

Proterozoic ocean redox and biogeochemical stasis

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The partial pressure of oxygen in Earth's atmosphere has increased dramatically through time, and this increase is thought to have occurred in two rapid steps at both ends of the Proterozoic Eon (~2.5–0.543 Ga). However, the trajectory and mechanisms of Earth's oxygenation are still poorly constrained, and little is known regarding attendant changes in ocean ventilation and seafloor redox. We have a particularly poor understanding of ocean chemistry during the mid-Proterozoic (~1.8–0.8 Ga). Given the coupling between redox-sensitive trace element cycles and planktonic productivity, various models for mid-Proterozoic ocean chemistry imply different effects on the biogeochemical cycling of major and trace nutrients, with potential ecological constraints on emerging eukaryotic life. Here, we exploit the differing redox behavior of molybdenum and chromium to provide constraints on seafloor redox evolution by coupling a large database of sedimentary metal enrichments to a mass balance model that includes spatially variant metal burial rates. We find that the metal enrichment record implies a Proterozoic deep ocean characterized by pervasive anoxia relative to the Phanerozoic (at least ~30–40% of modern seafloor area) but a relatively small extent of euxinic (anoxic and sulfidic) seafloor (less than ~1–10% of modern seafloor area). Our model suggests that the oceanic Mo reservoir is extremely sensitive to perturbations in the extent of sulfidic seafloor and that the record of Mo and chromium enrichments through time is consistent with the possibility of a Mo–N colimited marine biosphere during many periods of Earth's history.

paleoceanography | geobiology

The chemical composition of the oceans has changed dramatically with the oxidation of Earth's surface (1), and this process has profoundly influenced and been influenced by the evolutionary and ecological history of life (2). The early Earth was characterized by a reducing ocean-atmosphere system, whereas the Phanerozoic Eon (< 0.543 Ga) is known for a stably oxygenated biosphere conducive to the radiation of large, metabolically demanding animal body plans and the development of complex ecosystems (3). Although a rise in atmospheric O₂ is constrained to have occurred near the Archean–Proterozoic boundary (~2.4 Ga), the redox characteristics of surface environments during Earth's middle age (1.8–0.543 Ga) are less well known. The ocean was historically envisaged to have become ventilated at ~1.8 Ga based on the disappearance of economic iron deposits (banded iron formations; 2), but over the past decade it has been commonly assumed that the mid-Proterozoic Earth was home to a globally euxinic ocean, a model derived from theory (4) and supported by evidence for at least local sulfidic conditions in Proterozoic marine systems (5–8). However, the record of sedimentary molybdenum (Mo) enrichment through Earth's history has been interpreted to suggest that euxinia on a global scale was unlikely (9).

More recently, it has been proposed that the deep ocean remained anoxic until the close of the Proterozoic, but that euxinia was limited to marginal settings with high organic matter loading (10–13). In anoxic settings with low dissolved sulfide levels, ferrous iron will accumulate—thus these anoxic but nonsulfidic settings have been termed “ferruginous” (10). This model has also found

support in at least local evidence for ferruginous marine conditions during the mid-Proterozoic (12, 13). However, it has been notoriously difficult to estimate the extent of this redox state on a global scale, even in the much more recent ocean—largely because most of the ancient deep seafloor has been subducted.

In principle, trace metal enrichments in anoxic shales can record information about seafloor redox on a global scale. Following the establishment of pervasive oxidative weathering after the initial rise of atmospheric oxygen at ~2.4 Ga (14), the concentration of many redox-sensitive elements in the ocean has been primarily controlled by marine redox conditions. For example, in today's well-oxygenated oceans, Mo is the most abundant transition metal in seawater (~107 nM; ref. 15), despite its very low crustal abundance (~1–2 ppm; ref. 16). Under sulfidic marine conditions the burial fluxes of Mo exceed those in oxygenated settings by several orders of magnitude (17). Hence, it follows that when sulfidic conditions are more widespread than today, global seawater concentrations of Mo will be much lower. Because the enrichment of Mo in sulfidic shales scales with dissolved seawater Mo concentrations (18), Mo enrichments in marine shales (independently elucidated as being deposited under euxinic conditions with a strong connection to the open ocean) can be used to track the global extent of sulfidic conditions (9). Substantial Mo enrichment in an ancient euxinic marine shale, such as occurs in modern euxinic marine sediments, implies that sulfidic bottom waters represent a very small extent of the global seafloor.

