

# **Genetic Relationships of** *Vibrio parahaemolyticus* **Isolates from Clinical, Human Carrier, and Environmental Sources in Thailand, Determined by Multilocus Sequence Analysis**

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*Vibrio parahaemolyticus* **is a seafood-borne pathogenic bacterium that is a major cause of gastroenteritis worldwide. We investigated the genetic and evolutionary relationships of 101** *V. parahaemolyticus* **isolates originating from clinical, human carrier, and various environmental and seafood production sources in Thailand using multilocus sequence analysis. The isolates were** recovered from clinical samples ( $n = 15$ ), healthy human carriers ( $n = 18$ ), various types of fresh seafood ( $n = 18$ ), frozen shrimp ( $n = 16$ ), fresh-farmed shrimp tissue ( $n = 18$ ), and shrimp farm water ( $n = 16$ ). Phylogenetic analysis revealed a high **degree of genetic diversity within the** *V. parahaemolyticus* **population, although isolates recovered from clinical samples and from farmed shrimp and water samples represented distinct clusters. The tight clustering of the clinical isolates suggests that disease-causing isolates are not a random sample of the environmental reservoir, although the source of infection remains unclear. Extensive serotypic diversity occurred among isolates representing the same sequence types and recovered from the same source at the same time. These findings suggest that the O- and K-antigen-encoding loci are subject to exceptionally high rates of recombination. There was also strong evidence of interspecies horizontal gene transfer and intragenic recombination involving the** *recA* **locus in a large proportion of isolates. As the majority of the intragenic recombinational exchanges involving** *recA* **occurred among clinical and carrier isolates, it is possible that the human intestinal tract serves as a potential reservoir of donor and recipient strains that is promoting horizontal DNA transfer, driving evolutionary change, and leading to the emergence of new, potentially pathogenic strains.**

**V***ibrio parahaemolyticus* is a seafood-borne pathogenic bacterium and is the leading cause of traveler's diarrhea and gastroenteritis worldwide due to the consumption of undercooked contaminated seafood, particularly shellfish. The organism possesses a number of virulence factors, including a thermostable direct hemolysin (TDH) [\(1\)](#page-10-0), a thermostable direct hemolysinrelated hemolysin (TRH) [\(2\)](#page-10-1), and type 3 secretion system 1 (TTSS1) and TTSS2 [\(3\)](#page-10-2). Strains that possess *tdh*, *trh*, and TTSS2 encoding genes are generally pathogenic and responsible for the vast majority of clinical cases. While such strains account for only 1 to 2% of isolates sampled from water and different marine species [\(4–](#page-10-3)[6\)](#page-10-4), they are known to have a widespread global distribution, having been reported from cases of infection in Japan, India, Thailand, Malaysia, China, Indonesia, the United Kingdom, Italy, and the East and Gulf Coasts of the United States [\(7–](#page-10-5)[19\)](#page-11-0).

The public health and commercial burdens associated with *V. parahaemolyticus* contamination are very high in Thailand due to the wide consumption of seafood. Although undercooked seafood has been identified as a source of *V. parahaemolyticus* infection [\(20,](#page-11-1) [21\)](#page-11-2), the relative likelihood of contamination from different sources (e.g., the natural marine environment, aquaculture sources, or the market place) has not been established. Such evidence is essential to guide future intervention strategies and minimize both the risk to the consumer and the cost to the producer. Clinical isolates from Thailand typically correspond to the pandemic serovar O3:K6 [\(9\)](#page-10-6), which was responsible for the Indian pandemic in 1996 [\(22–](#page-11-3)[25\)](#page-11-4), as well as serotypic variants of this

clone (e.g., O1:KUT, O1:K25, and O3:K46) [\(26–](#page-11-5)[28\)](#page-11-6). Although a number of molecular approaches have been used to study the epidemiology of*V. parahaemolyticus* in Thailand [\(9,](#page-10-6) [28,](#page-11-6) [29,](#page-11-7) [30,](#page-11-8) [31,](#page-11-9) [32\)](#page-11-10), these studies have not generated detailed sequence-based molecular evolutionary data.

Multilocus sequence typing (MLST) is an important tool for molecular epidemiology and population genetic studies of bacterial pathogens [\(33](#page-11-11)[–37\)](#page-11-12). The utilization of housekeeping genes encoding core metabolic enzymes means that the data are unlikely to be impacted by strong positive selection [\(33\)](#page-11-11). González-Escalona et al. [\(38\)](#page-11-13) developed a successful MLST scheme for *V. parahaemolyticus* in a study of 100 strains of global origin. The authors demonstrated that *V. parahaemolyticus* is genetically diverse and has an epidemic population structure in which successful clones emerge from a highly recombining background. Further MLST analyses have confirmed that *V. parahaemolyticus* is genetically diverse, undergoes frequent recombination, and has an epidemic

Received 5 October 2012 Accepted 21 January 2013 Published ahead of print 1 February 2013

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Supplemental material for this article may be found at [http://dx.doi.org/10.1128](http://dx.doi.org/10.1128/AEM.03067-12) [/AEM.03067-12.](http://dx.doi.org/10.1128/AEM.03067-12)

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<span id="page-1-0"></span>population structure [\(39,](#page-11-14) [40\)](#page-11-15). Furthermore, there is no evidence of linkage between sequence type and serotype in *V. parahaemolyticus*, indicating that serotype switching by recombination has been common in the species [\(26,](#page-11-5) [38,](#page-11-13) [41\)](#page-11-16). Overall, these studies have demonstrated that MLST presents a powerful tool with which to determine the role of recombination in the diversification of natural populations of *V. parahaemolyticus* and for understanding the processes leading to the emergence and spread of clinically relevant strains.

