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Rodent models of TDP-43: Recent advances

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Abstract

Recently, missense mutations in the gene *TARDBP* encoding TDP-43 have been linked to familial ALS. The discovery of genes encoding these RNA binding proteins, such as TDP-43 and FUS/ TLS, raised the notion that altered RNA metabolism is a major factor underlying the pathogenesis of ALS. To begin to unravel how mutations in TDP-43 cause dysfunction and death of motor neurons, investigators have employed both gain- and loss-of-function studies in rodent model systems. Here, we will summarize major findings from the initial sets of TDP-43 transgenic and knockout rodent models, identify their limitations, and point to future directions toward clarification of disease mechanism(s) and testing of therapeutic strategies that ultimately may lead to novel therapy for this devastating disease.

Keywords

TDP-43; Transgenic; Conditional knockout

1. Introduction

1.1. Amyotrophic lateral sclerosis: no disease-modifying therapy currently available

The motor neuron diseases (MND), including Amyotrophic Lateral Sclerosis (ALS), are chronic, progressive illnesses characterized clinically by severely disabling features involving motor systems (weakness, muscle atrophy, and, in ALS, spasticity); and pathologically by the presence of intracellular protein aggregates (inclusions), alterations in axonal transport, and death of motor neurons. There is a relatively selective involvement of lower motor neurons in MND, and in classical ALS, upper motor neurons are affected. The majority of MND cases appear to be sporadic, but a small percentage of patients have a

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familial history. Some forms of the disease inherited as autosomal dominants and others as recessives. Studies have shown that in some instances, the presence of specific gene products confers risk for disease. Although symptomatic treatments are available, there are, at present, no effective mechanism-based therapies (Bruijn et al., 2004; Wong et al., 2002). Recent research, particularly studies utilizing animal models, has provided insights into mechanisms of these disorders and identified new potential targets for therapy, thereby facilitating the design and testing of novel treatment strategies.

ALS, often called Lou Gehrig's disease in the United States, is the most common adult onset form of MND with a prevalence of approximately 2–3 per 100,000 people (Cleveland and Rothstein, 2001; Julien, 2001). Each year in the United States, in excess of 5000 people are diagnosed with ALS. In parts of the United Kingdom, 1 in ~500 deaths is attributed to some form of MND. The principal clinical signs of ALS include: progressive limb weakness, which may be symmetrical or asymmetrical; atrophy of appendicular, bulbar, and respiratory muscles; and spasticity. The paralysis/muscle atrophy and spasticity are the result of degeneration of motor neurons in the spinal cord/brain stem and motor cortex, respectively. The onset of this illness is typically in the 5th or 6th decade of life, and affected individuals die usually within 2–5 years of appearance of symptoms. Both sporadic (sALS) and familial (fALS) forms of illnesses exist; familial cases make up approximately 5–10% of the total. While the causes of the majority of cases of ALS have yet to be identified, shared features of the clinical presentations and pathologies occurring in both sporadic and familial cases suggest the existence of common disease mechanisms.

The identification of causative mutations in specific genes in cases of human MND, including familial ALS (fALS) and spinal muscular atrophy (SMA) (Kabashi et al., 2008; Kwiatkowski et al., 2009; Lefebvre et al., 1995; Puls et al., 2003; Rosen et al., 1993; Sreedharan et al., 2008; Vance et al., 2009), has provided new opportunities to investigate the molecular participants in disease processes using transgenic and gene targeting approaches (Bruijn et al., 2004; Wong et al., 2002). In autosomal dominant fALS, the mutant proteins often acquire toxic properties that directly or indirectly impact on the functions and viability of neurons (Julien, 2001), and introduction of mutant genes into mice reproduces some features of these diseases (Bruijn et al., 1997; Dal Canto and Gurney, 1994; Laird et al., 2008; Wong et al., 1995). In contrast, autosomal recessive diseases, like SMA, which usually lack the functional protein encoded by the mutant gene (Survival Motor Neuron (SMN) in SMA), can often be modeled by gene targeting strategies (Wong et al., 2002).

In models of MND, therapeutic manipulations, manipulation of expression of selected genes in specific cell populations (Lambrechts et al., 2003; Subramaniam et al., 2002), creation of chimeric animals to test whether abnormalities are cell autonomous (Clement et al., 2003), administration of trophic factors to prevent trophic cell death (Henderson et al., 1994; Koliatsos et al., 1993), and testing of a variety of drug therapies (Kriz et al., 2003; Rothstein, 2003; Rothstein et al., 2005; Zhu et al., 2002) have been used to try to ameliorate phenotypes and thus provide insights into disease mechanisms and potential treatment strategies.

