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# Mitochondria in Neurodegeneration

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# Abstract

Many neurodegenerative diseases demonstrate abnormal mitochondrial morphology and biochemical dysfunction. Alterations are often systemic rather than brain-limited. Mitochondrial dysfunction may arise as a consequence of abnormal mitochondrial DNA, mutated nuclear proteins that interact directly or indirectly with mitochondria, or through unknown causes. In most cases it is unclear where mitochondria sit in relation to the overall disease cascades that ultimately causes neuronal dysfunction and death, and there is still controversy regarding the question of whether mitochondrial dysfunction is a necessary step in neurodegeneration. In this chapter we highlight and catalogue mitochondrial perturbations in some of the major neurodegenerative diseases including Alzheimer's disease (AD), Parkinson's disease (PD), amyotrophic lateral sclerosis (ALS), and Huntington's disease (HD). We consider data that suggest mitochondria may be critically involved in neurodegenerative disease neurodegeneration cascades.

### Keywords

cybrid; mitochondria; mitochondrial DNA; neurodegenerative disease

# 1. The quintessential neurodegenerative diseases

Neurodegenerative diseases are characterized by gradually progressive, selective loss of anatomically or physiologically related neuronal systems. The clinical syndromes associated with particular neuroanatomical patterns of cell dysfunction and loss are typically categorized by whether they initially affect cognition, movement, strength, coordination, sensation, vision, or autonomic control. Prototypical examples include AD, PD, ALS, and HD. HD is strictly an autosomal dominant disorder. With AD, PD, and ALS most cases are age-related and show sporadic epidemiology, although rare Mendelian variants do occur. As life expectancy continues to advance in developed countries the incidence of these disorders increases and will continue to do so.

Mitochondrial dysfunction is a common theme in these diseases. Mitochondria are known to play a central role in many cell functions including ATP generation, intracellular Ca<sup>2+</sup> homeostasis, reactive oxygen species (ROS) formation, and apoptosis. Neurons are particularly dependent on mitochondria because of their high energy demands. It seems reasonable to hypothesize neurons are relatively intolerant of mitochondrial dysfunction. This assumption is supported by the fact that maternally inherited diseases with known homoplasmic or near-homoplasmic mitochondrial DNA (mtDNA) mutations tend to affect the central nervous system and muscle, the body's two most aerobic tissues.

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# 2. Alzheimer's Disease

AD is the most common neurodegenerative disease and the most frequent cause of dementia. By far the greatest risk factor for AD is ageing, and approximately one in ten persons over 65 and nearly half of those over 85 have AD (Antuono and Beyer, 1999). With such high prevalence rates among the oldest old it is difficult to not consider AD pathology from outside the context of aging itself (Swerdlow, 2007a).

AD can be divided into early versus late onset forms as well as sporadic and autosomaldominant variants. Autosomal dominant AD represents the minority of AD cases and typically presents before the age of 65. It is caused by mutations in genes encoding for either the amyloid precursor protein (APP), presenilin 1 (PS1), or presenilin (PS2), and these mutations appear to alter processing of APP towards the 42 amino acid beta amyloid (A $\beta$ ) derivative (Scheuner et al., 1996). A $\beta$  is the major constituent of amyloid plaques observed in particular brain regions of AD patients, including neocortex, hippocampus, and other subcortical regions essential for cognitive function. In 1992 the "amyloid cascade hypothesis" was proposed (Hardy and Higgins, 1992). This hypothesis states altered processing of APP or changes in A $\beta$  stability result in a chronic imbalance between A $\beta$ production and clearance. Gradual accumulation of aggregated A $\beta$  initiates a complex, multistep process that includes gliosis, inflammatory changes, neuritic/synaptic change, neurofibrillary tangles, reductions in neurotransmitters, and finally neurodegeneration and neuronal cell death.

However, it is not quite clear how  $A\beta$  might induce neurodegeneration. One possible mechanism is that AB interferes with mitochondrial function. When maintained in the presence of  $A\beta$ , isolated mitochondria show diminished respiratory capacity in general, and specifically inhibition of several key enzymes including cytochrome oxidase, aketoglutarate dehydrogenase, and pyruvate dehydrogenase (Pereira et al., 1998; Canevari et al., 1999; Casley et al., 2002). Brief exposure of cultured rat hippocampal neurons to sublethal AB concentrations resulted in rapid and severe impairment of mitochondrial transport without inducing apparent cell death (Rui et al., 2006). At concentrations insufficient to kill cells, Aß appears to induce an increase in mitochondrial DNA (mtDNA) levels and reduces the number of normal appearing mitochondria (Diana et al., 2008). Cells depleted of endogenous mtDNA (p0) cells, which lack functional electron transport chains (ETC), are impervious to AB (Cardoso et al., 2001). A further study reports a positive correlation between levels of soluble AB and hydrogen peroxide in brain mitochondria isolated from APP transgenic mice (Manczak et al., 2006), which supports the view that mutant APP or soluble Aß impairs mitochondrial metabolism. Physical associations between mitochondria and APP as well as between mitochondria and A $\beta$  have been reported in transgenic mice (Manczak et al., 2006). Aβ binds to a mitochondrial protein called Aβ-binding alcohol dehydrogenase (ABAD), and it has been demonstrated that blocking the interaction of AB and ABAD can suppress Aβ-induced apoptosis and free-radical generation in neurons (Lustbader et al., 2004). These physical associations have also been supported by human AD studies (Lustbader et al., 2004; Anandatheerthavarada et al., 2003; Crouch et al., 2005; Caspersen et al., 2005; Devi et al., 2006). Physical associations between PS1 and mitochondria are also reported (Hansson et al., 2004).

