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## Gender-Specific Methylation Differences in Relation to Prenatal Exposure to Cigarette Smoke

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### Abstract

Epigenetic alterations may mechanistically explain the developmental origins of adult disease, namely the hypothesis that many complex adult chronic diseases originate as a result of conditions encountered *in utero*. If true, epigenetically regulated imprinted genes, critical to normal growth and development, may partially mediate these outcomes. We determine the influence of *in utero* exposure to cigarette smoking on methylation at two differentially methylated regions (DMRs) regulating Insulin-like Growth Factor 2 (*IGF2*) and *H19*, and how this might relate to birth weight of infants born to 418 pregnant women. Smoking status was ascertained through self-report and medical records. Bisulfite pyrosequencing was used to measure methylation in umbilical cord blood DNAs. Least squares DNA methylation means at each DMR and birth weight were compared between infants of smokers and non-smokers, using generalized linear models. While there were no significant differences at the *H19* DMR, infants born to smokers had higher methylation at the *IGF2* DMR than those born to never smokers or those who quit during pregnancy (49.5%, SD=8.0 versus 46.6%, SD=5.6 and 45.8%, SD=6.3, respectively;  $p=0.0002$ ). The smoking-related increase in methylation was most pronounced in male offspring ( $p$  for sex interaction=0.03), for whom approximately 20% of smoking-related low birth weight was mediated by DNA methylation at the *IGF2* DMR. Our findings suggest that *IGF2* DMR plasticity is an important mechanism by which *in utero* adjustments to environmental toxicants are conferred. Larger studies to replicate these findings are required.

### Keywords

Sex-specific methylation effects; imprinted gene; pyrosequencing; early origins hypothesis

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#### Author's contributions

C.H. and S.K.M. conceived and designed the study, C.H., S.K.M., Z.H., F.O. and A.P.M. acquired and/or generated data, C.H., F.W., S.K.M., Z.H., J.S.S., R.L.J. and E.S.I. contributed to data analysis and interpretation, A.A., S.K.M. and C.H. drafted the manuscript, C.H., S.K.M., A.A., Z.H., J.S.S., R.L.J., A.P.M. and E.S.I. helped to revise the manuscript, and all authors provided final approval of the manuscript.

## 1. Introduction

Despite repeated demonstrations of adverse birth outcomes resulting from *in utero* exposure to cigarette smoking, the prevalence of smoking during pregnancy remains at around 14% in the United States (CDC, 2009). The mechanisms that link exposure to adverse outcomes are poorly understood but appear to be mediated by non-genotoxic adaptations to the gestational environment and/or exposure to toxicants (Barker, 1990; Gluckman et al., 2005). Epidemiologic evidence strongly supports links between conditions encountered during embryonic or fetal development and adult-onset diseases, including atherosclerosis, coronary heart disease, type 2 diabetes mellitus, obesity, and cancer [for review, see (Godfrey and Barker, 2001)]. These chronic diseases and conditions have also been linked to low birth weight resulting from exposure to both active (Stillman et al., 1986) and passive cigarette smoking during pregnancy (Martin and Bracken, 1986).

Commonly studied non-genotoxic effects are epigenetic mechanisms, including modification of histone proteins and DNA methylation, which have also been hypothesized to explain how *in utero* exposure to cigarette smoking affects adult-onset diseases. However, the ability to test this hypothesis has been stymied due to the restricted type of biological specimens obtainable from otherwise healthy populations (e.g., peripheral blood, buccal cells) coupled with the lack of identified mitotically stable epigenetic targets that are not substantially influenced by inherent tissue specific differences in methylation.

DNA methylation, the most studied epigenetic mechanism in epidemiologic studies, is typically associated with chromatin condensation through the binding of methyl-CpG binding proteins and recruitment of proteins involved in chromatin modification that ultimately lead to transcriptional silencing (Portela and Esteller, 2010). DNA methylation regulates genomically imprinted genes through differential marking of the parental alleles during two epigenetic reprogramming events in early pregnancy; in gametogenesis, when methylation is erased and re-established to reflect the sex of the individual in which the developing gametes reside, and after fertilization, when the maternal and paternal pronuclei undergo demethylation and subsequent remethylation that will help guide germ layer specification and tissue differentiation (Feng et al., 2010; Kota and Feil, 2010). We have previously postulated that the mitotic stability of the methylation marks associated with imprinted genes coupled with establishment pre-gastrulation makes them especially suited for epidemiologic study of *in utero* environmental effects on the epigenome (Hoyo et al., 2009). The inherent plasticity of the epigenome during this period of epigenetic remodeling may allow for adaptive epigenetic profiles to be established at the sequences regulating imprinted genes, modifying gene expression patterns in response to perceived *in utero* environmental conditions.

The well-characterized imprinted domain at human chromosome 11p15.5 contains paternally expressed Insulin-like Growth Factor II (*IGF2*) and the maternally expressed *H19* gene, from which is transcribed a non-coding RNA. Imprinted expression and transcription of *IGF2* are regulated in large part through the patterns of DNA methylation of at least two differentially methylated regions (DMRs), one of which is located near the *H19* promoter (*H19* DMR) and the other upstream of the three *IGF2* promoters that are subject to imprinting (*IGF2* DMR). Both DMRs have been shown to exhibit altered methylation in cigarette smoking-related malignancies (Cui et al., 2002; Cruz-Correa et al., 2004). In the present study, we examined the influence of *in utero* exposure to cigarette smoke on DNA methylation at the *IGF2/H19* imprinted domain using umbilical cord blood specimens naïve to the *ex utero* environment. We furthermore determined the extent to which methylation profiles mediate the link between maternal smoking and low birth weight.

