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### **Neuronal–glial alterations in non-primary motor areas in chronic subcortical stroke**

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#### **Abstract**

Whether functional changes of the non-primary motor areas, e.g., dorsal premotor (PMd) and supplementary motor (SMA) areas, after stroke, reflect reorganization phenomena or recruitment of a pre-existing motor network remains to be clarified. We hypothesized that cellular changes in these areas would be consistent with their involvement in post-stroke reorganization. Specifically, we expected that neuronal and glial compartments would be altered in radiologically normalappearing, i.e., spared, PMd and SMA in patients with arm paresis. Twenty survivors of a single ischemic subcortical stroke and 16 age-matched healthy controls were included. At more than six months after stroke, metabolites related to neuronal and glial compartments: N-acetylaspartate, myo-inositol, and glutamate/glutamine, were quantified by proton magnetic resonance spectroscopy in PMd and SMA in both injured (ipsilesional) and un-injured (contralesional) hemispheres. Correlations between metabolites were also calculated. Finally, relationships between metabolite concentrations and arm motor impairment (total and proximal Fugl-Meyer Upper Extremity, FMUE, scores) were analyzed. Compared to controls, stroke survivors showed significantly higher ipsilesional PMd myo-inositol and lower SMA N-acetylaspartate. Significantly lower metabolite correlations were found between ipsilesional and contralesional SMA. Ipsilesional N-acetylaspartate was significantly related to proximal FMUE scores. This study provides evidence of abnormalities in metabolites, specific to neuronal and glial compartments, across spared non-primary motor areas. Ipsilesional alterations were related to proximal arm motor impairment. Our results suggest the involvement of these areas in post-stroke reorganization.

#### **Keywords**

<sup>1</sup>H-MRS; Neuronal and glial compartments; Non-primary motor areas; Subcortical stroke

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#### **1. Introduction**

Whether non-primary motor areas, such as dorsal premotor cortex (PMd) and supplementary motor area (SMA), are important for restoring motor function after damage to primary motor cortex (M1) neurons or their axons, e.g., subcortical stroke, remains a topic of some debate. Several properties make these areas optimal to assume some M1 functions in the event of stroke: (i) their efferents project directly to the spinal cord (Dum and Strick, 1991; Hutchins et al., 1988; Nachev et al., 2008); (ii) they are heavily interconnected via the corpus callosum (Fang et al., 2008; Mochizuki et al., 2004); (iii) they are ipsilaterally interconnected with each other (Dum and Strick, 2005), as well as with M1 (Stepniewska et al., 1993); (iv) they contain a somato-topic organization that parallels the M1 organization (He et al., 1993; Wise et al., 1996); and (v) they contain neurons that can be modified with motor learning (Deiber et al., 1997; Perez et al., 2008; Wise et al., 1996). However, noninvasive functional brain imaging studies provide inconsistent results regarding their recruitment and recovery of the impaired arm.

In healthy individuals, although non-primary areas are involved to some extent in simple motor tasks, they are further recruited as complexity increases (Horenstein et al., 2009). After a subcortical stroke, there is generally more widespread, bilateral recruitment of the non-primary areas during movement of the impaired arm, regardless of the task complexity (Calautti and Baron, 2003). For example, increased ipsilesional (Carey et al., 2002; Fridman et al., 2004; Seitz et al., 1998) and contralesional (Gerloff et al., 2006; Johansen-Berg et al., 2002; Lotze et al., 2006) PMd activation, frequently associated with recovery, has been reported. Moreover, contralesional PMd activation is more prominent in patients with significant impairment (Johansen-Berg et al., 2002; Ward et al., 2006). However, one study failed to demonstrate a relationship between bilateral PMd recruitment and recovery (Calautti et al., 2007). The relationship between SMA activation and post-stroke recovery is also inconsistent (Calautti et al., 2007; Loubinoux et al., 2003; Riecker et al., 2010; Zemke et al., 2003). Finally, although functional connectivity between the ipsilesional non-primary areas was weaker in patients imagining and executing a motor task compared to controls (Sharma et al., 2009), altered connectivity during execution was not correlated with the motor impairment. Therefore, the exact function served by increased recruitment of nonprimary areas after stroke remains to be clarified.