In principle, a similar approach can be used with other metals such as Cr, which, importantly, will also be reduced and buried in sediments under anoxic conditions but without the requirement of free sulfide. Chromium is readily immobilized as (Fe,Cr)(OH)₃ under ferruginous conditions (19, 20) and will be reduced and rendered insoluble by reaction with a wide range of other reductants under sulfidic or even denitrifying conditions (21–23). Thus, comparing Mo enrichments in independently constrained euxinic shales and Cr enrichments in independently constrained anoxic shales can offer a unique and complementary perspective on the global redox landscape of the ocean.

A better understanding of the marine Mo cycle in Proterozoic oceans may also illuminate key controlling factors in biological evolution and ecosystem development during the emergence of eukaryotic life. The biogeochemical cycles of marine trace elements form a crucial link between the inorganic chemistry of seawater and the biological modulation of atmospheric composition. The availability of iron, for example, has been invoked as a primary control on local carbon export fluxes and atmospheric

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$p\text{CO}_2$ on glacial–interglacial timescales (24, 25). However, the leverage exerted by Fe on recent oceanic carbon fixation is most fundamentally driven by the sparing solubility of Fe in an ocean that is well-ventilated by an oxygen-rich atmosphere. By analogy, on a more reducing Earth surface Mo is likely to be a key colimiting trace nutrient given its importance in biological nitrogen fixation, assimilatory/dissimilatory nitrate reduction, and a number of other metabolically significant electron transfer processes (26–28).

To move forward in our understanding of Proterozoic redox evolution, we present a unique view of Cr and Mo enrichments in anoxic shales and a complementary modeling approach to interpret these data. From this vantage point, we present evidence that anoxic conditions were a globally important feature in the mid-Proterozoic ocean. In our analysis, we take anoxic environments to include those that are euxinic (anoxic and H_2S -rich), ferruginous (anoxic and Fe^{2+} -rich), and NO_3^- -buffered (i.e., anoxic but with low concentrations of both H_2S and Fe^{2+}). We note, however, that the latter environments are likely to be spatially and temporally limited given the relatively low concentration (and thus redox buffering capacity) of NO_3^- in seawater, particularly during the Proterozoic (29).

Despite evidence for pervasive marine anoxia during the Proterozoic, we highlight that euxinia covered only a small portion of the seafloor. On this basis, we present a framework for linking Mo enrichments to seawater Mo concentrations that points toward Mo–N colimitation in the Proterozoic ocean. Therefore, despite a more limited extent of euxinia than previously envisaged, life in the Proterozoic ocean was heavily influenced by a prevalence of sulfide in the water column that far exceeded the small amounts of euxinia that characterize the modern ocean.

Mid-Proterozoic Geochemical Record

We present a record of Cr and Mo enrichments in anoxic and euxinic black shales through time (Fig. 1). Samples for this study ($n > 3,000$) come from our analytical efforts and a literature survey (Fig. S1 and Table S1). Our own data include results from over 300 Precambrian samples and modern anoxic systems. Samples were filtered for basic lithology (fine-grained siliciclastics) using a combination of basic sedimentary petrology and major-element thresholds. We relied on well-established paleoproxies rooted in Fe–S systematics to infer the redox state of the water column overlying the site of shale deposition. Importantly, these paleoredox proxies are calibrated to delineate anoxic settings (where Cr will be reduced and buried) and euxinic settings (where both Cr and Mo will be reduced/sulfidized and buried). The Fe–S paleoproxies have recently been reviewed in detail (30, 31), and full information on sample filters is provided in *SI Discussion*. We emphasize the noncircularity of our approach to

analyzing the shale record—specifically, we constrained local paleoredox to have been anoxic or euxinic with no appeal to sedimentary trace metal enrichments as fingerprints of those conditions. This approach allows us to use the metal enrichments themselves as proxies for broader ocean redox state and its control on the ocean-wide inventories of those metals.

