40% of cases of the hereditary CRC disease called Lynch syndrome.³⁹ Loss of DNA mismatch repair (which occurs when there is biallelic inactivation of MLH1, for example) creates the distinctive mutational signature in DNA called MSI. Approximately 15% of all CRCs have MSI, but only about 3% of all CRCs are attributable to Lynch syndrome. It was discovered that almost all of the CRCs with MSI that are not due to Lynch syndrome have hypermethylation of the promoter of the MLH1 gene.^{21, 40} These tumors occur in patients who are, on average, much older than patients with Lynch syndrome. The mutational signature in Lynch syndrome CRCs is overwhelmingly dominated by MSI. CRCs with acquired methylation of MLH1 have a background epimutational signature dominated by CIMP (ie, numerous genes have been silenced by methylation); when the MLH1 gene is silenced, the tumors develop MSI secondarily. Not surprisingly, the clinical features of these 2 types of tumors, both characterized by MSI and loss of MLH1 expression, have some degree of overlap but are fundamentally distinct.²⁹

The methylation of *MLH1* in CRC appears to occur randomly as a consequence of CIMP. Most CRCs with CIMP seem to occur through the serial silencing of tumor suppressor genes, although details about the sequence and time course by which this occurs are still incomplete. ⁴¹

Methylation of *MSH2* **in CRC**

In 2006, it was first reported that heritable methylation of the promoter of *MSH2* could also cause Lynch syndrome.42 This was a difficult concept, because it is generally noted that methylation is "erased" during embryogenesis, and it was not clear how this might occur. In 2009, Ligtenberg et al found that Alu-mediated deletions of the stop codon of the EPCAM gene, which is immediately 5′ upstream of the start of MSH2, result in the somatic methylation and silencing of $MSH2$ in those tissues that express EPCAM.⁴³ Moreover, a deletion that removes the stop codon in EPCAM while retaining the promoter and start regions of MSH2 creates a disease that is clinically a CRC-predominant familial cancer syndrome that will look like Lynch syndrome–MSH2 type but in which a genetic alteration in the $MSH2$ gene cannot be detected.⁴⁴ Additionally, methylation of $MSH2$ is not uncommon in Lynch syndrome tumors and appears to represent the second hit at that locus in some instances.⁴⁵

Soma-wide or Constitutional Hypermethylation of *MLH1*

Patients with a CIMP CRC are more likely to have a positive family history of CRC.⁴⁶ In 2002, an individual from a familial CRC cluster was found to have a methylated promoter of MLH1, but no inheritance of the epimutation was found.⁴⁷ Hitchins et al studied a cohort of 160 probands from familial clusters of CRC and found one individual who had monoallelic methylation of MLH1 in the blood, buccal mucosa, and hair follicle DNA, and the methylated allele was silenced.48 The methylated allele was maternally inherited, but the patient's mother and the maternal allele in a sister did not show promoter methylation,

indicating that the aberrant methylation was acquired, not inherited. The same group reported that this "epimutation" (ie, the hypermethylation of MLH1) had been passed from mother to son and was detected in the son's spermatozoa.⁴⁹ The inheritance of a rare single nucleotide polymorphism in the 5′ untranslated region of the *MLH1* promoter (c.−27 C>A) has been linked to constitutional silencing of *MLH1*.⁵⁰ This has not been confirmed by other laboratories and, in any event, is quite uncommon.

The presence of soma-wide or constitutional hypermethylation of MLH1 has been reported in a series of 6 affected individuals with 13 tumors .⁵¹ The methylated allele can be inherited from either parent (even though the parents do not have the same epimutation) and is present in endodermal, mesodermal, and ectodermal cell lineages, and the affected patients act as though they have Lynch syndrome with early-onset CRCs and recurrent independent tumors.

CIMP High, CIMP Low, and CIMP Negative

The promoters of more than half of human genes are in CpG islands, so the frequency of CIMP might depend on which promoters are examined for methylation. Some promoters are more valuable than others for identifying CIMP. It is possible to classify tumors according to the proportion of promoters that exceed a threshold degree of DNA methylation (reviewed by Ogino and Goel⁵²). CRCs with mutations in $BRAF$ and methylation in a panel of CIMP-specific markers can be used to identify CRCs that are CIMP high, CIMP low, and CIMP 0.53 CIMP-high CRCs are significantly associated with *BRAF* mutations and female sex, CIMP-low CRCs with KRAS mutations and male sex, and CIMP-0 CRCs with wildtype BRAF and KRAS genes. This classification needs additional refinement, but it is reminiscent of the process by which CRCs were divided into groups according to high, low, and absent degrees of MSI.³⁹

Global Hypomethylation of DNA in CRC

Much of the attention from the mid-1980s until after 2000 was focused on promoter hypermethylation and CIMP in the context of CRC. However, the initial observation of global hypomethylation, which is unrelated to CIMP, remained a more pervasive problem in the biology of CRC. Cells cultured over an extended period in the presence of a demethylating agent such as 5-azacytidine will develop aneuploidy.⁵⁴ CRCs with chromosomal instability tend to be hypomethylated, and most of those with MSI are hypermethylated (due to methylation of *MLH1*); a third group without chromosomal instability or MSI has a unique methylation pattern and clinical features, including a better prognosis. This group may represent yet another "pathway" for the development of CRC.⁵⁵ In addition, tumors from familial clusters of CRC that are not Lynch syndrome and do not have MSI show a significant degree of LINE-1 hypomethylation, which is a marker of global hypomethylation⁵⁶ (Figure 1).