In the present study, we modified and applied the MLST scheme of González-Escalona et al. [\(38\)](#page-11-13) to a strain collection recovered from different epidemiological sources associated with the seafood industry in Thailand in order to further elucidate the population structure of *V. parahaemolyticus* and to examine the potential of MLST for identifying sources of seafood contamination. Isolates were obtained from clinical samples, human carriers, fresh seafood, frozen shrimp, fresh-farmed shrimp tissue, and shrimp farm water. The data confirm a highly diverse population, with very limited evidence of concordance between ST and epidemiological sources. This points to the requirement for a far higher genetic resolution (such as is provided by next-generation sequencing), combined with a focus on specific clones, in order to robustly pinpoint the most significant sources of contamination. Our data also confirm high rates of recombination, particularly at *recA*, and we present a novel approach to clustering the isolates on the basis of amino acid sequences.

#### **MATERIALS AND METHODS**

**Bacterial strains and growth conditions.** *V. parahaemolyticus* type strain NCTC 10903 (ATCC 17802<sup>T</sup>) was obtained from the National Collection of Type Cultures (NCTC), Health Protection Agency (HPA), United Kingdom. One hundred and one *V. parahaemolyticus* isolates were obtained from six categories of sources in Thailand as follows. Clinical isolates ( $n = 15$ ) were recovered from gastroenteritis patients, human carrier isolates ( $n = 18$ ) were recovered from healthy volunteer workers at a seafood-processing plant, fresh seafood isolates ( $n = 18$ ) were recovered from a seafood market located in central Thailand, frozen-shrimp isolates  $(n = 16)$  were recovered from frozen shrimp at a processing factory in central Thailand, shrimp tissue isolates ( $n = 18$ ) were recovered from fresh shrimp at two shrimp farms (farms 1 and 2) in southern Thailand, and water isolates ( $n = 16$ ) were recovered from water samples at the same two shrimp farms. The clinical and human carrier isolates were all obtained from different individuals, from stool samples and rectal swabs, respectively. Bacterial isolation and identification procedures were adapted from those described by Kaysner and DePaola [\(42\)](#page-11-17). Briefly, samples were plated onto thiosulfate-citrate-bile salts-sucrose agar at 37°C for 24 h. Selected colonies were confirmed as *V. parahaemolyticus* by biochemical characterization and by O and K serotype determination [\(42\)](#page-11-17). Details of the isolates are provided in [Table 1.](#page-1-0) The isolates were stored at -80°C in 50% (vol/vol) glycerol in tryptic soy broth (TSB) (Oxoid) with 3% (wt/vol) NaCl and subcultured on tryptic soy agar (TSA) (Oxoid) with 3% (wt/vol) NaCl by overnight aerobic incubation at 37°C. For preparation of DNA, a few colonies were inoculated into 10-ml volumes of TSB with 3% (wt/vol) NaCl and grown aerobically overnight at 37°C with shaking at 120 rpm.

**Preparation of chromosomal DNA.** Cells from 1.0 ml of overnight culture were harvested by centrifugation for 1 min at  $13,000 \times g$  and washed once in sterile distilled  $H_2O$ . DNA was prepared with InstaGene Matrix (Bio-Rad) according to the manufacturer's instructions and stored at  $-20^{\circ}$ C.

**PCR amplification and DNA sequencing.** Selection of the seven loci analyzed by MLST was based on the previously published MLST scheme for *V. parahaemolyticus* [\(38\)](#page-11-13), but modifications were made as described

below. For chromosome I, the housekeeping genes used were *dnaE* (DNA polymerase III, alpha subunit), *gyrB* (DNA gyrase subunit B), and *recA* (RecA protein). For chromosome II, the housekeeping genes used were *dtdS* (threonine 3-dehydrogenase), *pntA* (transhydrogenase, alpha subunit), *pyrC* (dihydro-oratase), and *tnaA* (tryophanase). In the original *V. parahaemolyticus* MLST scheme [\(38\)](#page-11-13), a single set of PCR and sequencing primers was used. However, separate PCR and sequencing primers give more accurate sequencing results and are recommended for MLST [\(33\)](#page-11-11). Thus, in the present study, separate sets of PCR and sequencing primers were used for amplification and sequencing of the seven gene fragments. New PCR primers (located upstream and downstream of the previously published forward and reverse PCR/sequencing primers, respectively) were designed, using Primer Designer version 2 (Scientific and Educational Software). In addition, new shorter sequencing primers were designed, using the same software, based on the previously published primers [\(38\)](#page-11-13). All primers were designed to a length of 18 nucleotides except *gyrB*-F2, which contains 17 nucleotides. The nucleotide sequences of the primers used for PCR and DNA sequencing are provided in Table S1 in the supplemental material. In some isolates, gene fragments were unable to be amplified by these primers, in which case additional primers were designed (see Table S2 in the supplemental material).

