

# A Genomic Signature and the Identification of New Sporulation Genes

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**Bacterial endospores are the most resistant cell type known to humans, as they are able to withstand extremes of temperature, pressure, chemical injury, and time. They are also of interest because the endospore is the infective particle in a variety of human and livestock diseases. Endospore formation is characterized by the morphogenesis of an endospore within a mother cell. Based on the genes known to be involved in endospore formation in the model organism *Bacillus subtilis*, a conserved core of about 100 genes was derived, representing the minimal machinery for endospore formation. The core was used to define a genomic signature of about 50 genes that are able to distinguish endospore-forming organisms, based on complete genome sequences, and we show this 50-gene signature is robust against phylogenetic proximity and other artifacts. This signature includes previously uncharacterized genes that we can now show are important for sporulation in *B. subtilis* and/or are under developmental control, thus further validating this genomic signature. We also predict that a series of polyextremophylic organisms, as well as several gut bacteria, are able to form endospores, and we identified 3 new loci essential for sporulation in *B. subtilis*: *ytaF*, *ylmC*, and *ylzA*. In all, the results support the view that endospore formation likely evolved once, at the base of the *Firmicutes* phylum, and is unrelated to other bacterial cell differentiation programs and that this involved the evolution of new genes and functions, as well as the cooption of ancestral, housekeeping functions.**

**B**acterial endospores, such as those formed by species of the *Bacillus* and *Clostridium* genera, are arguably the most resistant cellular structures known to scientists. Endospores resist physical and chemical changes, such as exposure to solvents, oxidizing agents, and lytic enzymes, high temperatures, vacuum, acceleration, and irradiation, that would rapidly destroy the vegetative form of the bacterium (1–3). The extreme conditions endured by bacterial endospores include simulated and actual extraterrestrial environments (2). The resilience of the endospore allows it to remain viable in the environment for long periods of time, contributing to the wide geographic distributions of spores in Earth's ecosystems (2). It also allows endospore formers to occupy niches in the gastrointestinal (GI) tract of metazoans, establishing either symbiotic or commensal relationships or pathogenic interactions, in which case the spore often serves as the infectious vehicle (4–7). The robustness of endospores is also the basis for several applications of endospores in biomedicine and biotechnology, including their use in probiotic formulations or as platforms for the display of enzymes or antigens (8–10).

Most of the previously described endospore-forming bacteria belong to the *Clostridia* (anaerobic) and *Bacilli* (aerobic) classes of the *Firmicutes* phylum, one of the two eubacterial phyla that groups Gram-positive organisms (11). However, endospore formers are found in other classes within the *Firmicutes* and encompass aerobic or anaerobic organisms with a wide range of morphologies, lifestyles, and metabolic traits, including rods, cocci, branching species, plant or animal pathogens or symbionts, syntrophs, sulfate reducers, phototrophs (11–17), and remarkably, didermic species (18). Despite the extreme diversity of endospore-forming bacteria, the basic architecture of an endospore is conserved across species (19). The endospore consists of a core compartment, delimited by a lipid bilayer, that contains one copy of the genome. The core is surrounded by a series of concentric structures that function together for the maintenance of dormancy and protection. Two conspicuous endospore structures are the cortex peptidoglycan (PG), which is essential for the acquisition and maintenance of heat resistance, and a protein coat (in some species further encircled by an exosporium), which surrounds the cortex and protects it from the action of PG-breaking enzymes. The spore surface layers (the coat and the exosporium, when present) also mediate environmental interactions of the endospore, including adhesion and recognition of compounds that trigger germination (19).

Endospore differentiation is best known with the model organism *B. subtilis* (20–22). In this organism, a rod-shaped bacterium that grows vegetatively by binary division, entry into sporulation is a response to severe nutritional stress. Entry into sporulation requires the phosphorylation of the response regulator Spo0A, which also controls a number of other adaptations to stationary-phase conditions (23, 24). Above a certain threshold level of phosphorylation, Spo0A triggers sporulation (25). A key event controlled by Spo0A is the switch to an asymmetric mode of cell division, during which a larger mother cell and a smaller forespore (the future spore) are formed. Once the two chambers of the sporangial cell have formed, the differentiation program relies on the cell-type-specific activation of 4 alternative  $\sigma$  subunits of RNA polymerase (22, 26, 27). These 4  $\sigma$  factors are activated sequen-

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tially, alternating between the two cells. Their activation is linked to the completion of key morphological intermediates in the process and also relies on signaling pathways between the two cells. The result is that the forespore and mother cell-specific programs are coordinately deployed and in sequence with the course of morphogenesis.  $\sigma^F$  and  $\sigma^E$  control the early stages of development in the forespore and the mother cell, respectively. Engulfment of the forespore by the mother cell, the process by which the forespore is isolated from the external milieu and is released as a free protoplast with the mother cell cytoplasm, is a hallmark of endospore formation (20, 22). Coincident with engulfment completion,  $\sigma^F$  and  $\sigma^E$  are replaced by  $\sigma^G$  and  $\sigma^K$  in the forespore and in the mother cell, respectively (22, 26). The genes that compose each of the  $\sigma$  regulons drive morphogenesis through its successive morphological stages (22, 26). Contrary to genes not known to be involved in endospore formation, the key regulators of sporulation in *B. subtilis* are more conserved than the genes that define the endospore formation machinery (28). Within each  $\sigma$  regulon, additional regulatory proteins help control gene expression, creating feed-forward loops that fine-tune gene expression and contribute to the fidelity of the morphogenetic process (28). These additional regulatory proteins show an intermediate degree of conservancy (28).

The recognition of an organism's ability to form endospores from genomic information is an important goal, given the prominent position of endospore-forming bacteria in human health and disease, as are the insights into prokaryotic biology that have and will arise from studying organisms other than model bacteria. The importance of establishing a robust genomic signature for endospore formation is also well exemplified by the claims, dismissed by some authors (29) but which are recurrent, that endospores can be formed by certain pathogenic *Mycobacterium* spp., explaining, for example, the long-term persistence of these organisms in infected hosts (30, 31), or by Gram-negative organisms, such as *Rhodobacter johrii* or *Serratia marcescens*, the latter a close relative of *Escherichia coli* (32, 33).

Several studies have led to the identification of a core of endospore formation genes (34–38). However, it is unclear whether the key endospore formation regulatory proteins identify an endospore-forming organism(s) and whether the core set can predict new genes important for the process. Here, we traced the phylogenetic profile of Spo0A and the 4 cell-type-specific endospore formation  $\sigma$  factors. In addition, we defined a core of endospore formation genes, based on those genes known to participate in the process in *B. subtilis*. We used the core to define a robust genomic signature for this process. We employed the signature to predict new endospore-forming organisms, as well as new functionally important genes, which we show have a role or are expressed during endospore formation in the model organism *B. subtilis*. Overall, our results support the view that endospore formation evolved only once, at the base of the *Firmicutes* phylum, and that during its evolutionary history it has been involved in the evolution of new genes and the engagement of ancestral housekeeping genes.

## MATERIALS AND METHODS

**Data sets of species.** In our analysis, we divided the species data set into four groups: endospore-forming, exospore-forming, mycobacteria, and nonendospore-forming. The data set included 31 endospore-forming species, 5 exospore-forming species, 7 *Mycobacterium* species, and 29 nonendospore-forming species (see Table S1 in the supplemental material). For the nonendospore-forming species, we constructed our data set with the objective of maxi-

mizing the genetic divergence between species, as measured by 16S RNA sequences. Therefore, species belonging to phyla or classes as divergent as *Gammaproteobacteria*, *Alphaproteobacteria*, *Firmicutes*, *Cyanobacteria*, *Actinobacteria*, etc., were included in the analysis.

**Phylogenetic tree of bacteria 16S rRNA.** We constructed the phylogenetic tree for the 16S rRNA by using nucleotide sequences collected from the Ribosomal Database Project website (39) and, as an outgroup species, *Methanocaldococcus jannaschii* (39). Sequences were aligned using ClustalW (40). A maximum likelihood phylogenetic tree was reconstructed with the PhyML software (41), using the best of nearest-neighbor interchange (NNI) and subtree pruning and regrafting (SBR) heuristic searches, based on an HKY85 nucleotide substitution model with a gamma distributed rate variation across sites. The reliability of the clustering was tested using the likelihood ratio test (LRT) as implemented in PhyML. Clades with an LRT of  $\geq 0.95$  were considered significant.

**Phylogenetic analysis of *spo0A* and the sporulation-specific  $\sigma$  factors.** Putative homologues of *spo0A* were identified in the Superfamily database 1.73 of structural domain assignments (42) by querying for proteins that contained the same domains as the protein in *B. subtilis* (52172 and 46894). We generated multiple sequence alignments with ClustalW 2.0.10 (40) and edited the alignment to remove gaps and regions of low alignment score by using the editor Jalview 2.3 (43). A neighbor-joining tree was built with Phylip 3.68 using the JTT substitution matrix (44). A maximum likelihood tree was constructed using RaxML v7.2.6 and the JTT substitution matrix with gamma distributed rate categories across sites (44). The reliability of the clades was evaluated using 100 bootstrap replicates (45). We considered true *spo0A* orthologues to be all the sequences that were monophyletic with the *B. subtilis spo0A* sequence in both trees.