Histone Modifications in CRC

In addition to DNA methylation-induced transcriptional control of gene expression, posttranslational covalent modifications at histone tails constitute an epigenetic mechanism that regulates chromatin structure and gene expression in human cancers. However, in contrast to methylation-associated alterations, the understanding of histone modification patterns in human cancers is limited. Histone modifications typically occur at 4 histones (H2A, H2B, H3, and H4) that are organized in cylindrical structures and constitute the histone core. The nucleosome is a chromatin unit composed of 150 to 200 base pairs of DNA tightly wrapped around the cylindrical histone core. Histone N-terminal tails that protrude from this compact structure are frequent targets of posttranslational modifications,

including phosphorylation, methylation, acetylation, ubiquitinylation, sumoylation, deamination, and ribosylation.57 The impact of histone modification is governed by 2 factors: the type of modification and the specific amino acid involved. Collectively, posttranslational modifications of histones establish a dynamic and potentially reversible "histone code" that permits active transcription in a euchromatin configuration but inactive transcription when chromatin is in a heterochromatin state (Figure 2).

The consensus is that hypoacetylated loci typically silence gene expression whereas hyperacetylated histones are involved in gene activation. Acetylation of histone tail residues destabilizes the chromatin fiber, which permits enhanced mobility of nucleosomes along the chromosome and less impeded access of transcription factors to DNA.58 Although histone acetylation is mediated by histone acetyltransferases and histone deacetylases (HDACs), histone methylation is catalyzed by histone methyltransferases and histone demethylases.⁵⁹

Polycomb Group Proteins and Histone Modifications in Cancer

The best understood histone modifications in CRC are acetylation/deacetylation and methylation/demethylation of lysine and arginine residues within histone tails. It has been shown that while dimethylation and trimethylation of histone H3 lysine (H3K4me2/me3) and acetylation of H3/H4 (H3K9Ac and H4K9Ac) amino acids constitute transcriptionally active marks, transcriptionally inactive gene promoters are frequently characterized by trimethylation of histone H3 lysine 9 and 27 (H3K9me3 and H3K27me3) residues.⁶⁰ These bivalent histone modifications are mediated by transcriptional repressors, the polycomb group proteins, that are instrumental in silencing a specific group of tumor suppressor genes in human cancers.⁶¹ Two multimeric polycomb repressive complexes (PRCs), PRC1 and PRC2, can silence genes either independently or synergistically. The PRC2 complex is responsible for initiating methylation of histone H3 (H3K27me2/3) through its enzymatic subunits EZH1 and EZH2, whereas the PRC1 complex is involved in the maintenance of H3K27me2/3 silencing, as well as subsequent monoubiquitination of Lys 119 in histone H2A (H2AK119ub) via the ubiquitin ligases RING1A and RING1B.62 While the core PRC2 multimeric complex is composed of 4 components—EZH1/2, SUZ12, EED, and RbAp46/48 (or RBBP7/4)—the composition of PRC1 complexes is more variable with only 2 core common components: RING1A/B, together with BMI1, HPC/CBX, HPH, and YY1⁶² (Figure 2).

PRC-mediated transcriptional silencing has been hypothesized to play a role in CRC , 63 because many genes that are frequently hypermethylated in CRC are polycomb group targets. Both PRC1 and PRC2 proteins interact with DNA methyltransferases (DNMT1 and DNMT3b), establishing a potential key role for these proteins in catalyzing methylationassociated transcriptional silencing of target genes in cancer cells.64–66 Also, EZH2 is frequently overexpressed in $CRCs^{67, 68}$ and predicts better recurrence-free survival in patients with CRC.⁶⁷ Additionally, RNA interference–mediated intracellular depletion of EZH2 induces cell cycle arrest, inhibits CRC cell growth, and leads to reduced expression of several cancer-associated genes involved in proliferation or invasion, including Dag1, MageD1, SDC1, Timp2, and Tob1.⁶⁹

Histone Modifications and DNA Methylation Cooperatively Induce Epigenetic Gene Silencing

In 1990, elevated c-Myc expression in 44% of CRCs was proposed as a consequence of a transregulatory mechanism that was controlled by an S-phase–specific histone H3 gene.⁷⁰ A dozen years later, a relationship was noted between key histone code components and hypermethylation- induced silencing of the $MLH1$ gene in CRC cells.⁷¹ Deacetylation (H3K9) and simultaneous methylation of histone H3 (H3K9me2) in the MLH1 promoter

was a key event mediating epigenetic inactivation of this gene, and treatment with the DNMT inhibitor 5-azacytidine led to complete reversal of MLH1 methylation and the corresponding histone code.⁷¹ These studies established that DNA methylation and histone modifications act in concert. This concept was consolidated in a study showing that elimination of DNA methylation from a $p16^{NKAa}$ gene altered the surrounding histones, and with additional passage of cells in the absence of the DNMT inhibitor, remethylation of $p16^{NKAa}$ occurred in conjunction with methylation of the histones in CRC cells.⁷² Furthermore, hypomethylation induced by DNMT inhibitors is insufficient to induce gene expression. Chromatin resetting, which includes nucleosome eviction, is also required for the activation of protein expression in SW48 CRC cells.73 These observations suggest that reversal of methylation alone may not be sufficient to reactivate methylationsilenced genes in human cancers, and this should be taken into consideration while designing epigenetic therapeutic regimens for cancer treatment in the future.

