

Microevolutionary Events Involving Narrow Host Plasmids Influences Local Fixation of Vancomycin-Resistance in *Enterococcus* Populations

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Abstract

Vancomycin-resistance in enterococci (VRE) is associated with isolates within ST18, ST17, ST78 *Enterococcus faecium* (Efm) and ST6 *Enterococcus faecalis* (Efs) human adapted lineages. Despite of its global spread, vancomycin resistance rates in enterococcal populations greatly vary temporally and geographically. Portugal is one of the European countries where Tn1546 (*vanA*) is consistently found in a variety of environments. A comprehensive multi-hierarchical analysis of VRE isolates (75 Efm and 29 Efs) from Portuguese hospitals and aquatic surroundings (1996–2008) was performed to clarify the local dynamics of VRE. Clonal relatedness was established by PFGE and MLST while plasmid characterization comprised the analysis of known relaxases, rep initiator proteins and toxin-antitoxin systems (TA) by PCR-based typing schemes, RFLP comparison, hybridization and sequencing. Tn1546 variants were characterized by PCR overlapping/sequencing. Intra- and inter-hospital dissemination of Efm ST18, ST132 and ST280 and Efs ST6 clones, carrying rolling-circle (pEFNP1/pRI1) and theta-replicating (pCIZ2-like, Inc18, pHT β -like, two pRUM-variants, pLG1-like, and pheromone-responsive) plasmids was documented. Tn1546 variants, mostly containing *ISEf1* or *IS1216*, were located on plasmids (30–150 kb) with a high degree of mosaicism and heterogeneous RFLP patterns that seem to have resulted from the interplay between broad host Inc18 plasmids (pIP501, pRE25, pEF1), and narrow host RepA_N plasmids (pRUM, pAD1-like). TAs of Inc18 (ω - ϵ - ζ) and pRUM (Axe-Txe) plasmids were infrequently detected. Some plasmid chimeras were persistently recovered over years from different clonal lineages. This work represents the first multi-hierarchical analysis of VRE, revealing a frequent recombinatorial diversification of a limited number of interacting clonal backgrounds, plasmids and transposons at local scale. These interactions provide a continuous process of parapatric clonalization driving a full exploration of the local adaptive landscape, which might assure long-term maintenance of resistant clones and eventually fixation of Tn1546 in particular geographic areas.

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Introduction

Since its first description in the late 80's, vancomycin-resistant enterococci (VRE) have been increasingly reported worldwide, but presenting remarkable geographical and temporal differences in local rates (<http://www.cddep.org/ResistanceMap/bug-drug/EFa-VC>) [1–3]. Vancomycin-resistant *Enterococcus faecium* (VREfm) became endemic in most North American hospitals since the mid 90's [1,2,4–6] while their overall occurrence in Europe remained low until recently, when VRE nosocomial outbreaks started to be increasingly reported in some European countries (Annual Report of the European Antimicrobial Resistance Surveillance Network, EARS-Net, 2009) [1,3,7,8]. Despite *E. faecium* (Efm) being less

frequently found than *Enterococcus faecalis* (Efs) in clinical isolates, it is far more frequently resistant to vancomycin, one of the last-line intravenous antibiotic resources for therapy. However, although the rate of vancomycin-resistant *E. faecalis* (VREfs) has remained low, they are steadily increasing in both the US and in EU countries (<http://www.cddep.org/ResistanceMap/bug-drug/EFa-VC>) [3].

Vancomycin resistance among enterococci is mostly due to the spread of Tn1546 (*vanA* genotype) and Tn1549 (*vanB* genotype), which are generally identified on plasmids and chromosome, respectively [3]. The few studies in which plasmids carrying Tn1546 from human or animal isolates were characterized

revealed they belong to plasmid families RepA_N (pheromone-responsive plasmids and derivatives of pRUM and pLG1), Inc18 and pHT β [9–18] suggesting an apparent plasmid promiscuity of this transposon influencing its dissemination among enterococcal populations.

Recent analysis of enterococcal populations in the clinical setting depicts a rugged epidemiological profile, with successive waves of isolates causing infections, which belong to specific lineages of *E. faecium* (ST17, ST18 and ST78, previously considered within the same clonal complex (CC) 17), and *E. faecalis* (ST6, ST40) [19–21]. However, regional differences in the rates of VRE cannot be only explained by clonal replacement dynamics as suggested for other pathogens [22–24].

The aim of this study was to address the dynamics of vancomycin resistance among enterococci in Portugal, one of the developed countries with higher rates of both VREfm (21–23%) and VREfs (1.8–4.1%) (www.cddep.org/ResistanceMap/bug-drug/EFe-VC), and where VanA is prevalent over VanB [3,25–27], by analyzing the clonal and plasmid backgrounds influencing the spread and persistence of Tn1546. Our study suggests that clonalization, the local selection of distinct clonal variants giving rise to durable bacterial lineages, might result and be modified by the local spread and recombinatorial dynamics of mobile genetic elements, thus providing new clues about the local multi-hierarchical evolutionary biology of vancomycin resistance.

Results

Local dynamic landscape drives the spread and fixation of vancomycin resistance in Portuguese hospitals

We have determined that the enterococcal population from the Portuguese hospitals is formed by an ensemble of MLST/PFGE clones. Efm isolates fit in three out of six phylogenomic groups recently established by using Bayesian Analysis of Population Structure (BAPS), namely BAPS groups 2, 3 and 5 [19] (Figure 1). Most of the isolates cluster into the predominant BAPS group 3 [subgroup 3–3 comprising main human lineages ST18 (ST18 and ST132) and ST17 (ST16); and subgroup 3–1 comprising ST280], and the BAPS group 2 (including ST80 and ST656/ST78 lineage, ST5/CC5, ST190/CC9), which have been previously associated with isolates from humans and both animals and humans, respectively [10,19,25,28–30]. A number of clones cluster in the small Efm BAPS group 5 (ST366, ST367, ST369), which seems to comprise mosaic genomes [19]. Isolates of Efs belong to ST6/CC2, ST30, ST55, ST117, and ST159 lineages although, to the date of this publication, Efs population has not been clustered in different BAPS groups. Among all them, isolates within ST18 Efm and ST6 Efs lineages were predominant, in line with the intra- and interhospital spread of particular highly transmissible Efm and Efs clones recovered in Portuguese hospitals since the late 90s [22,25,31,32]. While ST6 Efs was widely disseminated in all hospitals analyzed in this country [26], specific Efm lineages were overrepresented in Coimbra (ST18) and Oporto (ST132, a single locus variant, SLV, of ST18). Strains belonging to ST18 (showing PFGE types H70 and H78), ST132 (PFGE type H88) and ST280 (with PFGE types 71 and H100) were spread in different hospitals (Figure 1 and Figure 2).