Besides functional changes, extensive literature indicates mitochondrial structural dynamics are also altered in AD patients. Quantitative ultrastructural morphometric analysis shows that compared to age-matched control group brains AD brains contain a significantly lower percentage of normal mitochondria (de la Monte et al., 2000) and a significantly higher percentage of mitochondria with broken cristae (Hirai et al., 2001). Also, in fibroblasts from sporadic AD patients mitochondria are longer, with two or more mitochondria often joined

together, while those of age-matched normal human fibroblasts are much shorter and appear sausage-shaped or rounded (Wang et al., 2008a). Similar morphological changes are also found in neurons over-expressing wild-type APP. APP over-expressing cells actually show mitochondria with heterogeneous morphologies; approximately 50% of cells contain fragmented, punctiform mitochondria and the mitochondria in some cells show elongated, net-like structures (Wang et al., 2008b).

It is known that the activities of several mitochondrial enzymes including complex IV (cytochrome c oxidase; COX), pyruvate dehydrogenase complex, and α-ketoglutarate dehydrogenase complex are reduced in AD (Swerdlow and Kish, 2002). COX is the last enzyme in the respiratory ETC of mitochondria and receives electrons from cytochrome c. It contains several metal prosthetic sites and 13 protein subunits of which ten are encoded by nuclear and three by mtDNA genes. In 1990, deficient COX activity was found in platelets of AD patients. A similar finding was made in AD brains in 1992 (Parker et al., 1990a; Kish et al., 1992). Subsequently, the finding of reduced COX activity in AD patients has been replicated in platelets (Parker et al., 1994; Bosetti et al., 2002; Cardoso et al., 2004a), fibroblasts (Curti et al., 1997), focal brain regions (Bosetti et al., 2002), and large parts of the brain (Mutisya et al., 1994; Wong-Riley et al., 1997). These reports indicate mitochondrial dysfunction occurs in AD and that AD mitochondrial dysfunction is systemic rather than brain-limited.

COX reduction has also been reported at all stages of the disease, including mild cognitive impairment (MCI) (Swerdlow and Kish, 2002; Valla et al., 2006). APP transgenic mice also develop early signs of mitochondrial perturbation; expression of mitochondrial genes is altered when these mice are only two months old, which precedes by months the appearance of cognitive signs (Manczak et al., 2006).

Cytoplasmic hybrid (cybrid) studies suggest mtDNA is at least partly responsible for the reduced activity of COX in AD patients (Swerdlow et al., 1997). A diagram that provides an overview of the cybrid technique is shown in Figure 1. When platelet mtDNA from AD patients is expressed within neuronal cell lines grown in culture (cytoplasmic hybrid cell lines, or cybrids), the resulting cells continue to manifest reduced COX activity and this specific biochemical defect persists over time in the cybrid lines (Swerdlow et al., 1997; Swerdlow, 2007b). It also has been observed that AD cybrid cell lines containing AD subject mitochondria/mtDNA overproduce free radicals, accumulate  $A\beta$ , and have decreased ATP levels (Swerdlow, 2007b; Khan et al., 2000; Cardoso et al., 2004b). Since three of the 13 COX subunits are encoded by mtDNA, this phenomenon suggests mtDNA differs between AD patients and control subjects, and indirectly supports the view that mtDNA contributes to the AD-associated COX activity reduction.