Altered Expression of Histone-Modifying Enzymes in CRC

Multiple class I HDACs are up-regulated in a subset of CRCs, including HDAC1 in 36.4%, HDAC2 in 57.9%, and HDAC3 in 72.9% of specimens.⁷⁴ Furthermore, the expression was enhanced in strongly proliferating and dedifferentiated tumors, and high HDAC expression levels were associated with reduced patient survival.74 Over-expression of HDAC2 was observed in 81.9% of colorectal adenomas, 62.1% of CRCs, and 53.1% of normal tissues.⁷⁵ The overexpression in HDAC2 accompanied hypoacetylation at H4K12 and H3K18 histones during adenoma-to-carcinoma progression, suggesting that increased HDAC2 expression and subsequent loss of acetylation may accompany progression of CRC.⁷⁵ Likewise, the HDAC1 and 2 histone acetyltransferase–related proteins CREB-binding protein and p300 are overexpressed in CRC tissues.⁷⁶ Furthermore, up-regulation of p300 correlated with a poor prognosis, whereas high CREB-binding protein expression levels correlated with long-term survival.⁷⁶ The class III HDAC, SIRT1, is an important epigenetic regulator of gene expression, and inhibition of SIRT1 can lead to reactivation of transcriptionally silenced genes, suggesting a possible therapeutic approach to reverse aberrantly silenced genes. In a study of 485 CRCs, it was observed that SIRT1 was overexpressed in ~40% of CRCs, which was associated with CIMP-high and MSI-H tumors, providing insights into the link between SIRT1 expression and gene silencing in CRC.⁷⁷

MicroRNAs and CRC

Noncoding RNAs, particularly microRNAs (miRNAs or miRs), are mechanistically involved in controlling the expression of various cancer-associated genes, and their expression may be altered in cancer. miRNAs are single-stranded, evolutionarily conserved, small RNA molecules (19–25 ribonucleotides) that mediate posttranscriptional gene repression. Typically primary miRNA genes are transcribed by RNA polymerase II in the nucleus, processed to precursor miRNAs by Drosha, and transported to the cytoplasm, where they undergo further processing by the ribonuclease III enzyme Dicer, resulting in mature miRNAs that are incorporated into the RNA-induced silencing complex. miRNAs act as endogenous suppressors of gene expression through imperfect binding of the RNAinduced silencing complex to 3′ untranslated regions of target mRNAs and induce either mRNA degradation or translational repression. Analogous to genes, miRNAs can act either as tumor suppressors (tsmiRs) by inhibiting the expression of oncogenes or as tumor promoters (*onco*miRs) by suppressing the expression of target tumor suppressor genes⁷⁸ (Figure 3).

miRNAs were first discovered in worms, and at the time of this review, \sim 1950 human miRNAs have been described in release 18 of the Sanger Institute miRBase [\(www.mirbase.org\)](http://www.mirbase.org).79 Cross-species comparisons have shown that miRNAs are

evolutionarily conserved. Although the biology of miRNAs is still unclear, each miRNA can regulate the expression of several mRNA targets, making them master regulators of gene expression.

The Role of miRNAs in Cancer

Since the discovery of miRNAs in patients with chronic lymphocytic leukemia in 2002, 80 evidence has implicated their role in multiple human diseases, prominently including cancer.⁸¹ Based on in silico predictions of miRNA/mRNA interactions, the expression of up to 5300 human genes (representing 30% of the human gene pool) may be controlled by various miRNAs.82 Cancer-associated alterations in miRNA expression occur in a tissuespecific manner, providing the basis for the development of highly robust molecular signatures to classify various human malignancies. $83, 84$ Relatively minor variations in miRNA expression can have important consequences because of the large number of targets modulated by each miRNA.78 Also, global miRNA expression patterns can distinguish different tissue and tumor types better than mRNA expression patterns, making them attractive targets for their development as cancer biomarkers.⁸³

Altered miRNA Expression in CRC

Soon after the recognition of the involvement of miRNAs in human leukemia, 2 miRNAs, miR-143 and miR-145, were reported to be significantly down-regulated in colorectal adenomas and cancers compared with normal colon.85 Serial analysis of miRNA gene expression (miRAGE) led to the development of microRNAome signatures that identified 133 novel miRNAs in CRC and reconfirmed that $miR-143$ and $miR-145$ were significantly reduced in colorectal neoplasia.⁸⁶ Subsequent functional studies have revealed that $miR-143$ and $miR-145$ act as tumor suppressor miRNAs in the colon.^{87–89}

The introduction of high-throughput microarray-based miRNA profiling platforms revolutionized the field of miRNA expression pattern analysis. The list of aberrantly expressed miRNAs in colorectal adenomas and cancers is continuously growing, but a list of key miRNAs and their gene targets involved in colorectal carcinogenesis is shown in Table 1.