It is worthwhile to note the possible relatedness between isolates of different STs (Figure 1 and 2). They include some isolates linked to BAPS 3–3 subgroup as ST18, ST80, ST125, ST132, ST368, ST369, all SLVs of each other, with PFGE patterns differing in less than 8 bands difference. Similarly, strains identified as ST280 and ST391, both linked to BAPS group 3–1, showed related

PFGE patterns despite being trilocus variants (≤ 8 bands difference).

vanA-Tn1546 is located on highly transferable mosaic plasmids involving narrow host pRUM and pAD1 derivatives

The plasmid content of the isolates studied appears in Figure 2. Efm isolates carried a variable number of plasmids ($n = 1–6$) which contained specific sequences of different families including rolling-circle plasmids (RCR) related to pRI1 and small theta plasmids related to pCIZ2, RepA_N (pRUM-like, pLG1), pHT β (present in all ST132 isolates), and Inc18 (pRE25 and pEF1-related). All Efs contained RCR plasmids and pheromone responsive-plasmids.

vanA-Tn1546 was located on plasmids ranging from 30 to 150 kb, successfully transferred by conjugation in 95% ($n = 71/75$) of Efm and 97% ($n = 28/29$) of Efs, with a variable frequency ($10^{-1}–10^{-8}$). Transferable plasmids were identified as members of pRUM and Inc18 families or were mosaic plasmids of pRUM, Inc18 and pheromone plasmids (see sections below). Although some of these mosaic plasmids were detected in both Efm and Efs hosts, species-specific plasmid variants were predominant.

We have classified the enterococcal plasmids according to the content in rep/rel/TA systems, and RFLP profiles (Table 1, Figure 2). For the better interpretation of the results, we should keep in mind that members of the most common plasmid families classified in this and other studies as Inc18-like (pRE25, pIP501, pVEF1, pVEF2, pVEF3, pIP816, pEF1, pWZ909) or pRUM-like (pRUM, p5753cB, pS177) exhibit a high degree of *modular dissociability* or propensity for independent variation and shuffling, and may contain multiple replicons or be devoid of conjugation systems, thus making it very difficult to establish an accurate classification and to trace the origin of certain elements [9,33–40]. See Clewell *et al.* for a comprehensive updated revision of enterococcal plasmids [9]. In the following sections we will describe vancomycin resistant plasmids of Efm and Efs.

vanA plasmids of *E. faecium*

They were classified in two broad groups according to the plasmid replication modules and the background epidemiological context, i) pRUM-like variants ($\text{Rep}_{17.2/\text{pRUM-like}} + \text{Rel}_{6/\text{pEF1}} \pm \text{Rep}_{1/\text{pIP501}} \pm \text{Rep}_{2/\text{pRE25/pEF1/TA}_{\text{Inc18}}}$), ii) mosaics of Inc18-pRUM-like ($\text{Rep}_{2/\text{pRE25/pEF1}} \pm \text{Rep}_{17.2/\text{pRUM/TA}_{\text{Axe-Txc}}}$). Highly transmissible pAD1-Inc18 mosaic plasmids from major Efs clones were also identified among Efm but they will be described in the next section.

- i) *pRUM derivatives* ($\text{rep}_{17.2/\text{pRUM-like}} + \text{rel}_{6/\text{pEF1}}$) of variable size (30–120 kb) were detected since the mid 90 s from a diversity of clonal backgrounds. pRUM plasmids showing different *ClaI*-digested DNA RFLP patterns were identified carrying a whole copy of Tn1546 (RFLP_1, RFLP_2, RFLP_20, 30–80 kb), *IS1216::Tn1546* (RFLP_8–12, 40–120 kb) or *ISEf1::Tn1546* (RFLP_3–7, RFLP_13, 50–95 kb). Despite the heterogeneity of plasmid profiles, RFLP_3–6 or RFLP_8–10 shared a variable number of common bands that suggest a relationship among them (see Table 1 and Figure 3 for details about relationships among plasmids). pRUM-like plasmids exhibiting distinct RFLP profiles and carrying different transposon variants were isolated in early and recent isolates of different clonal backgrounds (Figure 2). They include ST190, carrying a 60 kb plasmid RFLP_1 type; ST670 carrying a 85 kb exhibiting a RFLP_4 plasmid type; ST656 carrying a 30 kb plasmid designated as RFLP_20, and ST18, ST132, ST280, carrying different