2. Recent advances in genetics of ALS provide opportunities for understanding disease mechanisms

Approximately 5–10% of cases of ALS are familial, and, in the majority of these cases, the disease is inherited as an autosomal dominant (Bruijn et al., 2004; Cleveland and Rothstein, 2001; Wong et al., 2002). Since the discovery of mutations in the Cu/Zn superoxide dismutase (SOD1) gene (Rosen et al., 1993), occurring in \sim 5–10% of autosomal dominant

cases of fALS, several other genes or risk factors have been identified: *dynactin p150glued* linked to autosomal dominant fALS (Puls et al., 2003) and may, as an allelic variant, serve as a risk factor (Munch et al., 2004); autosomal recessive deletion mutations have been identified in ALS2 which encodes Alsin, a protein that regulates GTPases (Hadano et al., 2001; Yang et al., 2001); a rare autosomal dominant form of juvenile ALS, mutations have been identified in the gene (SETX) that encodes senataxin (Chen et al., 2004), which contains a DNA/RNA helicase domain with homology to other proteins known to have roles in the processing of RNA (Moreira et al., 2004); following an observation that deletion of the hypoxia response element in the promoter of the vascular endothelial growth factor (VEGF) gene causes degeneration of motor neurons in mice (Oosthuyse et al., 2001), it has been reported that individuals who are homozygous for certain haplotypes in the VEGF promoter have an increased risk for ALS (Cleveland, 2003; Lambrechts et al., 2003). Although not the subject of this review, recent discoveries of additional genes linked to ALS, including OPTN (Maruyama et al., 2010), VCP (Johnson et al., 2010), UBQLN2 (Deng et al., 2011) and C9ORF72 (DeJesus-Hernandez et al., 2011; Renton et al., 2011) as well as risk factors such as Ataxin-2 polyglutamine expansion (Elden et al., 2010), provide further opportunities to unravel this complex motor neuron disease in the future.

The identification of mutations in TARDBP, a gene encoding a DNA/RNA binding protein TDP-43, that are linked to both sporadic and familial ALS (Kabashi et al., 2008; Lagier-Tourenne and Cleveland, 2009; Neumann et al., 2006; Sreedharan et al., 2008) – the focus of this current review – as well as mutations in FUS/TLS , another member of the RNAbinding protein family, linked to familial ALS (Kwiatkowski et al., 2009; Vance et al., 2009) stimulated the ALS research community to focus efforts in unraveling how mutations in these genes cause motor neuron degeneration. These genetic discoveries raised the possibility as to whether ALS can be a disease of altered RNA metabolism/processing. Indeed, our data showing that TDP-43 regulates the formation and distribution of Survival Motor Neuron (SMN)-containing *Gem*ini of coiled bodies (GEMs), a machinery that is required for the assembly of snRNPs involved in RNA splicing in motor neurons, provide strong support for such a hypothesis (see below). Thus, both gain- and loss-of-function studies will provide the framework to establish the physiological role of TDP-43, particularly its role in motor neurons, information that will be fundamental and critical to assess how ALS-linked mutants cause motor neuron degeneration. Moreover, deep sequencing studies to identify RNA targets of TDP-43 will also begin to clarify pathways that are impacted by TDP-43 and will provide the basis for assessment of pathways that are influenced by ALS-linked mutant TDP-43. Therefore, mouse model systems will provide not only important mechanistic information regarding the neurobiology of TDP-43, but also critical implications for the pathobiology of this RNA binding protein in ALS and potentially other neurodegenerative diseases.

The notion that altered RNA metabolism plays a crucial role in the disease is emphasized by the discovery of a hexanucleotide repeat expansion in an intron of a previously uncharacterized gene, termed C9ORF72, as the cause of a major proportion of cases of ALS and FTD (DeJesus-Hernandez et al., 2011; Renton et al., 2011). How hexanucleotide repeat expansion causes neurodegeneration in ALS or FTD, however, remains unknown at present. The observation that alternative transcripts of C9ORF72 (variants 1 and 3) are greatly reduced in patients (DeJesus-Hernandez et al., 2011) would support the view that loss of $C9ORF72$ is one major determinant leading to neurodegeneration in ALS and FTD. That RNA foci, a feature common to other noncoding repeat expansion disorders, such as Myotonic Dystrophy (DM-1 and DM-2; refs) and Fragile-X associated Tremor/Ataxia Syndrome (FXTAS; Brook et al., 1992; Mahadevan et al., 1992; Liquori et al., 2001), containing the expanded hexanucleotide repeats was observed in neurons of cases of ALS and FTD (Galloway and Nelson, 2009; Tassone et al., 2004) would offer the possibility of a

3. Generation and characterization of initial sets of transgenic rodent models of TDP-43

To understand the pathogenic mechanisms of mutant TDP-43 underlying ALS, it is important to clarify first the physiological roles of TDP-43 in the nervous system. To begin to address this issue, investigators have taken a gain-of-function approach to generate transgenic mice overexpressing wild type or ALS-linked mutant TDP-43 (Table 1) using a neuronal-specific promoter (e.g., Thy1.2), an inducible promoter (e.g., tTA-tetOff) or ubiquitous promoter (e.g. mouse PrP or endogenous human TDP-43).