We hypothesized that if the increased recruitment of non-primary motor areas is an expression of underlying cellular changes, this would be consistent with the involvement of these areas in post-stroke reorganization. Non-invasive proton magnetic resonance spectroscopy  $({}^{1}H-MRS)$  provides insight into cellular changes through measurements of certain metabolites that are associated with specific cell types (Van Zijl and Barker, 1997). Studies in stroke commonly report low N-acetylaspartate (NAA), a marker of neuronal integrity (Siegel et al., 2005), in ipsilesional PMd (Kang et al., 2000; Kobayashi et al., 2001; Munoz Maniega et al., 2008). In some instances, NAA level relates to disability (Kobayashi et al., 2001). Although other  ${}^{1}$ H-MRS-visible metabolites might be informative, as we recently reported in M1 (Cirstea et al., 2011), no such studies have been reported on PMd or SMA.

Therefore, the first goal of the present study was to characterize neuronal and glial compartments in hand representation of PMd and SMA, identified by functional MRI, in chronic subcortical stroke. We used  $\rm{^{1}H-MRS}$  imaging ( $\rm{^{1}H-MRSI}$ ) to quantify concentrations of metabolites related to these compartments, i.e., NAA, myo-inositol (mI, a putative glial cell marker), and glutamate/glutamine (Glx, reflective of neuronal–glial neurotransmission system). As the neuronal integrity might be compromised in these regions remote from the

site of a focal brain damage, e.g., through neuronal death and/or diaschisis (Chu et al., 2002; Cirstea et al., 2011; Giehl and Tetzlaff, 1996; Lu et al., 2001), we expected NAA to be decreased, especially in the ipsilesional areas. Since mI provides insights into the role of glia in plastic brain changes (Henneberger et al., 2010; Rango et al., 2008; Shibuya, 2009), we expected mI to be increased. Possible changes in Glx could be expected in both ipsi- and contralesional motor areas based on the theory of altered intra-cortical excitability after stroke (Liepert et al., 2000; Manganotti et al., 2002; Swayne et al., 2008; Tarkka et al., 2008). We also explored correlations between metabolite concentrations and arm motor impairment. Since previous anatomical and physiological studies showed a predominant representation of the proximal muscles in these areas (Boudrias et al., 2010a; Boudrias et al., 2010b; Dum and Strick, 1991; He et al., 1995), we also evaluated clinical correlations with the proximal arm motor impairment.

Based on our previous evidence of "metabolic coupling" in M1 (Cirstea et al., 2011), and the anatomical and functional connectivity between PMd and SMA, our second goal was to identify evidence of "metabolic coupling" between non-primary motor areas. Further, we determined whether this coupling was altered following stroke.

#### **2. Results**

#### **2.1. Participants**

Stroke participants had sustained a single cerebral infarction 44.6±37.8 (mean±SD) months prior to study leading to arm motor impairment  $(40.7 \pm 17.1 \text{ on FMUE})$ . The location of cerebral infarction was determined from the T1-weighted MRI (Mai et al., 2008) (Table 1). Seventeen patients had experienced infarcts involving the striato-capsular area. Of these, six were found to have involvement of the anterior limb/genu of the internal capsule and six had striato-capsular infarctions extending to the corona radiata. In addition, two had pontine infarctions, and one had infarction of the posterior cerebral artery territory involving the cerebral peduncles.

Since most patients (65%) suffered left-sided infarcts, we compared ipsilesional metabolites in stroke survivors with left-sided metabolites in controls. Stroke and control groups did not differ statistically with respect to sex (65% vs. 63% male), age (57.3 $\pm$ 10.3 vs. 49.4 $\pm$ 14.7 years,  $p > 0.05$ ), or education (13.6 $\pm$ 2.0 vs. 14.7 $\pm$ 3.2 years, p $> 0.05$ ).