One of the first comprehensive array-based analyses of 389 miRNAs in 84 CRC and matched normal colonic tissues identified 37 miRNAs differentially expressed in CRC, including the overexpression of $miR-20a$, $miR-21$, $miR-106a$, $miR-181b$, and $miR-203$ in tumor cells.⁹⁰ In another report, $miR-31$, $miR-183$, $miR-17-5$, $miR-18a$, $miR-20a$, and miR-92 were expressed at significantly higher levels in CRC than normal tissues, while $miR-143$ and $miR-145$ were expressed at lower levels in tumors.⁹¹ It is beyond the scope of this article to elaborate on all studies that described variations in miRNA expression profiles in CRC cell lines or clinical specimens, but a high degree of concordance exists among these studies, regardless of whether candidate-based or array-based approaches were used. $8\overline{4}$, 91 , 92

miRNAs and Distinct Phenotypic CRC Subgroups

CRCs are composed of various subtypes that can be categorized based on pathological features, familiality, location in the bowel, and so on. Although the functional details on the biological significance of miRNAs are still evolving, expression profiling studies have provided substantial evidence that miRNA expression patterns are unique and characteristic for some phenotypically different subtypes of CRCs. This is of clinical importance because CRCs with MSI and CIMP have significant differences in clinical outcome, including prognosis and therapeutic response to conventional chemotherapy.31 For instance, genomewide miRNA profiling of 23 microsatellite stable (MSS) and 16 MSI CRCs showed that a subset of 8 miRNAs, which included various members of the oncogenic $mR-17-92$ family,

reliably distinguished MSI from MSS CRCs.⁹³ A gene signature of only 4 miRNAs $(miR-142-3p, miR-212, miR-151, and miR-144)$ was able to successfully discriminate between MSI and MSS stage II CRC.⁸⁸ A 6-gene panel was identified that was differentially expressed between mismatch repair (MMR)- deficient and MMR-proficient CRCs.⁹⁴

A study from our group analyzed genome-wide miRNA signatures in 35 MSI CRCs (22 Lynch syndrome and 13 sporadic) and 19 MSS CRCs, as well as 20 normal colonic tissues.95 We identified patterns of miRNA expression that distinguished normal tissues from cancers and MSI from MSS tumors, but more importantly, we reported that expression of miR-622, miR-1238, and miR-192 could discriminate between Lynch syndrome MSI and sporadic MSI CRCs. In addition, the expression patterns of miRNAs were significantly similar between patients with Lynch syndrome who had identifiable mutations in DNA MMR genes and patients with Lynch syndrome in whom available mutation screening methods failed to identify the underlying mutation. This approach provided a diagnostic miRNA signature where the best available diagnostic tests failed, providing a possible alternative strategy to determine patient management.⁹⁵

Epigenetic Mechanisms for miRNA Dysregulation in CRC

The mechanisms responsible for dysregulated expression of miRNAs in human cancers are still poorly understood. One mechanism that has received recent attention is aberrant methylation of the miRNA gene promoters when they are located in or near CpG islands. Aberrant hypermethylation of *miR-34b, miR-34c, miR-9-1, miR-129-2*, and *miR-137*, all of which are embedded in CpG islands, is associated with reduced expression in CRC cell lines and tumor tissues. $96-98$ By comparing miRNA expression and histone modifications (H3K4me3, H3K27me3, and H3K79me2) before and after DNA demethylation, 47 miRNAs, including *miR-1-1*, were found to be potential targets of epigenetic silencing in early and advanced CRCs.⁹⁹ DNA demethylation at these miRNA promoters resulted in upregulation of H3K4me3 and H3K27me3 at the promoters of these miRNAs, providing additional insight into the association between hypermethylation, chromatin modifications, and miRNA dysregulation in cancer.

Simultaneous methylation of EVL and $miR-342$, an intronic miR in the EVL gene, was seen in 86% of colorectal adenocarcinomas and in 67% of adenomas, indicating that aberrant methylation at this locus is an early event in colorectal carcinogenesis; furthermore, increased methylation is found in the normal mucosa adjacent to CRC and in some colons not associated with CRC, suggesting a "field effect."100 Our group has reported that methylation of the miR-137 CpG island is a more cancer-specific event, observed in virtually all CRC cell lines, 82% of adenomas, and 82% of CRCs but in only 14% of normal mucosae from patients with CRC and 5% of healthy subjects.¹⁰¹ Using systematic microarray and bioinformatics approaches, we identified LSD1, a histone demethylase, to be a target of miR-137 in the colon.

Alterations in the expression of proteins involved in miRNA processing may provide another layer of miRNA regulation in cancer. Up-regulation of the mRNA levels of the nuclear ribonuclease Drosha and the cytoplasmic ribonucleases Dicer and Ago2 were found in CRC, and increased expression of Dicer is implicated in advanced CRCs.¹⁰² Overexpression of Dicer is an independent predictor of poor survival in CRC, regardless of age, sex, tumor site, stage, or differentiation.^{103, 104} These initial clues into the biology of miRNA provide a springboard for future studies.