## 2. Materials and methods

### 2.1. Study participants

Study participants were enrolled as part of the Newborn Epigenetics Study (NEST), a multiethnic birth cohort designed to identify the effects of early exposures on epigenetic profiles and phenotypic outcomes. These studies were approved by the Duke University Institutional Review Board and written consent was obtained from all mothers participating as study subjects. A detailed description of identification and enrollment procedures has been described elsewhere (Hoyo et al., 2011). Briefly, pregnant women were recruited from prenatal clinics serving Duke University Hospital and Durham Regional Hospital Obstetrics facilities in Durham, North Carolina from April 2005 to June 2008. Eligibility criteria were: age  $\geq 18$  years, English speaking, pregnant, and intention to use one of the two obstetrics facilities for the index pregnancy to enable access to labor and birth outcome data as well as umbilical cord blood. To ensure an adequate sample size for cigarette exposure, smoking women were targeted for the first ~200 women recruited. Gestational age at enrollment ranged from 19 to 42 weeks. Of the 1101 women approached, 940 (85%) were enrolled. Cord blood samples were collected from 720 infants. Bisulfite pyrosequencing has been completed on the first 428 cord blood samples for the *H19* and *IGF2* DMRs. The 428 participants in whom specimens were available were similar to the 940 enrolled in the study, with respect to maternal age ( $p=0.55$ ) maternal education ( $p=0.94$ ), race/ethnicity ( $p=0.39$ ) and infant sex ( $p=0.81$ ). Six of the 428 were excluded because smoking status could not be defined with certainty; four additional mothers gave conflicting answers to smoking-related questions and were also excluded. Final analyses included the 418 samples for which smoking and methylation data were available.

### 2.2. Data collection

At enrollment, a standardized questionnaire was administered that included maternal demographic characteristics, health status, reproductive factors, anthropometric measurements, and lifestyle factors such as tobacco use, alcohol intake and use of dietary supplements. We abstracted medical charts to verify responses to our main exposure and outcomes, cigarette smoking and birth weight.

### 2.3. Ascertainment of cigarette smoking status

To improve the accuracy of self-reported smoking status, cigarette smoking was ascertained by responses to four questions. First, women were asked to answer “Yes” or “No” to the question, “Before the year you found out you were pregnant, did you smoke?” They were also asked, “After you found out you were pregnant, which of the following best describes your behavior?” The four possible responses were, “I continue to smoke,” or “I stopped during the first/second/third trimester.” To further verify smoking behavior, in two different parts of the questionnaire women were also asked, “Have you ever smoked 100 cigarettes or more in your lifetime?” (Yes/No), followed by, “Do you smoke now?” (Yes/No). Three maternal smoking categories were created as follows: Women were considered “smokers during pregnancy” if they answered affirmatively to ever having smoked 100 cigarettes or more, being a current smoker, and smoking during pregnancy; women were considered “quitters during pregnancy” if they had responded affirmatively to ever smoking 100 cigarettes or more, smoking during the year of pregnancy, and stopping smoking any time during the pregnancy; “non-smokers” were women who responded negatively to ever smoking 100 cigarettes or more, and negatively to current smoking.

## 2.4. Specimen collection

Immediately following newborn delivery, the umbilical vein was punctured and umbilical cord blood was collected into K<sub>3</sub>EDTA-containing vacutainer tubes. The leukocyte-containing buffy coat was isolated following centrifugation at 3,500 × g for 20m at 4°C. Aliquots were prepared and stored at -80°C.

## 2.5. Other variables

At enrollment, a standardized questionnaire was used to solicit information on maternal age, race/ethnicity, marital status, education, pre-pregnancy weight, and height. Medical records were used to obtain gestational age at enrollment and at delivery, birth weight and infant gender. Body mass index (BMI) was computed by dividing weight by height squared.

## 2.6. DNA methylation analysis

Genomic DNA from buffy coat specimens was extracted using Gentra Puregene Reagents (Qiagen, Valencia, CA). The *IGF2* and *H19* DMRs were analyzed by bisulfite pyrosequencing. Bisulfite modification was performed as previously described (Huang et al., 2006). Pyrosequencing assays were designed using PSQ Assay Design Software and duplicate reactions run on a Pyromark Q96 MD Pyrosequencer (Qiagen). The percent methylation for each of the CpGs within the target sequence was calculated using PyroQ CpG Software (Qiagen). Bisulfite conversion efficiency was assessed using the PyroQ CpG Software and averaged 97.3% (SD=0.98%) for the *H19* DMR and 99.5% (SD=2.7%) for the *IGF2* DMR.

The region analyzed for the *IGF2* DMR includes three CpG dinucleotides upstream of exon 3 (chr 11p15.5; CpG site 1: 2,169,518; CpG site 2: 2,169,515; and CpG site 3: 2,169,499; NCBI Human Genome Build 37/hg19) (Cui et al., 2002). The region studied for the *H19* DMR encompasses four dinucleotides located upstream of the *H19* gene (chr 11p15.5; CpG site 1: 2,024,261, CpG site 2: 2,024,259, CpG site 3: 2,024,257, and CpG site 4: 2,024,254; NCBI Human Genome Build 37/hg19), which are located within one of the six CTCF binding sites of this region (Cui et al., 2001).