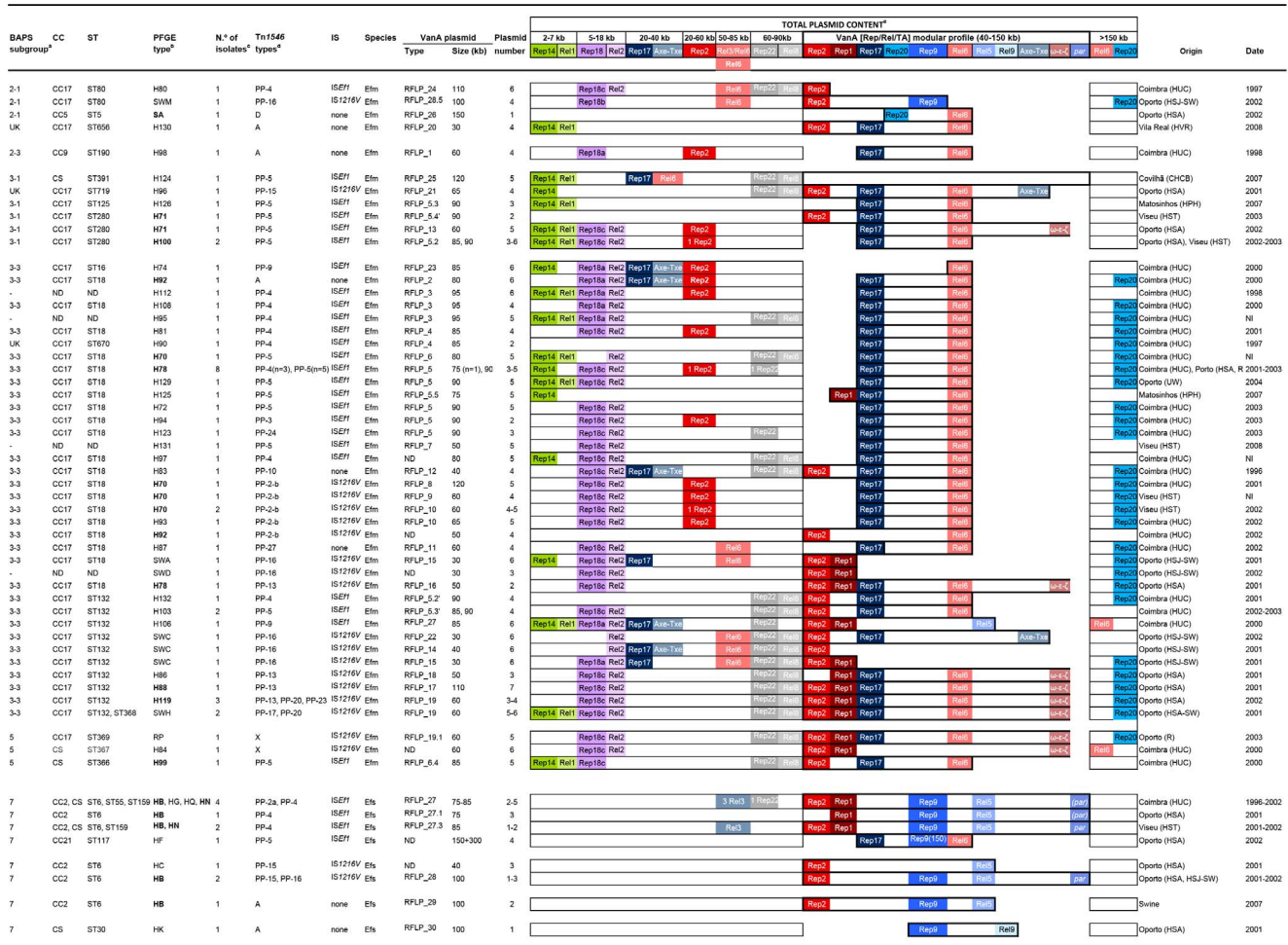


Figure 2. Clonal and plasmid diversity among VREfm and VREFs from Portugal. Abbreviations: IS, insertion sequence; Efm, *Enterococcus faecium*; Efs, *Enterococcus faecalis*; kb, kilobases; BAPS, Bayesian Analysis of Population Structure; ST, sequence type; CC, clonal complex; rep (replicases); rel (relaxases); TA (toxin-antitoxin system); HUC, Hospital Universitário de Coimbra; HSA, Hospital Santo António; HSJ, Hospital São João; HST, Hospital São Teotónio; HPH, Hospital Pedro Hispano; CHCB, Centro Hospitalar da Cova da Beira; HVR, Hospital S. Pedro; SW, sewage wastewaters; UW, urban wastewaters; R, river; ND, not determined; UK, unknown. ^aThe distribution of the different isolates by BAPS subgroups as described [19]. ^bPFGE types shown in bold represented widespread clones in Portuguese hospitals and/or aquatic surroundings over years. ^cMost Efm isolates expressed resistance to vancomycin, teicoplanin, erythromycin, ampicillin, ciprofloxacin (92–100%) and to a lesser extent to high levels of kanamycin (65%), gentamicin (41%), streptomycin and tetracycline (28% each). While *acm* was identified in different CC17 and non-CC17 lineages (76%), *esp* was detected in CC17 isolates (35%, ST132 and its SLVs ST368, ST369) and *hyl* was sporadically found (9%, ST18, ST125, ST132, SLVs of each other, and ST280 isolates) [25]. Efs isolates (mostly ST6) showed resistance to vancomycin, teicoplanin, erythromycin, ciprofloxacin, high levels of gentamicin and kanamycin (82–100%), tetracycline and chloramphenicol (65% each) and high levels of streptomycin (46%), and mostly contained *gelE* and *agg* (>90%), *cyl* (82%) and *esp* (46%) [26]. ^dTn1546 designation is based on the results obtained by a PCR assay described by Woodford *et al.* consisting on the amplification of overlapped fragments covering the whole Tn1546 [68]. Fragments of unexpected length were further analysed by sequencing (this study) [27]. ^eThe total rep/rel/TA content of isolates is represented according to its location on plasmids of different size ranges. Rep (normal cells), rel (cells with dots) and TA (cells with diagonal stripes) genes belonging to the same plasmid are represented with the same color and that belonging to the same plasmid family with the same range of colors. The content of VanA plasmids including rep, rel, and TA genes is indicated according to the plasmid type in which they were identified, as well as by the numeric nomenclature used by Jensen *et al.* [72] for replicases (rep₁, rep₂, rep₉, rep₁₄, rep₁₇, rep_{18a}), given new and consistent designations to replicases not described in reference 72 (rep_{18b}, rep_{18c}, rep₂₀, rep₂₂). Relaxases were designated per numerical order as designed by M. V. Francia (unpublished data). Rolling-circle plasmids are represented in green (rep_{14/pRI1}-like, rel_{1/pRI1}), small-theta replicating plasmids in violet (rep_{18a/pEF418}, rep_{18b/pB82}, rep_{18c/pCIZ2}, rel_{2/pCIZ2}), Inc18-like plasmids in different red tones (rel_{1/pIP501}, rep_{2/pRE25/pEF1}, rel_{6/pEF1}, TA_{Inc18- ω - ϵ - ζ}), RepA_N plasmids in different blue tones, pRUM in dark blue (rep_{17/pRUM}, rel_{3/pRUM}, TA_{pRUM-Axe-Txe}), pLG1 in turquoise (rep_{20/pLG1}), pheromone-responsive plasmids in light blue (rel_{5/pAD1}, rel_{5/pAD1}, rel_{9/pCF10}, par_{pAD1}), and pHT β /pMG1 plasmids in grey (rep_{22/pHT β} , rel_{8/pHT β}). Rep families are named Rep_n where ‘n’ indicates the number assigned to different rep-families according to Jensen *et al.* [72]. The name of the most representative plasmid of the family is also represented for a better follow-up of the results (e.g. rep_{17/pRUM}, rep₁₇ from pRUM and related plasmids p5753cB and p5177; rep_{1/pIP501} rep₁ linked to Inc18 plasmids as pIP501, pIP816 and pRE25; rep_{9/pAD1}, rep₉ linked to pCF10, pAD1, pTEF1, pTEF2, pBEE99, pMG2200; rep_{14/pRI1}-like rep₁₄ associated with RCR plasmids pEFNP1, pJS42 and/or pRI1; rep_{18a/pEF418}, rep₁₈ from pEF418; and rep_{22/pHT β} , rep of both pHT β and pMG1 plasmids). We further specified the name of different plasmids associated with a given group if necessary. For example, it results helpful for Inc18 family given the number of plasmids containing the same rep gene. These plasmids are increasingly identified among isolates of different origins (e.g. rep_{2/pRE25/pEF1} for designing rep₂, as rep and rel modules of pEF1, a plasmid originally identified in olives [35], seems to be widely present in all Efm clinical isolates). Sequencing identified the different variants within these families (see text). Rep_{18b}, rep_{18c} and rep₂₀ were not included in Jensen’s scheme [72] and the numbers were assigned in this paper following that numeration (rep_{18b/pB82}, rep from pB82; rep_{18c/pCIZ2}, rep from pCIZ2; rep_{20/pLG1}, rep from pLG1). Rel genes were arbitrarily

designated with numbers corresponding to different plasmid types [9] (Francia *et al*, unpublished data): Rel₁, pJS42, pRI1; Rel₂, rel from p200B, pCIZ2 and/or pB82 plasmids; Rel₃, pRUM; Rel₅, rel from pAD1, pTEF1, pAM373 and the pathogenicity island of V583; Rel₆, pEF1; Rel₈, pHT β and pMG1; Rel₉, pCF10. Toxin-antitoxin systems included Axe-Txe from pRUM, ω - ϵ - ζ from Inc18 plasmids and *par* from pAD1. Genes hybridizing in the same band as *vanA* plasmids appear in bold rectangles.
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variants (PP-13, PP-17, PP-20, PP-23, PP-31 and X) which differed in the number of *IS1216* copies, the presence of insertions identified as short regions of Inc18-like plasmids or duplicated Tn1546 sequence fragments in different orientations, were identified among related plasmids showing the RFLP₁₉ pattern (Table 1, Figure 4). These results illustrate the possibility of efficient intraclonal and intraplasmid diversification of Tn1546::*IS1216* variants. Acquisition of a *vanA*-Inc18 (rep_{2/pRE25/pEF1} + rep_{1/pIP501+} ω - ϵ - ζ) plasmid carrying a Tn1546::*IS1216* “variant”, predominant among poultry from Europe [41] by Portuguese strains containing VanA-pRUM (rep_{17.2/pRUM-like}+rel_{6/pEF1}) plasmids cannot be excluded. Recombination between pRUM::Tn1546 and Inc18::Tn1546 would explain duplicated Tn1546 regions.