Several groups (Stallings et al., 2010; Wegorzewska et al., 2009; Xu et al., 2010 and Xu et al., 2011) have generated lines of mice expressing human TDP-43 (hTDP-43) under the control of the murine prion protein promoter (moPrP). This promoter drives protein expression in the brain, spinal cord, and heart, and to a lesser extent, in skeletal muscle, lung, liver, and kidney (Borchelt et al., 1996). Each of the moPrP mouse lines expresses either wild-type or the ALS-linked mutants A315T or M337V encoded by the human TARDBP cDNA. In almost every moPrP driven line, an elevated level of hTDP-43 in the spinal cord or brain led to motor deficits and relatively early lethality. High-expressing mouse lines display a more severe and lethal phenotype, and founders with a very high level of protein accumulation perish before reaching sexual maturity. While intranuclear aggregates of TDP-43 and diffuse cytoplasmic ubiquitin staining are common to many lines, cytoplasmic aggregates of TDP-43 colocalized with ubiquitin are rare and generally only detectable using an antibody to phosphorylated TDP-43. Although mice expressing low level of the transgene (hTDP-43WT, line 21) show no motor deficits (Stallings et al., 2010), mice expressing high level of wild type hTDP-43 (hTDP-43^{WT} homozygous line, Xu et al., 2010) show a very similar motor phenotype to the comparably-expressing homozygous mutant M337V line (Xu et al., 2011). Indeed, there appears to be little difference between lines expressing wild-type human TDP-43 and mutant hTDP-43 driven by the moPrP promoter to comparable levels.

In addition to our group (Shan et al., 2010), Wils et al. (2010) have generated TDP-43 mouse lines under the control of a modified murine Thy1 promoter. This Thy1.2 cassette drives the expression almost exclusively in neurons (Caroni, 1997; Vidal et al., 1990). As has been noted previously, mice generated using the Thy1.2 cassette exhibit line-to-line variability in the expression pattern of different populations of neurons (Feng et al., 2000; Wegorzewska and Baloh, 2010). The comparison between these two sets of transgenic lines is difficult because of this line-to-line variability. Indeed, the high-expressing homozygous TAR4/4 mice (Wils et al., 2010) display a spastic paralysis more reminiscent of upper motor neuron degeneration, whereas our lines ultimately develop a flaccid paralysis typical of predominant lower motor neuron disease (Tsao and Wong, pers. comm.). Importantly, we have developed lines of mice expressing hTDP-43 levels slightly above the endogenous mouse TDP-43 level, that develop a robust motor neuron disease phenotype, including flaccid paralysis at around two years of age (Tsao and Wong; pers. comm.). In such lines of mice, the phenotype observed of mice expressing wild type hTDP-43 (hTDP-43^{WT}) is similar to that of ALS linked mutant (G298S) hTDP-43 (hTDP-43^{G298S}; Tsao and Wong; pers. comm.).

The observation that the moPrP and Thy1.2 mice expressing wild type hTDP-43 showed motor deficits and pathology similar to mutant hTDP-43 mice stands as a notable difference between these mouse lines and the SOD1 rodent models. In the SOD1 rodent models, overexpression of ALS-linked mutant human SOD1 caused a paralytic phenotype, but expression of the human wild-type SOD1 to similar levels caused no such phenotype. The toxicity of wild-type hTDP-43 in mice can be explained in two ways. First, as hTDP-43 differs from mouse TDP-43 in several residues, five of which are located in the glycine-rich C-terminal domain that appears to be a hotspot for ALS-linked mutations (Fig. 1), hTDP-43 may act like a mutant, nonfunctional, or dysfunctional protein in a mouse background. However, the strong homology of mouse and human TDP-43 (96% identity, Fig. 1) compared to mouse and human SOD1 (84% identity, Fig. 1), favors the alternative explanation that simply overexpressing any form of TDP-43 to high levels may be toxic in the mice. To assess the relative contribution of overexpression or mutation to the toxicity of hTDP-43 in mice, it is important to analyze a model of overexpression without mutation (overexpressing the mouse form of TDP-43 in mice), and also a model of mutation without overexpression (a knock-in model "humanizing" the endogenous mouse locus, or knockingin an ALS-associated mutation).

A mouse model overexpressing mouse TDP-43 exists, in which the protein is expressed in the hippocampus and cortex using a constitutive Ca^{2+}/cal calmodulin-dependent kinase II (CaMKII) promoter (Tsai et al., 2010). The investigators using this model demonstrated that the overexpression of the mouse TDP-43 in the forebrain led to behavioral and motor deficits and cortical neuron loss, which indicated that mouse TDP-43 is toxic when overexpressed in mice. This finding supports the view that elevated human TDP-43 is toxic in mice because of an elevated expression level; however, it does not rule out the possibility that hTDP-43 is nonfunctional or dysfunctional in the mouse background.

Indeed, another group advanced the theory that a suppression of normal mouse TDP-43 function was actually the root cause of hTDP-43 toxicity in mice. These investigators used an inducible CaMKII promoter to drive postnatal expression of hTDP-43 (Igaz et al., 2009). Igaz et al. (2009) documented that overexpression of hTDP-43WT led to neuron loss in the dentate gyrus. They also observed that expressing hTDP-43WT in cortical neurons downregulated the level of mouse TDP-43 in those neurons, presumably due to TDP-43 autoregulation (Ayala et al., 2010; Polymenidou et al., 2011). As very few TDP-43 aggregates were observed in these mice, the investigators suggested that the mechanism by which expression of hTDP-43 is toxic is that it suppresses the level of mouse TDP-43 without having the ability to replace the endogenous protein. However, in these mice the total level of TDP-43 is still elevated above the endogenous level, so the assertion that the toxicity arises from loss of normal mouse TDP-43 function, as opposed to an overexpression effect, is difficult to substantiate.