For the *IGF2* DMR, 50 ng of bisulfite-modified DNA was amplified using 2U Platinum Taq DNA polymerase (Invitrogen, Carlsbad, CA) and 0.15 μM each forward (5'-GGA GGG GGT TTA TTT TTT TAG GAA G-3') and reverse (5'-[Biotin]-AAC CCC AAC AAA AAC CAC TAA ACA C-3') primers in a 40 μl reaction volume with 0.2 mM dNTPs and 3 mM MgCl<sub>2</sub>. PCR conditions were 94°C for 3m, then 5 cycles of 94°C for 30s, 70°C for 30s, 72°C for 30s; then 5 cycles of 94°C for 30s, 68°C for 30s, 72°C for 30s; then 50 cycles of 94°C for 30s, 66°C for 30s, 72°C for 30s; followed by a 5m extension at 72°C. Biotin-labeled single-stranded amplicons were isolated according to protocol using the Qiagen Pyromark Q96 Work Station and underwent pyrosequencing with 0.5 μM primer 5'-GGG GTT TAT TTT TTT AGG A-3'.

For the *H19* DMR, 40 ng of bisulfite-modified DNA was amplified using 1U Platinum Taq DNA polymerase and 0.15 μM each forward (5'-TTT GTT GAT TTT ATT AAG GGA G-3') and reverse (5'-[Biotin]CTA TAA ATA AAC CCC AAC CAA AC-3') primers in a 20 μl reaction volume with 0.1 mM dNTPs and 3 mM MgCl<sub>2</sub>. PCR conditions were 94°C for 3m, then 5 cycles of 94°C for 30s, 64°C for 30s, 72°C for 30s; then 5 cycles of 94°C for 30s, 61°C for 30s, 72°C for 30s; then 35 cycles of 94°C for 30s, 58°C for 30s, 72°C for 30s; followed by a 5m extension at 72°C. The biotin-labeled single-stranded amplicons were isolated as described above and underwent pyrosequencing using 0.5 μM of primer 5'-GTG TGG AAT TAG AAG T-3'.

Assay validation was performed by analysis of defined ratios of plasmids that contain inserts derived from the bisulfite modified version of the methylated and unmethylated sequences, as previously described (Wong et al., 2006).

## 2.7. Statistical analysis

Covariates considered as potential confounders or effect modifiers are included in Table 1 and included maternal age (< 30 years, 30–39 years, > 40+ years), body mass index (BMI; kg/m<sup>2</sup>) before pregnancy, cigarette smoking (never smoked, smoked during early pregnancy but stopped, or current smoker), gestational age (< 37 weeks or ≥ 37 weeks), birth weight (< 2500 grams [low] or ≥ 2500 grams [normal]), gender of the offspring (male or female), mother's education (less than high school, high school/GED, some college, college graduate, or graduate education), and mother's race/ethnicity (African American, Caucasian or other).

DMR methylation percentages at each CpG dinucleotide were evaluated for normal distribution using Kolmogorov-Smirnov tests. Principal components and confirmatory factor analyses were then used to determine whether methylation at the individual CpGs analyzed at the *IGF2* and *H19* DMRs were correlated enough for each region to be represented by a single mean. The values for Cronbach's alpha, a measure of internal consistency, for the CpGs were 86% and 87% at the *IGF2* DMR and *H19* DMR, respectively. These high correlations at each DMR justified the use of a single mean for each DMR.

We used chi-square tests to determine whether categorical maternal and offspring characteristics varied by maternal cigarette smoking. F-tests from generalized linear models (PROC GLM) were used to compare unadjusted and adjusted least squares mean methylation levels of infants born to women who reported continuing to smoke during pregnancy to those of women who 1) never smoked and 2) stopped smoking during pregnancy. We also employed logistic regression models to confirm the association between cigarette smoking and low birth weight, and to estimate the percent of smoking-related low birth weight mediated by aberrant *IGF2* methylation. In such analyses, aberrant methylation at the *IGF2* DMR was dichotomized as the methylation in the lowest quartile of the distribution, given that hypomethylation at this DMR has been linked to loss of genomic imprinting (Cui et al., 2003) and periconceptional caloric restriction (Heijmans et al., 2008). Although we considered for potential confounding factors that were associated with birth weight, rapid growth or childhood obesity in the population and in our data set in Table 1 (-value<0.25) one at a time, we retained only maternal education, gestational age, birth weight, race/ethnicity and BMI before pregnancy entered as continuous and categorical variables (Table 1), as these factors contributed significantly to the model (p<0.05).

Because early exposures have been shown to influence the *IGF2* methylation profiles differently by sex (Heijmans et al., 2008), and because epigenetic response may vary by genetic factors (Waterland et al., 2010), we repeated these analyses stratified by sex and race. To allow for unrestrained model entry of individual CpGs into statistical models, we repeated the above using mixed effects models. Finally, we conducted mediation analyses to determine the extent to which the association between maternal cigarette smoking and low birth weight was mediated by methylation at either the *H19* or *IGF2* DMR using standard methods described (Baron and Kenny, 1986).