- iii) *Megaplasmsids*. Tn1546 type “D” was located on a megaplasmid carrying rep_{20/pLGI} and rel_{6/pEF1} from isolates of a CC5 Efm clone spreading among swine and humans of different continents. This transposon has been previously associated with isolates from swine which frequently exhibit the G8234T mutation. The variable size (150–190 kb) of *vanA* megaplasmsids linked to CC5 lineage has been previously reported [10].

E. faecalis *vanA* plasmids

The *vanA* Efs plasmids were Inc18-pheromone-responsive mosaics, further classified in four main types on the basis of their RFLP patterns (RFLP_{27–30}), rep-rel/TA content, and replicase sequences. These plasmids have been documented in different Portuguese hospitals since the mid 90 s [26].

Plasmids showing highly related patterns designated as RFLP₂₇ (carrying *ISEf1*-Tn1546) or RFLP₂₈ (carrying *IS1216*-Tn1546) were recovered from both Efs (ST6, ST55, ST159) and Efm (ST80, ST132). However, despite the similarity of their RFLP patterns, they differed in the rep/rel/TA content and transposon variant content (Table 1). Conversely, the finding of an ST117 Efs isolate from Oporto with two different *vanA* plasmids of 150 kb and 300 kb indicates acquisition and further recombination of widespread pRUM-*vanA* plasmids from Efm with narrow host pheromone responsive plasmids of Efs.

The observed differences in transposon variants and plasmid modules reflect frequent rearrangements during transfer of plasmids between Efs and/or Efm clonal backgrounds and also highlight the connectivity of these enterococcal populations resulting in the acquisition and generation of plasmids with enhanced host range.

Fixation of *vanA*-Tn1546 variants is associated with plasmid connectivity

Tn1546 backbones were classified in three main groups corresponding to Tn1546 with no insertion sequences (“type A” and “type D”) and variants containing *ISEf1* (5 types) or *IS1216* (11 types) at different locations of the Tn1546 backbone (Figure 4). Variants with a single copy of *ISEf1* within the *vanX-vanY* region at nt 9044 were located on early (1996–1997) Efm plasmids identified as Inc18 and pRUM lacking Axe-Txe, and also on early (1996) Efs Inc18-pAD1 mosaics. Some of them were isolated from strains for

more than one decade, which can be explained by their successful long-term recovered clonal and plasmid backgrounds.

Variants containing *IS1216* were mostly located on Inc18 plasmids or on mosaic plasmids Inc18-pRUM or Inc18-pAD1. Most variants contained the *IS1216* at 8839nt of the transposon (PP13, PP17, PP20, PP23, PP30) similarly to other Tn1546 variants previously described in Europe [42]. Some of them also harboured different insertions corresponding to unknown sequences (X, PP23) or RCR plasmid sequences (PP10) [43] suggesting frequent recombination between acquired genes/plasmids and housekeeping Efm and Efs plasmids (Figure 4). Tn1546 type D was specifically linked to megaplasmsids from CC5 Efm from swine of different continents (Figure 2, Figure 4).

The presence of early plasmids carrying Tn1546 belonging to different families suggests independent acquisitions of the transposon by pRUM and Inc18 plasmids, which would have been acquired by diverse Efm and Efs populations. Local fixation would be influenced by connectivity of plasmid and population backgrounds enabling further evolvability of transposon variants.

Discussion

This paper shows the local dynamics of Tn1546-*vanA* among *Enterococci* is shaped by horizontal genetic transfer of pRUM and Inc18 plasmids and by recombination-driven evolution of them within and between Efs and Efm clones. The clonal diversity reported in this study has also been observed in areas where the spread of VRE has been documented [44]. Recent retrospective analysis of enterococcal populations suggests that the temporal evolution of the population biology of *Enterococci* is driven by a succession of epidemic waves of enterococcal human specific lineages, Efm ST78 and Efs ST6 emerging in the last decade at global scale similarly to that reported for other pathogens [19,23,24]. In Portugal, the population structure of VRE analysed in this study comprises isolates of main human Efm lineages, ST18 (ST18, ST132) being much more abundant than ST17 (represented by a single isolate of early ST16 lineage) [31], or ST78 (represented by sporadic ST80 and ST656, the first one linked to early VRE outbreaks) [25,29]. It is worthwhile highlighting the recent detection of isolates of another Efm lineage in hospitals of the Oporto area (<http://www.mlst.net>) as ST117 Efm (ST78 lineage), which would reflect the increasing trend of isolates belonging to the ST78 lineage at international level. However, regional differences in the rates of VRE cannot be fully explained by clonal replacement dynamics since similar enterococcal clones appear widely distributed in areas with high and low rates of VRE (Tedim AP *et al.*, unpublished data). Instead, local conditions, including type and density of hosts, antibiotic usage, and transmission facilities, may influence regional differences in the proportions of VRE, as suggested by mathematical modelling studies on local trends of antibiotic resistance [45,46]. Clones can locally evolve by variation, drift and short-distance migration, leading to changes in colonization ability, pathogenicity or even host range, the fittest clonal variants being able to facilitate the spread of antibiotic resistance [23,47–50]. The observed clonal heterogeneity of the predominant ST18 lineage which comprises particular ST18 and ST132 strains widespread in different cities, highlights the role of certain efficiently transmissible clones in the

Table 1. Plasmids identified in this study.