We used a similar approach for  $\sigma$  factors. We defined putative  $\sigma$  factors in complete proteomes as all those proteins that had the two structural domains that characterize the  $\sigma^{70}$  family of  $\sigma$  factors (Superfamilies 88946 and 88659). This definition misses  $\sigma^K$  of *B. subtilis*, in which the final protein results from the fusion of two halves encoded by different genes (22, 26). To overcome this problem, we manually included the *B. subtilis*  $\sigma^K$  sequence for the alignment. We then aligned the sequences and estimated monophyly as described above. We considered true  $\sigma^F$ ,  $\sigma^E$ ,  $\sigma^G$ , and  $\sigma^K$  orthologues as all the sequences that were monophyletic with the corresponding *B. subtilis* sequence in both trees.

**Large-scale orthology mapping and definition of a minimal endospore formation core.** We manually compiled a list of 654 genes that are required for the initiation of sporulation, either leading to the production or activation of Spo0A, that are under the control of Spo0A but with established functions in sporulation, or that belong to each of the  $\sigma$  regulons ( $\sigma^F$ ,  $\sigma^E$ ,  $\sigma^G$ , and  $\sigma^K$ ) in *B. subtilis* (26, 46–63). We then mapped orthologues of these genes by searching for bidirectional best hits (BDBH) in other bacterial genomes (64). BDBH is a heuristic method to identify orthologues that has been shown to produce few false positives (64). A bidirectional best hit occurs when, in a BLAST search, given two genomes A and B, containing two genes a and b, gene a has gene b as a best match in genome B and gene b has gene a as a best match in genome A. To define a "hit," we used a cutoff of  $E < 10^{-2}$  in BLASTP. We assumed that genes present in more than 90% of the endospore-forming species but absent from nonendospore-forming species are essential and exclusive of sporulation. All comparisons of the number of orthologous genes were made with the nonparametric Kruskal-Wallis test with a Bonferroni correction for multiple comparisons.

**Strains and general methods.** The *B. subtilis* strains used in this work (see Table S2 in the supplemental material) are congenic derivatives of the Spo<sup>+</sup> strain MB24 (*trpC2 metC3*). LB medium was used for growth or maintenance of *E. coli* and *B. subtilis*, and sporulation was induced in Difco sporulation medium (DSM) (57). The efficiency of sporulation was determined 18 h after the onset of sporulation, as described previously (57).

**Mutant construction.** DNA fragments internal to the coding regions of the *ytaF* and *ytaG* genes (343 bp and 345 bp, respectively) were PCR amplified from MB24 genomic DNA with primer pairs ytaF1030D/ytaF1373R and ytaG312D/ytaG657R (all primers used herein are listed in Table S3 in the supplemental material). The PCR products were digested with BamHI and inserted into BamHI-cut pAH256 (57) to yield plasmid pMS399 (*ytaF*) or with BglII and inserted into BamHI-cleaved pUS19 (57) to yield pMS403 (*ytaG*). Next, competent cells of MB24 were transformed with pMS399 or pMS403, with selection to spectinomycin-resistant ( $Sp^r$ ) cells. These crosses produced the *ytaF* insertional mutant AH6676, which was shown by PCR analysis to result from the integration of pMS399 into the corresponding region of homology with the host chromosome by a single reciprocal crossover event (Campbell-type recombination). Attempts to inactivate *ytaG* by a single recombinant event using pMS403 were unsuccessful.

To construct a *ylmC* deletion mutant, a 992-bp PCR fragment upstream of the *ylmC* coding region was amplified with primers ylmC93D and ylmC1085R. The PCR product was digested with BglII, and a 285-bp fragment was inserted between the BamHI and EcoRV sites of pAH256 (57), yielding plasmid pCC1. Second, a 525-bp DNA fragment, downstream to the *ylmC* coding region, was PCR amplified with primers ylmC1200D and ylmC1725R, digested with EcoRI and XhoI, and inserted between the same sites of pCC1, yielding pMS397. Competent cells of MB24 were transformed with pMS397, with selection to  $Sp^r$ . A double-crossover event (marker replacement), verified by PCR, produced the *ylmC* deletion mutant AH6675.

To construct a *ylzA* deletion mutant, a 604-bp DNA fragment, upstream to the *ylzA* coding region, was PCR amplified with primers ylzA446D and ylzA1050R. The PCR product was digested with HindIII, and a 192-bp fragment was inserted between the HindIII and EcoRV sites of pAH256, to give pMS401. Next, a 517-bp DNA fragment, downstream of the *ylzA* coding region, was PCR amplified with primers ylzA1240D and ylzA1757R, digested with BglII and XhoI, and inserted between the same sites of pMS401, producing pMS402. Competent cells of MB24 were transformed with pMS402, with selection to  $Sp^r$ . This produced the *ylzA* deletion mutant AH6700, the result of a double-crossover event, which was verified by PCR.

To construct a *ymxH* deletion mutant, a 341-bp DNA fragment upstream to the *ymxH* coding region was PCR amplified with primers ymxH601D and ymxH942R. The PCR product was digested with HindIII and PstI and inserted between the same sites of pMS38 (65), yielding plasmid pMS448. Second, a 534-bp DNA fragment, downstream to the *ymxH* coding region, was PCR amplified with primers ymxH1117D and ymxH1651R, digested with BglII, and inserted between the SnaBI and BglII sites of pMS448, yielding pMS449. Competent cells of MB24 and AH6675 were transformed with pMS449, with selection to  $Cm^r$ , producing as the result of a double-crossover event, which was verified by PCR, the *ymxH* deletion mutant AH6870. Transformation of AH6870 with chromosomal DNA from strain AH6675 produced the double *ymxH ylmC* mutant AH6871.

**Transcriptional *gfp* fusions.** To create transcriptional fusions of the *ylmC*, *ylzA*, and *ytaF* promoter regions to *gfp*, the following PCR products were generated: a 306-bp fragment using primers ytaF699D and ytaF1005R; a 276-bp fragment using primers ylmC732D and ylmC1008R; a 163-bp fragment using primers ylzA842D and ylzA1005R. The PCR fragments carrying the *ytaF*, *ylmC*, and *ylzA* promoter regions were digested with HindIII and BamHI and ligated to pMS157 (49) digested with the same enzymes to produce pMS404, pMS405, and pMS406, respectively. Mutations in the promoter region of *ylzA* were constructed using primers P<sub>sigFylzAD</sub> and P<sub>sigFylzAR</sub> for the  $-10$  region of the  $\sigma^F$  promoter and plasmid pMS406 (see above), yielding plasmid pMS460 (mutations in the  $\sigma^F$  promoter). ScaI-digested pMS404, pMS405, pMS406, and pMS460 were used to transform the parental strain MB24, as well as a panel of congeneric mutants with deletions in the genes for  $\sigma^F$ ,  $\sigma^E$ ,  $\sigma^G$ ,  $\sigma^K$ , and *spoIIID*. Neomycin-resistant and AmyE<sup>-</sup> transformants, the result of

a double crossover at the *amyE* locus, were kept for further studies (see Table S2 in the supplemental material).

**Fluorescence microscopy.** Samples (0.6 ml) of DSM cultures were collected during sporulation and resuspended in 0.2 ml of phosphate-buffered saline, and the membrane dye FM4-64 (Molecular Probes) was added at a final concentration of 10  $\mu\text{g ml}^{-1}$  for visualization of membranes. Microscopy was carried out as described previously (66).