Functional Association of miRNAs in Multistep Colorectal Carcinogenesis

The classic multistep colorectal carcinogenesis model proposed by Fearon and Vogelstein in 1990 elegantly showed that most CRCs evolve through the sequential accumulation of "alterations" that manifest clinically in adenoma-to-carcinoma progression.105 Data gathered over the past several years on hypermethylation-induced silencing of genes provide new details on this process. It is beyond the scope of this article to summarize all relevant data in this regard, but there is evidence that miRNAs can regulate all the major pathways in colorectal neoplasia. In brief, this includes their impact on β-catenin/WNT signaling (miR-135a/b, miR-139, miR-145, miR-17-92), ^{91, 94, 106-109} proliferation (let-7 family, miR-18a, miR-21, miR-126, miR-143, miR-200c),^{91, 107, 110} apoptosis (miR-34a, miR-133b, miR-195),^{107, 110} cell cycle control (miR-34a, miR-192, miR-215, miR-675),^{107, 110, 111} p53 signaling (miR-34b/c),⁹⁸ differentiation (miR-141, miR-200c),^{104, 112} and migration and invasion (miR-126, miR-143, miR-196a, miR-200a/b/c, miR-373, miR-520c).104, 107, 110 It is likely that the altered expression of many miRNAs may target hundreds of growth regulatory genes and pathways that are critical in the multistep model of carcinogenesis (Figure 4 and Table 1).

For instance, $miR-135a$ and $miR-135b$ are oncomiRs that directly target the 3['] untranslated region of the *APC* gene, suppress its expression, and activate WNT signaling¹⁰⁶; likewise, the $miR-17-92$ family of genes directly suppresses APC function.^{91, 108} Because inactivation of APC is a gatekeeper event for the initiation of colorectal neoplasia, this suggests a miRNA-mediated mechanism for control of the APC gene and activation of the WNT signaling pathway. Moreover, higher expression of oncogenic $miR-21$ in adenomas and CRCs relative to normal tissues suggests that abnormal expression of this miRNA is an early event in the progression toward CRC.^{90, 113, 114} It has also been shown that $miR-21$ promotes cell migration and invasion by targeting the PDCD4 and PTEN tumor suppressor genes.¹¹⁵

Another important key signaling pathway in development of CRC involves activating the epidermal growth factor receptor, mediated by KRAS signaling that induces activation of various downstream effectors that mediate tumor growth, survival, and metastasis in many cancers. Down-regulated expression of the tsmiRs miR-143 and miR-145 has been shown to target multiple genes in the MAPK signaling pathway, including KRAS, ERK5, IRS-1, STAT1, and KLF4, all of which are instrumental during the transition of an early adenoma to advanced stages. 88, 89, 116-122 Furthermore, the tumor suppressive role of miR-143 may be due to its ability to also target DNMT3A.¹²³

Epithelial-to-mesenchymal transition is a cellular program involved in tumor cell invasion and metastasis. Activation of epithelial-to-mesenchymal transition allows tumor cells to detach, migrate, and disseminate through circulation and metastasize to distant organs. This process is initiated by transforming growth factor β1 produced by tumor cells, which triggers the expression of ZEB1 and ZEB2, which in turns causes transcriptional repression of E-cadherin and activation of vimentin.¹²⁴ In addition, *ZEB1* has been shown to induce transcription of the $miR-200$ family of miRNAs ($miR-200a/b/c$, $miR-141$, and $miR-429$), whose down-regulation is believed to an essential feature of epithelial-to-mesenchymal transition. Curiously, one of the putative targets of the $miR-200$ family is the $ZEB1/2$ genes themselves, because overexpression of $miR-200c$ causes translational inhibition of ZEB1.¹²⁵ It is likely that altered expresssion of miRs is involved in the full range of CRC development.

Epigenetic Biomarkers and Their Applications

A large body of data supports the potential of DNA methylation and miRNAs to serve as biomarkers for the early detection, prognosis, and determination of predictive responses to chemotherapy in patients with colorectal neoplasia. Because epigenetic alterations occur early in cancer progression and can be present in premalignant adenomas, or even in normal mucosa of patients with colorectal mucosa due to a field effect, these markers are suitable for the diagnosis of early CRC. DNA methylation and miRNA expression changes can be easily measured in archival tissues, as well as in blood, feces, urine, and so on. In addition, unlike genetic mutations, epigenetic alterations can be measured quantitatively, which might be useful for monitoring disease progression and following patient responses to various treatments. Table 2 lists several epigenetic biomarkers with potential for clinical application.