#### **2.2. Spectroscopic voxel location**

Although larger PMd and SMA activations were found in stroke compared with control participants (ipsilesional vs. left, PMd,  $9.3\pm9.1\%$  vs.  $2.7\pm3.5\%$ ,  $p=0.04$ ; SMA,  $21.5\pm16.2\%$ vs. 2.4 $\pm$ 1.8%, p=0.002; contralesional vs. right, PMd, 3.6  $\pm$  4.3% vs. 0.6 $\pm$ 0.8%, p=0.04; SMA,  $10.1 \pm 8.6\%$  vs.  $1.2 \pm 0.9\%$ ,  $p=0.006$ ), the anatomic locations of spectroscopic voxels were similar in both groups. Typical examples of voxel location and  ${}^{1}$ H-MRS spectra for the two examined regions are shown in Fig. 1.

<sup>1</sup>H-MRS spectra with good signal-to-noise ratios were obtained consistently from both control and stroke participants. Similar percentages of brain tissue within spectroscopic voxels were found in each group. However, the brain tissue fraction in ipsilesional SMA was slightly higher in stroke survivors  $(81.3\pm4.4\%$  vs.  $77.6\pm4.3\%, p=0.02)$ .

#### **2.3. Metabolite concentrations**

In controls, similar metabolite concentrations of NAA, mI, and Glx were found in both left and right PMd or left and right SMA (Table 2).

NAA values were generally lower in stroke than controls in all regions although reaching statistical significance only in the ipsilesional SMA (Table 2). We also found significantly higher mI in ipsilesional PMd. We illustrate the magnitude of these differences in Fig. 2, which shows a 13.9% decrease in SMA NAA and a 15.2% increase in PMd mI. Betweengroup differences in NAA in PMd (F<sub>1,72</sub>=4.1,  $p=0.04$ ) and SMA (F<sub>1,72</sub>=7.2,  $p=0.009$ ) and mI in PMd ( $F_{1,72}=4.3$ ,  $p=0.04$ ) were greater in the ipsilesional compared to contralesional hemisphere. There were no significant alterations in Glx concentrations in either PMd or SMA.

Significant correlations were found between ipsilesional NAA and proximal FMUE subscores (PMd, r=0.54,  $p=0.01$ ; SMA, r=0.51,  $p=0.02$ ). Although the correlations between ipsilesional NAA and total FMUE score were of moderate strength (PMd,  $r=0.38$ ,  $p=0.1$ ; SMA,  $r=0.39$ ,  $p=0.08$ ), they did not reach statistical significance.

#### **2.4. Metabolite correlations**

We illustrate the correlational patterns in Fig. 3 where the color-coding (and numeric data) indicates the strength/sign of each metabolite pair correlation (Pearson's correlation). The overall intra- and inter-hemispheric correlations (canonical correlation) within each group are shown in Table 3.

#### **2.5. Intra-hemispheric correlations**

Correlation coefficients (Pearson) between individual metabolite pairs ranged from 0.13 to 0.65 in the left, and from 0.32 to 0.77 in the right hemisphere in controls (Fig. 3A). Strong and significant correlations were found between NAA<sub>PMd</sub> and NAA<sub>SMA</sub> and between  $mI<sub>PMd</sub>$  and  $mI<sub>SMA</sub>$  in each hemisphere. In the left hemisphere, significant correlations were also found between Glx<sub>SMA</sub> and all PMd metabolites. In the right hemisphere, NAA<sub>SMA</sub> and Glx<sub>PMd</sub> were also significantly correlated. Canonical correlation analysis revealed high and significant overall correlations between PMd and SMA in each hemisphere (Table 3). There were no significant differences between left and right PMd–SMA overall correlations  $(p=0.5)$ .

In stroke survivors, the correlations ranged from  $0.27$  to  $0.62$  in the ipsilesional, and from – 0.46 to 0.25 in the contralesional hemisphere (Fig. 3A). While ipsilesional significant metabolite pair correlations were similar to those in the left hemisphere in controls, all contralesional correlations were markedly lower than those in right hemisphere. Indeed, in five out of nine metabolite pairs, the sign of the correlation had become negative. For example, the lowest correlations were found between NAASMA and all PMd metabolites (NAA–NAA, r=–0.10 vs. r=0.77; NAA–mI, r=–0.46 vs. r=0.43; NAA–Glx, r=–0.25 vs. r=0.60). High and significant PMd–SMA overall correlations were found in the ipsilesional hemisphere while low and non-significant overall correlations were reported in the contralesional hemisphere (Table 3). However, similar overall correlations were found in either hemisphere ( $p=0.3$ ). Compared to controls, the overall correlations were lower, but the differences did not reach statistical significance (Table 3).