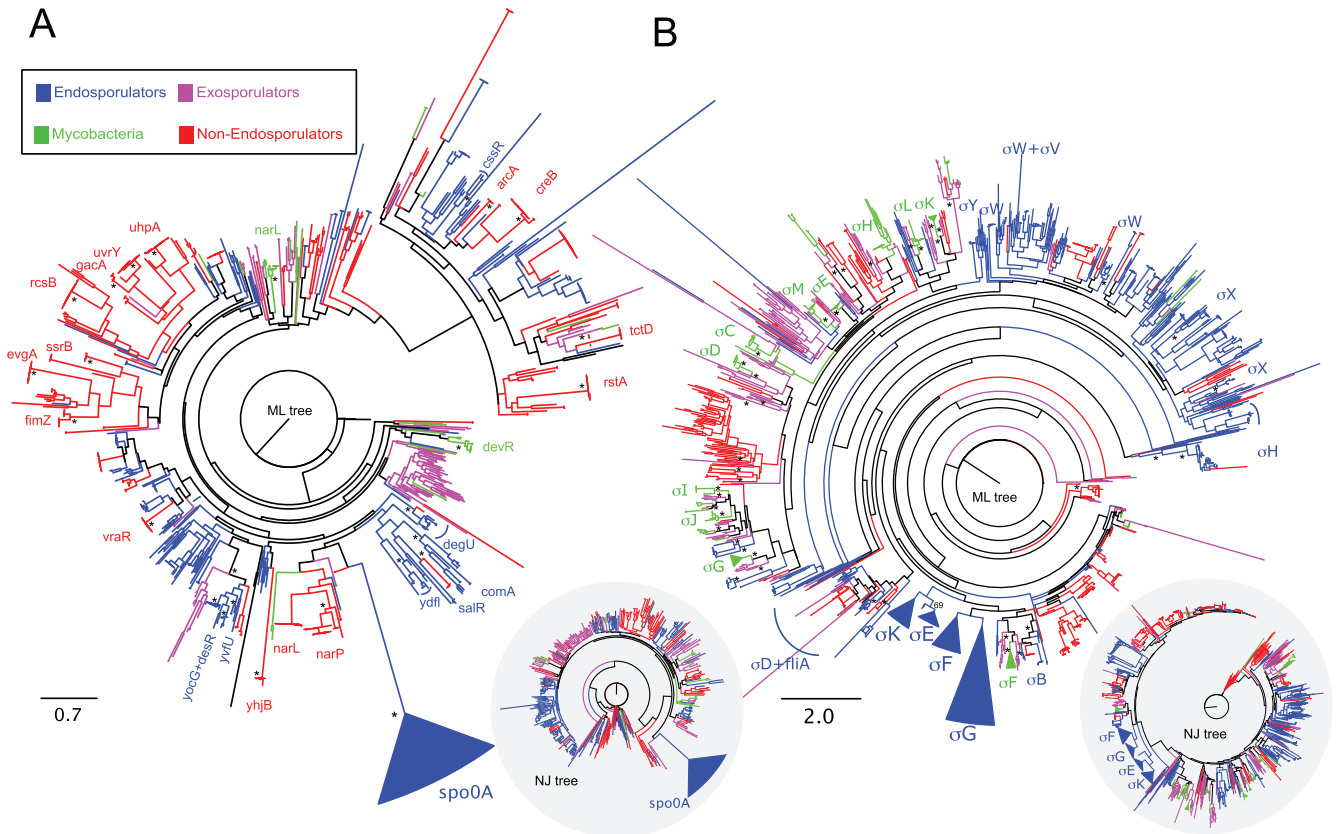
## RESULTS

**Data set and strategy used for analysis.** We started this investigation by calculating the phylogenetic extent of the endospore formation machinery, including its main regulatory proteins, across the tree of life, and contrasted it with the existence of endospore formation, exospore formation (as an example of a reportedly unrelated cell differentiation), or no-spore differentiation programs. This required a manual compilation of lifestyles of bacteria regarding spore formation (see the list in Table S1 in the supplemental material). It also required the definition of the endospore formation machinery based on published data for the model organism *Bacillus subtilis* (reviewed in references 19, 21, 22, 26, and 28). Detailed descriptions of the data sets and sources of information are provided above in Materials and Methods and in the supplemental material.

The identification of orthologues of the endospore formation program is central to our analysis. For the critical regulatory proteins governing entry and cell-type-specific gene expression during endospore formation (see also below), which belong to large paralogous families, we used a sensitive method to identify putative homologues and then used phylogenetic analysis to identify orthologues. For the hundreds of genes that comprise the endospore formation regulons, this approach was not practical, and so we defined orthologues as the bidirectional or reciprocal best BLAST hits to the *B. subtilis* regulon proteins. This is a widely used heuristic method that is well suited for mapping orthologues for many genes and has been shown recently to produce a low rate of false positives in the identification of true orthologues, although at the expense of sensitivity (67) (details for both approaches are provided in Materials and Methods).

**Evolutionary specificity of the endospore formation machinery: transcriptional regulators.** Spo0A is the master regulator governing entry into endospore formation, while 4 RNA polymerase  $\sigma$  factors govern gene expression in the two cells involved in endospore differentiation.  $\sigma^E$  and  $\sigma^K$  (active in the larger mother cell) and  $\sigma^F$  and  $\sigma^G$  (active in the forespore) define four regulons that act sequentially to govern progress through the morphological stages of sporulation (21, 22, 26, 28). We investigated their phylogenetic extent and whether these proteins are specific to endospore-forming organisms. We noted that  $\sigma^H$  is also active in predivisive cells, is required for sporulation, and is conserved in spore-forming *Bacillus* and *Clostridium* organisms (36) (see Fig. 2, below). However, orthologues of this  $\sigma$  factor are also present in non-spore-forming organisms (36).

We first calculated a phylogenetic tree of the putative homologues of Spo0A, defined as all proteins with the same domain architecture as *spo0A*, which has a distinctive DNA-binding domain (68). These included, besides known Spo0A proteins, other transcription factors, such as CreB, CsrR, DevR, and VraR, to name but a few, ranging from 0 to 94 sequences per species. In Fig. 1A we show the maximum likelihood tree of this family, which revealed a strongly supported monophyletic cluster of 44 sequences encompassing all Spo0A sequences from actual or puta-



**FIG 1** Phylogenetic analysis of the response regulator Spo0A (A) and the cell-type-specific  $\sigma^{70}$  factors that control endospore formation in *B. subtilis* (B) revealed that these regulatory factors are specific to endospore-forming species. The trees were constructed from amino acid sequences in the species data set that contained the same structural domain architecture as each of the types of regulators and were inferred by maximum likelihood (ML) and neighbor joining (NJ) (see Materials and Methods for details). Tree scales are in evolutionary distances according to the Jones-Taylor-Thornton (JTT) amino acid substitution model. Asterisks indicate nodes supported by a bootstrap value higher than 70%.

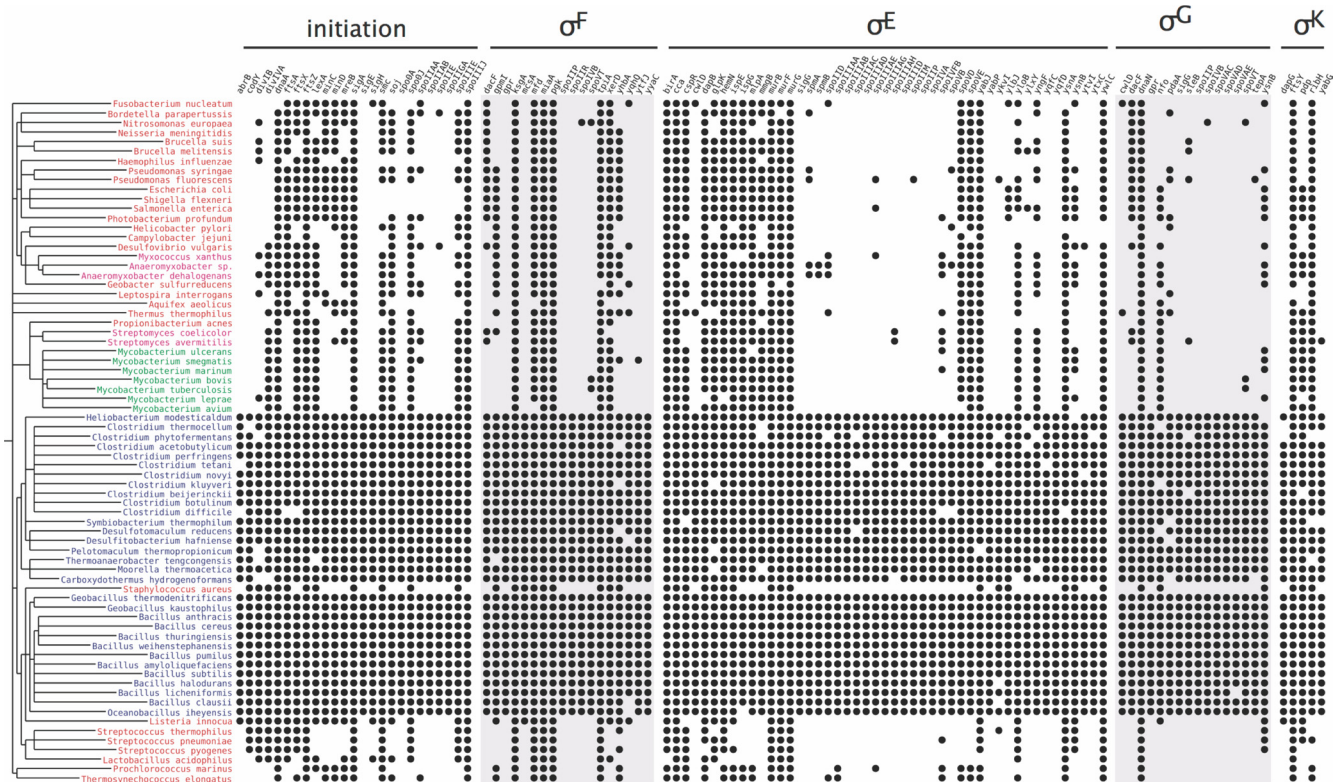
tive endospore-forming organisms but no other transcription factor. This tree topology unambiguously identified Spo0A and revealed that it is present in all known endospore formers, consistent with the notion that the protein is a synapomorphic trait (69; but see reference 36 and further discussion below). Moreover, the tree topology suggested a single origin from an early duplication, likely at the base of the *Firmicutes*. The observations that there is a single known or predicted Spo0A protein per endospore-forming species in the Spo0A cluster and that this cluster is congruent with a 16S RNA tree (see Fig. S1 in the supplemental material) support a single origin with neither additional duplication nor horizontal gene transfer events. Some of the genomes in the cluster correspond to a class of 30 presumed non-endospore-forming organisms that do code for Spo0A, as previously noted (36) (see below).