There are significant Mo enrichments in mid-Proterozoic (~1.8–0.6 Ga) euxinic shales (Fig. 1B). However, these enrichments are significantly lower than those observed in late Proterozoic and Phanerozoic euxinic equivalents (9). Proterozoic enrichments range from less than 10 to greater than 100 ppm, compared with concentrations on the order of ~1–2 ppm in average upper crust (16). The total mean for temporally binned mid-Proterozoic euxinic shale data (2.0–0.74 Gya) is 40.5 ppm (± 22.5 at the 95% confidence level) compared with the Phanerozoic where the total mean is 170.2 ppm (± 33.4 ppm at the 95% confidence level).

In strong contrast with the Mo record, there are no discernible Cr enrichments in mid-Proterozoic anoxic shales. We report Cr enrichments by normalizing to Ti content, as detrital inputs of Cr to marine sediments can be substantial and are greatly in excess of those for Mo. The total mean for Cr/Ti values for mid-Proterozoic anoxic shales is 1.69×10^{-2} (ppm/ppm), and the 95% confidence interval (1.45×10^{-2} to 1.93×10^{-2}) is indistinguishable from post-Archean average upper crust (32–34) (Fig. 1A). There is a marked increase in Cr/Ti ratios after the late Proterozoic, with Phanerozoic shales showing Cr/Ti values indicating enrichments of tens to hundreds of ppm. This pattern is mirrored in the sharp rise in Mo enrichments through the same interval.

We hypothesize that the enrichment trends for both metals reflect the progressive expansion of marine anoxia between 2.0 and 1.8 Ga and persistent oceanic Cr drawdown during the mid-Proterozoic. Because of the different conditions required for the reduction, immobilization, and accumulation in sediments for Cr (anoxic) and Mo (euxinic), we suggest that a relatively small proportion of oceanic anoxia was represented by euxinic conditions, which allowed a moderate although muted seawater Mo reservoir to coexist with a strongly depleted Cr reservoir. We note also that the much greater density of Cr data once the datasets have been filtered for local redox suggests qualitatively that anoxic shales were much more common than euxinic shales during the Proterozoic.

In contrast, the Phanerozoic record is generally characterized by elevated enrichments of both elements, suggesting that for most of the Phanerozoic both anoxic and euxinic conditions were less spatially and/or temporally widespread. Comparatively short-lived Phanerozoic oceanic anoxic events (OAEs), such as those famously expressed in the Mesozoic, are a notable exception. We suggest that combining enrichment records for elements that respond to

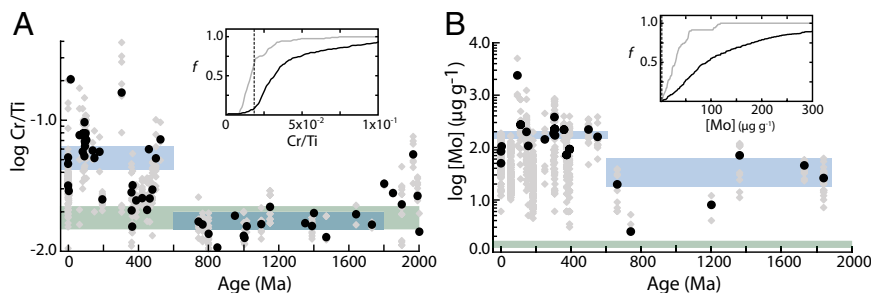


Fig. 1. Sedimentary Cr (A) and Mo (B) enrichments in anoxic and euxinic black shales through time. Because of the relatively high Cr content of typical detrital material, Cr enrichments are expressed as Cr/Ti ratios. Gray diamonds represent all filtered data, whereas black circles represent temporally binned averages. Blue boxes show the total mean ($\pm 95\%$ confidence interval) of temporally binned averages for the mid-Proterozoic and Phanerozoic (*SI Discussion*). (Insets) Cumulative frequency distribution of enrichments for the mid-Proterozoic (gray curve) and the Phanerozoic (black curve). Green boxes show the composition of average post-Archean upper crust (31–33) used to approximate the detrital input. Note the log scale.