#### **2.6. Inter-hemispheric correlations**

In controls, metabolite correlations between left and right PMd ranged from 0.36 to 0.82 (six out of nine were significant; Fig. 3B). In SMA, the correlations ranged from 0.27 to 0.91 (five significant). High and significant overall inter-hemispheric correlations were found in PMd and SMA (Table 3) and there was no significant difference between these correlations  $(p=0.2)$ .

In stroke survivors, although most correlations were lower (Fig. 3B) in both PMd (range=0.05 to 0.67) or SMA (range=–0.33 to 0.50), the magnitude of differences appeared greater in SMA, particularly contralesional NAA and ipsilesional metabolites (NAA–NAA, r=0.09 vs. r=0.76; NAA–mI, r=–0.33 vs. r=0.46; NAA–Glx, r=0.22 vs. r=0.72). Overall inter-hemispheric correlations between metabolites in each of PMd and SMA were lower and non-significant in stroke survivors (Table 3). Overall correlations were similar in PMd and SMA ( $p=0.7$ ). Compared to controls, overall SMA metabolite correlations were significantly lower (Table 3).

#### **3. Discussion**

The first finding of the current study was that concentrations of metabolites related to neurons and glia were altered in spared non-primary motor areas in the chronic stage of subcortical stroke. The lower NAA and higher mI found in the ipsilesional hemisphere are of similar magnitude to spectroscopic findings previously reported in other central nervous system pathologies. Most studies of normal-appearing tissue report lower NAA ranging from 4.6% to 30.0%, e.g., systemic lupus erythematosus (by 15.9%, Sabet et al., 1998), multiple sclerosis (4.8%, Chard et al., 2002; 30.0%, Aboul-Enein et al., 2010; 4.6%, Suhy et al., 2000; 12.3%, Sastre-Garriga et al., 2005), and traumatic brain injury (16.1%, Capizzano et al., 2010; 12.9%, Garnett et al., 2000). Higher mI, ranging from 10.8% to 14.4% has been also reported in patients with multiple sclerosis (by 10.8%, Chard et al., 2002; 14.4%, Sastre-Garriga et al., 2005) and Alzheimer's disease (11.1%, Watanabe et al., 2010). Consequently, we consider the differences in NAA and mI reported in our patients to be robust compared to controls.

Possible explanations of altered NAA and mI concentrations include neuronal death or neuronal metabolic depression (Siegel et al., 2005). Although retrograde degeneration is a possible source of neuronal death, animal studies suggest that retrograde degeneration is limited above the lesion (Wannier et al., 2005) and few human data exist (Liang et al., 2008). Alternatively, apoptotic cell death of injured corticospinal neurons has been reported after subcortical injury, e.g., axotomy (Giehl and Tetzlaff, 1996; Lu et al., 2001). However, significant neuronal loss would likely result in decreased cortical volume, which is inconsistent with our results. Accordingly, appreciable neuronal death seems unlikely. An alternative explanation for altered metabolites is neuronal metabolic depression. Since NAA and mI changes were remote from the site of infarction, diaschisis is possible (Chu et al., 2002; Cirstea et al., 2011; Seitz et al., 1999). Indeed, descending axons from non-primary and primary motor areas converge as they approach the internal capsule (Morecraft et al., 2002) and subcortical strokes are more likely to involve both primary and non-primary motor pathways. Although the majority of non-primary efferents course through the anterior limb and genu of the internal capsule (Fries et al., 1993), those efferents relevant for arm recovery *per se* appear to course more posteriorly through the posterior limb of the internal capsule (Shelton and Reding, 2001; Werring et al., 1998). Indeed, the posterior limb of the internal capsule was involved in 50% of patients and the anterior limb in 30%. Therefore, our areas contain neurons whose axons are "injured" and it is possible that the events occurring in these neurons are similar to those classically associated with diaschisis. Diaschisis has been reported weeks to months after stroke (Chu et al., 2002; Seitz et al., 1999). However, our data suggest that diaschisis might persist considerably longer, i.e., up to 12 years after injury. In addition, the relationship between ipsilesional NAA and clinical severity provides further support for NAA as a marker of post-stroke reorganization in these areas.