We next focused on the RNA polymerase  $\sigma$  subunits that control cell-type-specific gene expression during sporulation. As described for Spo0A, we used structural domain assignments to identify all  $\sigma$  factors in each genome, finding between 1 and 72 per species. Each of the four  $\sigma$  factors involved in endospore formation formed one monophyletic cluster within the *Firmicutes*, exclusively composed of known endospore-forming species (Fig. 1B). Thus, they are specific for endospore-formers, and as for Spo0A, they show synapomorphy. Of note, the phylogenetic analysis performed here did not support the idea that mycobacteria form endospores (29, 30, 31), as sequences annotated as  $\sigma$  factors for

representatives of these organisms fall in clusters distantly related to any of the  $\sigma$  factors present in endospore-formers. The only exception was the cluster formed by  $\sigma^F$  of *Mycobacterium* spp. and  $\sigma^B$  of endospore-formers, which are both involved in the stress response (70). Importantly,  $\sigma^B$  of *B. subtilis* is not required for sporulation, although  $\sigma^B$ -inducing signals can influence activation of Spo0A (71).

Thus, our results showed that the main cell-type-specific transcriptional regulators of endospore formation in *B. subtilis* are only found in known endospore-forming species, all of which are within the *Firmicutes* phylum. Moreover, they are absent from the other species investigated here, including the endospore-forming *Streptomyces* spp. and mycobacteria. In all, the confinement of Spo0A and the compartment-specific  $\sigma$  factors to known or putative endospore-formers within the *Firmicutes* is in line with the idea that the regulatory proteins of endospore formation are highly conserved (28) and is against the view that the mycobacteria form endospores.

**Evolutionary specificity of the endospore formation machinery: regulons.** In contrast, and contrary to non-endospore-formation-related genes, the genes coding for the machinery that governs morphogenesis of the endospore are less conserved, with the proteins forming the feed-forward loops showing an intermediate degree of conservancy (28). Conceivably, this machinery could be quite old but under the control of different sets of regulators in the different branches of the bacterial tree. To test this hypothesis, we com-

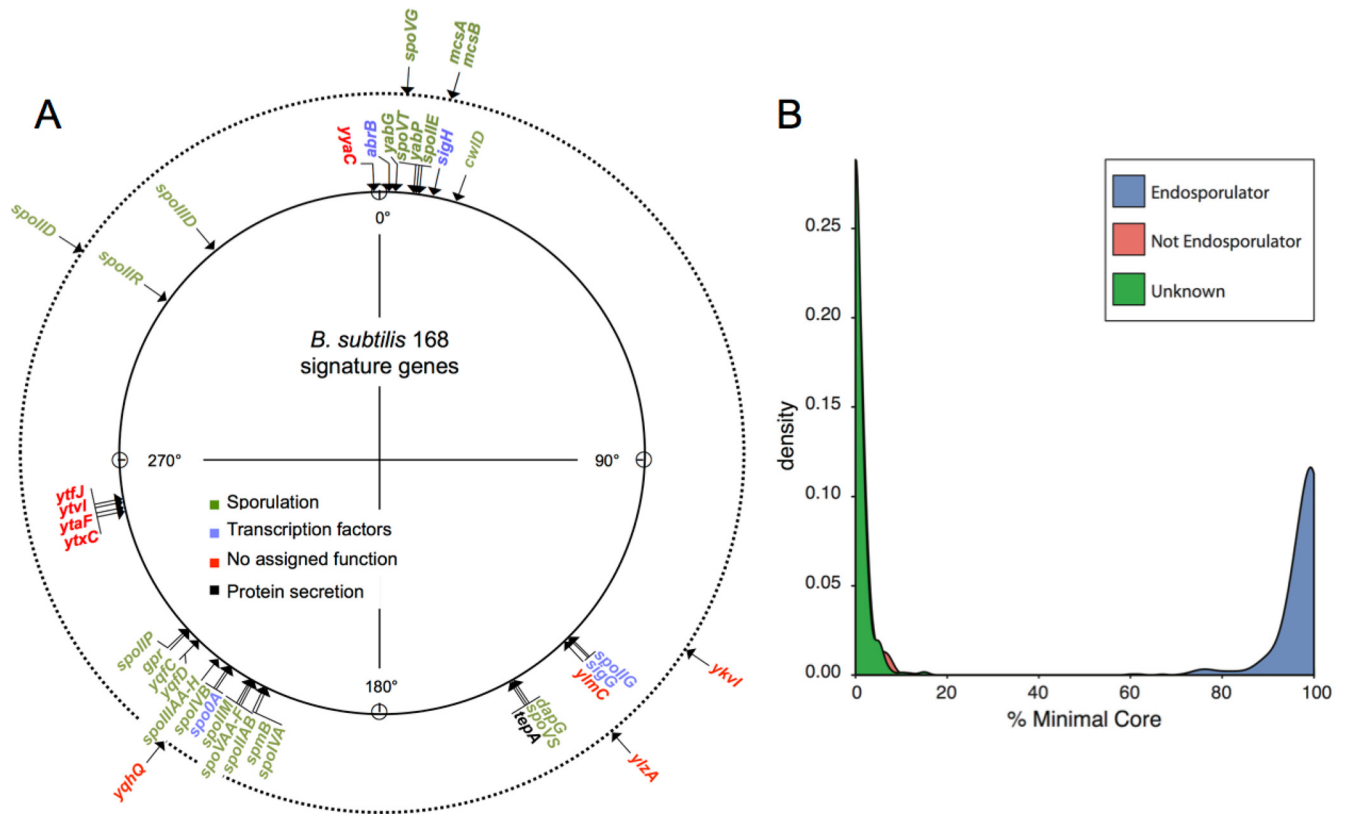


**Fig 2** The phylogenetic extent of the core endosporation machinery reveals that a substantial part of the machinery is restricted to endospore-forming species. Proteins are grouped according to the regulatory protein that controls their production (top), species are shown on the NCBI taxonomic tree (left), and lifestyle is denoted by color, with endospore-formers, exospore-formers, mycobacteria, and nonendospore-formers shown in blue, pink, green, and red, respectively. The presence of an orthologue for a given protein in a given species is indicated by a black dot.

puted the phylogenetic extent of the minimal core of the sporulation machinery. This machinery was defined based on literature searches, through which a compilation of 654 sporulation genes was produced (26, 46–63), in good agreement with the recent compilation reported by Galperin and colleagues (654 genes also [36]). We then reached a core of 111 genes, defined as the subset of the genes that were present in at least 90% of the endospore-forming bacteria. The phylogenetic profile of these 111 core genes is shown in Fig. 2. It is immediately obvious that the minimal core of the endospore-forming machinery is poorly conserved in all other organisms: nonsporulating organisms have a median number of orthologues of 45, compared to known endospore-formers ( $P = 1.4 \times 10^{-10}$ ; Kruskal-Wallis test). Exospore-forming organisms do not share any more of the endospore-forming machinery (median conservation of 50;  $P = 1.8 \times 10^{-3}$ ). Finally, mycobacteria have a median number of orthologues that is even smaller (44;  $P = 2.0 \times 10^{-04}$ ) (see Fig. S2 in the supplemental material).

There are 45 genes present in more than 90% of all bacteria analyzed, and these are found in many nonsporulating bacteria. These 45 genes, together with all analyzed core genes, are delineated in Fig. S3 in the supplemental material for each of the regulons considered. An analysis of the annotated function of these 45 genes showed that they play roles essential for bacterial life that are also important for spore differentiation. In the Spo0A regulon, examples include *ftsZ*, which is essential both for division at mid-cell during vegetative growth and for asymmetric division at the onset of sporulation, the ParA/B-type chromosome-partitioning

proteins Spo0J/Soj and the DNA translocase SpoIIIE (which also participate in forespore chromosome segregation) (21, 26), and *spoIIIE*, which encodes a membrane protein translocase essential for growth in most organisms (a redundant paralogue exists in *B. subtilis*) that has an irreplaceable role in the assembly of a cell-cell signaling complex during sporulation (66) (see below). In the  $\sigma^E$  regulon, several of the non-sporulation-specific genes code for metabolic functions, including peptidoglycan synthesis; *spoVE*, for example, codes for a cortex-specific SEDS family member whose vegetative counterparts control peptidoglycan biosynthesis during cell elongation or cell division, most likely acting as precursor flipases (72). A few genes in the  $\sigma^F$  and  $\sigma^G$  regulons also code for enzymes involved in peptidoglycan remodeling with vegetative counterparts (*dacF* and *pdaA*) or general functions of the cell (*tpiA*, involved in protein secretion; *pgk*, coding for phosphoglycerate kinase, an enzyme of the glycolytic pathway; or *mfd*, coding for a transcription-repair coupling factor). In the  $\sigma^K$  regulon, *ftsY* codes for the signal recognition particle receptor, *ribH* codes for a riboflavin synthase, and *yabG* codes for a serine-type protease involved in maturation of the spore coat (see Fig. S3). Very few  $\sigma^K$  target genes are sporulation specific, presumably because many code for species-specific spore surface proteins (19, 28, 36). In general, the non-sporulation-specific genes are equally distributed among non-endospore formers (exospore-formers and mycobacteria alike) (see Fig. S3). In consonance with the results of a previous study (36), about 60 genes code for proteins that are specific for sporulation.