PCR fragments containing partial segments of *dnaE* (776 bp), *gyrB* (758 bp), *recA* (932 bp), *dtdS* (572 bp), *pntA* (676 bp), *pyrC* (681 bp), and *tnaA* (600 bp) were amplified from chromosomal DNA using a proofreading *Taq* polymerase kit (Platinum *Pfx* DNA Polymerase; Invitrogen) according to the manufacturer's instructions. PCRs were carried out in a GeneAmp PCR System 9700 Thermo Cycler (Applied Biosystems) using 30 cycles of the following amplification parameters: denaturation at 94°C for 45 s, annealing at 59°C for 45 s, and extension at 72°C for 2 min. An initial denaturation step of 94°C for 2 min was used, and a final extension step at 72°C for 10 min. However, in some cases (e.g., for *dnaE*, *gyrB*, *dtdS*, and *pyrC*), improved results were obtained by varying the annealing temperatures between 55 and 60°C. The expected sizes of the PCR products were confirmed by electrophoresis in a 1% (wt/vol) agarose gel incorporating 0.004% (vol/vol) SybrSafe (Invitrogen). DNA was purified with a Qiaquick PCR purification kit (Qiagen) and finally eluted in 30 to 50 µl sterile distilled  $H_2O$  and stored at  $-20^{\circ}C$ .

Sequencing reactions were performed in  $10$ - $\mu$ l reaction mixtures using the BigDye Terminator cycle-sequencing kit version 3.1 according to the manufacturer's instructions. Each reaction mixture consisted of  $3.5 \mu$ l dilution buffer, 3  $\mu$ l DNA template (approximately 50 ng/ $\mu$ l DNA), 2  $\mu$ l of 2-pmol/ $\mu$ l forward or reverse sequencing primer, 1  $\mu$ l distilled H<sub>2</sub>O (dH<sub>2</sub>O), and 0.5  $\mu$ l of a 1:16 dilution of BigDye Terminator Ready Reaction Mix. The reactions were carried out in a GeneAmp PCR System 9700 Thermo Cycler (Applied Biosystems) using 25 cycles of the following amplification parameters: denaturation at 96°C for 10 s, annealing at 50°C for 5 s, and extension at 60°C for 4 min. The DNA fragments were cleaned up by ethanol precipitation and sequenced using an ABI 3730 capillary sequencer (Genepool Sequencing Unit, University of Edinburgh [\[http:](http://genepool.bio.ed.ac.uk) [//genepool.bio.ed.ac.uk\]](http://genepool.bio.ed.ac.uk)).

**Nucleotide and population structure analyses.** Sequencing data were checked and edited using Lasergene version 5.0 (DNAStar) sequence analysis software. For each of the seven alleles representing each isolate, forward and reverse primers were aligned and a consensus sequence was generated. Each consensus sequence was submitted to the *V. parahaemolyticus* MLST website [\(http://pubmlst.org/vparahaemolyticus\)](http://pubmlst.org/vparahaemolyticus) to determine its identity against existing alleles. New alleles that did not match any preexisting alleles within the database were submitted to the database curator, who assigned new allele and serotype designations. Nucleotide sequence analyses were conducted with MEGA version 4.0.2 [\(43\)](#page-11-18), in conjunction with alignment programs written by T. S. Whittam (Michigan State University). Global optimal eBURST (goeBURST) [\(44\)](#page-11-19) analysis of the 63 sequence types (STs) in the data set was performed using Phyloviz software [\(http://www.phyloviz.net\)](http://www.phyloviz.net). Recombination events were detected in concatenated DNA sequences using the recombination detection pro-

# **TABLE 1** Properties of 102 *V. parahaemolyticus* isolates



(Continued on following page)

#### **TABLE 1** (Continued)



 $a^a$  +, present;  $-$ , absent.

*<sup>b</sup>* The numbers in this column represent the allele designation at each locus.

gram version 3.0 (RDP3) software package [\(45\)](#page-11-20). The Bayesian Analysis of Population Structure (BAPS) software version 5.3 [\(46,](#page-11-21) [47\)](#page-11-22) was used to infer the population structure by clustering the STs into genetically distinct groups. The "clustering with linked loci" module was employed to approximate the number of genetically distinct groups, i.e., the genetic-mixture analysis [\(47\)](#page-11-22). Following the recommendations in the BAPS manual [\(http://web.abo.fi/fak/mnf/mate/jc/software/BAPS5manual.pdf\)](http://web.abo.fi/fak/mnf/mate/jc/software/BAPS5manual.pdf), several K values (where K is the estimated maximum number of genetically distinct groups) were used to assess how this might affect the results; a range of K values (from 2 to 20) were used, and in all cases, the results were identical. To test for admixture among the genetic groups identified by BAPS, an admixture analysis was performed [\(46\)](#page-11-21) with a minimum population size of 5 and the following specifications: the number of iterations used to estimate the admixture coefficient for the individuals was set to 100; the number of reference individuals from each population was 200; the number of iterations used to estimate the admixture coefficient for reference individuals was 20. Only STs having *P* values of less than 0.05 were considered to have "significant"

evidence of admixture. BAPS clusters in respect to phylogenetic inference were illustrated by mapping BAPS clusters onto a neighbor-joining tree of concatenated sequences.