Methylated DNA has been useful diagnostically in CRC. For instance, the methylation of MLH1 can be detected in CRC tumor samples¹²⁶ or blood¹²⁷ to help in the interpretation of MSI, because its presence helps exclude the diagnosis of Lynch syndrome. This conclusion can be further supported by the presence of a mutated $BRAF$ gene.¹²⁶ Although aberrant hypermethylation of the MLH1 gene promoter in serum provided only 33% sensitivity, this test had 100% specificity in the identification of patients with sporadic MSI.¹²⁷ Taking cues from this initial evidence, a flurry of reports appeared in the literature that subsequently reported an additional list of aberrantly methylated genes that could be analyzed in blood. For example, $p16$ hypermethylation was frequently noted in sera or plasma of patients with CRC, and methylation was detectable even in the preneoplastic adenomas, highlighting the potential clinical usefulness of this approach.^{128–130} Hypermethylation of DAPK (death associated protein kinase), ¹³¹ *RUNX3*, ¹³² and *ALX4* (aristaless-like homeobox-4)¹³³ has also been shown to have potential for development as noninvasive diagnostic biomarkers for colorectal adenomas and cancers. The list of bloodbased methylation markers has evolved, and a study using a combinatorial microarray and quantitative polymerase chain reaction– based approach identified a panel of highly sensitive and specific biomarkers for methylated DNA in plasma.¹³⁴ Using this strategy in 56 candidate genes, *TMEFF2* (transmembrane protein with epidermal growth factor–like and 2 follistatin-like domains), NGFR (nerve growth factor receptor), and SEPT9 (septin-9) were found to be specific in discriminating healthy subjects from patients with colorectal neoplasia,¹³⁴ a finding that has been validated in other studies.^{135, 136} In fact, an assay that detects hypermethylated *SEPT9* is now being commercially offered in some parts of Europe to screen patients for CRC.

A blood-based screening test seems attractive from a practical standpoint, but colonocytes are continuously exfoliated into the intestinal tract from tumors, so a stool-based test might be better for the detection of epigenetic signatures associated with CRC. Methylation of the vimentin promoter can be detected in stools of patients with asymptomatic CRC, and this has been added to the panel of diagnostic targets used in fecal DNA testing.¹³⁷ Moreover, methylated RAFF2A and SFRP2 genes have been documented in the stools of patients with both CRC and gastric cancer.¹³⁸ Recently it was shown that hypermethylation of the transcription factors GATA4 and GATA5 is frequent in CRC, and this epigenetic signature in fecal samples might serve as a noninvasive biomarker for detecting CRC.¹³⁹ In this study, examination of GATA4/5 methylation in fecal DNA from 2 independent series of patients with CRC yielded a sensitivity of 71% and specificity of 84% for detection of CRC in the training set and a sensitivity of 51% and specificity of 93% in the validation set.¹³⁹ The same group also reported that hypermethylation of the *NDRG4* (N-Myc downstreamregulated gene 4) promoter in fecal DNA of patients with CRC yielded a sensitivity of 53% to 60% and a specificity of 93% to 100% in different patient cohorts.¹⁴⁰ Another study analyzed the methylation status of *BMP3*, *NDRG4*, *TFIP2*, and *vimentin* genes in fecal DNA and reported that this test successfully identified 85% of patients with CRC and 54%

of patients with large adenomas (1 cm in size) with 90% specificity, further highlighting the potential usefulness of fecal DNA–based tests for CRC screening.141 There is also evidence to suggest that CIMP status^{28, 33} and LINE-1 hypomethylation^{142, 143} might be useful prognostic or predictive molecular markers in CRC. It is very likely that the availability of more sophisticated sequencing technologies will permit the identification of additional methylated genes that are better prognostic and predictive biomarkers for CRC.

One of the most daunting aspects in molecular diagnostics of cancer is genetic heterogeneity. miRNAs are potentially useful in that there are only ~2000 of them, microarray platforms permit a comprehensive analysis of the miRNome, and there are expression patterns that might be useful diagnostically. Moreover, miRNAs are relatively stable and can be measured in body fluids¹⁴⁴ and even feces.¹⁴⁵ Accumulating evidence supports the existence of unique miRNA signatures that can facilitate earlier detection of colorectal adenomas and cancers. As listed in Table 2, expression levels of miR-92, miR-17, $miR-29a$, and $miR-221$ in plasma^{146–148} and the expression levels of several other miRNAs including $miR-21$, $miR-106a$, $miR-135$, and $miR-144$ in stool^{145, 149, 150} have diagnostic potential for patients with colorectal adenomas and cancers. Likewise, a growing list of miRNAs have either prognostic value (*miR-21*, *miR-155*, *miR-320*, *miR-143*)^{88, 90, 151, 152} or the ability to predict disease recurrence and therapeutic responses (miR-21, miR-181b, $miR-137$, Let- 7^{90} , 153, 154 to chemotherapeutic regimens in patients with different stages of CRC. Some of these miRNA biomarkers may open new avenues for earlier diagnosis and personalization of therapies in patients with CRC.