Although glutamate, a major component of Glx, is of interest in light of enhanced motor cortical excitability after stroke (Hummel et al., 2009; Liepert et al., 2000; Manganotti et al.,

2002; Swayne et al., 2008; Tarkka et al., 2008), Glx concentrations were not significantly altered in non-primary areas. However, since glutamate and glutamine can change independently, further studies are needed to determine whether the changes in Glx are due to glutamate, glutamine, or both.

The second finding was that of altered metabolite correlations between non-primary motor areas. Normal coordinated movement requires that motor areas in both hemispheres interact and cooperate. We found high and significant overall metabolite correlations (see Table 3) between non-primary motor areas, i.e., "metabolic coupling", supporting the role of neuronal–glial interactions in neuronal function (Siegel et al., 2005). Our finding of weaker correlations between metabolites in secondary areas after stroke suggests a disturbance of this metabolic coupling. We speculate that lower ipsilesional NAA indicating fewer fully functioning neurons, would contribute to altered neuron–neuron "communication" between ipsilesional and their homologous contralateral areas. For example, NAA was ~14% lower in the ipsilesional SMA and, as suspected, the NAA–NAA correlation coefficient between ipsi- and contralesional SMA was markedly lower than in healthy participants (r=0.09 vs.  $r=0.76$ ). However, although many aspects of this coupling remain to be elucidated, these findings may provide new insights into brain reorganization. Nonetheless, since this is the first documentation of altered metabolic coupling after stroke, our interpretation remains cautious.

Our study has some limitations that might affect its generalizability. Our focus on subcortical infarcts provides statistical power by minimizing patient variance, but limits our ability to explore the effects of infarct location on metabolites (e.g., subcortical vs. cortical). Another limitation is the time after injury (6 to 144 months) that might have contributed to the spread of metabolite concentrations. Although no data regarding the long-term evolution of metabolites in remote areas have been reported, we chose this time frame to avoid the likely change in more acute phases. Another possible confounding effect is carotid stenosis. Although effects of cerebral blood flow alterations on NAA (and choline, lactate) have been noted (Hattingen et al., 2009; van der Grond et al., 1995, 1996; Walker et al., 2006), we found similar concentrations of choline in each group (see Table 2) and lactate was not detectable in either group (Cramer–Rao lower bonds>45), suggesting that stenosis is not a factor. Although our fMRI task activates a large network (e.g., contralateral sensorimotor cortex and bilateral M1, PMd, SMA, cingulate motor areas, inferior parietal cortex and intraparietal sulcus, insula cortex, cerebellar vermis, and both inferior and superior cerebellar hemispheres), we focused on areas considered as crucial during learning and recovery of function after brain damage. We have previously described M1 metabolite changes after sub-cortical stroke (Cirstea et al., 2011), and it is likely that plasticity, including metabolite changes, occurs elsewhere within the network, i.e., PMd, SMA. However, future studies are required to determine the relative contributions of different sites of plasticity and the conditions in which they apply differentially.

These results support and unify some of the seemingly contradictory observations when considering two major points. First, they show altered neuronal and glial metabolism in structurally intact non-primary motor areas, which exhibit functional changes that are related to clinical severity after stroke. Second, although the present results do not provide information regarding the specific role, i.e., beneficial or detrimental, of the metabolic changes in non-primary motor areas, they suggest that these areas underlie functional reorganization rather than excess recruitment. Pinpointing these mechanisms would greatly assist in design therapeutic approaches aimed to enhance and accelerate the recovery processes.

#### **4. Experimental procedures**

#### **4.1. Participants**

Twenty stroke survivors and 16 healthy controls (all right-handed and without contraindications to MRI) gave written informed consent. The study was carried out under approval of the University of Kansas Medical Center Human Subjects Committee (IRB).