RFLP type	VanA modular profile	Size	No. isolates	Tn1546	PFGE type	City	Year
RFLP_1	Rep _{17.2} ::Rel ₆	60	1	A	ST190_H98	Coimbra	1998
RFLP_2	Rep _{17.2} ::Rel ₆	80	1	A	ST18_H92	Coimbra	2000
RFLP_8 ^c	Rep _{17.2} ::Rel ₆	120	1	PP2b	ST18_H70	Coimbra	2001
RFLP_9 ^c	Rep _{17.2} ::Rel ₆	60	1	PP2b	ST18_H70	Viseu	NI
RFLP_10 ^c	Rep _{17.2} ::Rel ₆	60	3	PP2b	ST18_H70, H93	Coimbra, Viseu	2002
RFLP_11	Rep _{17.2} ::Rel ₆	60	1	PP27	ST18_H87	Coimbra	2002
RFLP_3 ^b	Rep _{17.2} ::Rel ₆	95	3	PP4	ST18_H108	Coimbra	1998–2000-NI
RFLP_4 ^b	Rep _{17.2} ::Rel ₆	85	2	PP4	ST670_H90; ST18_H81	Coimbra	1997–2001
RFLP_7	Rep _{17.2} ::Rel ₆	50	1	PP5	NI	Viseu	2008
RFLP_6 ^b	Rep _{17.2} ::Rel ₆	80	1	PP5	ST18_H70	Coimbra	NI
RFLP_5 ^b	Rep _{17.2} ::Rel ₆	90	12	PP3, PP4, PP5, PP24	ST18_H78, H72, H94, H123, H129	Coimbra, Porto, Matosinhos	2001–2007
RFLP_5.2 ^b	Rep _{17.2} ::Rel ₆	90	2	PP5	ST280_H100	Porto, Viseu	2002–2003
RFLP_5.3 ^b	Rep _{17.2} ::Rel ₆	90	1	PP5	ST125_H126	Matosinhos	2007
RFLP_5.3 ^b	Rep _{17.2} ::Rep ₂ ::Rel ₆	85	2	PP5	ST132_H103	Coimbra	2002–2003
RFLP_5.2 ^b	Rep _{17.2} ::Rep ₂ ::Rel ₆	90	1	PP4	ST132_H132	Coimbra	2001
RFLP_5.4 ^b	Rep _{17.2} ::Rep ₂ ::Rel ₆	90	1	PP5	ST280_H71	Viseu	2003
RFLP_5.5 ^b	Rep _{17.2} ::Rep ₂ ::Rel ₆	75	1	PP5	ST18_H125	Matosinhos	2007
RFLP_6.4	Rep _{17.2} ::Rep ₂ ::Rel ₆	85	1	PP5	ST366_H99	Coimbra	2000
RFLP_20	Rep _{17.2} ::Rep ₂ ::Rel ₆	30	1	A	ST656_H130	Vila Real	2008
RFLP_12 ^a	Rep _{17.2} ::Rep ₂ ::Rel ₆	40	1	PP10	ST18_H83	Coimbra	1996
RFLP_13 ^b	Rep _{17.2} ::Rel ₆ ::TA _{Inc18} ^a	60	1	PP5	ST280_H71	Oporto	2002
RFLP_18	Rep _{17.2} ::Rep ₁ ::Rel ₆ ::TA _{Inc18}	50	1	PP13	ST132_H86	Oporto	2001
RFLP_16	Rep _{17.2} ::Rep ₁ ::Rep ₂ ::Rel ₆ ::TA _{Inc18}	50	1	PP13	ST18_H78	Oporto	2001
RFLP_17	Rep _{17.2} ::Rep ₁ ::Rep ₂ ::Rel ₆ ::TA _{Inc18}	110	1	PP13	ST132_H88	Oporto	2001
RFLP_19	Rep _{17.2} ::Rep ₁ ::Rep ₂ ::Rel ₆ ::TA _{Inc18}	60	3	PP13, PP20, PP23	ST132_H119	Oporto	2002
RFLP_19	Rep _{17.2} ::Rep ₁ ::Rep ₂ ::Rel ₆ ::TA _{Inc18}	60	2	PP17, PP20	ST368_SWH	Oporto	2001
RFLP_19.1	Rep _{17.2} ::Rep ₁ ::Rep ₂ ::Rel ₆ ::TA _{Inc18}	60	1	X	ST369_RP	Oporto	2003
RFLP_21	Rep _{17.2} ::Rep ₂ ::Rel ₆ ::TA _{pRUM}	65	1	PP15	ST719_H96	Oporto	2001
RFLP_22	Rep _{17.2} ::Rep ₂ ::Rel ₆ ::TA _{pRUM}	30	1	PP16	ST132_SWC	Oporto	2002
RFPL_27 ^d	Rep ₉ ::Rep ₂ ::Rep ₁ ::Rel ₅ ::TA _{pAD1}	75–85	4	PP2a, PP4	ST6_HB, ST55_HG, ST159_HN	Coimbra	1996–2002
RFPL_27.3 ^d	Rep ₉ ::Rep ₂ ::Rep ₁ ::Rel ₅ ::TA _{pAD1}	85	2	PP4	ST6_HB, ST159_HN	Viseu	2001–2002
RFPL_27.1 ^d	Rep ₉ ::Rep ₁ ::Rel ₅ ::TA _{pAD1}	75	1	PP4	ST6_HB	Oporto	2001
RFPL_27 ^d	Rep ₉ ::Rep ₂ ::Rep ₁ ::Rel ₅	85	1	PP9	ST132_H106	Coimbra	2000
RFPL_28.5	Rep ₉ ::Rep ₂	100	1	PP16	ST80_SWM	Oporto	2002
RFPL_28	Rep ₉ ::Rep ₂ ::Rel ₅ ::TA _{pAD1}	100	2	PP15, PP16	ST6_HB	Oporto	2001–2002
RFLP_29	Rep ₉ ::Rep ₂ ::Rel ₅	100	1	A	ST6_HB	Swine	2007
RFLP_30	Rep ₉ ::Rel ₉	100	1	A	ST30_HK	Oporto	2001
RFLP_24	Rep ₂	110	1	PP4	ST80_H80	Coimbra	1997
RFLP_14	Rep ₂	40	1	PP16	ST132_SWC	Oporto	2002
RFLP_15	Rep ₁ ::Rep ₂	30	2	PP16	ST18_SWA, ST132_SWC	Oporto	2001
RFLP_23	Rel ₆	85	1	PP9	ST16_H74	Coimbra	2000
RFLP_26	Rep ₂₀ ::Rel ₆	150	1	D	ST5_SA	Oporto	2002
RFLP_25	–	120	1	PP5	ST391_H124	Covilhã	2007

Abbreviations: RFLP, restriction fragment length polymorphism; ST, sequence type; NI, not identified.

^aPlasmid type RFLP_12 (Rep_{17.2}/pRUM-like + Rep₂/pRE25/pEF1 + Rel₆/pEF1) contains a partial sequence of the replication gene of the RCR plasmid pEFNP1 (GenBank accession number AB038522), suggesting the integration of this RCR plasmid on the mobile element carrying Tn1546 involving truncation of the rep₁₄/pRI1/pEFNP1.

^bPlasmid types RFLP_3, _4, _5, _6 and _13 (Rep_{17.2}/pRUM-like + Rel₆/pEF1 and eventually containing Rep₁/pIP501, Rep₂/pRE25/pEF1 or TA_{Inc18}) shared common bands and were identified in the same or different clonal backgrounds in different cities for extended periods of time.

^cPlasmids types RFLP_8, _9 and _10 also shared a variable number of common bands.