**FIG 3** Genomic signature for endospore formation. (A) The signature is defined as those genes present in 90% of endospore-forming bacteria and in no more than 5% (inner circle) or 10% (outer circle) of the remaining bacterial species. Note that the first gene of the *spoIIIA* operon is only found at the 10% cutoff. Genes with an established function in sporulation, genes coding for the RNA polymerase  $\sigma$  factors that control gene expression during sporulation and genes encoding global transcriptional regulators are shown, as is one gene, *tepA*, with a predicted function in protein secretion but which has not yet been implicated in sporulation. Also shown are genes with no assigned function. The positions of the genes are shown in degrees in the *B. subtilis* 168 chromosome. (B) Percentage of the minimal core in genomes, showing that endospore-forming organisms all have a high proportion of this signature, and that all mycobacteria and exospore-forming organisms have less than 20% of this signature. Those organisms not known to sporulate but that show a proportion of the signature comparable to the known endospore-formers are predicted to be able to form endospores.

**A genomic signature of endospore formation.** Equipped with the knowledge that many components of the endospore formation machinery are specific to endospore-forming bacteria, we next defined a genomic signature for endospore formation as those genes that are found in 90% of endospore-forming organisms and present in no more than 5% (or in no more than 10%) of the nonendospore-formers. The choice of these values, as opposed to an all-or-nothing 100% versus 0%, allows for potential errors that may arise out of false-negative or -positive detection of orthologues, lower-quality genome sequences, etc. This signature takes the form of a binary vector, where each coordinate, 0 or 1, defines the presence or absence of an orthologue for a specific gene in the signature. It includes 40 genes when a cutoff of 5% is used and an additional 8 genes when a cutoff of 10% is used (Fig. 3A). Genes with a known function in endospore formation prevail in both lists.

We then used this signature to query a larger data set of bacterial genomes and computed the fraction of the signature that was detected in each genome. The results of this analysis (Fig. 3B) clearly showed that known endospore-forming bacteria, with higher-than-75% conservation of the signature, are clearly distinguishable from non-endospore-formers, which have less than 10% of the signature. Our signature is sufficiently robust to identify *Carboxydotherrnus hydrogeniformans* as a spore former (95% of

the signature detected), one of the endospore-forming organisms with the smallest genome (73). It is also robust to phylogenetic proximity, as it predicts that *Clostridium sticklandii* and *Bacillus selenitireducens* (12.5% and 15% of the signature detected) are not spore formers, even though their phylogenetic proximity would suggest otherwise. *C. sticklandii* was originally isolated from anoxic black muds in the San Francisco Bay area (74); *B. selenitireducens* is a haloalkaliphilic organism that requires a hypersaline environment with a pH in the range of 8.5 to 10 and was found in anoxic muds of lake sediments (75). Life in a stable, predictable environment may explain the loss of the ability to sporulate (76).

**Predicting new endospore-forming organisms.** With the endospore formation spore signature, we can now predict how likely it is that a given bacterium will be able to form endospores. The set of predictions included several polyextremophiles and gut-associated bacteria. A selection of these predictions is shown in Table 1 for those cases where the sporulation capability was not easily predictable by phylogenetic proximity to other spore-forming species or was considered absent. While several anaerobic haloalkaliphilic, alkalithermophilic bacteria are known to form spores (102), other thermophiles, like the saccharolytic *Thermoanaerobacter pseudethanolicus* (77) or the plant biomass-degrading organisms of the *Caldicellulosiruptor* genus, reportedly do not. Our

TABLE 1 Putative new endospore-forming species

Species	% of signature present	Reference(s)
<i>Thermoanaerobacter</i> sp. strain X513	100	77
<i>Thermoanaerobacter pseudethanolicus</i> ATCC 33223	100	77
<i>Thermoanaerobacter</i> sp. strain X514	100	77
<i>Thermincola potens</i> JR	97.5	78
<i>Thermosediminibacter oceani</i> DSM 16646	97.5	79, 80
<i>Halothermothrix orenii</i> H 168	97.5	81, 82*
<i>Natranaerobius thermophilus</i> JWNM-WN-LF	95	83, 84
<i>Syntrophothermus lipocalidus</i> DSM 12680	95	85, 86
" <i>Candidatus</i> Desulforudis audaxviator" MP104C	95	13**
<i>Ammonifex degensii</i> KC4	92.5	87
<i>Thermaerobacter marianensis</i> DSM 12885	90	88, 89
<i>Anaerocellum thermophilum</i> DSM 6725	85	90, 91
<i>Ethanoligenens harbinense</i> YUAN-3	85	92
<i>Caldicellulosiruptor hydrothermalis</i> 108	82.5	93, 94
<i>Caldicellulosiruptor kristjanssonii</i> 177R1B	80	93, 95
<i>Caldicellulosiruptor kronotskyensis</i> 2002	80	93–95
<i>Caldicellulosiruptor owensensis</i> OL	77.5	93, 96
<i>Caldicellulosiruptor obsidiansis</i> OB47	77.5	97, 98
<i>Caldicellulosiruptor saccharolyticus</i> DSM 8903	77.5	145
<i>Eubacterium eligens</i> ATCC 27750	72.5	99, 100
<i>Eubacterium rectale</i> ATCC 33656	62.5	99, 100
<i>Ruminococcus albus</i> 7	60	101

\*\*\*, genomic study revealed many sporulation genes (82); \*\*, spore-like structures were detected.

results suggest that the first group does form endospores, as it matches 100% of the endospore formation signature, and that species of *Caldicellulosiruptor* will also form spores, as between 77.5 and 82.5% of the signature is present (Table 1). This in turn suggests that endospore formation may be a general feature of this genus, even though the conditions for its occurrence have not been identified yet. In fact, this statement may be true for several other bacteria that have been considered non-spore-forming bacteria (Table 1).

Other thermophiles or thermohalophiles, such as *Thermincola potens* (78), *Thermosediminibacter oceani* (79), *Thermaerobacter marianensis* (88), *Syntrophothermus lipocalidus* (85), or *Ammonifex degensii* (87), share at least 92.5% of the signature and most likely are able to form endospores (Table 1). The halophilic alkalithermophile *Natranaerobius thermophilus* (83) shares 95% of the signature and is also a possible endospore former (Table 1). Of note, *T. oceani* has a Gram-negative-type envelope, like the recently characterized *Acetonebacterium longum*, which during germination converts the inner spore membrane into an outer membrane (18).

Interestingly, the group of predicted endospore formers also includes several organisms that are found in association with the gastrointestinal tract of various animals. *Eubacterium rectale* (62% of the signature) and *Eubacterium eligens* (72%), for example, are predicted to form endospores (Table 1). Importantly, these organisms, which belong to *Clostridium* cluster XIVa, one of the most common gut *Firmicute* clades, are prevalent in the human gut (99, 100). Also, the finding that *Ruminococcus albus* 7, a prevalent rumen cellulolytic species, carries 60% of the signature suggests that it forms endospores, despite the fact that the organism is labeled as a non-spore-forming bacterium (101). Several of the organisms herein predicted to form endospores were also found in a recent study to be apparent non-spore-forming organisms (but that code for Spo0A) (36). However, and as shown here, the pres-

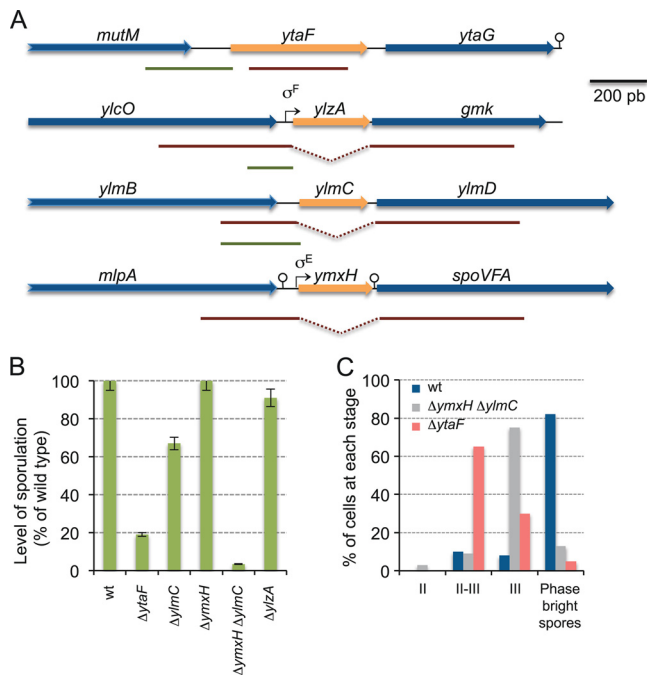
ence of a significant part of the genomic signature clearly suggests that some of these organisms are capable of endospore differentiation.

**Signature genes.** Our sporulation signature includes, as expected, many known components of the sporulation machinery as defined by studies with *B. subtilis* (Table 1). The signature includes the genes for regulatory proteins Spo0A,  $\sigma^E$ ,  $\sigma^G$ , and the ancillary transcription factors SpoIID and SpoVT, the engulfment genes *spoIID*, *spoIIM*, and *spoIIP*, the gene coding for the SpoIVA ATPase involved in assembly of the spore surface layers, and the *spoVA* operon, which is required for transport of dipicolonic acid, a compound characteristic of bacterial endospores, into the forespore (reviewed in references 19, 26, 22, 28, and 103). It also includes genes for proteins that function in cell-type-specific activation of the sporulation  $\sigma$  factors, including the anti- $\sigma^F/\sigma^G$  factor SpoIIB, the SpoIIE phosphatase involved in antagonizing SpoIIB in the forespore, the  $\sigma^F$ -controlled SpoIIR protein, which is secreted to the intermembrane space and required for the activation of pro- $\sigma^E$  in the mother cell (reviewed in reference 26), and the  $\sigma^E$ -controlled *spoIIIA* operon, which codes for a transport system that connects the mother cell to the forespore (66, 104–107).