the presence of free HS^- in anoxic marine environments (Mo, Zn, etc.) with elements that respond to anoxia more generally (Cr, Re, V, etc.) may allow us to place more detailed constraints on the fabric of seafloor redox and bioinorganic feedbacks throughout Earth's history. We can then expand this approach by interpreting such data within a global mass balance framework.

Interpreting the Enrichment Record: Model for Global Mass Balance and Burial in Marine Sediments

Our quantitative model begins with a conventional mass balance formulation (35), in which the ocean is treated as a single well-mixed reservoir (Fig. S2)—a reasonable assumption given the relatively long residence times of the elements of interest (SI Discussion). The globally averaged concentration of a metal in the ocean evolves as

$$\frac{d}{dt} \int_v [\text{Me}] dv = F_{in} - F_{out},$$

where $[\text{Me}]$ represents the seawater concentration of a given metal, integrated over ocean volume v . The terms F_{in} and F_{out} represent input and output fluxes, respectively. In both cases, input fluxes associated with riverine delivery and/or seawater–basalt interaction are grouped into a single input term (F_{in} ; SI Discussion and Fig. S3), whereas output fluxes (F_{out}) are broken into burial terms specific to each metal cycle (Fig. S2 and Tables S2–S6). Riverine input dominates the overall input flux for both metals (SI Discussion), and this flux is unlikely to have varied significantly (relative to variations in the removal fluxes) after Earth's initial oxygenation. Sink fluxes (burial in sediments) are a function of the characteristic burial rate and areal extent of a given sink environment (i):

$$F_i = k \int_{A_i} b_i^{\text{ini}} dA_i,$$

where A_i represents the seafloor area of each sink environment (oxic, ferruginous, sulfidic, etc.), and b_i^{ini} represents the globally averaged initial burial rate characteristic of that environment. In this equation, k is a reaction coefficient that relates the burial flux to the seawater concentration. For a strictly first-order model, $k = [\text{Me}]_t / [\text{Me}]_M$, where $[\text{Me}]_t$ is the mean oceanic concentration of a given metal at time t , and $[\text{Me}]_M$ is the modern seawater concentration. As previously noted (36), this kind of first-order mass balance approach to specifying removal fluxes is a specific variant of the more generalized case:

$$F_i = k^\alpha \int_{A_i} b_i^{\text{ini}} dA_i,$$

where $\alpha = 1.0$.

Combining the above terms yields an expression for each removal flux:

$$F_i = A_i b_i^{\text{ini}} \left[\frac{[\text{Me}]_t}{[\text{Me}]_M} \right]^\alpha.$$

Following previous approaches, we first assume that $\alpha = 1.0$ (i.e., first-order mass balance). This approach is grounded in the notion that the burial rate of a metal in a given sink environment will scale in a roughly linear fashion with the ambient seawater reservoir size (18, 35). After substitution and rearrangement of the above equations, we arrive at a generalized mass balance equation for both metals:

$$\frac{d}{dt} \int_v [\text{Me}] dv = F_{in} - \sum A_i b_i^{\text{ini}} \frac{[\text{Me}]_t}{[\text{Me}]_M}.$$