**aaST designation.** The amino acid sequence types (aaSTs) of 348 nucleotide STs from the MLST database [\(http://pubmlst.org/vparahaemolyticus\)](http://pubmlst.org/vparahaemolyticus), including the 63 STs of the Thai isolates from the present study, were assigned according to scripts written by David Aanensen. Briefly, for each MLST locus, nucleotide allele sequences were translated in frame, and unique amino acid alleles (aaAlleles) were assigned. For every nucleotide ST, replacement of the nucleotide allele with the aaAllele allowed us to assign unique aaSTs for each strain. Further information about aaST assignment of the 348 nucleotide STs from the *V. parahaemolyticus* MLST database is provided in Table S3 in the supplemental material.

## **RESULTS**

**Nucleotide diversity at each locus.** The nucleotide sequence data for the seven housekeeping gene fragments for the 102 *V. para-*

<span id="page-4-0"></span>**TABLE 2** Nucleotide and allelic diversity of MLST loci for 102 *V. parahaemolyticus* isolates

Chromosome and locus	Fragment size (bp)	No. of alleles	No. $(\%)$ of polymorphic nucleotide sites	No. (%) of inferred variable amino acid sites	$dN/dS$ ratio
dnaE	558	47	44 (7.9)	4(2.2)	0.044
gyrB	591	49	47(8.0)	1(0.5)	0.000
recA	726	42	187(25.8)	17(7.0)	0.033
$\rm{II}$					
dtdS	456	48	36(7.9)	0(0.0)	0.000
pntA	430	39	36(8.4)	7(4.9)	0.024
pyrC	489	44	39(8.0)	10(6.1)	0.026
tnaA	423	40	31(7.3)	6(4.3)	0.021

*haemolyticus* isolates, including the type strain NCTC 10903, are summarized in [Table 2.](#page-4-0) The number of alleles observed for each locus ranged from 39 (*pntA*) to 49 (*gyrB*), and the percentage of polymorphic nucleotide sites varied from 7.3% (*tnaA*) to 25.8% (*recA*). The most frequently occurring alleles at each locus were *dnaE119* (10), *gyrB87* and *gyrB152* (10), *recA24*, *recA61* and *recA120* (10), *dtdS29* (12), *pntA23* (11), *pyrC11* (26), and *tnaA26* (13). The *dN*/*dS* ratios (ratios of nonsynonymous to synonymous evolutionary changes) were  $\leq 1$  for *dnaE*, *recA*, *pntA*, *pyrC*, and *tnaA*; no nonsynonymous changes were detected at *gyrB* or *dtdS*. The mean *dN*/*dS* ratio for the two genes on chromosome I (0.038) was slightly higher than that of the three genes on chromosome II  $(0.024)$ .

**Genotypic diversity.** Details of the isolates, including the source and year of isolation, serotype, presence of the hemolysinencoding genes*tdh* and *trh*, ST, and allelic profile, are presented in [Table 1.](#page-1-0) A total of 63 STs were identified among the 102 isolates, and 53 (84%) of these were novel, since they had not previously been recorded in the MLST database [\(http://pubmlst.org](http://pubmlst.org/vparahaemolyticus) [/vparahaemolyticus\)](http://pubmlst.org/vparahaemolyticus). The high proportion of novel STs in our study illustrates the high degree of environmental diversity, even on a fairly local scale, and how poorly the current MLST data set represents this diversity within *V. parahaemolyticus*. We also note that the recovery of novel STs was nonrandom with respect to the epidemiological source. Sixty-eight isolates were recovered from seafood, frozen shrimp, shrimp tissue, or water, and they represented 40 STs, 39 (98%) of which were novel. Eighteen isolates were recovered from human carriers, and they represented 14 STs, 10 (71%) of which were novel. Finally, 16 isolates (including the type strain) were recovered from human disease cases, and they represented 9 STs, only four (44%) of which were novel.

Four of the clinical STs identified in the present study were also associated with clinical isolates in the MLST database. ST83 represents a common clinical ST in Japan and India; ST189 corresponds to clinical isolates from China, Japan, and India; ST66 represents clinical isolates previously recovered from Mozambique; and ST17 corresponds to clinical isolates from Spain and the United States. Similarly, the four human carrier STs identified in the present study that were recorded in the MLST database had also been recovered from cases of disease. Of these, ST3 represents the pandemic sequence type, while ST62 and ST199 were previously recovered from clinical cases in China and ST68 from Mozambique. Significantly, none of the clinical and carrier STs were associated with environmental isolates. Our data strongly suggest that clinical and human carrier isolates do not represent a random

sample of the reservoir of diversity present in the environment. Rather, a limited number of genotypes appear to be adapted to human carriage, and a subset of these isolates have the potential to cause disease.