Recognizing the toxicity of highly elevated TDP-43 levels and the importance of expression pattern of the transgene, Swarup et al. (2011) used the TDP-43-containing gene fragment from a human bacterial artificial chromosome (BAC) to drive transgene expression in a lowlevel pattern mimicking the endogenous expression pattern. The resulting hTDP-43WT, hTDP-43^{A315T}, and hTDP-43^{G348C} lines all have hTDP-43 transcript levels close to $3 \times$ that of the mouse TDP-43 transcript levels in the spinal cord, though it appears that the total TDP-43 level in spinal cord protein extracts are much higher in the mutant lines as compared to that of the wild-type line. All lines exhibit behavioral and motor deficits at 7– 12 months of age. Spinal cord sections of 10 month old hTDP-43G348C mice show some punctate staining of hTDP-43 colocalized with ubiquitin, a finding which is not observed in hTDP-43^{WT} mice. Additionally, 25- and 35-kDa fragments are detected in the spinal cord lysate of 10 month old hTDP-43G348C mice, but not of younger hTDP-43G348C mice or of

hTDP-43^{WT} mice. There does appear to be significant additional pathological findings associated with the G348C mutation, but it is unknown whether these same findings would occur in hTDP-43WT mice with a more comparable protein level.

Using a similar BAC transgenic approach, a rat model of TDP-43 overexpression has also been generated (Zhou et al., 2010). This model is unique in that the 2 rat founders expressing human TDP-43^{WT} do not show a paralytic phenotype up to 200 days, but the 3 rat founders expressing hTDP-43^{M337V} at comparable levels developed paralysis by 29 days. The investigators also used a tetracycline-inducible system coupled with a CAG promoter to drive ubiquitous expression of hTDP-43^{M337V} postnatally, and found that rats in the lower-expressing line 7 were initially asymptomatic but progressed to paralysis and death by 55 days. While neuronal loss was observed only in the hTDP-43^{M337V} lines, diffuse nuclear and cytoplasmic phosphorylated TDP-43 staining was detected in both mutant and hTDP-43WT rats.

Taken together, these initial sets of TDP-43 transgenic studies revealed several important observations:

- **1.** Overexpression of human wild type or ALS-linked mutant TDP-43, or mouse TDP-43 is toxic in a dose-dependent manner in mice. In the only TDP-43 rat model study, overexpression of the ALS-linked mutant TDP-43, but not the human wild type TDP-43, is toxic.
- **2.** The phenotype of each mouse line is sensitive to the pattern of exogenous TDP-43 expression.
- **3.** Cytoplasmic aggregation of TDP-43 does not appear to be critical to develop a disease phenotype.

4. Abnormal distribution of mitochondria in motor neurons of TDP-43 transgenic mice

To further clarify the mechanism whereby TDP-43 impact on cellular function, it will be important to access the consequence of increased expression of TDP-43 in both the cytoplasmic and nuclear compartments of motor neurons. Consistent with motor deficits observed in our lines of TDP-43 mice, morphological analyses revealed eccentric nuclei with abnormal aggregates/inclusions in cell bodies of motor neurons in the spinal cord (Shan et al., 2010) and brain stem. Although human TDP-43 can be localized to the nuclei of motor neurons, no TDP-43 immunoreactivity is associated with these cytoplasmic inclusions (Shan et al., 2010). In addition, increased ubiquitin immunoreactivity was present in motor neurons of the spinal cord (Shan et al., 2010) and brain stem, particularly associated with these cytoplasmic inclusions. To ascertain the composition of these cytoplasmic aggregates, morphological analysis of motor neurons revealed that they comprised, in part, massive accumulations of mitochondria. These observations suggest that elevated levels of TDP-43 impact on the intracellular trafficking of mitochondria and consequently lead to abnormal distributions of these organelles in motor neurons. Similar findings were also observed in a different line of TDP-43 mice (Xu et al., 2010).

To observe directly the distributions of mitochondria in different compartments of motor neurons, *TDP-43* mice were crossbred to Thy1-mito*CFP* mice, in which mitochondria are fluorescently labeled with CFP in neurons (Misgeld et al., 2007). MtCFP;TDP-43 compound mice show mitochondria clustered within inclusions of motor neurons (Shan et al., 2010). The observation that mitochondria mainly accumulate within inclusions of cell bodies and are sparsely distributed in neuronal processes in the $mtCFP$;hTDP-43 compound mice suggests the possibility that trafficking of organelle, particularly mitochondria, is impaired

in these nerve cells. If this is the case, nerve terminals of these mice may be deficient in mitochondria. Consistent with this hypothesis, we observed a marked reduction of mitochondria (as indicated by the CFP signal intensity) at nerve terminals of neuromuscular junctions (NMJ) in mtCFP;TDP-43 compound mice (Shan et al., 2010). Moreover, in the NMJ of mtCFP;TDP-43 mice, AChRs form a plaque-like pattern (Shan et al., 2010) similar to that described in a mouse model of SMA (Kong et al., 2009). Such abnormalities are associated with abnormal synaptic transmission in these SMA mice (Kong et al., 2009). These observations suggest the possibility that synaptic transmission is altered at the NMJ of TDP-43 mice. This interpretation is consistent with the weakness and muscle atrophy observed in the TDP-43 mice (Shan et al., 2010).