Epigenetic Therapy

Unlike genetic changes, which are essentially fixed forever, epigenetic changes are intrinsically reversible, and altered gene expression can be turned on and off by the cell. This makes them attractive candidates for therapeutic intervention. Furthermore, there is growing evidence supporting the hypothesis that epigenetic alterations may be a driving force of drug resistance in human cancer, 155, 156 a phenomenon that has been reported in many solid tumors, including CRC cells.³⁰ Consequently, 2 classes of chemical compounds that include DNMT and HDAC inhibitors have undergone major preclinical investigations and are currently being explored for efficacy in the treatment of various human cancers in several clinical trials. For instance, the DNA demethylating drugs 5-azacitidine and 5 aza-2′deoxycitidine (decitabine) are already in use clinically for various human malignancies, including myelodysplastic syndrome.¹⁵⁷ These drugs act through their ability to be incorporated into DNA and act by preventing the resolution of a covalent reaction intermediate that traps and inactivates DNMT in the form of a covalent protein- DNA adduct, resulting in the rapid depletion of DNMT and concomitant demethylation with continued DNA replication.158 These drugs have potent activities in vitro and some responses are achieved clinically, but the demethylation activity is nonspecific and the toxicities are considerable.¹⁵⁹

Seven classes of HDAC inhibitors have been developed thus far. Inhibition of these enzymes leads to the acetylation of histones, which is followed by a series of cellular processes that impact cell growth and promote tumorigenesis. The drug vorinostat has also been used clinically, but the HDAC family is large, and their therapeutic roles in cancer remain incompletely understood.160 There is evidence from in vitro and in vivo studies that vorinostat can down-regulate thymidylate synthase expression at the transcription level, which results in synergistic antitumor activity when combined with 5-FU in CRC cells.161, 162 Given the close collaboration between DNA methylation and histone modifications to inhibit transcription of tumor suppressor genes, another strategy is to combine DNMT and HDAC inhibitors, which may have a more synergistic effect in

demethylating epigenetically silenced genes.¹⁶³ Combination treatment with 5-azacitidine and valproic acid in a phase 1 clinical trial of patients with refractory solid tumors (including CRC) resulted in a significant decrease in global DNA methylation and induced histone deacetylation with stable disease lasting up to 12 months in a subset of patients.¹⁶⁴

Although this is currently an emerging field, given the ubiquity of hypermethylation in a subset of CRCs, the opportunity to discover the appropriate use of these agents in this disease is apparent. The challenge will be to find epigenetic modifiers that are a proper "fit" with solid tumors, demethylate or deacetylate just the right loci, and improve the response to therapies. We have previously shown in vitro that the simultaneous use of a demethylating agent together with 5-FU in the CIMP CRC cell line SW48 overcomes the resistance to cell death.30 Because CRCs with CIMP have a poorer prognosis and diminished response to adjuvant chemotherapy, trials of epigenetic modifiers seem to be warranted. Also, the presence of the field effect of CIMP in the aging colon raises the possibility that these agents may be useful as chemopreventive agents. Also, there are several naturally occurring "botanical" agents such as curcumin and boswellic acid that are likely to be safe (because they have been used for centuries as food spices), and these may be useful as cancerpreventing agents or as adjuvants to conventional chemotherapy. 165–167 Clinical trials are currently exploring the safety and efficacy of various epigenetic drugs individually and in combination with chemotherapeutic drugs, which will reveal their true clinical potential. We speculate that epigenetic therapies in a variety of settings are about to enter into everyone's armamentarium.

Conclusions

The study of epigenetic changes has greatly extended our understanding of the pathogenesis and pathophysiology of CRC and opens new horizons for diagnosis of asymptomatic tumors, characterization of various forms of CRC, and prediction of outcome and response to chemotherapy; it may also permit the development of novel preventive therapies or adjunctive therapeutic approaches. This promises to be an active area for investigation in the foreseeable future.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Abbreviations used in this paper

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Figure 1.

DNA methylation patterns in the normal colon and colorectal neoplasia. (A) The process of DNA hypermethylation-induced transcriptional silencing of tumor suppressor genes in colorectal neoplasia vis-à-vis normal colonic cells. In this instance, altered DNA methylation occurs at CpG dinucleotides either in the context of CpG-rich "promoter CpG islands" or at the abundant but sparsely distributed CpG sites throughout the "gene body." In normal cells, CpG sites within the 5['] promoter CpG islands upstream of the transcription start site of a tumor suppressor gene are generally unmethylated (*blue circles*), whereas the dinucleotide repeats within the gene body are frequently methylated (*black circles*). This configuration permits easy and uninterrupted access by transcription factors (eg, TF-1, TF-2,

Goel and Boland Page 25

TF-3) and RNA polymerase II (RNA pol II) to bind to the gene promoter and facilitate active gene expression. In contrast, in colorectal neoplasia, DNMT in association with HDAC and methyl binding proteins (MBP) catalyze the transfer of methyl groups to cytosines in the promoter CpG sites, resulting in the hypermethylation-induced transcriptional silencing of the associated tumor suppressor gene, which reflects CIMP. Concurrent with hypermethylation of gene promoters, CpG sites within the gene body experience DNA hypomethylation, which causes loss of imprinting of genes, activation of endoparasitic sequences, and chromosomal instability in CRC cells. (B) DNA hypomethylation may also occur at repetitive DNA sequences and within the promoter regions of miRNAs in colorectal neoplasia compared with normal colonic cells (see text). Evolutionarily conserved proto-oncogenes (indicated as "Gene" in the figure) and oncogenic miRNAs (indicated as "miRNA" in the figure) are not expressed in healthy normal colonic cells. Expression of these oncogenes and miRNAs is inhibited by hypermethylation of the promoter CpG sites that occurs within LINE-1 and Alu repeat elements. However, in colorectal neoplasia, LINE-1 and Alu sequences become hypomethylated, which permits the potential activation of previously silenced proto-oncogenes and oncogenic miRNAs as well as chromosomal instability.