Stroke survivors met the following inclusion criteria: single ischemic subcortical stroke at least six months prior to participation, radiologically-normal appearing cortical motor areas in both hemispheres based on T2-weighted magnetic resonance imaging (MRI), and ability to perform a handgrip task with the affected arm (Fugl-Meyer Upper Extremity Scale (Fugl-Meyer et al., 1975) or FMUE 10). Exclusion criteria were: chronic/degenerative disease predating the stroke and affecting the central nervous system (from review of the medical record), receptive aphasia (as evaluated by Token test), visual attention deficits (Cancelation test), and apraxia (clinical observation of the use of scissors to cut paper and making coffee). Stroke survivors were on anti-hypertensive (95%), cholesterol-lowering (45%) and/or antiplatelet (45%) therapy, but were not receiving inpatient or outpatient treatment.

Age- and sex-matched healthy individuals, without neurological and psychiatric disorder and with normal T2-weighted images, served as controls.

#### **4.2. Experimental protocol**

MRI data were acquired on a 3 T MR system (Allegra, Siemens, Erlangen, Germany). During scanning, participants' heads were immobilized with head cushions and instructed not to move. The participants received visual instructions through optical-fiber magnetcompatible goggles (Resonance Technology). A detailed description of the experimental protocol has been reported previously (Cirstea et al., 2011). Briefly, an axial dual-echo proton density/T2-weighted MRI (TR=4800 ms; TE1/TE2=18/106 ms; FOV=240 mm; matrix=256 $\times$ 256; slice thickness=5 mm, no gap) was acquired to confirm the presence of a single ischemic lesion sparing cortical motor areas. A whole-brain 3-dimensional T1 weighted MRI (MPRAGE; TR=2300 ms; TE=3 ms; FOV=240 mm; matrix=256×256; resolution= $1 \times 1 \times 1$  mm<sup>3</sup>) was acquired to estimate brain tissue fraction in spectroscopic voxels. A gradient echo blood oxygen level-dependent (BOLD) scan (TR=2000 ms; TE=50 ms; FOV=240 mm; matrix=64 $\times$ 64; slice thickness=5 mm; 0 skip; resolution=5 $\times$ 5 mm<sup>2</sup>; 100 time points) was acquired for impaired hand (dominant for controls) to identify the hand representation within our regions of interest (ROI), PMd and SMA, in each hemisphere. For the BOLD scan, two alternating conditions were repeated (3 min 28 s): movement condition (20 s), where participants performed a handgrip task, and rest condition (20 s), where participants were resting motionless. During the movement condition, a target pressure (25% of handgrip maximal voluntary contraction) was displayed graphically as a vertical bar through the goggles. A sign "MOVE" displayed on the screen for 2 s, at every 4 s, indicated the required rate of handgrip. Participants did a single handgrip after each sign "MOVE" until the column representing the applied pressure reached the target on the screen at which point the grip was released. Participants were asked specifically to attend to this continuous feedback. We selected this task to broaden patient recruitment, since handgrip returns earlier than finger movements (Heller et al., 1987).

After the BOLD acquisition was completed, the data were analyzed using the scanner analysis software to guide the spectroscopic imaging  $(^1H\text{-}MRSI)$  slab positioning. Specifically, the slices corresponding to non-primary motor area activation were used to select the corresponding coincident  $T2$ -weighted image upon which the  ${}^{1}H$ -MRSI slab was centered. Eight outer voxel suppression bands (thickness=30 mm) were prescribed around the  ${}^{1}$ H-MRSI slab to minimize scalp lipid artifact.  ${}^{1}$ H-MRSI was acquired using the point-

resolved spectroscopy sequence (PRESS; TE=30 ms; TR=1500 ms; matrix=16×16; FOV=160 mm<sup>2</sup>; thickness=15 mm; resolution=5×5 mm<sup>2</sup>; spectral width=1200 Hz). Automated, followed by manual, shimming was performed to achieve an optimal full-width at half maximum of <20 Hz of the water signal from the entire excitation volume. The total scan duration was about 45 min.