5. TDP-43 regulates SMN associated GEMs in motor neurons

To determine how elevated levels of TDP-43 in the nucleus lead to motor neuron dysfunction, we examined aspects of TDP-43 related nuclear functions that might be altered in motor neurons of TDP-43 mice. Immunocytochemical analysis of TDP-43 in motor neurons with cytoplasmic inclusions revealed a striking abnormal localization of TDP-43 in the nuclear compartment that is usually associated with two conspicuous intranuclear aggregates (Shan et al., 2010). While there was no co-localization of TDP-43 with ubiquitin in these nuclear inclusions (Shan et al., 2010), we discovered that TDP-43 immunoreactive nuclear aggregates contained both fused in sarcoma (FUS), an RNA-binding protein (Shan et al., 2010) recently linked to cases of ALS (Kwiatkowski et al., 2009; Vance et al., 2009), and SC35, a marker of non-snRNP splicing speckles (Spector, 2001). These results suggest that increased levels of TDP-43 induce its association with FUS and SC35, proteins critical for RNA metabolism.

To examine whether elevated levels of TDP-43 impact on pathways that are involved in RNA splicing, the distributions of the Survival Motor Neuron (SMN) complex was assessed in relation to GEMs and to Cajal bodies, two nuclear structures containing high concentrations of the SMN protein (Battle et al., 2006; Gall, 2000), which is linked to spinal ^muscular atrophy (SMA), a motor neuron disease of infancy and childhood (Burghes and beattie, 2009; Lefebvre et al., 1995; Zhang et al., 2008). The SMN complex is part of a large multimeric protein assembly essential for biogenesis of small nuclear ribonucleoproteins (snRNPs) required for pre-messenger RNA splicing (Maniatis and Tasic, 2002). Although previous studies of TDP-43 and SMN showed co-localization of these two proteins in the nucleus of transient transfected cells (Wang et al., 2002), we failed to detect co-localization of TDP-43 with SMN-associated GEMs in motor neurons of TDP-43 mice. Rather, our immunocytochemical analysis using SMN antibody showed a significant increase in, the number of GEM bodies in motor neurons of TDP-43 mice (Shan et al., 2010). Since GEM bodies dynamically shuttle between the nucleolus and the nucleoplasm (Dundr et al., 2004; Sleeman et al., 2003), we examined the distributions of GEMs in motor neurons of TDP-43 mice and that while GEMs are normally distributed with one or two discretely associated with the nucleolus in neurons of non-transgenic mice, SMN is present diffusely within the entire nucleolus and SMN-containing GEMs are confined to the perinucleolar region of motor neurons in TDP-43 mice (Shan et al., 2010). The integrity of GEMs is confirmed by the identification of these same nuclear structures with other essential components of GEMs, including Gemin 2 and Gemin 8.

To further examine the role of TDP-43 in motor neurons, we employed a complementary loss-of-function approach to delete the gene encoding TDP-43 (see below). Immunofluorescence analysis revealed in addition to localization of SMN in the cytoplasm, that two prominent SMN-containing GEMs are observed as expected in each spinal motor neuron of control mice (Shan et al., 2010). However, we failed to find such SMN-containing

GEMs in motor neurons of homozygous TDP-43 knockout mice, although SMN can be localized in the cytoplasm (Shan et al., 2010). These findings indicate that TDP-43 is required for the formation of GEMs in the nucleus of motor neurons. Taken together, results from both loss- and gain-of-function studies of TDP-43 converge to support the idea that TDP-43 is critical for the generation of SMN-containing GEMs and alteration of TDP-43 could impact on pathways that control RNA splicing.

In future studies, it will be important to determine whether nuclear abnormalities precede the cytoplasmic inclusions containing mitochondria in *TDP-43* transgenic mice and characterize the time course of development of nuclear inclusions vs. cytoplasmic inclusions in motor neurons of TDP-43 transgenic mice. We hypothesize that the primary role of TPD-43 is in the nuclear compartment of motor neurons, including their impact on formation and distribution of SMN-GEMs, and we would predict that formation of nuclear TDP-43 positive inclusions precedes accumulation of mitochondria in the cytoplasm of motor neurons. Such outcome would be consistent with the view that perturbation of RNA metabolism/processing would impact on pathways that impact on proper distribution of mitochondria in motor neurons.

6. Development of constitutive and conditional *Tardbp* **null mice**

To examine the physiological role of TDP-43, investigators have used a loss-of-function approach to delete the Tardbp gene in mice (Table 2). Several groups (Kraemer et al., 2010; Sephton et al., 2010; Wu et al., 2009) have generated constitutive Tardbp knockout mice and showed that these animals died during early embryogenesis, establishing the essential role this RNA binding protein play during development. In order to bypass embryonic lethality of constitutive Tardbp null mice, conditional Tardbp knockout mouse will be necessary. Toward this end, we have developed a conditional knockout line by engineering a targeting vector in which the 3rd exon of Tardbp was flanked by loxp together with a neomycin resistance gene inserted in the 2nd intron (Chiang et al., 2010). The floxed Tardbp mice were crossbred with a *CAG-Cre* transgenic mouse line that express the Cre recombinase ubiquitously (Sakai and Miyazaki, 1997) to generate the heterozygous Tardbp knockout (Tardbp+/−) mice (Chiang et al., 2010). The Tardbp+/− mice were fertile and expressed a similar level of TDP-43 in a variety of tissues as compared to those of $Tardbp+/+$ mice (Chiang et al., 2010), suggesting that the level of TDP-43 is tightly controlled and compensated in the Tardbp+/− mice.