^dPlasmids showing patterns related to RFLP_27 (75–85 kb; rep₉/pAD1 + rel₅/pAD1 + rep₁/pIP501 + pAR_{pAD1} and/or rep₂/pRE25/pEF1) initially recovered from the widespread ST6-CC2 Efs clone in Coimbra in 1996 and other Efs (ST55 and ST159) and Efm clones contained similar ISEF1-Tn1546 variants (PP-2a, PP-4, PP-9). Other highly related mosaic Inc18-pAD1-related plasmids carrying IS1216-Tn1546 were recovered from ST6 VREfs and ST80 VREfm isolates (type^{II}Efm⁺ rep₉/pAD1 + rel₅/pAD1 + pAR_{pAD1} + rep₂/pRE25/pEF1 VERSUS type^IEfm⁺ rep₉/pAD1 + rep₂/pRE25/pEF1). doi:10.1371/journal.pone.0060589.t001

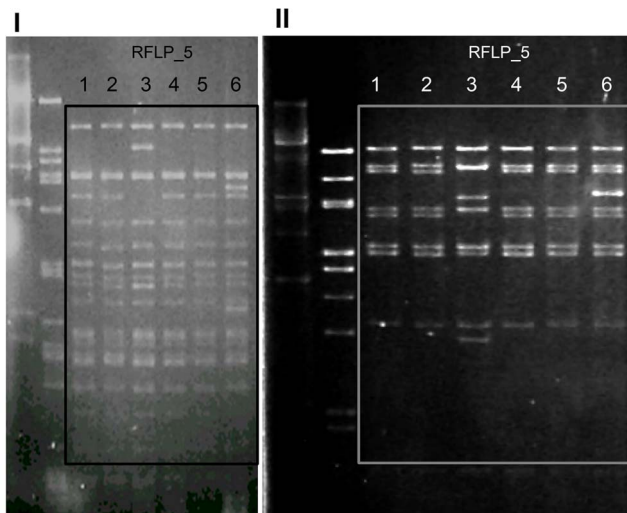


Figure 3. Restriction fragment length polymorphism patterns of plasmids showing RFLP_5 profiles after digestion with *ClaI* (I) and *EcoRI* (II) restriction enzymes (New England Biolabs Inc, UK). Lane 1, RFLP_5 (PFGE H78, ST18 Efm.); lane 2, RFLP_5 (PFGE H72, ST18 Efm); lane 3, RFLP 5.2 (PFGE H100, ST280 Efm); lane 4, RFLP 5 (PFGE H78, ST18 Efm); lane 5, RFLP_5 (PFGE H78, ST18 Efm); lane 6, RFLP_5.2' (PFGE H132, ST132 Efm). doi:10.1371/journal.pone.0060589.g003

dissemination of antibiotic resistance. Successful clones can eventually be able to disseminate at international level as strains of ST6 Efs or ST280 Efm within main Efm human lineages driving or contributing the spread of different traits as *Tn1546* or *Tn1549* [51]. One remarkable fact is the similarity among PFGE patterns of isolates with different STs. Given the high content of plasmids and transposons of the isolates studied, and the frequent rearrangements identified among Efm and/or Efs isolates [21], chromosomal transfer can not be discarded. Recent phylogenomic analysis based on the degree of admixture among a diversity of isolates studied suggests that recombination is restricted to isolates within specific BAPS groups [19]. Most plasmids coding for vancomycin resistance are found in similar clonal backgrounds. This observation suggests that recombination does occur within isolates of similar BAPS groups as recently described [19]. However, the observed mosaicism and enhanced host range of particular plasmid variants indicates the existence of an unexpectedly high degree of connectivity between phylogenetically distant enterococcal populations and/or in bacterial genetic exchange communities integrating enterococci.

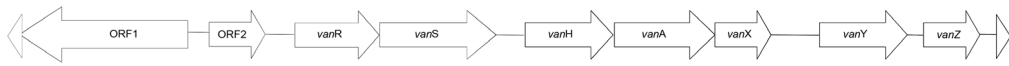
Broad host and narrow host plasmids carrying vancomycin resistance would have a high “*betweenness centrality*”, which is a pivotal index in network theory useful for measuring the load placed on the given node in the network as well as the node’s importance to the network than just connectivity [52]. A recent *in silico* network analysis of all plasmid sequences available at the GenBank databases confirms very high *betweenness* values for some Inc18 plasmids as pVEF3 (an Inc18 derivative highly spread among Efm from animals in Europe) [13,37], and also for a pheromone-responsive plasmid pTEF1 (a plasmid recovered from ST6_Efs strain V583, highly related to the ST6 described in this work) [53] (unpublished data). Other plasmids with a high degree of *modular dissociability*, would be pRUM-like elements, which may enhance their complexity resulting in new configurations with enhanced *betweenness*. It is tempting to suggest that plasmid variability has contributed to intra-clonal diversification both in

Efm and Efs, giving rise to a local wealth of clonal variants able to fully explore the local adaptive landscape. In fact, this and other studies demonstrate that selected variants of Inc18, pAD1, and pRUM plasmids can determine differences in the dynamics of VRE in different areas, further influencing the plasmid host range and the selection of specific clones within human adapted lineages. Examples of widespread plasmid variants of Inc18 or pRUM plasmids coding for vancomycin resistance have been reported recently. They included Inc18 widespread among Efm poultry isolates from Europe [13] or among Efs clinical isolates from the USA, the last one being able to transfer *Tn1546* to *S. aureus* [15]; and mosaics of pRUM variants containing Axe-Txe and Inc18 from humans in different continents (Freitas AR *et al.* unpublished data). The identification of chimeric pRUM-Inc18 plasmids containing rep/rel/TA of Inc18 sequences and *Tn1546* variants widely observed in poultry, hospitals and hospital sewage in the Oporto area reflects genetic exchanges between enterococci from different origins and highlights the need to enforce barriers to avoid the spread of multidrug resistance human pathogens to the environment and viceversa.

In this scenario, the genetic context of *Tn1546* seems to greatly influence the evolvability of the transposon and explains the high diversity of variants found in this and other studies [1,27,42,54]. The frequent presence of insertions in the backbone of *Tn1546* and the abundance of *IS1216* and *ISEf1* in enterococcal genomes [9,55] makes homoplastic evolution of *Tn1546* in different backgrounds possible. However, other IS (*IS1251*, *IS1542*, *IS1476*, *IS19* and *IS1485*) linked to different plasmid and clonal backgrounds [9,40] have been identified at different sites of *Tn1546*, thus suggesting that chance and selection are responsible to differences in variants collected in different areas. The widespread of Inc18 plasmids with a common origin in Europe [13,56] indicates local fixation of *Tn1546* influenced by a founder effect and further connectivity of plasmid and population backgrounds enabling further evolvability of transposon variants as reported in this study.

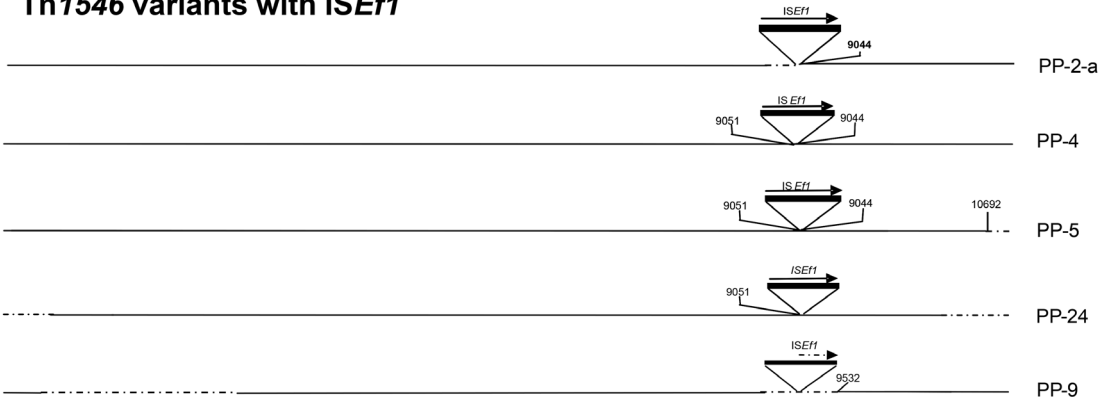
Our results suggest that VRE spread is facilitated by selected clones of different lineages through strong interactive processes of clonalization and plasmid diversification that might occur at local scales. Despite the maintenance of significant gene flow, a sympatric, or more probably, parapatric bacterial clonalization process (when diverging populations share a common or neighbouring environment), might contribute to the formation of temporary genetic mosaics and the preservation of ecologically important genomic traits [57]. Such micro-evolutionary process will result in an array of clonal complexes forming a population structure able to exploit the local spatio-temporal patch heterogeneities [58]. Note that exploitation of connected microenvironments should accelerate evolution of antibiotic resistance [59]. The expected result of such a successful population structure is the local persistence of antibiotic resistant clones, and eventually the local fixation [60] of vancomycin-resistance [46].