## 3. Results

The average gestational age at enrollment was 22 weeks (range 19 to 42 weeks). Although smokers were targeted for the first ~200 recruited, the majority of pregnant women (55%) had never smoked, 27% reported having stopped smoking during the first trimester, and 18% were smokers at enrollment. Of the 110 women who quit smoking during pregnancy,

52 (47%) quit during the first trimester, 14 (13%) quit during the second trimester, and 7 (6%) quit during the third trimester. The remaining 37 women (33%) did not report the time during pregnancy that they quit smoking. Women who smoked during pregnancy and those who stopped smoking early in pregnancy did not significantly differ from never smokers by maternal age, alcohol intake, race, obesity status, gestational age at birth, sex or Apgar score of the offspring (Table 1). However, only 48% (n=36) of women who smoked compared to 67% (n=148) of women who never smoked were married or lived with a partner (p<0.001). Smokers and non-smokers also differed by educational attainment; just 25% (n=55) of non-smokers but 67% (n=50) of smokers had less than a high school education (p<0.001). As expected, infants born to smokers were two times more likely to weigh less than 2500 grams at birth compared to offspring of women who reported never smoking (p=0.001). Hence, in subsequent analysis, we assessed potential confounding by maternal education, marital status, gestational age and birth weight, as were the infant's sex, maternal BMI before pregnancy and race/ethnicity; the latter factors have been associated with methylation patterns and/or birth weight in other studies (Adkins et al., 2011).

Overall, DNA methylation means were 61.2% (SD=4.2%) and 47.3% (SD=4.5%) at the *H19* and *IGF2* DMRs, respectively, and were similar at each CpG dinucleotide. Table 2 shows methylation percentages for each CpG evaluated, and the average methylation levels at each DMR in offspring of smokers and non-smokers. Infants born to women who never smoked had a slightly lower methylation fraction on average (46.6%) when compared to infants of women who either quit during pregnancy or continued to smoke (47.8%). However, when pregnant smokers were categorized into two groups including those who quit smoking during pregnancy and those who continued to smoke, we found that infants born to women who continued to smoke during pregnancy had a 3.6% (p=0.001) and 2.9% (p=0.002) higher mean methylation fraction at the *IGF2* DMR (49.5%, SD=8.0; n=63) than infants born to women who stopped smoking in pregnancy (45.8%, SD=6.3; n=86) or infants born to women who never smoked (46.6, SD=5.6%; n=178; p=0.002; Table 2). These differences were adjusted for maternal BMI before pregnancy, race/ethnicity, education, gestational age, sex, and birth weight. This significant difference persisted at each of the three CpG dinucleotides evaluated. Neither modeling these relationships using mixed effects models to allow unconstrained model entry of each CpG dinucleotide, nor estimating unadjusted DNA methylation differences altered these findings. Removal of the 10 observations with very low or high DNA methylation also did not alter these findings. However, at the *H19* DMR methylation differences were not apparent among the 75 infants born to women who continued to smoke during pregnancy and the 110 born to those who stopped in early pregnancy (p=0.8) and those born to 222 women who never smoked (p=0.8).

As noted previously, several lines of evidence suggest that adverse environmental effects such as exposure to cadmium (Tellez-Plaza et al., 2010) or severe caloric restriction (Heijmans et al., 2008) are gender-dependent. We therefore stratified our analyses by sex of infant. At the *IGF2* DMR, we found no methylation differences in female infants born to women who continued to smoke during pregnancy (n=34) and those who either never smoked (n=107) (0.6%, p=0.7) or those who stopped smoking after pregnancy began (n=52) (0.9%, p=0.5). However, among males, those born to women who stopped smoking during pregnancy had 6.5% lower methylation (n=55; p=0.0001) while those born to women who never smoked had 4.7% lower methylation (n=117; p=0.0002), than those born to women who continued to smoke during pregnancy (n=38; Fig. 1). Neither analyses unadjusted for potential confounders nor mixed models altered these findings (data not shown). The interaction term for infant sex and maternal smoking during pregnancy that was included in the final models was statistically significant (p=0.03).

We also computed odds ratios for the association between low birth weight and cigarette smoking, and estimated the proportion of smoking-related low birth weight that is mediated by variation in *IGF2* DMR methylation. After adjusting for potential confounding factors, we found an over four-fold increase in the risk of low birth weight associated with continued maternal cigarette smoking (OR=4.16, 95% CI=1.44–11.99). These analyses revealed that 21% of the association of maternal cigarette smoking and low birth weight in male infants was mediated by aberrant methylation the *IGF2* DMR, compared to 2.2% in female infants (Table 3).

#### 4. Discussion

In the present study, we tested the hypothesis that maternal cigarette smoking is associated with changes in DNA methylation at two DMRs regulating the expression of *H19* and *IGF2*, and that methylation profiles *mediate the association between* maternal cigarette smoking and low birth weight. While no associations were found between *H19* DMR methylation and maternal cigarette smoking, we found significantly higher *IGF2* DMR methylation in infants born to women who smoked throughout pregnancy when compared to those who either stopped smoking early in pregnancy or those who never smoked. Methylation differences were most apparent in male infants. Approximately 21% of smoking-related low birth weight (with smoking defined as either continued to smoke during pregnancy or quit in early pregnancy) was mediated by aberrant *IGF2* methylation in males compared to 2% in female infants.