It remains unclear how mtDNA from AD subjects specifically differs from that of control subjects. Several studies show oxidative modification of both nuclear DNA and mtDNA are increased in AD brains (Gabbita et al., 1998; Mecocci et al., 1994; Wang et al., 2005). Levels of 8-hydroxy-2-deoxyguanosine (8-OHdG) are widely considered to reflect levels of oxidative stress (Valavanidis et al., 2009), and mtDNA 8-OHdG is increased in AD patient cortical brain regions (Mecocci et al., 1994). It is known that mtDNA with large mtDNA deletions (including a 4977 base-pair deletion that involves mtDNA cytochrome oxidase subunit genes) preferentially accumulates in human AD brains compared to control aged brain (Corral-Debrinski et al., 1994; Hambleta and Castora, 1997) and the frequency of point mutations are also higher in several brain regions including parietal gyrus, hippocampus, and cerebellum of AD subjects (Chang et al., 2000). Although AD mtDNA sequences contain a higher number of substitutions in tRNA genes, without a corresponding

biochemical analysis it is hard to know whether these mtDNA mutations constitute a major etiological factor in sporadic AD (Elson et al., 2006).

Mitochondrial genes contain frequent polymorphic variations, and mtDNA gene products function in the context of nuclear-encoded proteins that also contain polymorphic variations. It is possible that polymorphism-defined ETC subunit combinations do not function identically. If so, this could explain why epidemiologic associations between mtDNA polymorphisms and AD risk are difficult to establish (Swerdlow and Kish, 2002).

Although clear mtDNA features contributing to the pathogenesis of AD are still not known, the possibility that maternal mitochondrial inheritance may influence disease risk and pathology has been considered. While several studies actually conclude there is no evidence of a maternal effect in AD, or even that there is predominant paternal transmission (Ehrenkrantz et al., 1999; Payami and Hoffbuhr, 1993), other epidemiological studies find maternal inheritance strongly influences AD risk (Duara et al., 1993; Edland et al., 1996). Among AD patients with one affected parent, the ratio of mothers to fathers affected is 3:1. For cases in which affected proband relations include one affected parent and at least sibling, the mother to father ratio increases to 9:1 (Edland et al., 1996). Recently, a genetic study indentified new possible regions of linkage on chromosome 10 and 12 only among families with maternal transmission of late-onset AD (Bassett et al., 2002). Brain imaging techniques also provide evidence of maternal transmission of AD risk. Positron emission tomography (PET) imaging, when using 2-[<sup>18</sup>F] fluoro-2-deoxy-D-glucose (FDG) as the tracer, can be used to determine the cerebral metabolic rate of glucose (CMR<sub>olc</sub>). It has been demonstrated that in AD patients, CMRglc is reduced in several neuroanatomic areas including the parietotemporal, posterior cingulate, and to a smaller extent frontal cortex and medial temporal lobe regions (Mosconi, 2005). These reductions occur years before AD symptom onset. One FDG-PET study reported that cognitively intact subjects (aged from 46–80) with AD mothers but not AD fathers had AD-like patterns of  $CMR_{olc}$  reduction even after accounting for other possible AD risk factors (Mosconi et al., 2007; Mosconi et al., 2009).

The amyloid cascade hypothesis, which assumes AD is always a primary amyloidosis, has dominated thinking in the AD research field for decades but other etiologic hypotheses have been formulated. The "mitochondrial cascade hypothesis" was proposed in 2004 (Swerdlow and Khan, 2004). In the mitochondrial cascade hypothesis, mitochondria sit at the apex of AD histopathology and neurodegeneration. It assumes AD mitochondrial dysfunction drives amyloidosis, tau phosphorylation, and cell cycle re-entry (Swerdlow and Khan, 2009; Swerdlow, 2007c). As mentioned above, since AD mitochondrial dysfunction is systemic altered mitochondrial function in AD cannot simply represent a consequence of neurodegeneration. Although many investigators believe that mitochondrial dysfunction is a downstream event in the development of AD and may play a minor role in the disease, the results of several studies including cell culture and transgenic mouse studies support that brain mitochondrial bioenergetic defects (such as oxidative damage, COX activity, oxygen consumption, and H<sub>2</sub>O<sub>2</sub> production) precedes or drives Aβ production/deposition and plaque formation (Khan et al., 2000; Manczak et al., 2006; Praticò et al., 2001; Yao et al., 2009). The mitochondrial cascade hypothesis also takes aging phenomena into account. It postulates inheritance determines mitochondrial baseline function and durability, which in turn influences how mitochondria change with age. It is presumed more durable mitochondria adequately function for more decades than less durable mitochondria. When mitochondrial change reaches a threshold and bioenergetic homeostasis can no longer be maintained, AD histopathology and symptoms may ensue (Swerdlow, 2007c).

In summary, mounting evidence indicates altered mitochondrial function associates with AD. If mitochondrial dysfunction is critical for the initiation and progression of AD, the susceptibility of mitochondrial to environmental and genetic risk factors should play a role in the development of AD and mitochondria need to be considered in late-onset, sporadic AD prevention and treatment development efforts.