In summary, this study highlights the relevance of studying the local microecology of genes, elements, lineages and populations to decipher the robustness of the trans-hierarchical networks connecting these evolutionary elements in order to describe and predict the local evolvability of vancomycin-resistance [61]. Traditional surveillance studies are *one-off cross* sectional surveys focused on single traits as epidemic strains, genes or mobile genetic elements over limited periods of time which only gives *one shot* view that precludes addressing the long-term dynamics of antibiotic resistance. The more comprehensive approach described in this study is needed for understanding in depth the evolution of complexity in multihierarchical systems as those involved in the



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Tn1546 variants with ISEf1



Tn1546 variants with IS1216

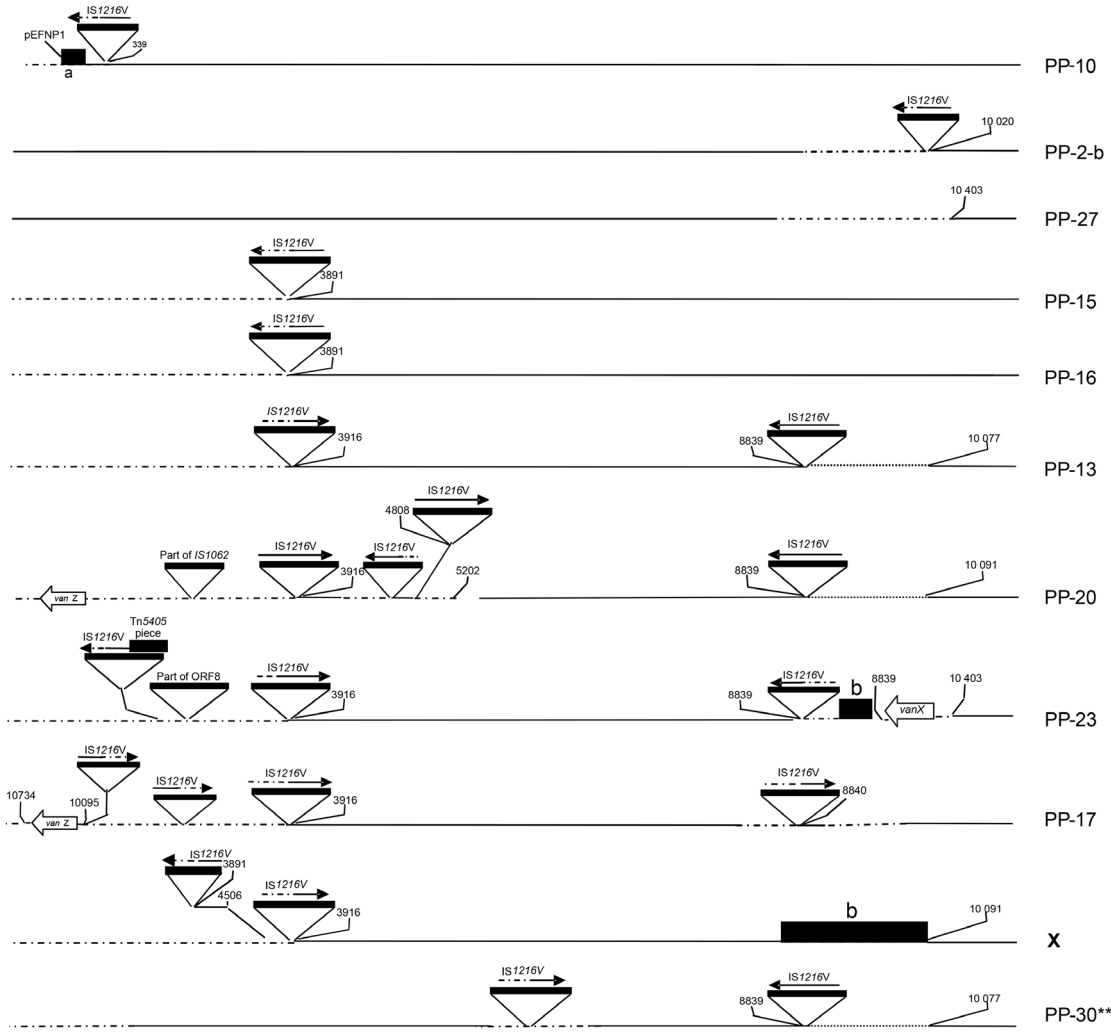


Figure 4. Genetic maps of Tn1546 variants. Tn1546 variants are represented as previously described by Novais *et al.* [27] although grouped differently and specific types have been further explored (PP10, PP30): Tn1546 prototype A corresponds to the original sequence described by Arthur *et al.* [77] and D corresponds to Tn1546 variants from animals. Tn1546 variants with ISEf1 within *vanX-vanY* intergenic region (PP2a, PP4, PP5, PP9, PP24) and Tn1546 variants with IS1216 insertions at different positions (PP10, PP2b, PP13, PP15, PP16, PP17, PP20, PP23, PP27, PP30, X) are represented. The positions of genes and open reading frames and the direction of transcription are depicted with open arrows. IS elements are represented by triangles; other sequences are designated by rectangles. DNA insertions are represented highlighting the first nucleotide upstream and downstream from the insertion sites whenever known. Deletions are indicated by dots and discontinuous lines indicate sequences that were not characterized. (¶) DNA sequence with homology to ORF3 (unknown protein product) and ORF1 (replication protein) of pEFNP1 plasmid (GenBank accession number AB038522). (♯) DNA sequence with no match to any sequence available in GenBank. (*) PP23 was identified in an isolate susceptible to teicoplanin; this variant contained an insertion in the *vanY* gene that would affect the transcription of *vanZ* and it might explain the susceptibility to this glycopeptide as previously reported [27]. (**) PP30 was identified in an ST78 isolate susceptible to both glycopeptides (MIC against vancomycin and teicoplanin of 4 mg/L) carrying *vanA-Tn1546*. This variant contained alterations within the *vanS-vanH* intergenic region (an IS1216 insertion), which is involved in the expression and regulation of the resistance to vancomycin, and it constitutes the first description of a *vanA* isolate phenotypically susceptible to vancomycin in Portugal. doi:10.1371/journal.pone.0060589.g004

spread of antibiotic resistance among the populations of bacterial human pathogens.