Our findings support earlier studies showing that the effects of *in utero* tobacco smoke exposure may at least partially be mediated through alterations in DNA methylation (Breton et al., 2009). These results therefore support the interpretation that epigenetic plasticity is an important mechanism by which early adaptations to environmental cues such as cigarette smoking are realized. Some (Heijmans et al., 2008) but not all (Waterland et al., 2010) have found methylation differences at the *IGF2* DMR between individuals exposed and not exposed to environmental stimuli such as nutrient challenges, suggesting that this DMR is a reasonable epigenetic *biosensor* for evaluating early environmental exposures (Hoyo et al., 2009). Deregulation of *IGF2* expression has been linked to overgrowth disorders (Morison and Reeve, 1998; Delaval et al., 2006; Riccio et al., 2009), obesity (Roth et al., 2002; Gomes et al., 2005) and cancer (Taniguchi et al., 1995; Sullivan et al., 1999; Ravenel et al., 2001; Cui et al., 2003; Murphy et al., 2006; Xu et al., 2006; Byun et al., 2007; Chao and D'Amore, 2008; Ito et al., 2008; Pollak, 2008; Paradowska et al., 2009; Shetty et al., 2010; Zuo et al., 2011). We (Hoyo et al., 2009) and others (Mathers, 2007; Heijmans et al., 2009) have proposed that methylation shifts at sequences regulating genomic imprinting can serve as *archives* of the periconceptual and prenatal environment.

Methylation differences observed in this study are small when compared to those found in animal exposure (Dolinoy et al., 2007) or human pathology (Sullivan et al., 1999; Murphy et al., 2006; Xu et al., 2006; Cui, 2007; Ito et al., 2008; Murrell et al., 2008a). However, this should be expected, given the exposure heterogeneity of human populations combined with an apparent intolerance for sustained dramatic shifts in methylation, especially at this locus, which are often associated with malignancy. To gain insights into the functional significance of methylation differences observed, we explored our unpublished gene expression microarray data (Affymetrix U133 HTA platform) for 41 infants included in this study to determine the relationship between *IGF2* expression (*IGF2* probe 202409\_at) and methylation at the *IGF2* DMR. Although the correlation coefficient was in the expected direction ( $r_{ho} = -0.20$ ), it was not statistically significant ( $p=0.22$ ), likely owing to small sample size. Nevertheless, these data suggest that for every 1% decrease in methylation, there is an approximate two-fold increase in *IGF2* transcription (Supplementary Figure 1),

theoretically equivalent to what would be observed if the maternal allele was aberrantly activated, as is seen with loss of imprinting. Thus, a 5% decrease in methylation at the *IGF2* DMR is associated with an approximate 32-fold increase in *IGF2* expression levels.

The magnitude of the effect on aberrant methylation in our study is strikingly similar to the 4.5% methylation difference reported at the *IGF2* DMR in 17-month old Dutch children exposed to FA during periconception versus those unexposed to FA, as well as the differences that were found in 60 year old adults who were periconceptionally exposed to the Dutch famine of 1944–45. As adults, Dutch famine survivors are at significantly higher risk of neurological disorders, (1998) obesity in early and late adulthood, (Ravelli et al., 1976; Ravelli et al., 1999) breast cancer, (Elias et al., 2004b) infertility, (Elias et al., 2004a) and metabolic disorders that include type-2 diabetes and dyslipidemia. (Barker, 2004; Yajnik, 2004; Painter et al., 2005; Gluckman et al., 2007). Modest aberrant methylation changes at the *H19* or *IGF2* DMR have been associated with increased expression of *IGF2* (Sullivan et al., 1999; Takano et al., 2000; Cui et al., 2001; Nakagawa et al., 2001; Takai et al., 2001; Cui et al., 2002; Murphy and Jirtle, 2003; Murphy et al., 2006; Xu et al., 2006; Murrell et al., 2008b) and increased susceptibility to several chronic diseases (Cui et al., 2003; Cruz-Correa et al., 2004; Murphy et al., 2006; Feinberg, 2007; Jirtle and Skinner, 2007). Equally small effect sizes have been observed in abused children (McGowan et al., 2009) and in individuals conceived using assisted reproductive technologies (Katari et al., 2009). Because these epigenetic shifts correlated significantly with gene expression, together these studies with the idea that while major epigenetic shifts at imprint regulatory elements may be lethal, adaptive response to perceived environment is likely to be subtle epigenetic changes.

Our findings do not support the hypothesis that methylation changes at the *IGF2* DMR are limited to the periconceptional period. In our sample, methylation levels in the offspring of non-smokers were indistinguishable from those of women who quit smoking upon learning they were pregnant, although this may also reflect unmeasured environmental tobacco smoke among women who never smoked. Although the exact mechanism by which cigarette smoking induces alterations in methylation profiles remains unknown, the nicotine in inhaled tobacco smoke has been shown to be vasoconstrictive in humans (Xiao et al., 2007). Vasoconstriction may limit nutrient flow to the growing fetus, mimicking the effects of caloric restriction previously linked to epigenetic perturbation at this locus (Heijmans et al., 2008).