# 3. Parkinson's Disease

PD is the most common neurodegenerative movement disorder. It affects ~1% of the population above the age of 60 (Abou-Sleiman et al., 2006) and 1–3% of those over 80 years of age (Tanner and Goldman, 1996). PD is clinically characterized by rigidity, resting tremor, bradykinesia and postural instability. The key symptoms and signs arise from a preferential loss of dopaminergic neurons of the substantia nigra pars compacta, although early neurodegenration also occurs in other discrete brainstem and basal forebrain nuclei. Another hallmark is that surviving nigral neurons may contain Lewy bodies, intracytoplasmic inclusions that are mainly composed of fibrillar  $\alpha$ -synuclein protein (Spillantini et al., 1997). The presence of nigral Lewy Bodies establishes the histological diagnosis of PD.

Like AD, PD is clinically partitioned into early versus late onset variants and Mendelian versus non-Mendelian forms. With advancing age the percentage of cases caused by Mendelian gene mutations declines. Most PD (~90%) is sporadic and does not show Mendelian inheritance (Trimmer and Bennett, 2009).

Mitochondrial dysfunction has long been implicated in the pathogenesis of PD. Evidence first emerged in the 1980's that drug abusers developed an acute and irreversible parkinsonian syndrome after using 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP). The active metabolite of MPTP, 1-methyl-4-phenylpyridinium (MPP<sup>+</sup>), is transported intracellularly by the dopamine transporter (DAT). Perhaps because of DAT uptake it accumulates in dopaminergic neurons and inhibits complex I (Nicklas et al., 1985). MPP+induced complex I inhibition further leads to increased free radical production/oxidative stress, decreased ATP production, increased intracellular calcium concentration, excitotoxicity, nitric oxide-related cellular damage, and ultimately the death of dopaminergic neurons (Beal, 1998; Hantraye et al., 1996; Mizuno et al., 1988; Ng et al., 1996; Sheehan et al., 1997; Smith et al., 1994; Ali et al., 1994). MPTP has been extensively for PD cell culture and animal modeling.

In 1989, several groups reported that Complex I activity was reduced in the substantia nigra, platelets, and skeletal muscle of patients with idiopathic PD (Parker et al., 1989; Schapira et al., 1989; Bindoff et al., 1989). Since then altered complex I activity was also reported in fibroblasts and frontal cortex (Mytilineou et al., 1994; Parker et al., 2008). It has been hypothesized that this PD systemic complex I activity may be a consequence of exposure to exogenous inhibitors, systemic endogenous production of an inhibitory factor, or mtDNA-encoding of Complex I subunits (Swerdlow, 2000). Data supporting all of these possibilities are published. For example, the complex I inhibitor rotenone has been used to model PD in rats. Rats administered rotenone develop a PD-like syndrome characterized by loss of substantia nigra neurons and the formation of  $\alpha$ -synuclein-rich inclusion bodies (Betarbet et al., 2000; Cannon et al., 2009).

Several nuclear gene mutations associated with autosomal dominant and recessive forms of Mendelian PD have also been identified (Table 1). Examples of such genes are  $\alpha$ -synuclein, Parkin, phosphate and tensin homologue-induced kinase 1 (PINK1), DJ1, leucine-rich repeat kinase 2 (LRRK2), and Htr A serine peptidase 2 (HTRA2). Genetically modified organisms based on knock-out, over-expression, or mutant versions of these genes have since been

generated for purposes of PD animal modeling. Interestingly, many of these nuclear genes also implicate a role for mitochondria in PD pathogenesis.

In transgenic mice over-expressing  $\alpha$ -synuclein, mitochondrial function is impaired, oxidative stress increases, and in the face of complex I inhibitors the threshold for nigral degeneration is reduced (Song et al., 2004). In another study of mice that over-express mutant  $\alpha$ -synuclein,  $\alpha$ -synuclein immunostaining suggested this protein directly affects mitochondria (Martin et al., 2006).

Parkin, a ubiquitin ligase, is believed to protect neuron mitochondria (Palacino et al., 2004). It has been reported in *drosophila* and mouse models that parkin deficiency or mutations lead to increased oxidative stress and mitochondrial impairment (Palacino et al., 2004; Pesah et al., 2004). It is important to note that mitochondrial dysfunction and oxidative stress also affect parkin function and exacerbate the consequences of parkin mutations (Chung et al., 2004).

PINK1, a mitochondria-localized kinase, appears to protect against cell death (Silvestri et al., 2005). This protective effect is abrogated by PD-related mutations that disable its kinase function (Petit et al., 2005). PINK deficiency increases the sensitivity of mitochondria to rotenone and induces degeneration of dopaminergic neurons in drosophila (Yang et al., 2006). These reports and others provide strong evidence that mitochondrial dysfunction plays an important role in the pathogenesis of Mendelian PD, and are consistent with an important role for mitochondrial function in sporadic PD.