Analysis methods have been detailed previously (Cirstea et al., 2011) and consisted in analysis of BOLD data (using Brain Voyager software, Brain Innovation B.V., Maastricht, Netherlands), <sup>1</sup>H-MRSI data (LCModel; (Provencher, 2001), linear combination of model spectra using a basis set included in the package and using a radio-frequency coil loading factor), and T1-weighted images (brain segmentation, SPM2, Welcome Department of Cognitive Neurology, London, UK). For BOLD data analysis, motion correction was performed by a rigid body transformation, estimating six parameters, three translational and three rotational. These parameters were inspected for head movement. None of the participants moved their head more than 2 mm in any direction. Then, 3D spatial smoothing with a 4 mm Gaussian filter was used to permit valid statistical inference according to the Gaussian random field theory. The time series in each voxel was high-pass filtered at 0.01 Hz to remove low frequency confounds. Movement and rest periods were modeled by a boxcar function with hemodynamic response modification (predictor movement) and the general linear model was used to extract foci of activation and create a hand representation mask in our ROIs (cluster threshold=100 voxels and  $p_{\text{Bonferroni}}$ =0.01). We used spatial extent of brain activation to identify the relevant regions of PMd and SMA for motor output. First, we outlined bilateral ROIs on the background T1 image without knowledge of the activation patterns, using standard sulcal and gyral landmarks identified from a 3 dimensional anatomy atlas (Constable et al., 1998): PMd, on the anterior half of precentral gyrus; SMA, on the medial wall of the hemisphere from the top of the brain to the depth of the cingulated sulcus, between a posterior boundary, halfway between the extension of the central and precentral sulci onto the medial surface, and an anterior boundary, a vertical line trough the anterior commissure. Then, we counted the activated voxels in the ROI using  $p_{\text{Bonferroni}}$ =0.01. The ratio (expressed as a %) between the number of activated voxels and the total number of voxels in the ROI represents the spatial extent of activation.

Using custom-designed software (written in Matlab v7.1), we overlaid the hand representation mask images, quantitative spectroscopic output (from LCModel), and segmented T1-weighted images. This graphical interface was used to display spectra and to obtain metabolite concentrations and brain tissue fraction from selected spectroscopic voxels corresponding to hand representation in our ROIs (Fig. 1). In each ROI, we chose three spectroscopic voxels with the following characteristics: gray matter>75%, a signal-to-noise ratio>10, and Cramer–Rao lower bounds<20%. Metabolite concentrations were corrected for voxel brain tissue fraction  $(F_B)$  as follows: c=cLCModel/ $F_B$ , where c is corrected concentration and cLCModel is the institutional unit from LCModel output. Since a priori hypothesis is to describe neuronal–glial alterations, we elected to analyze NAA, mI, Glx (target metabolites), although two additional metabolites (choline, Cho; creatine, Cr) have also been quantified (other metabolites, see Table 2). This is based on the little or no direct role of choline and creatine in neuronal–glial interactions. We converted the corrected concentration into molar concentrations (millimoles per kilogram wet weight brain tissue) (Cirstea et al., 2011) by using a calibration factor obtained by matching the mean NAA concentration in our controls to the mean gray matter NAA concentration previously reported in healthy human brain (Hetherington et al., 1996; Inglese et al., 2008; Wang and Li, 1998).

In the stroke group, we used the FMUE scale to evaluate arm motor impairment (total FMUE, normal=66). In a sub-analysis, we selected the FMUE components specifically

dealing with proximal movements, including active shoulder and elbow movements in and out of synergy (proximal FMUE, normal=30).

#### **4.3. Statistical analysis**

We focused on three target metabolites (NAA, mI, Glx) measured in two ROIs (PMd, SMA) and in two hemispheres (left, right) in each group (control, stroke). We used one clinical outcome (total and proximal FMUE scores) in the stroke group. Means and standard deviations were computed for each metabolite. In addition, for each metabolite, betweengroup differences in mean concentrations were expressed as percent change of the control group (see Fig. 2). Specifically, we computed the percent change as follows:

% metabolite change= (mean metabolite<sub>stroke</sub> – mean metabolite<sub>control</sub>) $\times$ 100/mean metabolite<sub>control</sub>.