Because we are mainly interested in broad ($\sim 10^6$ y) shifts in deep ocean redox, we assume steady-state conditions for both metal systems. Assuming steady state (i.e., $d[\text{Me}]/dt = 0$) yields an expression for the average oceanic concentration of a given metal:

$$[\text{Me}]_t = [\text{Me}]_M \frac{F_{in}}{\sum A_i b_i^{\text{ini}}}.$$

An important component of our model is the specification of spatially variant metal burial rates. Most past treatments of oceanic metal mass balance suffer from the physically unrealistic assumption that the metal burial rates characteristic of modern environments, typically encountered in restricted or marginal settings such as the Black Sea and Cariaco Basin, where overall sediment and carbon fluxes are high, can be scaled to very large regions of the abyssal seafloor where bulk sediment delivery and organic carbon fluxes are typically much lower (37, 38). We have attempted to avoid the same oversimplification by coupling an algorithm that addresses carbon flux to the seafloor as a function of depth (39) to a polynomial function fitted to bathymetric data (40) and by tuning an imposed burial ratio parameter ($R_{\text{Me}/\text{C}}$) to reproduce the modern globally averaged burial rate for each metal (SI Discussion and Fig. S4).

The essential assumption here is that a given region of the seafloor will have a characteristic “burial capacity” for Mo and Cr, regulated to first order by carbon flux to the sediment, and that this capacity will only be realized if the environment is anoxic (in the case of Cr) or euxinic (in the case of Mo). From a mechanistic perspective, this approach builds from clear evidence that the burial in sediments of many redox sensitive metals in anoxic settings scales strongly with carbon flux to the sediments (18, 41, 42). We hold that this approach allows for a more realistic depiction of perturbations to seawater metal inventories as a function of seafloor redox dynamics by smoothly decreasing globally averaged burial rates as larger regions of the seafloor become anoxic (Cr) or sulfidic (Mo).

Interpreting the Enrichment Record: Model Results

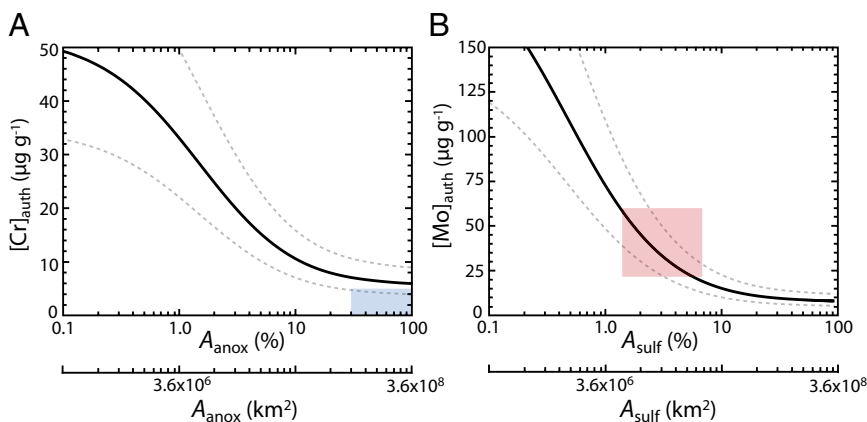
Our approach assumes, by definition, that the burial rate of a given metal in an authigenically active environment (i.e., environments that remove Cr and/or Mo from seawater and sequester them within the sediment column) scales with the ambient concentration in seawater:

$$b_i = b_i^{\text{ini}} \left[\frac{[\text{Me}]_t}{[\text{Me}]_M} \right]^\alpha.$$

The seawater reservoir is controlled largely by how this relationship is expressed on a global scale, but this relationship will also apply to individual settings or regions of the seafloor. As a result, we can envision a generalized authigenically active setting and estimate sedimentary metal enrichments as a function of seawater concentration, in turn controlled by the relative areas of different redox environments on a global scale.