As mentioned above, reduced complex I activity is a systemic event in PD. Complex I is a large multimeric enzyme containing 46 known protein subunits. At least seven of these subunits are encoded by genes on mtDNA. Because mtDNA makes such an important contribution to the structure and function of complex I and mtDNA abnormalities can produce sporadic disease, in 1989 it was hypothesized that mtDNA alteration might constitute a key risk factor for the development of idiopathic PD (Parker et al., 1989). An early study found levels of the common mtDNA deletion were increased in PD brains, but this study did not use age-matched controls (Ikebe et al., 1990). Other studies using DNA isolated from brain homogenates found that relative to age-matched controls, mtDNA deletions were not increased (Schapira et al., 1990; Lestienne et al., 1991). More recently it was shown that mtDNA deletion burdens increase with advancing age and are further increased in nigral neurons from PD subjects (Bender et al., 2006; Kraytsberg et al., 2006).

Multiple groups have used the cybrid technique to show transfer of mitochondria and mtDNA from sporadic PD subject platelets produces cell lines with persistently reduced complex I activity(Swerdlow et al., 1996a; Gu et al., 1998; Esteves et al., 2008; Esteves et al., 2010). PD cybrid cell lines also have increased reactive oxygen species production, reduced mitochondrial calcium storage, less ATP production, depolarized mitochondria, and higher caspase 3 activity. PD cybrid cell lines generate Lewybody-like inclusions without the need from exogenous protein expression or toxin-mediated inhibition of mitochondrial or proteasomal function (Trimmer et al., 2004). Mitochondrial respiration and pathways influenced by aerobic metabolism are also altered in PD cybrid cell lines. A recent study reported PD cybrid mitochondria have an increased proton leak and decreased respiratory reserve capacity. In these cybrid cell lines levels of the transcriptional co-activator PGC1-a, which coordinates mitochondrial biogenesis, were reduced (Esteves et al., 2010).

Although the actual mtDNA alterations that account for these findings are still unknown, these results strongly suggest mtDNA contributes to reduced complex I activity in sporadic PD. This mtDNA contribution could derive from inherited or somatic mtDNA mutations. Several lines of investigation support a role for mtDNA inheritance. Epidemiologic studies

suggest for non-Mendelian cases who nevertheless have a PD-affected parent, the affected parent is more likely to be the mother (Wooten et al., 1997; Swerdlow et al., 2001). Mitochondrial haplogroup and polymorphism association studies demonstrate mtDNA variations alter PD risk as well (Swerdlow, 2000; van der Walt et al., 2003). The systemic nature of the PD complex I defect, in conjunction with the fact that expression of PD subject platelet mtDNA probably accounts for the results of PD cybrid studies, also suggests mtDNA inheritance is more likely to play a key role than somatic mutation acquisition (Swerdlow, 2009).

As discussed above, the use of PD tissues and a number of experimental PD models has contributed to our recognition and understanding of how mitochondria are important to PD pathogenesis. *In vivo* human studies also contribute to this knowledge base. Proton and phosphorus magnetic resonance spectroscopy (<sup>1</sup>H and <sup>31</sup>P MRS) are powerful, noninvasive techniques that facilitate quantitative *in vivo* measurements of metabolism pathway intermediates. <sup>31</sup>P MRS allows quantitative measurements of high energy phosphates such as adenosine triphosphate and phosphocreatine, and can be used to provide an indication of brain energy stores (Henchcliffe et al., 2008). One study using these techniques found high-energy phosphates were reduced in the putamen and midbrain of both early and advanced PD patient groups (Hattingen et al., 2009).

Most would agree mitochondria play an important role in PD pathogenesis. Abundant evidence supports this view. Although identifying the actual mtDNA features that associate with sporadic PD warrants further investigation, at this point targeting mitochondrial function in PD treatment development efforts is well-justified.

# 4. Amyotrophic lateral sclerosis

ALS is a neurodegenerative disease that primarily affects strength. It is characterized by upper and lower motor neuron degeneration. Weakness and muscle atrophy usually begin asymmetrically and distally in a single limb, spreads within the neuro-axis to involve contiguous muscle groups innervated by nearby motor neurons, and eventually also affects more rostral motor neurons. Approximately 10% of ALS cases are familial and the rest are sporadic. Similar to AD and PD, the incidence of ALS increases with increasing age, and the older the age of onset the less likely Mendelian inheritance is responsible. Among familial cases, the most common mutations occur in the copper-zinc superoxide dismutase (SOD1) gene on chromosome 21. SOD1 mutations account for about 20% of the familial cases and 2% of all cases. More recently, mutations in two RNA processing proteins, TDP-43 and FUS/TLW(Kabashi et al., 2008; Sreedharan et al., 2008; Vance et al., 2009; Kwiatkowski et al., 2009), have also been found in kindreds with familial ALS variants.