Materials and Methods

Bacterial strains and epidemiological background

One hundred four VRE clinical isolates carrying Tn1546 from different regions of Portugal, 75 VREfm and 29 VREfs, were analyzed in this study. They included: i) clinical isolates from hospitals of Coimbra (Hospital Universitário de Coimbra, HUC), Oporto (Hospital Santo António, HSA), Viseu (Hospital de São Teotónio, HST); Matosinhos (Hospital Pedro Hispano, HPH), Vila Real (Hospital S. Pedro, HVR) and Covilhã (Centro Hospitalar da Cova da Beira, CHCB) located in Northern and Central Portugal (62 Efm and 26 Efs; 1996–2008); ii) isolates from waste waters of hospitals (HSA and Hospital de São João, HSJ) (10 Efm and 3 Efs), and iii) isolates from the estuary of the River Douro (3 Efm) recovered in the Oporto area during 2001–2003. Part of the isolates analyzed in this work corresponds to strains from previous surveillance studies [25–27,62]; this paper constitutes the first description of isolates obtained during 2007 and 2008. Contemporary Portuguese VRE isolates of animal origin were used for comparative analysis of lateral transfer events [10].

Susceptibility against 15 antibiotics was determined by the agar dilution method following CLSI standard guidelines. Clonal relatedness was established by pulsed-field gel electrophoresis (PFGE), banding patterns were interpreted according to criteria previously suggested for long-term studies, and multilocus sequence typing (MLST) as described elsewhere (<http://efaecium.mlst.net>) [25,63–65].

The presence of putative virulence traits [collagen-binding adhesin (*acm*), enterococcal surface protein (*esp*), hyaluronidase (*hylE. faecium*), cytolysin/hemolysin (*cyt*), gelatinase (*gelE*) and aggregation substance (*agg*)] was searched by using PCR as described [66,67].

Genetic context of Tn1546

Characterization of Tn1546 backbone was determined by amplification of overlapping transposon fragments and further sequencing of PCR products [27,68]. We have accomplished the analysis for the isolates not studied in previous surveys and have interpreted the resulting transposon diversity (this study) [27], under the light of the plasmid and clonal backgrounds identified in this geographical area.

Plasmid analysis

Isolates (n = 62 Efm and n = 13 Efs) representing the clonal diversity observed in both species were selected for plasmid characterization (Table 1, Figure 2). The content and size of

plasmids from transconjugants obtained by filter mating were determined by using either the technique described by Barton *et al.* (plasmids >10 kb) or the alkaline lysis extraction method of Kado & Liu (plasmids <10 kb) [51,69,70]. Classification of *E. faecium* plasmids was based on the presence of specific modules for replication (rep-initiator proteins), mobilization (relaxases) and stability (toxin-antitoxin systems). *Relaxases* (*rel*) were sought by a multiplex-PCR-based relaxase typing method which differentiates relaxases of the MOBQ, MOBP, MOBC and MOBV families related to 27 known plasmids [9,71] (Francia MV, unpublished data). *Replication initiator proteins* (*rep*) were investigated by amplification of 24 replicons, which allows discriminating among DNA sequences from more than 100 published Gram-positive plasmids [9,72]. Designation of rep sequences pointed out the plasmid type in which they were initially identified, as well as the numeric nomenclature originally used by Jensen *et al.* (Figure 2s footnote) [72]. *Toxin-antitoxin systems* (TA) previously identified among streptococci and enterococci (*Axe-Txe*, ω - ϵ - ζ *par*, *mazEF*) or Gram-negative bacteria (*re/BE*) were detected by PCR [73]. PCR products were sequenced in order to confirm the specificity of the method and to analyze similarities with other well-characterized plasmids. Genomic location of the Tn1546 and the *rel/rep/TA* sequences was determined by hybridization of *vanA* and *rel/rep/TA* specific probes obtained by PCR from DNA from reference plasmids with S1 or I-*CeuI* digested genomic DNA from representative strains [51,69]. Structural relationship between plasmids of similar size was established by comparison of their RFLP patterns obtained after digestion with different restriction enzymes (*EcoRI*, *HindIII* and *ClaI*; see Figure 3). Plasmid DNA was obtained by using a modified protocol based on the alkaline lysis method described by Handwerger *et al.* [74] consisting of increasing two-fold the volume of lysozyme, SDS/NaOH and acetate potassium solutions, extending the incubation period in potassium acetate solution for at least three hours, precipitating the supernatant obtained after extraction with phenol-chloroform using ethanol-acetate potassium solution (2:0.1 vol/vol) at 25°C for at least 2 hours, and resuspending final DNA pellets in 30 μ l of water for further enzyme digestion analysis.

Molecular techniques

Southern blot DNA transfer and hybridization were performed by standard procedures [75]. The *vanA* and *rep/rel/TA/bac* probes used in the hybridization assays were generated by PCR using well known positive controls as template DNA. Labelling and detection were carried out using Gene Images Alkphos Direct Labelling system kit, following the manufacturer's instructions (Amersham GB/GE Healthcare Life Sciences UK Limited). PFGE was performed as described previously [76] using the

following conditions: switch time of 5 s to 25 s for 6 h, followed by 30 s to 45 s for 18 h (S1 nuclease); 5 s to 30 s for 22 h, 14°C, and 6 V/cm² (I-CeuI) and 1 s to 20 s for 26 h, 14°C, and 6 V/cm² (SmaI).

Plasmid sequences

Analysis of nucleotide and amino acid sequences revealed two types of sequences amplified with primers used for identification of rep_{17/pRUM}. They were 100% (designated as Rep_{17.1/pRUM}) or 97% (96% identity at amino acid level; designated as Rep_{17.2/pRUM-like}) homologous to that of RepA_{pRUM} (GenBank accession number AF507977). Most Rep_{17/pIP501} amino acid sequences were 98%–100% identical to RepE_{pIP816}, a member of the Inc18 family (GenBank accession number AM932524), and to a lesser degree to pRE25, pTEF1 or pSM19035; and Rep_{2/pRE25/pEF1} showed 96%–100% amino acid identity to that of pEF1 (GenBank acc. no. DQ198088). Sequences identified as Rel_{6/pEF1} showed 98%–100% homology to orf34_{pEF1}. Relaxases of the *E. faecalis* pheromone-responsive plasmids identified in this study displayed a high homology with those of known enterococcal pheromone plasmids pAD1, pAM373 and pTEF1 (orf57_{pAD1}, GenBank acc. no. AAL59457; EFA0025_{pTEF1}, GenBank AE016833; and EP0019_{pAM373},

GenBank acc. no. NC_002630). That of plasmid showing RFLP₂₇ showed a 67–84% homology with the above mentioned pheromone enterococcal plasmids but 94% identity with a MobC relaxase (annotated as a hypothetical protein) from a vancomycin-resistant *S. aureus* strain (GenBank acc. no. EIK35827).

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Author Contributions

Performed most experimental work: ARF. Partially contributed to clonal and transposon characterization: CN APT. Conceived and designed the experiments: ARF LP TMC. Analyzed the data: ARF CN APT MVF FB LP TMC. Contributed reagents/materials/analysis tools: ARF MVF LP TMC. Wrote the paper: ARF FB TMC.

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