The results of such an exercise are shown in Fig. 2. Here, we have used as our starting point burial rates and overall sediment mass accumulation rates from one of the best-characterized perennially euxinic basins on the modern Earth, the Cariaco Basin in Venezuela. Our purpose here is to depict a generalized setting accumulating Cr and Mo within sediments that has a relatively open connection to the seawater metal reservoir(s), and for that reason we have chosen the Cariaco Basin over the highly

Fig. 2. Estimated authigenic sedimentary enrichments ($[X]_{\text{auth}}$) for Cr (A) and Mo (B) in a generalized anoxic or euxinic setting, respectively, as a function of anoxic and sulfidic seafloor area (A_{anox} , A_{sulf}). Black curves represent a bulk mass accumulation rate of $1.0 \times 10^{-2} \text{ g} \cdot \text{cm}^{-2} \cdot \text{y}^{-1}$, whereas gray dotted curves represent a factor of 1.5 above and below this value (SI Discussion; Fig. S5). The blue box in A represents the approximate area of seafloor anoxia required to drop below an enrichment threshold of $5 \mu\text{g} \cdot \text{g}^{-1}$, a conservative value for our purposes given the negligible enrichments recorded by mid-Proterozoic anoxic shales. The red box in B shows the approximate sulfidic seafloor area consistent with the range of mid-Proterozoic Mo enrichments, and is scaled relative to the y axis according to the 95% confidence interval of temporally binned averages shown in Fig. 1. Seafloor areas are shown as a percentage relative to modern seafloor area (%) and in terms of raw area (km^2).



restricted Black Sea, which shows clear local reservoir effects for Mo (18). In essence, we pose the question, “How would an anoxic or euxinic continental margin or epicontinental environment, such as that represented in the marine black shale record, respond to a particular perturbation to seafloor redox state?” We can then scale this relationship to spatially varying organic carbon burial and bulk sedimentation to inform metal uptake away from the continental margin.

A striking pattern emerges when we consider the magnitude of enrichment that can be achieved in an authigenically active environment under different oceanic redox conditions (Fig. 2). If our model is correct, the negligible sedimentary Cr enrichments characteristic of the entire mid-Proterozoic would require extremely pervasive anoxic conditions. Our approach (which is likely conservative; SI Discussion) suggests that at least ~ 30 – 40% of the seafloor must have underlain anoxic deep waters to drive Cr enrichments to crustal values for sustained periods. We stress that this is a minimum estimate, and that our results are also fully consistent with virtually complete seafloor anoxia.

The Mo enrichment record, however, tells a very different story. Enrichments in euxinic environments during the mid-Proterozoic are muted relative to the Phanerozoic, a pattern that emerges as a consequence of more widespread sulfidic deposition relative to most of the Phanerozoic and is reinforced when Mo enrichments are normalized to total organic carbon (TOC; ref. 9). However, Mo enrichments in Proterozoic euxinic environments that are mostly well above crustal values are inconsistent with pervasive, ocean-scale euxinia (Fig. 2B). Instead, our model results point to roughly ~ 1 – 10% of the seafloor as having been euxinic during the mid-Proterozoic, although there is likely to have been dynamic expansion/contraction of the area of euxinic seafloor area within and occasionally beyond this range—as related, for example, to spatiotemporal patterns of primary production along ocean margins. A similar range of euxinic seafloor is implied for some brief periods of the Phanerozoic (43, 44), but the record of appreciable Cr enrichment during this latter phase of Earth history indicates much more spatially and temporally restricted anoxia overall.

Marine Euxinia: Global Effects of Regional Ocean Chemistry

The record of Cr and Mo enrichment, when interpreted in light of our model results, necessitates that euxinia covered a relatively small fraction of overall seafloor area during the mid-Proterozoic despite pervasive anoxic conditions on a global scale. Such a result adds to growing evidence that Proterozoic deep ocean chemistry was dominated by anoxic but nonsulfidic (ferruginous) conditions (10, 12, 13), in contrast with most modern anoxic marine settings that tend toward euxinia. Nevertheless, euxinia in the mid-Proterozoic ocean was likely orders of magnitude more widespread than