Figure 2.

(A) Histone modification patterns in colorectal neoplasia. The 4 histone proteins (H2A, H2B, H3, and H4), each with 2 copies, associate in cylindrical structures that constitute the histone core, and each nucleosome is a chromatin unit composed of 150 to 200 base pairs of DNA tightly wrapped around the octameric histone core. Covalent modifications of each histone tail, including acetylation, methylation, phosphorylation, and ubiquitination, are also shown on the right. The amino acid sequences of the N-terminal tails and the key selected sites of modification of these 4 histones are shown. (B) In normal colon, histones are arranged in the open, euchromatin configuration, which represents transcriptionally active chromatin (upper panel). Histone tails may undergo multiple modifications, which include

Goel and Boland Page 27

histone acetylation (*green triangles*) and lysine methylation (*green squares*). Gene promoters in euchromatin allow easy access for transcription factor (TF) binding, permitting active gene expression. In CRC cells, chromatin configuration is converted to the more compacted heterochromatin configuration, which is transcriptionally inactive and results in the hypermethylation-induced silencing of genes. This process is facilitated by the multimeric polycomb repressive protein complexes, PRC2 and PRC1, which sequentially bind to histones and initiate histone methylation (initiated by PRC2 complex containing EZH2 and SUZ12) followed by maintenance methylation and monoubiquitination (performed by PRC1 complex with BIM1 and RING1 proteins). This is followed by the recruitment of DNMTs, HDACs, and methyl binding proteins (MBPs) to complete the PRC-mediated transcriptional silencing of genes.

Goel and Boland Page 28

Figure 3.

miRNA genesis in normal colon and their role as oncogenic and tumor suppressive genes in colorectal neoplasia. (A) In normal cells, primary miRNA transcripts are processed into precursor miRNAs by an enzymatic complex that includes the nuclear ribonuclease III enzyme Drosha. The resulting precursor miRNA is transported to the cytoplasm by exportin, where it is processed into \sim 22-nucleotide duplexes by the ribonuclease III enzyme Dicer. The strand corresponding to the mature miRNA is subsequently loaded onto the RNAinduced silencing complex (RISC). Mature miRNAs bind to the 3′ untranslated regions of target mRNAs and can suppress their expression through translational inhibition, degradation of the target miRNA, or both. (B) In cancer cells, miRNAs can act as oncogenes (or oncomiRs) and can be overexpressed during different stages of cancer development. Overexpression of specific oncomiRs in colorectal adenomas and cancers (eg, $miR-21$, -31 , -17 , -92) results in targeting and suppression of their target tumor suppressor genes (eg, APC, p53, PTEN). (C) Likewise, miRNAs may act as tumor suppressors (or tsmiRs), in which these miRNAs are down-regulated in CRC cells (eg, $miR-143$, -145 , $let-7$) and are unable to block the expression of their target oncogenes completely (eg, c-Myc, KRAS, $BRAF$). The overall consequence of oncomiR and tsmiR regulation in cancer cells might

Goel and Boland Page 29

involve increased proliferation, increased invasiveness or angiogenesis, and decreased apoptosis, all of which facilitate the development of colorectal neoplasia.

Figure 4.

Involvement of miRNAs in multistep colorectal carcinogenesis. Various miRNAs have been identified that are dysregulated in colorectal neoplasia (in blue), along with validated gene targets (in green) in the multistep Vogelgram. Although the number of newly discovered miRNAs and gene targets is continuously growing, this figure provides evidence that miRNAs can regulate any of the major pathways in colorectal neoplasia, including their ability to regulate β-catenin/WNT signaling, MAPK and p53 signaling, proliferation, apoptosis, cell cycle control, migration, and invasion.

Table 1

MicroRNAs and Their Target Genes in the Pathogenesis of CRC

 A^a A genetic target that has been validated for the specific miRNA.

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Table 2

DNA Methylation and miRNA-Based Biomarkers for Diagnosis, Prognosis, and Predictive Response to Chemotherapy in CRC DNA Methylation and miRNA-Based Biomarkers for Diagnosis, Prognosis, and Predictive Response to Chemotherapy in CRC

MSP, methylation-specific polymerase chain reaction; MS-AP-PCR, methylation-specific arbitrarily primer polymerase chain reaction; qPCR, quantitative polymerase chain reaction; QuARTS, quantitative

MSP, methylation-specific polymerase chain reaction; MS-AP-PCR, methylation-specific arbitrarily primer polymerase chain reaction; qPCR, quantitative polymerase chain reaction; QuARTS, quantitative
allele-specific real-tim

allele-specific real-time target and signal amplification; OS, overall survival; qRT-PCR, quantitative reverse transcriptase polymerase chain reaction; DFS, disease-free survival.