**Uncharacterized signature genes.** For a group of 3 genes, *ytaF*, *ylmC*, and *ylzA*, however, a function in sporulation had not been tested when we started our study. In recent work, however, the *ylmC* and *ylzA* genes were also identified through phylogenetic analysis (38). Secondary structure predictions suggest that YtaF is a membrane protein, with at least 4 transmembrane (TM) domains (see Fig. S4A in the supplemental material). YtaF also shows structural similarity to several calmodulin-like  $\text{Ca}^{2+}$ -binding proteins, in particular to EhCaBP1 from *Entamoeba histolytica* (PDB 3LI6). EhCaBP1 is a  $\text{Ca}^{2+}$ -binding protein with four canonical EF-hand  $\text{Ca}^{2+}$ -binding motifs (108, 109). A stretch of 12 amino acid residues in YtaF aligns with the  $\text{Ca}^{2+}$ -binding motifs of EhCaBP1 as well as with the 12-residue-long consensual  $\text{Ca}^{2+}$ -binding motif of EF-hand proteins (see Fig. S4A). Moreover, the  $\text{Ca}^{2+}$ -binding motif in YtaF is located in a loop that connects two predicted  $\alpha$ -helices, presumably corresponding to helices E and F of the motif, with helix F, corresponding to the fourth TM domain of the protein (see Fig. S4A). Thus, it appears likely that YtaF is a  $\text{Ca}^{2+}$ -binding protein.

The *ylzA* (or *remA*) gene has been shown to be required for activating expression of the extracellular matrix biosynthetic operons during biofilm development by undomesticated strains of *B. subtilis* (110). A search for structural homologues revealed the similarity of YlzA to ribose-5-phosphate isomerases (RPI), with the highest similarity shared with the protein from *Bartonella henselae* (PDB 3HHE) (see Fig. S4B in the supplemental material).

As also noted by Traag and coauthors (38), YlmC shows structural similarity to several proteins containing a PRC barrel domain (see Fig. S4C). The PRC barrel is an all- $\beta$ -sheet fold domain originally found in the H subunit of the purple photosynthetic reaction center of *Rhodospseudomonas viridis* and *Rhodospseudomonas sphaeroides* (111). The H subunit has a founder role in the assembly of the complex, interacting with other subunits via different surfaces (111). The H subunit also participates directly in the electron transfer reactions during the photosynthetic reaction, with an acidic residue (E173 in the PRC H protein of *R. viridis*) directly participating in the reaction (111). A homologous residue may be involved in nonphotosynthetic electron transfer reactions



**FIG 4** Identification of new endospore genes and their functional analysis in *B. subtilis*. (A) The region of the *ytaF*, *ylzA*, *ylmC*, and *ymxH* genes in the *B. subtilis* chromosome, with possible promoters and transcriptional terminators represented by broken arrows and stem-loop structures, respectively. The red lines below the physical map represent the inserts in the plasmids used to disrupt the indicated genes by means of a single-reciprocal (*ytaF*) or a double-crossover (*ylmC*, *ymxH*, and *ylzA*) event. The green lines represent the sequences transcriptionally fused to the *gfp* gene. (B) Impacts of insertional mutations in the indicated genes on the ability of the resulting strains to form spores, as assessed by comparing the titers of heat-resistant spores to the total (viable) cell count. The results presented are the averages of three independent experiments, and are shown, for each mutant, as the percentage of the sporulation level obtained for the congenic reference ( $Spo^+$ ) strain MB24 in DSM. (C) Quantitative analysis of the stage at which the indicated mutations affect sporulation, as determined by fluorescence (following staining with FM 4-64) and phase-contrast microscopy of cells collected at hour 8 of sporulation in DSM. The wild type is shown as a reference. Stage II, septation completed; stage III, engulfment completed.

in nonphotosynthetic organisms (111). However, a homologous acidic residue is absent from many PRC barrel proteins, including those of the RimM family, which are involved in maturation of the ribosome and 16S rRNA processing (111). Together with the founder role of PRC H, this has led to the suggestion that the PRC barrel domain also has a role in mediating protein-protein interactions (111). The functionally important acidic residue is not conserved in the YlmC (see Fig. S4C) or YmxH (see below) proteins of *B. subtilis*. YlmC showed the highest structural similarity to a protein from *Methanobacterium thermoautotrophicum* (PDB 1PM3). Strikingly, this protein, which lacks the conserved acidic residue, oligomerizes to form a large honeycomb-like reticular structure that is thought to serve as an adaptor for the assembly of protein complexes (112).

**The genomic signature reveals new genes required for endospore formation.** To test for a function(s) of *ylzA*, *ylmC*, and *ytaF* in sporulation, these 3 genes were individually disrupted in *B. subtilis* (Fig. 4A). None of the 3 mutations interfered with the ability of *B. subtilis* to form colonies or to grow on rich media (data not shown). However, when tested in sporulation medium in parallel

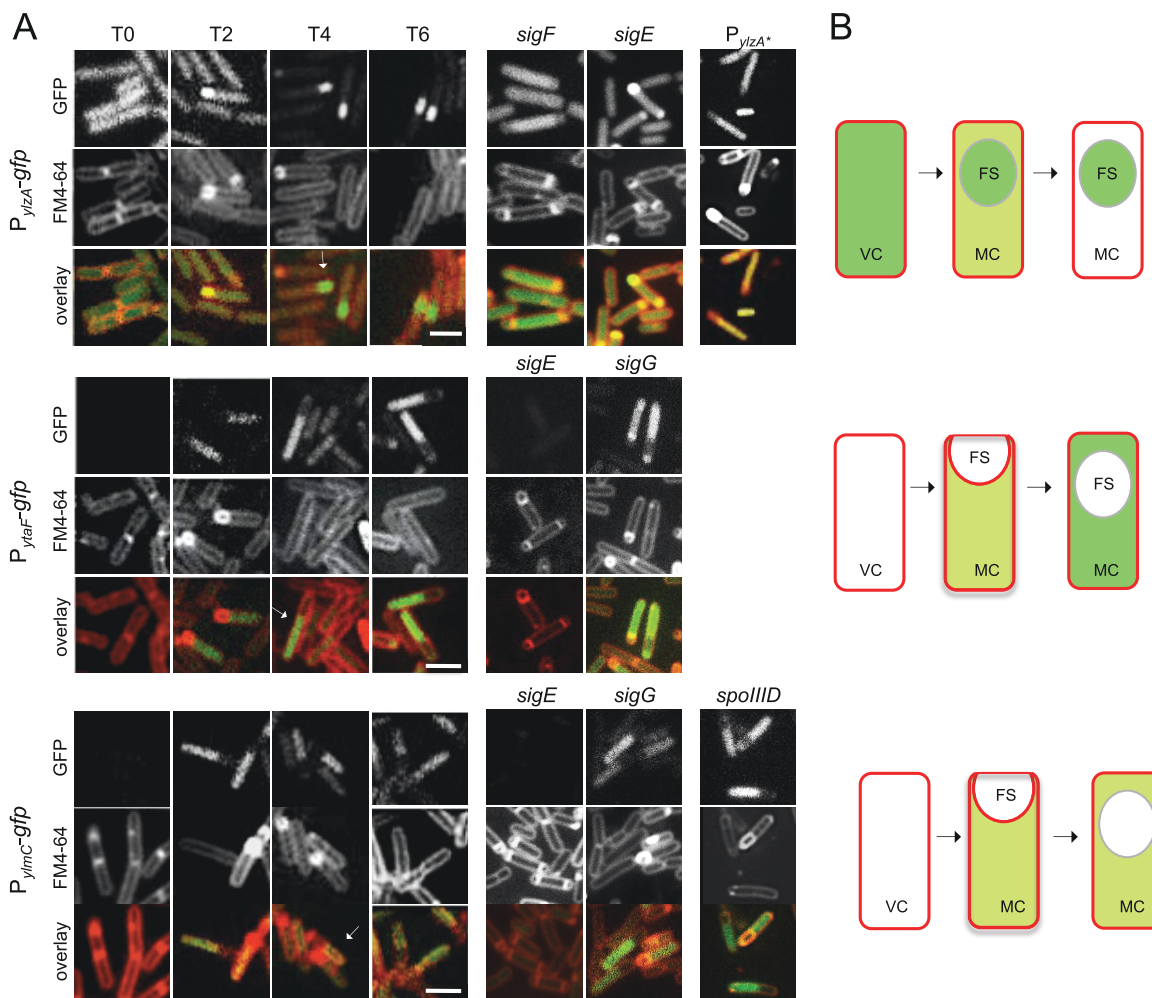
with a congenic reference strain, disruption of the *ytaF* gene caused a 10-fold reduction in the efficiency of sporulation, as assessed by the titer of heat-resistant spores produced in DSM (Fig. 4B). To determine the stage blocked in the mutant, we used fluorescence and phase-contrast microscopy. Progress through the early stages of sporulation was followed using the membrane stain FM4-64, which enables the forespore to be differentiated from the mother cell until the end of the engulfment process. Following engulfment completion, the stage of forespore maturation was determined by phase-contrast microscopy. Cells of the *ytaF* mutant divided asymmetrically (morphological stage II) but failed to complete engulfment of the forespore by the mother cell (stage III) (Fig. 4C). Thus, the mutant was blocked at stage II-III of sporulation. The *ytaF* gene is followed by a gene of unknown function, *ytaG*, which in turn is separated by a putative transcriptional terminator from the convergent gene for a putative dehydrogenase (Fig. 4A). To test for a polar effect caused by insertion into the *ytaF* gene, we repeatedly but unsuccessfully tried to disrupt the *ytaG* gene by the single-reciprocal crossover integration of a plasmid (pMS403) (Fig. 4A). Our failure to obtain transformants is in line with the results of a previous study in which *ytaG* was found essential for growth and viability of *B. subtilis* (113). It also suggests that the sporulation phenotype imposed by the *ytaF* mutation is not due to a polar effect on the expression of *ytaG*.