7. Loss of body fat in conditional *Tardbp* **knockout mice using ubiquitous ErCre driver lines**

To examine the physiological role of TDP-43 in post-natal mice, floxed Tardbp mice were bred with Rosa26-ErCre mice (Badea et al., 2003) to generate inducible Tardbp knockout (*ErCre*; Tardb p ^{F/F}) mice in which the Rosa26 enhancer/promoter will direct the expression of ErCre recombinase ubiquitously in the presence of tamoxifen.

In contrast to control, *Rosa26-ErCre; Tardbp*^{F/F} mice unexpectedly die by Day 9 after switching to a tamoxifen-containing diet (Chiang et al., 2010). Because initial necropsy analysis of conditional *Tardbp* knockout mice indicated a loss of body fat, metabolic analyses of these mice were performed. Upon deletion of Tardbp by diet containing tamoxifen citrate (400 mg/kg diet), body weights of all mice dropped during the first three days (Chiang et al., 2010) as a consequence of reduced food intake (Chiang et al., 2010). Whereas control mice regained some of their weights during the next 4 days correlating with an increase in food intake, the *ErCre; Tardbp*^{F/F} or *ErCre; Tardbp*^{+/F} mice did not regain their body weights despite the increase in food consumption over this same period (Chiang et al.,

2010). Despite significant differences in cumulative weight loss between the control and the Tardbp knockout groups, energy intakes during tamoxifen-dependent deletion of Tardbp were similar among groups (Chiang et al., 2010), suggesting that decreased calorie intake was not the major cause of differences in weight loss. Indirect calorimetry revealed that the altered metabolism contributed to the relatively greater weight loss in the conditional Tardbp knockout mice. Both *ErCre;Tardbp*^{+/F} and *ErCre;Tardbp*^{F/F} mice showed respiratory exchange ratios ($RER=VCO₂/VO₂$) indicative of pure fat oxidation (Chiang et al., 2010), vs. the RER of control mice indicating the high level of carbohydrate oxidation expected based on composition of the specialized tamoxifen-containing diet (Chiang et al., 2010). These findings support the notion that increased fat oxidation rather than reduced energy intake is responsible for the markedly greater weight loss in the conditional Tardbp knockout mice. Since the $Rosa26$ -ErCre; $TDP^{F/F}$ mice usually die by Day 9 after switching to a tamoxifencontaining diet, a weaker driver line of CAG-ErCre mice (Hayashi and McMahon, 2002) was used to confirm the observed lean phenotype and to extend the survival time of tamoxifen treated *ErCre;Tardbp*^{F/F} mice. Most of the *CAG-ErCre;Tardbp*^{F/F} or *CAG-*ErCre; Tardb $p^{+/F}$ mice survived at least 18 days after switching to the tamoxifen diet. Moreover, the reduction in levels of TDP-43 in these conditional Tardbp knockout mice correlated with the decrease in body weights (Chiang et al., 2010). Importantly, gross examination of mesenteric fat confirmed this dramatic fat loss in *CAG-ErCre; Tardbp*^{F/F} mice (Chiang et al., 2010). Indeed, histological analysis of fatty tissues revealed the absence or reduction, respectively, of fatty vacuoles in adipocytes within subcutaneous tissue (Chiang et al., 2010) and interscapular brown fat (Chiang et al., 2010) in the CAG-*ErCre; Tardbp*^{F/F} or *CAG-ErCre; Tardbp*^{+/F} mice. Moreover, the positive immunoreactivities of two independent adipocyte markers, ATGL and PPARγ, showed the presence of adipocytes in both white (Chiang et al., 2010) and brown adipose tissues (Chiang et al., 2010), indicating that the loss of fat content is not due to the absence of adipocytes in these $CAG\text{-}ErCre$; Tardbp F/F mice, but rather to lack of stored fat within the adipocytes. Taken together, these findings indicate that the decreased level of TDP-43 is responsible for accelerated fat loss in adipocytes of conditional Tardbp knockout mice through increased fat oxidation.

8. Establishment of conditional TDP-43 knockout cells

In order to clarify the mechanism whereby loss of TDP-43 leads to deficits in our conditional knockout mice, it will be important to identify relevant downstream targets of TDP-43. Although CFTR, SMN, NF-L and HDAC6 transcripts have been found to be bound and regulated by TDP-43, misregulation of any of these putative targets would not be predicted to provide a straightforward explanation of the lean phenotype observed in our conditional Tardbp null mice. To identify additional targets of TDP-43, we characterized the Tardbp dependent transcriptome. We engineered a tamoxifen inducible Tardbp knockout ES cell line (termed iTDPKO) by replacing the wild type *Tardbp* allele of $Tardbp^{+/F}$ ES cells with a *CAGErCreEr* cassette (Chiang et al., 2010). While both iTDPKO and cTDP ES cells could grow as colonies and amplify after targeting (Chiang et al., 2010), the 4-HT treated iTDPKO ES cells exhibited reduction in a colony size coupled with increased apoptosis (Chiang et al., 2010). These data suggest that TDP-43 is essential for ES cell survival and proliferation and offers an explanation for the embryonic lethality of Tardbp−/− embryos.