Mitochondrial alterations have been described in sporadic ALS as well as in models of familial ALS. Mitochondrial morphological changes, such as bizarre giant mitochondria and spiny or stubby mitochondria, are found at greater than normal frequencies (Hirano et al., 1984; Masui et al., 1985; Nakano et al., 1987). Abnormal mitochondria accumulate in the axon hillock and initial segment of axons (Sasaki and Iwata, 1996). Changes are observed in both neural and non-neural tissues (Swerdlow et al., 2000). Changes in mitochondrial electron transport chain activities have been noted by several groups using biopsies from patients with ALS and animal models of ALS. While the overall results of many different studies support the overall view that mitochondria and mitochondrial function are altered in ALS, particular results from these studies are not homogeneous. In one study, complex I activity was increased in postmortem brain tissue from a patient with familial ALS (Bowling et al., 1993). Reduced complex IV activity was shown in patients with sporadic ALS (Fujita

et al., 1996). Complex I and II–III deficiencies were observed in patients with familial ALS due to SOD1 mutations and also in an SOD1 transgenic mouse model (Browne et al., 1998).

When the cybrid technique was used to study the function of mitochondria obtained from ALS subject platelets, ALS cybrids produced on a neuroblastoma nuclear background showed a significant reduction in complex I activity and non-significant trends towards reduced complex III and IV activities (Swerdlow et al., 1996b; Swerdlow et al., 1998). In another study that used spinal cord tissue from patients with ALS, it was reported that activity of citrate synthase, which is often used as a marker of mitochondrial mass, was significantly lower than it was in control subjects. Along with the decreased activities of respiratory chain complexes I + III, II+ III, and IV this paper reported, low citrate synthase activity suggests there is a loss of mitochondria from spinal cords of ALS patients (Wiedemann et al., 2002).

Cell ROS levels may increase when mitochondrial respiration is impaired, although ROS itself may impair mitochondrial function (Bacman et al., 2006). There is certainly abundant evidence that indicates oxidative stress in increased in ALS. In sporadic ALS cases both lipid and protein oxidation are enhanced in spinal cord motor neurons and glia (Shibata et al., 2001). Also, the percentage of oxidized CoQ10 in sporadic ALS subject cerebrospinal fluid exceeds that of age-matched controls and positively correlates with illness duration (Murata et al., 2008). Markers of immune system activation are significantly elevated in ALS postmortem CNS tissue (Simpson et al., 2004), and increased blood ROS and lactate production levels suggests a close relationship between mitochondrial function and oxidative stress in ALS (Siciliano et al., 2002). Some propose oxidation-induced DNA damage contributes to sporadic ALS pathogenesis (Murata et al., 2008).

As to whether alterations in mtDNA are associated with ALS, diminished levels of mtDNA were observed in skeletal muscle of patients with sporadic ALS (Vielhaber et al., 2000). Mitochondrial DNA haplogroups also appear to influence ALS risk (Mancuso et al., 2004). Other studies suggest levels of the 4977-base pair mtDNA common deletion are elevated in sporadic ALS (Ro et al., 2003; Dhaliwal and Grewal, 2000). Since correlation does not establish causality, though, further investigation is needed to determine whether mtDNA somatic mutations play a causal role in sporadic ALS or are merely a byproduct of upstream events.

Most ALS laboratory modeling is accomplished using transgenic rodents that express an ALS-associated SOD1 mutation. The SOD1 gene was the first gene recognized to cause autosomal dominant ALS, and more than 100 different mutations have been mapped to it (Bacman et al., 2006). SOD1 protein functions as a ubiquitous antioxidant enzyme that catalyzes the dismutation of superoxide radicals to hydrogen peroxide, which can be converted to molecular oxygen by additional antioxidant enzymes such as catalase and glutathione peroxidase. It localizes predominantly to the cytoplasm, but both wild type and mutant SOD1 protein have been found in the intermembrane space, matrix and outer membrane of mitochondria of ALS-affected tissues (Higgins et al., 2002; Velde et al., 2008; Vijayvergiya et al., 2005; Liu et al., 2004). It is postulated that mutant SOD1 accumulates and aggregates in the outer mitochondrial membrane, that this impairs mitochondrial protein import, and disrupting mitochondrial protein import perturbs mitochondrial function (Liu et al., 2004).

Extensive mitochondrial fragmentation occurs in cell models of mutant SOD1 overexpression (Raimondi et al., 2006; Menzies et al., 2002). Mitochondrial vacuolation is another abnormal morphologic feature characteristic of SOD1 ALS models. This is seen in spinal motor neurons from these mice, and it occurs in conjunction with expansion of the

intermembrane space and the mitochondrial outer membrane (Higgins et al., 2003). A transient explosive increase in vacuoles is observed in mutant SOD1-expressing transgenic mice just prior to motor neuron demise (Kong and Xu, 1998), which suggests mitochondrial dysfunction may trigger ALS cell death cascades.