**Clonal relationships of** *V. parahaemolyticus***.** The extent of recombination within natural populations of bacteria can be determined by calculating the degree of linkage between alleles relative to a null of random association using the standardized index of association  $(I^S_A)$  [\(48,](#page-11-23) [49\)](#page-11-24). A value of zero is indicative of linkage equilibrium or a freely recombining, nonclonal population, whereas a value of unity represents linkage disequilibrium or nonrandom association of alleles. When  $I_{A}^{\bar{S}}$  was calculated for the entire collection of 102 isolates, a value of 0.5966 ( $P < 0.05$ ) was obtained, indicating that the alleles are in linkage disequilibrium. This value was very similar to the figure of 0.7626 obtained by González-Escalona et al. [\(38\)](#page-11-13) for 100 *V. parahaemolyticus* strains recovered from geographically diverse locations. When the analysis was repeated using one isolate to represent each of the 63 STs, the  $I^S$ <sub>A</sub> value was calculated to be 0.1350 ( $P$  < 0.05). Although this represented a substantial decrease from 0.5966, it also indicated that the alleles are in linkage disequilibrium.

The application of eBURST [\(50\)](#page-11-25) to our data resolved the 63 STs into two clonal complexes (CC83 and CC233), two doublets (D1 and D2), and 53 singletons [\(Fig. 1\)](#page-5-0). Clonal complex 83 consists of five clinical isolates and includes the type strain. CC233 comprises four isolates recovered from frozen shrimp. D1 corresponds to six clinical isolates representing ST262 and a single-locus variant, ST255, which includes two human carrier isolates. These two genotypes differ only at the *dnaE* locus, but inspection of the variant allele sequences reveals that they differ by 5 polymorphisms in 557 sites (0.9%), which suggests an intraspecies recombination event involving the *dnaE* locus. A far more striking example of recombination is provided by D2. It corresponds to two clinical isolates represented by ST189 and ST265, which differ only at *recA*. As the corresponding *recA* alleles differ by 138 polymorphisms in 729 sites (18.9%), this very likely reflects an interspecies recombination event. In support of this, the best BLAST score for *recA107* of ST265 corresponded to *Vibrio cincinnatiensis*, although this sequence was still  $>10\%$  divergent from the query sequence. Clearly, *recA107* of ST265 was likely imported from an as yet unidentified donor species (see Fig. S1 in the supplemental material).

The high degree of allelic diversity in the data meant that eBURST had limited utility for identifying related strains. To counter this, we reassigned allele and ST designations on the basis of amino acid sequences. In total, 87 aaSTs were assigned from the



<span id="page-5-0"></span>**FIG 1** eBURST analysis of 63 STs of *V. parahaemolyticus*. The analysis is based on allelic profiles of MLST data and displays clusters of linked and individual unrelated STs. Single-locus variants (SLVs) are illustrated by linkage lines among the nodes. Color coding represents the source of isolation of each ST: red, clinical sample; purple, human carrier; yellow, seafood; green, shrimp tissue; pink, frozen shrimp; dark blue, shrimp farm water. The frequency of each ST is indicated by the size of its node.

348 STs in the *V. parahaemolyticus* MLST database [\(http:](http://pubmlst.org/vparahaemolyticus) [//pubmlst.org/vparahaemolyticus\)](http://pubmlst.org/vparahaemolyticus), and they were used to perform an eBURST analysis [\(Fig. 2\)](#page-6-0). Two major predicted ancestors, aaST2 and aaST34, were identified. Each of them was represented by a higher proportion of environmental isolates (aaST2, 76%; aaST34, 62%) than of clinical isolates (aaST2, 24%; aaST34, 38%) regardless of the geographical region. The pandemic ST3 isolates correspond to subgroup founder aaST7, which comprises 18 STs. Unlike aaST2 and aaST34, the majority (92%) of isolates in aaST7 originated from clinical sources, whereas a much lower proportion (8%) of environmental isolates were present. None of the Thai clinical isolates corresponded to ST3, but two ST3 isolates were recovered from human carriers. Although amino acid eBURST analysis was capable of demonstrating clonal relationships within the bacterial population more clearly than nucleotide eBURST, there was no clear evidence that clinical strains were associated with a particular epidemiological source in Thailand.

**Phylogenetic analysis.** A neighbor-joining tree representing the concatenated sequences of the seven housekeeping gene fragments in 102 isolates is shown in [Fig. 3.](#page-7-0) The phylogenetic tree consists of two major lineages, A and B, which are separated by a relatively large genetic distance and have a high bootstrap value. Lineage A is further subdivided into two clades, I and II, although they are closely related and have low bootstrap values. The isolates recovered from human carriers, seafood, and frozen shrimp were very diverse and were distributed widely throughout the tree. The short internal nodes and low bootstrap scores are consistent with a history of frequent recombination and indicate that the topology of the tree is poorly supported. However, five clear clusters, 1 to 5, representing isolates of the same and closely related STs, were apparent within the tree, and they were strongly supported by high bootstrap values. Each of the clusters represents isolates almost exclusively recovered from a single source. Cluster 1 was represented by eight isolates recovered from shrimp tissue at farm 1 in August 2007 and a single isolate from water at the same farm in January 2008; cluster 2 corresponded to four clinical isolates obtained from a single hospital in Bangkok, Thailand, between May and September 1990, as well as the type strain; cluster 3 corresponded to six isolates recovered from shrimp farm water at farm 1 in January 2008; cluster 4 corresponded to six clinical isolates originating from the same hospital as those present in cluster 2 between April 1990 and February 1991; and cluster 5 corresponded to 10 isolates recovered from shrimp tissue at farm 2 in August 2007. The contemporaneous recovery of clinical isolates representing distinct clusters, 2 and 4, from patients in the same hospital in Bangkok in 1990–1991 clearly indicates that two distinct disease-causing clones were circulating at this time. We also note a close association between these clinical clusters and three isolates from human carriers (VP156, cluster 2, and VP138 and VP162, cluster 4). Different hemolysin gene profiles were also ob-