In contrast, and as also found by Traag and coauthors (38), inactivation of *ylzA* or *ylmC* did not affect sporulation. The lack of a sporulation phenotype for the *ylmC* mutant could be due to the presence in the genome of *B. subtilis* a paralogous gene, *ymxH* (53, 114), which also codes for a PRC barrel protein (highest similarity detected with an uncharacterized protein from *Rhodospseudomonas palustris* [PDB 3HTR]) (data not shown). To test the idea that these two genes have a redundant function, we disrupted the *ymxH* gene (Fig. 4A) and we also constructed a double *ylmC ymxH* mutant. While the *ymxH* single mutant sporulated to wild-type levels, the double mutant showed a reduction of 100-fold in the efficiency of sporulation (Fig. 4B). By using fluorescence and phase-contrast microscopy, we were able to determine that most sporangia of the *ylmC ymxH* mutant completed engulfment of the forespore by the mother cell but that the engulfed forespores did not develop refractility (Fig. 4C). Within the limits of light microscopy, we inferred that the mutant is blocked at morphological stage III of sporulation. In the case of the *ylzA* gene, we also transferred the mutation to an undomesticated strain of *B. subtilis*. As expected, the resulting *ylzA* mutant was defective in biofilm formation and also showed a delay in spore formation during biofilm formation, which is typical of mutants with blocks in matrix production (110) (data not shown). Both phenotypes, defective biofilm and spore formation, were corrected when a copy of the *ylzA* gene was introduced at the nonessential *amyE* locus (data not shown). However, the *ylzA* mutation did not detectably interfere with sporulation of the undomesticated strain in DSM (Fig. 4B).

In all, and in consonance with earlier work (38), the results of this analysis identified 3 new sporulation loci in *B. subtilis*, *ytaF*, *ylmC*, and *ylzA*, based on the prediction that gene conservancy among spore formers is an indication for an essential function in spore development.

**The novel sporulation genes show cell-type-specific expression.** To localize the expression of the newly identified sporulation genes, as well as *ylzA*, we constructed transcriptional fusions of the putative promoters of the *ylmC*, *ylzA*, and *ytaF* genes to *gfp*. The





**FIG 5** *ylmC*, *ytaF*, and *ylzA* are expressed during spore development. (A) Expression of transcriptional fusions of *ylmC*, *ytaF*, and *ylzA* to *gfp*, inserted at the nonessential *amyE* locus of a wild-type strain or the indicated mutant, during sporulation in DSM.  $P_{ylzA^*}$  refers to a fusion bearing two substitutions in the  $-10$  promoter element of a putative  $\sigma^F$ -dependent promoter (see the text for details). Samples were withdrawn from cultures at the represented times (in hours) after the onset of sporulation (or T0). The cells were stained with the membrane dye FM4-64 (middle column for each strain), prior to observation by fluorescence microscopy. The arrowheads point to the position of the mother cell (white) and forespore (yellow) compartments in the selected cells. Bar, 2  $\mu$ m. (B) Schematic representation of the expression patterns found for the *ylmC*, *ytaF*, and *ylzA* genes; pale green denotes weak expression, and the darker green indicates stronger accumulation of GFP.

fusions were inserted in *trans* at the nonessential *amyE* locus, and the time and compartment of expression during sporulation were examined by fluorescence microscopy. Fluorescence from the  $P_{ylzA}$ -*gfp* fusion was detected during vegetative growth and in the mother cell following asymmetric division at the onset of sporulation (Fig. 5A and B, top row). In addition, green fluorescent protein (GFP) accumulated strongly in the forespore soon after asymmetric division. Inspection of the region upstream of the *ylzA* gene revealed possible  $-35$  (GTTTA) and  $-10$  (GGCTAAA CTA) elements (located between  $-162$  and  $-132$  relative to the start codon) recognized by  $\sigma^F$ , the early prespore-specific  $\sigma$  factor ( $-35$ , GYATA;  $-10$ , GG--A-AHTR, where Y is C or T, H is A, C, or T, and R is A or G; hyphens in the  $-10$  sequence indicate spaces) (50). This suggested that expression of *ylzA* in the forespore could be governed by  $\sigma^F$ . Three observations are in line with this idea. First, disruption of the *sigF* gene abolished expression of the  $P_{ylzA}$ -*gfp* fusion (Fig. 5A, top). Second, point mutations designed to eliminate the  $-10$  element of the putative  $\sigma^F$  promoter

(changing it to GGCTCACCA) also abolished expression of the  $P_{ylzA}$ -*gfp* fusion (Fig. 5A, top). Third, expression of *ylzA* increased and became confined to the forespore at late times in development (Fig. 5A and B). In contrast, disruption of the gene for the first mother cell-specific  $\sigma$  factor,  $\sigma^E$ , did not eliminate fluorescence in this compartment (Fig. 5A, top), suggesting that accumulation of GFP in the mother cell results from expression of *ylzA* in predivisional cells. Using *lacZ* fusions to analyze expression of the *ylzA* gene, Traag and coauthors came to the conclusion that expression was not specifically induced during sporulation (38). However, because the expression level of *ylzA* during growth is high (Fig. 5A), the specific increase we noted here in the forespore compartment may have been missed in that previous study.

*ytaF* and *ylmC* showed weak expression in the mother cell soon after asymmetric division (Fig. 5A and B, middle and bottom rows, respectively). Expression of both genes continued in the mother cell following engulfment completion but became much stronger for *ytaF* (Fig. 5A). The expression from both fusions was

dependent on  $\sigma^E$ , which is in agreement with the time and localization of the GFP signal (Fig. 5). Using *lacZ* fusions, Traag and coauthors also found the expression of *ylmC* to be under  $\sigma^E$  control (108). However, a contribution from  $\sigma^K$ , the late mother cell-specific  $\sigma$  factor, to the expression of the *ylmC* and *ytaF* genes cannot be ruled out. Surprisingly, we were not able to find, in the regulatory regions of *ylmC* or *ytaF*, consensus sequences for either of the mother cell-specific  $\sigma$  factors (data not shown). However, the expression of *ylmC* appeared to be repressed by SpoIID (Fig. 5A). We also note that the *ylmC* paralog *ymxH* has been found to be under the control of  $\sigma^E$  (52).

## DISCUSSION

The divergence of *Firmicutes* from other prokaryotic phyla has been estimated to have occurred somewhere around 2.5 to 3.0 billion years ago (115). As the Great Oxidation Event occurred ~2.3 billion years ago (116), endospore formation could have developed as a way to cope with rising  $O_2$  levels or other changing environmental conditions associated with this period of Earth's history. It is interesting that the group of known or predicted endospore-forming bacteria includes many thermophilic organisms (Table 1). Several of these extremophile organisms cluster in a group that seems more ancient than *Bacillus* or *Clostridium* (see Fig. S1 in the supplemental material). Endospore formation may have evolved through strong selective pressure for survival under a set of extreme conditions, and perhaps several lines used the faculty to form highly resistant endospores to survive new environmental challenges and to explore with success new niches. For instance, protozoan grazing is a major force that shapes the structure of bacterial populations, but endospores are resistant to digestion (117, 118), and several endospore formers live in close association with the gastrointestinal tract of their hosts. This is well documented for the guinea pig symbiont *Metabacterium polyspora* and certain morphotypes of the surgeonfish symbionts *Epulopiscium* spp. (37, 119, 120) for the "segmented filamentous bacteria," which are mammalian intestinal symbionts related to *Clostridium* (121–126), and for many species that colonize the insect gut, including the termite symbiont, didermic organism *Acetoneema longum* (18). Species like *B. subtilis* may cycle continuously between the soil and the GI tract but can nevertheless complete its entire life cycle in the gut (127). Our suggestion that *E. rectale* and *E. eligens*, prevalent in the human gut (99, 100), are able to endospore emphasizes the connection between endospore formers and the gut (Table 1). The association of spore-forming bacteria with the GI tract also extends to pathogenic species, as well typified by the strictly anaerobic human and animal pathogen *Clostridium difficile*, which uses the anaerobic colon to propagate (producing two potent cytotoxins) and to form spores through which the organism disseminates and can reinfect its hosts (7).