today’s estimate of $\sim 0.1\%$ of the seafloor, and the deleterious impacts on nutrient availability could have been enough to significantly alter global biogeochemical processes. In effect, the sensitivity of the oceanic Mo reservoir to small perturbations in the extent of sulfidic seafloor suggests that a strong distinction should be made between a sulfidic ocean in an oceanographic sense, in which a large proportion of oceanic volume and basin- or global-scale areas of the seafloor (much more than our estimate of ~ 1 – 10%) are characterized by sulfidic waters, and an ocean that is sulfidic from a nutrient or biological perspective. From a biological perspective, trace nutrient colimitation of marine primary producers will be strongly controlled by the extent of euxinic conditions despite euxinia not being persistent throughout most of the ocean. These conditions are, of course, not mutually exclusive—a globally sulfidic ocean will almost certainly result in trace element colimitation. However, the distinction is important as it highlights the leverage that relatively small regions of the ocean can exert on the biogeochemical cycling of certain biolimiting trace elements (9, 26).

To further explore this concept, we use the model to estimate globally averaged seawater $[Mo]$ under variable scaling between the ambient seawater concentration and burial rate within sediments. The most common approach, as discussed above, is to assume strictly first-order (i.e., linear) scaling ($\alpha = 1.0$). Although data are somewhat limited and it is difficult to establish precisely what the form of this relationship should be, data from the most well-characterized perennially euxinic settings on the modern Earth suggest that this relationship may in fact be nonlinear (Fig. 3A). The effect of this parameter on steady-state globally averaged seawater $[Mo]$ is shown in Fig. 3B. Because lowering the value for α allows for a higher burial rate (and thus removal flux from the ocean) at a given value for seawater $[Mo]$, the concentration is ultimately drawn down to much lower steady-state values for a given perturbation.

Although the term α regulates the scaling between ambient $[Mo]$ and Mo burial rate on a global scale in an integrated sense across diverse redox settings, it will also do so within individual authigenically active environments. Importantly, this means that the sedimentary enrichments predicted by the model for a given extent of euxinic seafloor do not vary as a function of α . Changes in this parameter are reflected by the steady-state concentration of Mo in seawater (the same applies for Cr). It is clear from this exercise (Fig. 3) that even relatively small areas of the seafloor overlain by euxinic water masses (a fraction of modern continental shelf area) are sufficient to draw the ocean’s average Mo concentration to ~ 10 nM (an order of magnitude below that seen in the modern ocean), even with strictly linear scaling between ambient $[Mo]$ and burial flux.

through air–sea gas exchange and local biological O₂ production, but the deep ocean is anoxic largely as a result of equilibration with atmospheric pO₂ at least 1–2 orders of magnitude below the modern value in regions of deep-water formation (4). The increased mobility and transport of dissolved Fe(II) under reducing conditions, combined with spatially heterogeneous carbon fluxes through marine systems (as constrained by the intensity of vertical exchange through upwelling and eddy diffusion), yielded an ocean that was pervasively anoxic (i.e., redox-buffered by Fe²⁺ or NO₃⁻) with localized regions of euxinia in marginal settings (12, 13). We note that although our model is, in principle, somewhat sensitive to variations in the sourcing of Cr to the ocean (*SI Discussion*), it is also supported by data from other elements whose sourcing to the ocean should only be weakly dependent on atmospheric pO₂ (52). This emerging model provides a backdrop for the early evolution and ecological expansion of eukaryotic organisms (26, 53) and the biogeochemical

feedbacks controlled by the progressive restructuring of primary producing communities (54). Finally, the sensitivity of the oceanic Mo reservoir to perturbation, combined with the relative uniformity of the Mo enrichment record in Proterozoic euxinic shales, implies that this redox structure may have been stable on long timescales as a function of Mo–N colimitation in the surface ocean. This hypothesis can be tested through the generation of more Proterozoic shale data, whereas further modeling might constrain how robust such a feedback could be and what conditions would have been required to overcome it during the later Proterozoic oxygenation of the deep ocean and subsequent evolution of macroscopic life.

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