<span id="page-6-0"></span>**FIG 2** Population snapshot of 87 aaSTs of *V. parahaemolyticus* that were resolved from 348 STs from the *V. parahaemolyticus* MLST database [\(http://pubmlst](http://pubmlst.org/vparahaemolyticus) [.org/vparahaemolyticus\)](http://pubmlst.org/vparahaemolyticus). Two predicted founder groups, aaST2 and aaST34, were identified, and each was surrounded by a ring of subgroup founders and SLVs. Blue represents isolates recovered from the *V. parahaemolyticus* MLST database, while other colors represent the source of isolation of the Thai isolates in the present study: red, clinical samples; purple, human carrier; yellow, seafood; green, shrimp tissue; pink, frozen shrimp; dark blue, shrimp farm water. The frequency of each aaST is indicated by the size of its node.

served among clinical isolates within each of the clusters 2 and 4 [\(Table 1\)](#page-1-0). In cluster 2, isolate VP194 possesses only *tdh* whereas isolates VP166, VP172, and VP176 possess both *tdh* and *trh*; in cluster 4, VP170, VP178, and VP188 contain both *tdh* and *trh* whereas VP180 and VP184 contain only *tdh* and VP182 contains only *trh*. With the exception of VP194 (OUT:KUT), all isolates in cluster 2 represent serotype O1:K1; in contrast, isolates in cluster 4 represent multiple serotypes (O1:K69, O4:K11, O8:K22, and O3:KUT).

Clusters 1 and 5 represent predominantly shrimp tissue isolates and correspond to isolates recovered from two different farms, 1 and 2, respectively. The existence of these clusters points to very limited diversity within the farms at any given point in time. In support of this, cluster 3 represents isolates recovered from two separate ponds (A and B) at farm 1 in January 2008. However, this cluster is distinct from cluster 1, which represents isolates recovered from shrimp tissue at the same farm 5 months earlier, in August 2007. These observations suggest that the clusters may represent temporal effects resulting from cycles of rapid clonal expansion and replacement within a single farm.

The distribution of polymorphic nucleotide sites among concatenated sequences of 63 STs clearly showed that isolates of ST251 and ST265 (lineage B) have highly divergent *recA* alleles [\(Fig. 4\)](#page-8-0). As discussed above, these *recA* alleles have most probably been acquired by horizontal DNA transfer. When *recA* is removed from the concatenated sequences, a neighbor-joining tree is recovered, which lacks well-defined clusters (see Fig. S2 in the supplemental material). A high level of divergence at the *recA* locus is also apparent within other *V. parahaemolyticus* STs in the MLST database [\(http://pubmlst.org/vparahaemolyticus\)](http://pubmlst.org/vparahaemolyticus). However, it is also clear that intragenic recombination has occurred within *recA* involving STs from both clades I and II [\(Fig. 4\)](#page-8-0). Detailed patterns of intragenic recombination within *recA* from our data are further illustrated in Fig. S3 in the supplemental material, and it is possible that recombinational exchanges occur more frequently within the human host (i.e., within the intestinal tract) than in the environment.

Bayesian clustering analysis (BAPS) was employed to identify genetically distinct subpopulations. ST251 and ST265 [\(Fig. 4\)](#page-8-0)



<span id="page-7-0"></span>**FIG 3** Neighbor-joining tree of 102 concatenated sequences of *V. parahaemolyticus* from multiple sources in Thailand. The numbers at the nodes represent bootstrap values based on 500 replications.



<span id="page-8-0"></span>**FIG 4** Distribution of polymorphic nucleotide sites among concatenated sequences of 63 STs. The vertical lines represent polymorphic nucleotide sites with respect to the top sequence, ST241. The demarcation and nucleotide lengths of the seven genes are indicated along the bottom scale.

and RDP	Recombinant predicted by BAPS	Donor		Recipient	
<b>ST</b>	Source	<b>ST</b>	Source	<b>ST</b>	Source
262	Clinical sample	68	Human carrier	279	Seafood
263	Clinical sample	235	Frozen shrimp	239	Water
255	Human carrier	68	Human carrier	278	Seafood
242	Frozen shrimp	248	Water	232	Frozen shrimp
244	Water	248	Water	Unknown	$NA^a$
68	Human carrier	$-^b$	NA		<b>NA</b>

<span id="page-9-0"></span>**TABLE 3** Strain information for six hybrid STs predicted by BAPS and RDP

*<sup>a</sup>* NA, not applicable.