9. High throughput DNA sequencing revealed relevant downstream targets of *Tardbp*

To identify the downstream targets of TDP-43, two independent pairs of the iTDPKO and cTDP ES cells were induced by 100 ng/ml of 4HT for 3 days and total mRNAs were isolated for RNA-seq analysis by Illumina genome analyzer. The raw reads were mapped

onto the mm9 mouse genome using a public domain database, and these data were analyzed by the Partek software to identify a set of differentially expressed genes (Chiang et al., 2010). The dramatic reduction in the level of *Tardbp* mRNA (Chiang et al., 2010) validated the deletion of *Tardbp* in the ES cells and the methodology of RNA-seq analysis. Significantly, protein blot analysis showed that levels of Tbc1d1 and Rfc2 were nearly abolished in iTDPKO cells (Chiang et al., 2010). Interestingly, among the top 30 hits (genes with the lowest p values and more than 3 fold change), most (25 out of 30) of the genes are down-regulated, suggesting that TDP-43 plays an important role in elevating RNA transcription or maintaining RNA stability. Since Rfc2 is a constitutively expressed protein essential for both DNA repair and replication (Reynolds et al., 1999), the dramatic reduction of this protein in the highly replicating ES cells would provide an explanation for the observed lethality occurring in ES cells lacking Tardbp (Chiang et al., 2010). Since it has been reported that a non-functional Tbc1d1 mutant in the skeletal muscle is responsible for the lean phenotype in mice and that Tbc1d1 is essential for Glut4 translocation to the plasma membrane of skeletal muscle cells for glucose uptake (Chadt et al., 2008), a decrease in Tbc1d1 in skeletal muscle might offer an explanation for the lean phenotype shown in our conditional *Tardbp* knockout mouse model (Chiang et al., 2010). To test this notion, we assessed the levels of Tbc1d1 in the skeletal muscles of the control, $CAG\text{-}ErCre\text{;}Tardbp^{+/F}$, and *CAG-ErCre; Tardbp*^{F/F} mice fed with tamoxifen. Protein blot analysis of muscle extracts showed depletion of Tbc1d1 in *CAGErCre; Tardbp*^{F/F} mice (Chiang et al., 2010) that exhibited marked reduction of fat (Chiang et al., 2010). These findings are consistent with the view that the post-natal deletion of Tardbp led to the lean phenotype through reduction of level of Tbc1d1 protein in the muscle of conditional Tardbp null mice. To test directly whether loss of TDP-43 in skeletal muscle is responsible for the lean phenotype observed in Tardbp null mice, we generated mice lacking TDP-43 selectively in skeletal muscle using a muscle specific Cre driver line, MLC-Cre. Interestingly, preliminary studies indicate that these MLC -Cre; Tardb $p^{F/F}$ mice exhibit marked reduction in level of Tbc1d1 muscle degeneration and died between 4 and 5 months of age (Lin and Wong, pers. comm.). Future studies will be required to establish the mechanism whereby TDP-43 dependent regulation of Tbc1d1 impact on metabolism in skeletal muscle.

10. Conditional deletion of *Tardbp* **in the CNS**

Because the death that occurred in either *Rosa26-ErCre;Tardbp*^{F/F} or *CAG-ErCre;Tardbp*^{F/F} mice shortly after tamoxifen-induced deletion of *Tardbp* precluded the evaluation of lack of TDP-43 during aging in the CNS, CNS-specific Cre or ErCre driver lines (eg., CamKII-Cre, CamKII-ErCre, Hb9-ErCre and Isl1-Cre) will be necessary to delete Tardbp in the nervous system, including cortical neurons in the forebrain and motor neurons in the spinal cord. Preliminary studies indicate that *Hb9-ErCre;Tardbp*^{F/F} mice have embryonic lethality, precluding the analysis of adult phenotype in these mice. Likewise, we have begun to examine whether lack of TDP-43 in the forebrain of *CamKII-Cre;Tardbp*^{F/F} or *CamKII*- $\textit{ErCre}, \textit{Tardbp}^{\text{F/F}}$ mice will lead to a neurodegenerative phenotype associated with memory impairment. Preliminary studies indicate that lack of TDP-43 in the forebrain leads to an age-dependent neurodegeneration in *CamKII-Cre;TardbpF^{FF}* mice associated with behavioral deficits (Jeong and Wong, pers. comm.). Outcomes from these studies will have important implications regarding disease mechanisms and specifically address whether loss of TDP-43 function contributes to ALS or FTLD-TDP.