SOD1-induced mitochondrial membrane damage discharges the mitochondrial membrane potential, impairs mitochondrial respiration, and reduces the ability of mitochondria to buffer cytosolic calcium (Borthwick et al., 1999; Jung et al., 2002; Carri et al., 1997). In SOD1 mice these changes precede the onset of motor signs (Damiano et al., 2006).

Substantial evidence suggests mitochondrial dysfunction plays a crucial role in ALS motor neuron degeneration. Where mitochondrial dysfunction sits in the ALS pathologic cascade is unclear and where mitochondria sit in the degeneration cascade hierarchy Mendelian and sporadic ALS may differ. In the Mendelian forms mitochondrial dysfunction certainly must occur downstream of the causative mutation, but even in Mendelian ALS mitochondrial dysfunction may play a fairly upstream role. In sporadic ALS it is possible that mitochondrial dysfunction occupies the apex of the ALS pathology pyramid, but this remains unproven (Beal, 1995).

## 5. Huntington's Disease

HD is a degenerative movement disorder clinically characterized by choreiform movements, psychiatric disturbances, and dementia. Symptoms may develop in childhood or young adulthood but usually manifest in middle age. Clinical changes reflect neuron dysfunction and loss that preferentially affects GABAergic medium spiny striatal neurons (Vonsattel and DiFiglia, 1998). The disease becomes less neuroanatomically specific during later stages as it extends to other brain regions. HD is strictly an autosomal dominant disorder and it is caused by a CAG triplet repeat expansion (>35 CAGs) in the first exon of the Huntingtin (HTT) gene on chromosome 4 (Huntington's Disease Collaborative Research Group, 1993).

Impaired cell energy production and metabolism in HD were recognized before the responsible gene mutation was identified. Energy metabolism-related deficits were predicted in the early 1980's following observations of excessive weight loss and deficient brain FDG uptake on PET (Sanberg et al., 1981; Kuhl et al., 1982). In the early 1990's proton nuclear magnetic resonance spectroscopy further revealed increased lactate in the cortex and basal ganglia of HD subjects (Jenkins et al., 1993).

Several electron transport chain enzyme activities are deficient in HD tissues. Complex II, III and IV activities are significantly reduced in HD subject brains (Gu et al., 1996; Browne et al., 1997). Additional data suggest the complex II defect is particularly relevant to the demise of neuron populations affected in HD (Benchoua et al., 2006). Complex II inhibitors have successfully been used to model HD; systemic administration of the complex II inhibitors 3-nitropropionic acid and malonate to rodents and primates recreates an HD-like pattern of neurodegeneration and an HD-consistent behavioral phenotype (Beal et al., 1993; Brouillet et al., 1995). Surprisingly, though, for two non-brain tissues (platelets and muscle) complex I activity is reduced but complex II, III, and IV activities are not (Arenas et al., 1998; Parker et al., 1990b).

Since HTT polyglutamine repeat expansion is the primary cause of HD, the question arises as to how and why mitochondrial dysfunction arises in HD. This could conceivably result from direct or indirect effects that HTT may have on mitochondria. Another question that requires consideration is whether mitochondrial dysfunction plays an important intermediary role in HD dysfunction and neurodegeneration cascades. These questions have been studied

using transgenic mice that express all or part of the mutant huntingtin gene, but despite considerable efforts decisive conclusions remain elusive.

Available data do indicate polyglutamine-expanded HTT directly associates with mitochondria. A study of mice expressing a 72 glutamine-long expansion found brain mitochondria had lower mitochondrial membrane potentials and depolarized at lower calcium exposures than did mitochondria from control mouse brains. These biochemical defects preceded the onset of structural and behavioral abnormalities by months (Panov et al., 2002). This study further found that when normal mitochondria were incubating with a fusion protein containing an abnormally long polyglutamine repeat, the mitochondria developed calcium handling deficits consistent with those seen in human HD subject tissues and HD transgenic animal models. A different study also found mitochondria from HD transgenic mice were overly sensitive to calcium-induced mitochondria exposed to mutant HTT (Choo et al., 2004).