Our study results suggest, for the first time, that the epigenetic effects of maternal cigarette smoking during pregnancy may be more pronounced in males than in females. The finding that males may be more susceptible to environmental toxicants is supported by recent studies of human conceptuses in abortions and stillbirths showing that the male embryo may be more vulnerable than the female to abnormal methylation (Pliushch et al., 2010). Sex differences in DNA methylation patterns in response to caloric restriction have also been previously reported among Dutch famine victims (Heijmans et al., 2007). Other studies of population-wide human disasters have revealed excess phenotypic response and risk among males (Catalano et al., 2005; Khashan et al., 2011). Although the mechanisms that give rise to these effects are unknown, sex effects could reflect differences in epigenetic mechanisms that are responsive to environmental cues. Alternatively, early adaptations resulting from the effects of maternal cigarette smoke may be similar in male and female fetuses, but malleability following changes in exposure (such as quitting) may be male-specific. While speculative, these hypotheses may be testable in other birth cohorts.

Although our findings support the hypothesis that maternal cigarette smoking is associated with epigenetic changes at DMRs regulating the imprinted expression of *IGF2*, the direction



of the association is dissimilar to that of the Dutch famine studies. Whereas exposure to caloric restriction was associated with hypomethylation at the *IGF2* DMR (Heijmans et al., 2008), we found increases in methylation at this DMR in relation to maternal cigarette smoking. Similarly, in a study of 17-month-old children, investigators reported an increase in methylation in response to folic acid taken during the periconceptional period, and suggested that folic acid improved a hypomethylated state at the *IGF2* DMR, presumably restoring genomic imprinting (Steegers-Theunissen et al., 2009). These findings could not be confirmed in a study of individuals conceived during the nutritionally challenging rainy season in a Gambian rural community (Waterland et al., 2010). We contend that the periconceptional period represents a particularly vulnerable time period, not only for establishment of the epigenome through epigenetic reprogramming, but also for beneficial or deleterious epigenetic responses to exposures such as cigarette smoking. For example, the *in utero* environment for infants of smokers and quitters during pregnancy is similar with regard to tobacco smoke exposure at the time of periconception, giving rise to similar DNA methylation profiles at *IGF2* and perhaps other imprinted DMRs. However, epigenetic profiles in infants born to quitters may trigger compensatory measures at the cessation of exposure which counter increased methylation, and this may lead to over-compensation and a lower methylation profile than that observed in the women who did not smoke. In contrast, infants born to those who continued to smoke throughout pregnancy sustained the high level of methylation due to the continued effects of the exposure, and at some point during gestation these patterns become stable and may always be maintained in the offspring. Alternatively, DNA demethylation pathways involving TET-mediated conversion of 5-methylcytosine to 5-hydroxymethylcytosine (5-hmC) and AID/TDG-mediated base excision repair to replace 5-hmC with cytosine, combined with an inability to distinguish 5-mC from 5-hmC using current technologies, may miss smoking status-related differences in the abundance of these modified bases at these regions. For example, the continuous smoking group may have increased methylation at the *IGF2* DMR based on pyrosequencing, but this could represent an increase in 5-hmC and/or lack of ability to undergo TDG-mediated base excision repair. While speculative, technological advances should clarify the underlying mechanisms.

Major strengths of our study include the large sample size and the use of quantitative methods of analysis for DNA methylation (Heijmans et al., 2007). Pyrosequencing methodology has the capacity to detect subtle differences that have the potential to increase susceptibility to chronic disease in later life. Another strength of our analysis is the focus on the DMR regulating *IGF2*, one of the best-characterized imprinted genes to date, and one with important roles in early embryonic and fetal growth. Finally, because cigarette smoking is a relatively common prenatal exposure, with approximately 10–20% of pregnant women reporting smoking in the United States (Tong et al., 2009) the finding that prenatal cigarette smoke exposure may be an important *in utero* effector of epigenetic profiles is significant. If our findings are confirmed by others, interventions aimed at smoking cessation during pregnancy, as monitored by methylation profiles in the offspring, offers the potential for identifying nutrients that can negate these effects. Such findings could have a dramatic public health and therapeutic impact on preventing adverse long-term health consequences of these epigenetic alterations.

A limitation of our study is the evaluation of DNA methylation at only two DMRs in the *IGF2/H19* imprinted domain. We chose to focus specifically on this region because it is clear that *IGF2* plays a fundamental role in regulating growth and expression of *IGF2* is deregulated in many disorders and diseases. As compared to other human imprinted domains, methylation at the *IGF2/H19* imprinted domain is very well characterized as is involvement of methylation changes at these DMRs in regulating imprint status and levels of *IGF2* expression. Another limitation relates to our ascertainment of maternal smoking status.

Although women were asked four different questions to determine past and current smoking behavior, and medical records were used to verify smoking status, it is possible that some smokers were misclassified. Cigarette smoking is particularly vulnerable to misclassification because smoking while pregnant is a socially undesirable behavior. However, because women were unaware of the study hypothesis and we verified exposure using medical records, we expect misclassification in this cohort to be minimal. Moreover, women are unlikely to affirm smoking status if they do not smoke. In the event that some cigarette smoking was misclassified, it is unlikely that such misclassification would differ by methylation fraction or other newborn characteristics. Non-differential misclassification, if it exists, would likely attenuate the differences observed. This vulnerability to misclassification also resulted in the suboptimal response to questions on the timing when women stopped smoking, relative to when the pregnancy began, and the number of cigarettes smoked per day. These limitations in smoking ascertainment precluded evaluation of the effects of quitting smoking before the pregnancy began separately from never smoking, as well as intensities of smoking on the epigenetic profile.