*<sup>b</sup>* —, none identified.

were removed from the clustering analysis to offset the effects of recombination, resulting in two distinct genetic groups (see Fig. S4 in the supplemental material). BAPS admixture analysis (see Fig. S5 in the supplemental material) revealed six hybrid STs, shown in blue in Fig. S4 in the supplemental material. The six hybrid STs predicted by BAPS analysis and described above were further examined using RDP3. The predicted parents of the isolates representing these six STs are shown in [Table 3.](#page-9-0) Recombination events within the MLST data set were examined in further detail using RDP3 [\(45\)](#page-11-20). Thirteen unique recombination events and 175 recombination signals were detected by the RDP, GENECONV, and MaxChi programs within the RDP3 package. The recombination rate per mutation ( $\sigma/\theta$ ) within the 63 STs was 12.922, indicating that the observed diversity was driven predominantly by recombination. A graphic representation of the concatenated sequences of the 63 STs representing recombination breakpoints shows that a majority of recombination events occur at the position of *recA* (between base positions 1147 and 1872) (see Fig. S6 in the supplemental material).

### **DISCUSSION**

Here, we show that *V. parahaemolyticus* isolates are highly diverse, even when recovered from a single country. High rates of recombination have previously been demonstrated in *V. parahaemolyticus*[\(38,](#page-11-13) [39\)](#page-11-14), as well as in other*Vibrio* species [\(51](#page-12-0)[–53\)](#page-12-1). Our analyses are in agreement with those of González-Escalona et al. [\(38\)](#page-11-13), who suggested that *V. parahaemolyticus* has an epidemic population structure in which new, better-adapted clone complexes emerge and spread from a highly recombining genetic background. This hypothesis is supported by the observed decrease in the value of  $I^{\tilde{S}}_{A}$  from 0.5966 to 0.1350 when only 63 STs were considered compared to the entire strain collection. Similar findings have been described for *Vibrio cholerae* [\(54\)](#page-12-2) and *Staphylococcus epidermidis* [\(55\)](#page-12-3). We were unable to identify the most likely epidemiological source of the clinical or human carrier isolates, and our results suggest that virulent, and potentially virulent, strains of *V. parahaemolyticus* are not routinely associated with specific sources of seafood production.

Five isolates from human carriers represented STs (ST3, ST62, ST68, and ST199) that were identical to those of isolates recovered from clinical sources of worldwide distribution. These findings provide some evidence of a genetic link between human carriage and clinical isolates and demonstrate that symptomless carriers could become sources of infection by transmitting potentially pathogenic bacteria to seafood products within the processing factory or directly to uninfected individuals. However, 10 novel STs

were associated with human carrier isolates but not with clinical and environmental isolates [\(Fig. 3\)](#page-7-0). Thus, the human intestinal tract also serves as a potential reservoir of unique *V. parahaemolyticus* isolates that are not commonly seen among clinical or environmental isolates.

Substantial serotypic diversity was observed among isolates within clusters 1 (ST246), 3 (ST244), 4 (ST262), and 5 (ST251), but not among isolates of cluster 2 (ST1, ST83, and ST264) [\(Fig. 3\)](#page-7-0). All of the isolates in clinical cluster 2, with the exception of isolate VP194 (OUT:KUT), as well as the closely related carrier isolate VP156, were of serotype O1:K1. In contrast, clusters 1, 3, 4, and 5 comprised isolates of seven, five, four, and eight combinations of O and K serotypes, respectively. These results clearly demonstrate a remarkably high degree of serotypic diversity among *V. parahaemolyticus* isolates and confirm previous findings that multiple serotypes of *V. parahaemolyticus* occur within a single ST, or closely related STs [\(26,](#page-11-5) [27,](#page-11-26) [38,](#page-11-13) [40,](#page-11-15) [41\)](#page-11-16). It has previously been demonstrated that the serotype O4:K68 strain has most likely evolved from the pandemic O3:K6 strain by replacement of both the O and K antigens as a consequence of recombination events involving the entire O- and K-antigen-encoding gene clusters [\(56,](#page-12-4) [57\)](#page-12-5). Unlike other *Vibrio* species, including *V. cholerae* and *Vibrio vulnificus*, which have a single region encoding both O and K antigens, the O-antigen-encoding region of pandemic O3:K6 *V. parahaemolyticus* is not present in the same location as the K-antigen gene cluster [\(58\)](#page-12-6). Therefore, *V. parahaemolyticus* is likely to have greater potential for a larger number of O- and K-antigen combinations than other *Vibrio* species as a consequence of genetic recombination of the O- and K-antigen-encoding genes. There was also evidence of horizontal gene transfer influencing the distribution of the hemolysin genes (*tdh* and *trh*) among isolates of the same, or closely related, STs. Clinical isolates within cluster 2 (ST1, ST83, and ST264) possessed two different hemolysin gene profiles (*tdh* positive *trh* positive and *tdh* positive *trh* negative) whereas those within cluster 4 (ST262) possessed three different hemolysin gene profiles (*tdh* positive *trh* positive, *tdh* positive *trh* negative, and *tdh* negative *trh* positive).