11. Current working models for TDP-43 loss- and gain-of function mechanisms

Based on insights gained from the TDP-43 transgenic and knockout studies over the past several years, we propose two working models. For loss-of-function mechanism, we

envision that both cell autonomous and non-cell autonomous mechanisms could contribute to the disease by increasing the vulnerability of neuronal systems (Fig. 2). For example, our preliminary data showing that the lack of TDP-43 in forebrains of mice leads to an agedependent brain atrophy support the view that loss of TDP-43 in CNS neurons could alter RNA metabolism to trigger neurodegeneration in a cell autonomous manner. In parallel, loss of TDP-43 in other cell types or organ systems could likewise contribute to disease in a noncell autonomous fashion. Our preliminary finding that loss of TDP-43 in skeletal muscle down-regulates Tbc1d1, an outcome that would be predicted to induce hypermetabolism, could compromise neuronal function. Thus, we hypothesize that both cell and non-cell autonomous mechanisms involving loss of TDP-43 converge to increase the vulnerability of neurons in ALS and FTLD-TDP (Fig. 2).

In contrast, for gain-of-function mechanism, we propose that upregulation of TDP-43 in motor neurons alters RNA metabolism via alternative splicing and RNA stability to increase risk in ALS (Fig. 3). Based on findings from TDP-43 transgenic mice, we suggest that the increase in the level of TDP-43 increases the vulnerability of motor neurons through the formation in the nucleus of TDP-43 inclusions and mislocalization of SMN–GEMs to alter RNA metabolism. Likewise, such upregulation of TDP-43 could also disrupt RNA splicing and stability to alter transport of mitochondria in the cytoplasm and limit their delivery to the terminals. Thus, we envision that factors that disrupt the autoregulation of TDP-43 could lead to its upregulation to increase the vulnerability of motor neurons through altered RNA metabolism in ALS (Fig. 3).

12. Paradigm shift: can ALS be a disease of altered RNA metabolism/ processing?

Over the past decade, many pathogenic mechanisms have been proposed for ALS, including altered axonal transport (Griffin et al., 1978; Puls et al., 2005; Williamson and Cleveland, 1999), mitochondrial abnormalities (Liu et al., 2004; Mattiazzi et al., 2002), excitoxicity (Howland et al., 2002; Rothstein, 2009), distal axonopathy (Fischer et al., 2004), disruption of the blood–brain barrier (Zhong et al., 2008), induction of ER stress (Kikuchi et al., 2006), inhibition of the proteasome (Cheroni et al., 2009; Kabashi et al., 2004; Urushitani et al., 2002), toxicity from secreted extracellular SOD1 (Urushitani et al., 2006; Zhao et al., 2010), and excessive production of superoxide from astrocytes and microglia (Harraz et al., 2008). However, the recent discoveries of mutations in TARDBP and FUS/TLS, genes that encode RNA binding proteins, linked to ALS offer the intriguing possibility that altered RNA metabolism or RNA processing may underlie and contribute to motor neuron degeneration (Lagier-Tourenne and Cleveland, 2009). Evidence to date support TDP-43 in regulating the physiology of motor neurons, including those that impact on the proper distributions of SMN in GEMs, nuclear structures that are involved in biosynthesis of snRNPs required for RNA splicing, in motor neurons. Together with results showing the requirement of TDP-43 for the formation of SMN-containing GEMs in motor neurons in our TDP-43 conditional knockout mouse model, our findings implicate a critical role of TDP-43 in regulating SMN/ GEMs that may impact on RNA metabolism in motor neurons. Future studies will be necessary to establish the role of TDP-43 in regulating RNA metabolism/processing in motor neurons, and to clarify how ALS-linked TDP-43 mutants impact on these processes and lead to motor neuron degeneration. These efforts will have profound implications for our understanding of pathogenic mechanism of ALS as well as for identification of new therapeutic targets and strategies for the treatment of this devastating illness.

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 $TDP-43$

Fig. 1.

Multiple sequence alignments for TDP-43 and SOD1. Amino acid sequences are compared for TDP-43 (RefSeq ID for human: NP_031401.1; mouse: RefSeq ID: NP_663531.1; GenBank no. for rat: EDL81132.1) and SOD1 (RefSeq ID for human: NP_000445.1; mouse: NP_035564.1; rat: NP_058746.1). Nonidentical residues are shown in red text. The yellow highlighted area marks the TDP-43C-terminal domain, and the green highlighted residues mark the positions of ALS-associated mutations in human TDP-43.

Fig. 2.

Working model for "loss-of-function" mechanism for ALS-FTD. It is assumed that nuclear inclusions of TDP-43 and its clearance are indicative of loss of TDP-43 function. Both noncell (skeletal muscle) and cell autonomous (CNS neurons) mechanisms play roles to increase vulnerability of CNS neurons in ALS or FTD.

Fig. 3.

Working model for "gain-of-function" mechanism for ALS. We propose that upregulation of TDP-43 in motor neurons alters RNA metabolism via alternative splicing and RNA stability. This could occur in both the nuclear and cytoplasmic compartment of motor neurons to increase vulnerability of these cells in ALS.

Table 1

Rodent models of wild type or ALS-linked mutant TDP-43. Rodent models of wild type or ALS-linked mutant TDP-43.

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levels in spinal cord by human-specific TDP-43 antibody.

specific TDP-43 antibody.

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Table 2

Constitutive or conditional Tardbp knockout mouse models.