The clustering of nonendospore-forming species interspersed among the endospore-forming species cluster (see Fig. S1 in the supplemental material) has been attributed to the loss of the ability to endospore (28). One explanation is that loss of endospore formation is advantageous for organisms living in a fairly constant environment (76). The genes present in the minimal endospore formation core are specific to the endospore-forming species of the *Firmicutes* phylum (references 35 to 37 and this work), indicating that no significant horizontal gene transfer events occurred for this developmental program. Moreover, the lack of orthologues of ~60 genes known to be involved in endospore formation in other

nonendospore-forming species indicates that many protein families exist exclusively in endospore-formers (reference 36 and this work). Together, these facts indicate that endospore formation is specific to endospore-forming species of the *Firmicutes* phylum, provides evidence in favor of an independent, single origin of the endospore-forming program at the basis of the *Firmicutes* phylum, and in line with other analyses (29), evidence against the reported capacity of *Mycobacterium* spp. to endospore (30, 31). If *Mycobacterium* can indeed endospore, then the origin of the endospore-forming program could lie further back in the tree of life (see Fig. S1), with the implication that many other organisms are perhaps able to endospore. We also noted that mycobacteria belong to the *Actinobacteria* phylum, which also includes the *Streptomyces* genus, members of which differentiate exospores in a process unrelated to endospore formation (128, 129).

The signature genes herein identified point to important features of endospore formation. For instance, the inclusion of the genes for a mother cell ( $\sigma^E$ ) and a forespore-specific  $\sigma$  factor ( $\sigma^F$ ; but  $\sigma^F/\sigma^G$  and  $\sigma^E/\sigma^K$  are very similar proteins) as well as genes (*spoIID*, mother cell specific, and the forespore-specific *spoVT*) involved in establishing the feed-forward loops that characterize the regulatory network of sporulation in *B. subtilis* (28). It is the specific activation of  $\sigma^F$  in the forespore that sets in motion the program of cell-type-specific gene expression. The pathway leading to the activation of  $\sigma^F$  involves *spoIIAA*, *spoIIAB* (the first and second cistrons of an operon that also codes for  $\sigma^F$ ), and *spoIIIE* (reviewed in reference 130). In the presence of ATP, SpoIIAB can bind to and inhibit  $\sigma^F$ , preventing its interaction with core RNA polymerase. This inhibition is counteracted by SpoIIAA, which acts as an anti-anti- $\sigma$  factor. SpoIIAB can form an ADP-dependent complex with SpoIIAA and is also a serine protein kinase, which phosphorylates and thereby inactivates SpoIIAA. SpoIIAB inhibits  $\sigma^F$  in predivisional cells and in the mother cell compartment of the sporulating cell. Dephosphorylation of SpoIIAA by SpoIIIE, which localizes to the asymmetric septum, allows it to bind to SpoIIAB, releasing  $\sigma^F$  and triggering forespore-specific gene expression. Although SpoIIAA is found in more non-spore-forming organisms than SpoIIIE, both proteins appear to be conserved to the same extent among spore formers (Fig. 2; see also Fig. S3 in the supplemental material), suggesting conservation of the  $\sigma^F$ -activating pathway. It is possible that other important elements required for the proper activation of  $\sigma^F$ , including the instability of the protein and transient genetic asymmetry (the exclusion of *spoIIAB* from the forespore at an early stage in chromosome segregation) (132, 133) have also been conserved. SpoIIIE also promotes polar septum formation, a function that requires its phosphatase domain (although not its catalytic activity) (131), and that may also have been conserved.

Another important signature gene is *spoIIR*, which is transcribed in the forespore as soon as  $\sigma^F$  is activated (134, 135). The SpoIIR protein is secreted to the intermembrane space and triggers the proteolytic activation of  $\sigma^F$  by SpoIIGA protease (both are encoded by the signature operon *spoIIG*). Therefore, the pathways leading to the activation of the forespore and mother cell lines of gene expression are part of the endospore formation signature. The presence of *spoIIR* in the signature is also of interest, because changes in its level or timing of expression can lead to alternative cell fates, including the formation of viable "twin" spores, in what has been recognized as a potential pathway for the evolution of endospore formation (136). The isolation of the forespore from the

external milieu upon engulfment completion is a hallmark of endospore formation. A novel type of transport system coded for by the  $\sigma^F$ -controlled *spoIIIA* operon allows the mother cell to feed the forespore, maintaining forespore-specific gene expression, when its engulfment is finalized (66, 104–107). A central part of the complex is formed by the SpoIIIAH protein and by SpoIIQ, a  $\sigma^F$ -controlled, forespore-specific protein, which interact directly across the intermembrane space, forming a channel that links the cytoplasm of the two cells (104–106, 137). The absence of *spoIIQ* from the signature most likely is explained by nonorthologous gene replacement events (36), and thus the channel appears to be a universal requirement of endospore formation. Cell-cell signaling is therefore a characteristic of endospore formation that is well represented in the genomic signature.

The endospore formation signature also allowed the identification of new genes involved in sporulation in the model organism *B. subtilis*. The *ylzA* gene was previously shown to be required for expression of two key operons involved in the synthesis of the extracellular matrix during biofilm formation (110). Ylza shows structural similarity to ribose-5-phosphate-isomerase. However, this similarity does not include the region encompassing the active site residues (138, 139). It is not known whether in *B. subtilis* Ylza functions as a ribose-5-phosphate isomerase. If so, that disruption of *ylzA* prevents transcription of the *eps* and *yqxM* operons, suggesting that a metabolite in the pentose-phosphate pathway controls the transcription of the biofilm matrix operons (110). In any event, our results suggest that *ylzA* is expressed during sporulation. Spore formation takes place within biofilms (140), and the identification of *ylzA* as a signature gene suggests an important evolutionary link between biofilm formation and sporulation. During sporulation, expression of *ylzA* seems to be confined to the forespore. In contrast to the mother cell, very few metabolic genes are part of the  $\sigma^F$  or  $\sigma^G$  regulons (49, 50). Clearly, the mother cell provides most of the metabolic activity required to drive spore differentiation. Therefore, the expression of *ylzA* in the forespore, even though we have not found a sporulation phenotype under laboratory conditions, suggests it has an important role. The group of the few metabolic genes that are expressed in the forespore include the *glcU-gdh* operon for glucose uptake and the *pgk-tpiA-pgm* operon, coding for enzymes of the glycolytic pathway (49, 50, 52). If *ylzA* functions as a ribose-5-phosphate isomerase, it seems plausible that coordinated expression of the glycolytic and pentose-phosphate pathways in the forespore is important for spore morphogenesis or germination under conditions other than those commonly used in the laboratory.

YtaF is most likely a membrane-associated EF-hand  $\text{Ca}^{2+}$ -binding protein. Disruption of the *ytaF* gene in our strain background causes a reduction in the titer of heat-resistant spores. By analogy with calmodulin-like proteins, YtaF could be involved in the activation of as-yet-unknown  $\text{Ca}^{2+}$ -dependent proteins required for sporulation. Another possibility is that YtaF is part of the pathway by which the  $\text{Ca}^{2+}$ -chelate of dipicolinic acid (DPA) accumulates in spores. DPA is characteristic of endospores and accumulates to about 10% of the total spores dry weight (3, 103). The enzymes involved in its biosynthesis, SpoVFA and SpoVFB, are expressed in the mother cell, under the control of  $\sigma^K$  (141), and DPA is then imported into the forespore through the action of the products of the  $\sigma^G$ -controlled *spoVA* operon (142, 143). Perhaps significantly, the *spoVA* operon is also part of the endospore formation signature. DPA is essential for spore heat resistance, and

disruption of the *spoVF* or *spoVA* operons, as found for *ytaF*, impairs sporulation (141, 144). Secondary structure predictions place the  $\text{Ca}^{2+}$ -binding motif of YtaF in an extracytoplasmic compartment (see Fig. S4 in the supplemental material). It will be interesting to determine whether YtaF localizes to the spore membranes or to the cell membrane.

Finally, in the background of our reference strain, disruption of *ylmC* only impaired sporulation in combination with a mutation in *yqxH*. Like YlmC, the PRC barrel protein YmxH also lacks the acidic residue thought to be important in electron transfer reactions (111). Thus, YlmC and YmxH may serve a redundant role in the mother cell (references 52 and 108 and this work), possibly as scaffolds for the assembly of as-yet-undefined protein complexes required for spore morphogenesis. Interestingly, Traag and coauthors found that deletion of *ylmC* caused a slight competitive advantage over a wild-type strain under sporulation-inducing conditions. Those authors suggested that YlmC, although causing a cost on spore formation, must confer some fitness advantage under unknown environmental conditions (38).

In all, our analysis shows that the identification of a genomic signature for endospore formation has uses both in identifying new organisms as capable of endospore differentiation as well as identifying new genes important for the process. Further studies are needed to establish the function of the genes identified here, based on the genomic signature for endospore formation, their phenotype, and expression patterns in a laboratory strain of *B. subtilis*. It will also be of interest to extend gene inactivation and expression studies such as those reported here to other signature genes in species of spore-forming organisms for which genetic tools are available.

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