Bayesian analysis was unable to discriminate between isolates from different epidemiological sources in Thailand. However, the analysis did confirm that the population structure of Thai *V. parahaemolyticus* isolates is strongly influenced by *recA*. Clearly, *recA* has a major influence on the apparent phylogenetic relationships and population structure of *V. parahaemolyticus* based on the current MLST scheme. In the present study, we identified two highly divergent *recA* alleles, *recA107*

and *recA120*, that have been acquired by horizontal DNA transfer by isolates representing ST265 and ST251, respectively. Nucleotide Blast analysis of the *recA107* (ST265; clinical isolate) and *recA120* (ST251; environmental isolates) alleles were best matched to the *recA* sequences of *V. cincinnatiensis* (83% similarity) and *Vibrio halioticoli* (83%), respectively. *V. cincinnatiensis* has been identified as a human-pathogenic bacterium [\(59\)](#page-12-7), whereas *V. halioticoli* is commonly found in the guts of abalones [\(60\)](#page-12-8). It is clear, therefore, that certain *V. parahaemolyticus* strains have acquired highly divergent *recA* alleles by horizontal gene transfer from other *Vibrio* species. Furthermore, the evidence suggests that this has occurred on at least two occasions among the clinical and environmental strains investigated in the present study alone. High *recA* diversity within *Vibrio* species has also been reported in a number of previous MLST studies [\(26,](#page-11-5) [38,](#page-11-13) [61\)](#page-12-9). Highly divergent *recA* alleles and evidence for frequent recombination at this locus were also observed in previous MLST studies of *V. parahaemolyticus* isolates from the southeastern China coast [\(40\)](#page-11-15) and the Chinese mainland [\(41\)](#page-11-16), respectively. Based on our current analysis of Thai *V. parahaemolyticus* isolates, together with the findings of previous studies, *recA* is clearly not an ideal molecular marker for evolutionary analyses of *V. parahaemolyticus* and other *Vibrio* species.

In addition to potential assortative recombination events involving the entire *recA* gene from other species, intragenic recombination has also played a significant role in *V. parahaemolyticus* evolution, since *recA*itself has a complex mosaic structure (see Fig. S3 in the supplemental material). Notably, intragenic recombination affecting *recA* has also been reported in *V. cholerae* and *Vibrio mimicus* [\(51,](#page-12-0) [61\)](#page-12-9), suggesting that the gene may represent a hot spot of recombination in *Vibrio* species. The mosaic *recA* alleles of *V. parahaemolyticus* (see Fig. S3 in the supplemental material) were present predominantly in clinical or human carrier isolates, suggesting that recombinational exchange has occurred more frequently in the human intestinal tract than in the environment. These findings imply that carriage of different strains of *V. parahaemolyticus* within the human intestinal tract is acting as a driving force in the evolution of the pathogen and in the emergence of new strains. In support of this hypothesis, a previous study of carriage rates among healthy workers at two seafood-processing plants in Thailand [\(62\)](#page-12-10) demonstrated that although the carriage rate was relatively low (varying from 0.6 to 2.6%) overall, a relatively high percentage (31.1%) of these individuals carried strains of multiple serotypes (from 2 to 5 serotypes) at the same time. Furthermore, a small number of individuals were culture positive at different time points over the course of the 12-month sampling period, suggesting that strains were resident within the intestinal tract for periods of weeks or months. The identification of multiple serotypes of*V. parahaemolyticus*within the same individuals at the same time point provides evidence that environmental conditions do exist within the human intestinal tract, albeit for a limited period of time, that allow the colocalization of donor and recipient strains and for DNA exchange to occur. Transcriptional-profiling studies of *V. cholerae* isolates recovered from patient specimens during early and late stages of infection also suggested that the human upper intestine may be a particularly suitable niche for the horizontal transfer of genetic material that is important in the pathogenicity of the pathogen [\(63\)](#page-12-11). Furthermore, it has also been demonstrated that inflammation within the mammalian gut leads to increased densities of pathogenic *Salmonella* and commensal *Escherichia coli* strains [\(64\)](#page-12-12). It was proposed that such pathogeninduced blooms drive conjugative horizontal gene transfer between different *Enterobacteriaceae* and are responsible for the evolution of both pathogens and commensals. Thus, the human intestinal tract may represent an ecological niche that stimulates the occurrence of horizontal gene transfer both among different strains of *V. parahaemolyticus* and between *V. parahaemolyticus* and other commensal species [\(65](#page-12-13)[–68\)](#page-12-14). What remains unknown is the frequency of such evolutionary events, although it is likely to be relatively low. Clearly, further information about the frequency of simultaneous human carriage of *V. parahaemolyticus* strains, the residence time of *V. parahaemolyticus* in the human gut, and rates of horizontal gene transfer are required to assess the role of carriage within the human intestinal tract in the evolution of this important pathogen. Equally important are further studies to investigate how frequently newly evolved strains are then returned to the environment, where they may reinfect human hosts.

In conclusion, MLST analyses of Thai *V. parahaemolyticus* isolates from different sources indicated that clinical strains are unrelated to those recovered from seafood and other environmental sources. Furthermore, the majority of STs represented by human carrier isolates are novel and are not associated with clinical or environmental isolates. However, a small number of STs associated with human carrier isolates were genetically related to clinical strains both from Thailand and from worldwide sources. Thus, a limited number of carrier isolates represent pathogenic phenotypes and may be positively selected for within the human population. Very high levels of serotypic diversity were observed among isolates representing the same ST and recovered from a single source at the same time. Extensive recombination was also observed to be affecting the *recA* locus, particularly within clinical and carrier isolates. Recombinational exchange clearly plays an important role in the evolution of *V. parahaemolyticus*, but the human intestinal tract provides an environment that appears to be driving the emergence of new, potentially pathogenic strains.

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