#### 4.1. Conclusions

Despite these limitations, we provide the first evidence for smoking-related, subtle but stable methylation shifts *in utero*, offering a potential explanation for the increased frequency of low birth weight infants born to women who smoke during pregnancy. We found that maternal cigarette smoking differentially alters *IGF2*-regulating methylation in a manner depending on infant sex, and that up to 20% of smoking-related low birth weight is mediated by methylation shifts at this DMR. This initial evidence for *IGF2* DMR plasticity provides a potential explanation for higher susceptibility to toxins in males. The methylation target identified in this study could be further developed as a biosensor for public health or therapeutic interventions aimed at ameliorating the phenotypic consequences of *in utero* exposure to cigarette smoking.

#### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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#### Abbreviations

<b>BMI</b>	body mass index
<b>CI</b>	confidence interval
<b>CpG</b>	cytosine-phosphate-guanine dinucleotide pair
<b>DMR</b>	differentially methylated region
<b>DNA</b>	deoxyribonucleic acid
<b>IGF2</b>	insulin-like growth factor II
<b>GED</b>	general education development diploma
<b>H19</b>	maternally expressed non-coding RNA H19
<b>NEST</b>	Newborn Epigenetics Study

<b>OR</b>	odds ratio
<b>PCR</b>	polymerase chain reaction
<b>SD</b>	standard deviation
<b>SEM</b>	standard error of the mean

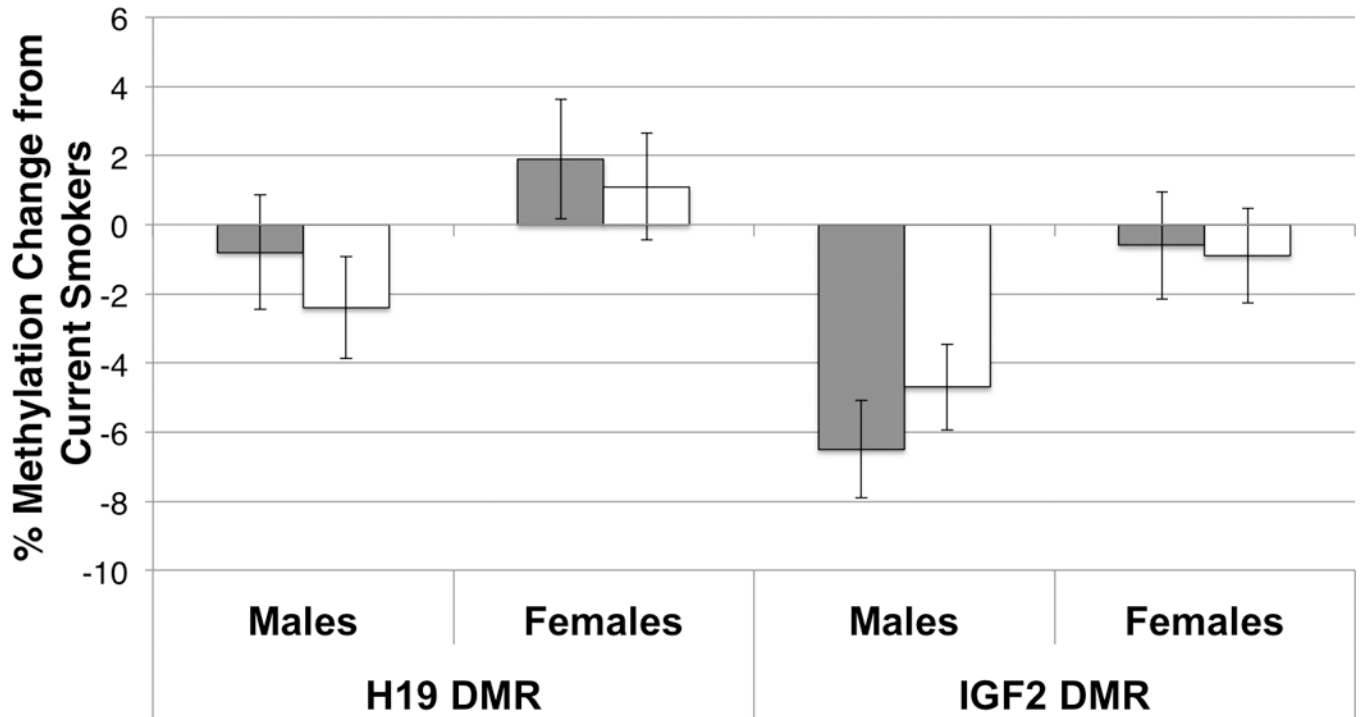
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**Fig. 1.**

Percent differences in methylation at the *H19* and *IGF2* DMRs in male and female infants in relation to maternal smoking status during pregnancy. For each DMR, the average difference in methylation is shown (+/- SEM) on the y-axis for male and female infants born to mothers who quit smoking during pregnancy (grey bars) or never smoked cigarettes (white bars) as compared to infants born to mothers who smoked throughout pregnancy (current smokers; baseline methylation). There was no significant difference at the *H19* DMR for n=206 males (quit: -0.8% methylation difference, p=0.61; never: -2.4% methylation difference, p=0.13) or n=193 females (quit: +1.9% methylation difference, p=0.32; never: +1.1% methylation difference, p=0.54). The *IGF2* DMR also showed no significant difference for n=151 females (quit: -0.6% methylation difference, p=0.73; never: -0.9% methylation difference, p=0.51) while male infants (n=169) born to current smokers showed significantly elevated methylation relative to those born to mothers who quit smoking during pregnancy (-6.5% difference, p<0.0001) and those born to mothers who never smoked (-4.7% methylation difference, p=0.0002).