Other data indicate mutant HTT may indirectly influence mitochondrial function by altering mitochondria-relevant transcription events. HTT appears to interact with several transcription factors, including p53, CREB-binding protein, Sp1, and PGC1- a (Bae et al., 2005; Sugars and Rubinsztein, 2003; Weydt et al., 2006; Cui et al., 2006). p53 is a tumor suppressor protein that also regulates genes involved in mitochondrial function and oxidative stress. A recent study reported mutant HTT binds p53, upregulates nuclear p53 levels and transcriptional activity, and through these effects causes mitochondrial membrane depolarization. p53 suppression prevented mitochondrial depolarization and HTT-induced cytotoxicity(Bae et al., 2005). PGC-1a is a transcription coactivator that regulates mitochondrial biogenesis and metabolic pathways relevant to cell bioenergetics. PGC-1a knock-out mice have an HD-like phenotype (Lin et al., 2004), and reduced expression of PGC-1a target genes is seen in HD patient and HD transgenic mouse striatum (Weydt et al., 2006). Crossing PGC-1a knock-out mice with HD transgenic mice exacerbates striatal neurodegeneration and motor abnormalities, while lentivirus-mediated delivery of PGC-1a to the striatum is neuroprotective in HD transgenic mice (Cui et al., 2006).

Resveratrol, an activator of the sirtuin Sir2 homolog 1 (SIRT1) may also protect against mutant HTT-induced metabolic dysfunction (Parker et al., 2005). SIRT1 deacetylates and activates PGC-1a (Nemoto et al., 2005; Rodgers et al., 2005). PGC-1a activation is under consideration for its potential as an HD therapeutic target.

#### 6. Conclusions

Depicting the hierarchical cascades that drive and mediate neuron dysfunction and death in neurodegenerative diseases is extremely complex (Figure 2). Identifying individual pathologies is easier than defining how they interact. Strong evidence acquired over decades shows mitochondrial abnormalities occur in persons with various neurodegenerative diseases, and further shows distinct mitochondrial abnormalities are characteristic of particular disorders. This is the case for very rare neurodegenerative diseases and also for very common age-related disorders such as AD and PD. It has been considered for some time that mitochondria might play a quite upstream role in sporadic neurodegenerations. It is now also known that a remarkable number of proteins that cause neurodegeneration in their mutant forms interact with mitochondria or affect mitochondrial function. It is important that studies of the mitochondria-neurodegeneration nexus continue for many reasons. Such studies could yield insights into and treatments for diseases that devastate millions of people.

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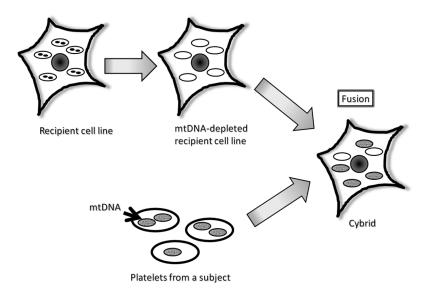
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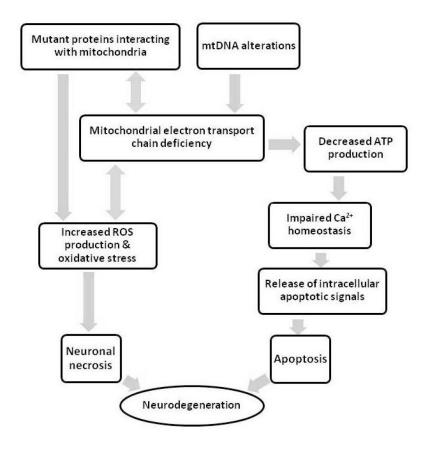
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#### Figure 1. The cybrid technique

The black circles represent nuclei in parental cells. The ovals represent mitochondria. The black dots within the ovals represent mitochondrial DNA.

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#### Figure 2.

Attempt to summarize relationships between mitochondria and other characteristic neurodegeneration features.

#### Table 1

Interactions between mitochondria and proteins encoded by genes that are mutated in Mendelian Parkinson's Disease.

Locus	Gene product	Inheritance & comments	Direct or indirect interaction with mitochondria
PARK1/4	a-Synuclein	AD	Mutant a-synuclein sensitizes neurons to oxidative stress and damage.
PARK2	Parkin	AR, most common cause of recessive juvenile PD	Parkin mutations lead to increased oxidative stress and in turn mitochondrial dysfunction can affect parkin function.
PARK6	PINK1	AR, second most common cause of recessive juvenile PD	A mitochondria-localized kinase; its deficiency sensitizes mitochondria to rotenone and induces degeneration of dopaminergic neurons.
PARK7	DJ-1	AR	A possible redox sensor; binds to mitochondrial complex I and maintain its activity.
PARK8	LRRK2	AD, most common cause of dominant PD	Associates with the outer mitochondrial membrane and can bind parkin.
PARK13	OMI/HTRA2	*AD?	A mitochondrial protease; acts downstream of PINK1; loss of HtrA2 results in the accumulation of unfolded proteins in the mitochondria and increased production of ROS.

AD=autosomal dominant; AR=autosomal recessive.

\* Not uniformly accepted.