Stroke and control groups were compared for each metabolite in each ROI by using independent sample t-test (2-tails). To compare the between-group differences in metabolites between hemispheres, we used mixed effects ANOVA models (with factors of Group, Hemisphere, Group×Hemisphere) for each metabolite and each ROI.

In the stroke group, Spearman rank order correlation was used to quantify the correlations between metabolite concentrations and FMUE scores.

In each group, we used Pearson's correlation to examine metabolite relationships between ROIs within each hemisphere (intra-hemispheric) and between hemispheres within each ROI (inter-hemispheric). As detailed previously (Sharma et al., 2011), the correlation coefficients were coded based on  $p$ -values:  $p \le 0.001$  (r=1–0.76 for healthy; 1–0.71 for stroke), 0.001  $p<0.01$  (r=0.75–0.62; 0.70–0.56), 0.01  $p<0.05$  (r=0.61–0.50; 0.55–0.44), and  $p > 0.05$  (r < 0.50; 0.43). Similar categories were used for the negative correlations. The correlation coefficients were then transformed into gray (for positive values) and blue (for negative) colors for visualization of metabolite correlations (see Fig. 3). Then, to assess the overall correlations of each correlation matrix (3 metabolites×3 metabolites), we carried out canonical correlation analyses and reported the canonical correlations for the first pair of canonical variables. We then compared intra- and inter-hemispheric canonical correlations within each group and between groups using non-parametric bootstrapping (Efron and Tibshirani, 1994). Significant differences were considered at  $p<0.05$  (SPSS 18.0, SPSS Inc. Chicago, IL, except the mixed-effects ANOVA and canonical correlation analyses which were performed on SAS 9.2, SAS Inc. Cary, NC).

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#### **Abbreviations**





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#### **Fig. 1.**

A. Cortical activation elicited by a handgrip task executed with the right (paretic) hand in a representative healthy control (56-year old male) and stroke survivor (61-year-old male, Subject #14 in Table 1); Compared to control, the stroke survivor showed abnormal activity in bilateral non-primary motor areas; Spectroscopic voxels (black squares) were selected based on PMd and SMA activation; L indicates left; R, right. B. LCModel output from one spectroscopic voxel located in PMd (upper panels) and SMA (lower panels) in the left (ipsilesional) hemisphere in the healthy and stroke participants above-mentioned. For the stroke survivor, the spectrum was selected from a similar axial slice and spectroscopic voxel location as in the healthy control. PMd mI increase (6.3 mM vs. 5.6 mM) and SMA NAA decrease (9.0 mM vs. 12.2 mM) are visible in the spectra of patient compared to control (see arrows); ppm indicates parts per million.

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#### **Fig. 2.**

Metabolite changes (%) in stroke versus controls in PMd (A) and SMA (B) in ipsilesional (gray bars) and contralesional (black) hemispheres.



#### $\mathsf A)$  Intra-hemispheric metabolite correlations  $\;$  B) Inter-hemispheric metabolite correlations

#### **Fig. 3.**

Metabolite correlation pattern between non-primary motor areas (PMd and SMA) within each hemisphere (A, intra-hemispheric), and between hemispheres within each non-primary motor area (B, inter-hemispheric), in controls (upper panels) and stroke patients (lower panels). Pearson's correlation coefficients (r, white numbers) are presented as color gradients (gray—positive, blue—negative) and the side legend represent the corresponding  $p$ -values.

#### **Table 1**

#### Demographic and clinical characteristics of patients.



M, male; F, female; mo, months; L, left; R, right; FMUE, Fugl-Meyer Upper Extremity, normal=66.

# **Table 2**

Between-groups comparisons of mean±SD metabolite concentrations (mM). Between-groups comparisons of mean±SD metabolite concentrations (mM).



#### **Table 3**

#### Canonical correlation analysis ( $r_c \pm SE(p-value)$ ).



#### **Inter-hemispheric correlations between homologous premotor areas left/ipsilesional and right/contralesional**



 $r_c$  = canonical correlation coefficient, SE = standard errors,  $p$ -value signifies differences between groups.