Table 1

Maternal and Infant Characteristics by Cigarette-smoking Behavior During Pregnancy

Characteristics	Smokers (N=75) # (%)	Quit in pregnancy (N=110) # (%)	Never smoked (N=222) # (%)	Fisher's Exact p- value
<b>Maternal age</b>				
< 30 years	49 (65.3)	64 (58.2)	113 (50.9)	0.03
30–39 years	23 (30.7)	39 (35.4)	101 (45.5)	
40 years	3 (4.0)	7 (6.4)	8 (3.6)	
<b>Education</b>				
< High school	50 (66.7)	49 (44.5)	55 (24.9)	<0.0001
High school or < college	19 (25.3)	29 (26.4)	63 (28.5)	
College or more	6 (8.0)	32 (29.1)	103 (46.6)	
Missing	0	0	1	
<b>Race</b>				
African American	41 (54.7)	51 (46.4)	110 (49.5)	0.2
White	33 (44.0)	49 (44.5)	93 (41.9)	
Asian/Hispanic/other/missing	1 (1.3)	10 (9.1)	19 (8.6)	
<b>Marital status</b>				
Single	30 (40.0)	26 (23.6)	67 (30.2)	0.05
Married/partner	36 (48.0)	70 (63.6)	148 (66.7)	
Divorce/widowed	9 (12.0)	14 (12.7)	7 (3.2)	
<b>BMI</b>				
<25	29 (46.8)	50 (50.0)	110 (53.1)	0.4
25–29	17 (27.4)	18 (18.0)	41(19.8)	
30–34	10 (16.1)	13 (13.0)	25(12.1)	
35+	6 (9.7)	19 (19.0)	31(15.0)	
Missing	13	10	15	
<b>Gestational age at birth</b>				
36 weeks	14 (18.7)	15 (13.6)	29 (13.1)	0.03
> 36 weeks	61 (81.3)	95 (86.4)	193 (86.9)	
<b>Birth weight</b>				
< 2500 grams	18 (24.3)	16 (14.7)	23 (10.4)	<0.0001
2500 grams	56 (75.7)	93 (85.3)	197 (89.6)	
Missing	1	1	2	
<b>Offspring sex</b>				
Female	34 (47.2)	52 (48.6)	107 (48.6)	0.8
Male	38 (52.8)	55 (51.4)	113 (51.4)	
Missing	3	3	2	



Characteristics	Smokers (N=75) # (%)	Quit in pregnancy (N=110) # (%)	Never smoked (N=222) # (%)	Fisher's Exact p- value
<b>5-minute Apgar score</b>				
0-8	5 (6.9)	16 (15.8)	27 (12.9)	0.7
9-10	68 (93.1)	85 (84.2)	182 (87.1)	
Missing	2	9	13	

**Table 2**

Methylation fractions at the IGF2/H19 Imprinted domain by maternal cigarette smoking during pregnancy

	Pregnancy-related smoking behavior			Methylation differences between current smokers and those who	
	Smokers (n=75)	Quit in pregnancy (n=110)	Never smoked (n=222)	Quit in pregnancy (p-value)	Never smoked (p-value)
<i>H19_CG1</i>	59.84	60.13	59.82	0.29 (0.8)	-0.01(1.0)
<i>H19_CG2</i>	56.81	57.50	57.58	0.68 (0.6)	0.77 (0.5)
<i>H19_CG3</i>	59.27	60.08	59.43	0.80 (0.5)	0.16 (0.9)
<i>H19_CG4</i>	58.68	59.53	58.91	0.85 (0.5)	0.23 (0.9)
<i>H19 DMR mean</i>	58.65	59.31	58.94	0.66 (0.6)	0.29 (0.8)
	Smokers (n=63)	Quit in pregnancy (n=86)	Never smoked (n=178)	Quit in pregnancy (p-value)	Never smoked (p-value)
<i>IGF2_CG1</i>	45.61	40.56	41.13	-5.05 (0.0003)	-4.48 (0.001)
<i>IGF2_CG2</i>	54.17	50.74	51.44	-3.43 (0.0006)	-2.73 (0.01)
<i>IGF2_CG3</i>	53.90	49.14	49.31	-4.76 (0.0004)	-4.59 (0.0005)
<i>IGF2 DMR mean</i>	49.50	45.86	46.64	-3.63 (0.001)	-2.86 (0.002)

Means adjusted for maternal education, gestational age, maternal body mass index before pregnancy, race/ethnicity, offspring's sex, birth weight

**Table 3**

\*Odds Ratios for the association between maternal smoking and birth weight among 323 newborns

<b>Characteristic</b>	<b>OR (95% CI)</b>
Smoking v. never	4.16 (1.44, 11.99)
Quit v. never	1.70 (0.60, 4.85)
<i>IGF2</i> DMR mean methylation (<25th percentile)	0.82 (0.30,2.24)
Race	
African Americans v. others	6.52 (0.48, 88.59)
Whites v. others	1.80 (0.14, 23.45)
Sex (male v. females)	0.61 (0.25,1.50)
Gestational age <36 wks v. 36 wks	27.05 (9.48,77.18)
Marital status (single v. widow)	1.52 (0.18,13.17)
Not single v. widow	2.23 (0.30,16.50)

\*Factors mutually adjusted for each other