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PERSONALIZED PSA TESTING USING GENETIC VARIANTS MAY REDUCE UNNECESSARY PROSTATE BIOPSIES

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Abstract

PURPOSE—Recent studies have identified genetic variants associated with both increased serum PSA concentrations and prostate cancer risk, raising the possibility of diagnostic bias. By correcting for the effects of these variants on PSA levels, it may be possible to create a personalized PSA cutoff to more accurately identify individuals for whom biopsy is recommended. We therefore determined how many men would continue to meet common biopsy criteria after genetic correction of their measured PSA concentrations.

MATERIALS AND METHODS—The genotypes of 4 single nucleotide polymorphisms (SNPs) previously associated with serum PSA levels (rs2736098, rs10788160, rs11067228, and rs17632542) were determined in 964 healthy Caucasian volunteers without prostate cancer. Genetic correction of the PSA was performed by dividing an individual's PSA value by his combined genetic risk. Analyses were used to compare the percentage of men that would meet commonly used biopsy thresholds (2.5 or 4.0 ng/mL) before and after genetic correction.

RESULTS—Genetic correction of serum PSA results was associated with a significantly decreased frequency of men meeting biopsy thresholds. Genetic correction could lead to a 15% and 20% relative reduction in the total number of biopsies using a biopsy threshold of 2.5 or 4.0 ng/mL, respectively. In addition, genetic correction could result in an 18–22% reduction in the number of potentially unnecessary biopsies and a 3% decrease in potentially delayed diagnoses.

CONCLUSIONS—Our results suggest that 4 SNPs can be used to adjust a man's measured PSA concentration and potentially delay or prevent unnecessary prostate biopsies in Caucasian men.

Keywords

prostate cancer; genetic variants; PSA; prostate biopsy

Introduction

Prostate cancer is the most frequent non-cutaneous cancer in men, as well as the second-leading cause of cancer death in the United States¹. Despite evidence showing a decline in prostate cancer-specific mortality in the prostate specific antigen (PSA) screening era^{2,3}, the routine measurement of serum PSA as a screening tool remains controversial. Although PSA

is highly prostate-specific, it is not cancer-specific. For example, serum PSA levels may be increased with urinary tract infections, urinary tract instrumentation, and/or other benign conditions, including benign prostatic hyperplasia⁴. These conditions may confound the interpretation of PSA results and lead to many potentially unnecessary prostate biopsies. These confounders may help to explain why roughly one-third of men with serum PSA levels above 10 ng/mL have no evidence of prostate cancer at biopsy⁵. Furthermore, many conditions (e.g., obesity, 5-alpha-reductase inhibitors), may falsely lower serum PSA values below biopsy threshold and result in a delay of prostate cancer diagnosis. Similarly, not all prostate cancers produce elevated serum PSA concentrations⁶.

The traditional single-cutoff PSA screening test can be improved by using other clinical features to determine whether a man should be further evaluated with a prostate biopsy. For example, the American Urological Association Best Practice Statement recommends considering factors such as PSA kinetics (e.g. velocity), patient age, ethnicity, and family history of prostate cancer⁷. We hypothesized that genetic factors that are associated with increases or decreases in serum PSA concentrations may provide improved specificity for early detection and aid in determining whether a prostate biopsy is warranted.

Genome-wide association studies (GWAS) and linkage analyses have identified genetic variants, called single nucleotide polymorphisms (SNPs), that are associated with an increased risk of prostate cancer⁸. More than 40 SNPs have been identified that appear to have a cumulative association with prostate cancer risk^{9–11}.

It has been estimated that 40–45% of the inter-individual variability in serum PSA concentrations can be explained by genetic factors^{12, 13}. Recent studies have demonstrated that SNPs in or near the gene that encodes PSA [e.g. kallikrein-related peptidase 3 (*KLK3*)] can influence prostate cancer detection^{14–16}. For example, a SNP near *KLK3* was associated with elevated PSA concentrations in men without prostate cancer¹⁶. Similarly, the results of a recent GWAS found SNPs associated with serum PSA levels in or near the following six genes: telomerase reverse transcriptase (*TERT*; chromosome 5p15.33, SNP rs2736098); β microseminoprotein (*MSMB*; chromosome 10q11, rs10993994); fibroblast growth factor receptor 2 (*FGFR2*; chromosome 10q26, rs10788160); T-box transcription factor (*TBX3*; chromosome 12q24, rs11067228); hepatocyte nuclear factor 1B (*HNF1B*; chromosome 17q12, rs4430796); and *KLK3* (chromosome 19q13.33, rs17632542)¹⁷. Interestingly, 4 of these SNPs (hereafter referred to as “PSA-SNPs”) were found to be principally associated with serum PSA concentrations.

In an Icelandic cohort, Gudmundsson et al. assessed whether the presence of the 4 PSA-SNPs could be used to genetically correct a man’s measured serum PSA. These genetically corrected PSA values significantly improved the performance of PSA as a screening tool (area under the curve; AUC=73.2%) compared to unadjusted values (AUC=70.9%). Similarly, a prior study from the Baltimore Longitudinal Study of Aging showed that the risk of prostate cancer on biopsy differed based on genotype for PSA-associated SNPs¹⁸.

The objective of the current study was to determine the effect of genetic correction of measured serum PSA results using the 4 PSA-SNPs in a U.S. Caucasian population. In addition, we sought to determine whether correction of a patient’s serum PSA concentration based on the presence of 4 PSA-SNPs could significantly lower the frequency of men who meet common serum PSA thresholds for biopsy.

Patients and Methods

Our study cohort consisted of 964 healthy Caucasian volunteers who enrolled between 2003 and 2009¹⁹. The study was approved by Northwestern University’s Institutional Review

Board, and all participants provided written informed consent as well as a blood sample used for genotype analysis. Clinical and pathologic features were recorded for all participants, including serum total PSA concentrations, first-degree family history of prostate cancer, and number of prostate biopsies. DNA was extracted from whole blood at deCODE[®] Genetics Inc., in Reykjavik, Iceland. Each sample was genotyped for the 4 PSA-SNPs as previously described¹⁷.

Genetic correction was performed by dividing the measured PSA concentration by a man's combined genetic risk factor, as previously described¹⁷. Briefly, we calculated the genetic factor associated with any individual PSA-SNP allele using classical linear regression analysis. The relative genotypic effect on serum PSA concentrations for each SNP was calculated under a log additive model (i.e. risk/effect for heterozygous carriers is r and the risk/effect for homozygous risk/effect-allele carriers is r^2). The combined genotypic effect (using more than one SNP) was also determined by including all of the genetic variants into an additive logistic regression model. The combined genetic factor was determined in reference to the median frequency of the PSA-SNP alleles in the population. After genetic correction was applied, statistical analyses were used to compare the percentage of men who would meet commonly used PSA thresholds, 2.5 or 4.0 ng/ml. A p-value of <0.05 was considered significant. All statistical analyses were performed using SAS[®] 9.2.

Results

The baseline characteristics of the cohort of 964 Caucasian volunteers, some of whom may have had prostate cancer at the study initiation, are shown in Table 1. The genotypes of the 4 SNPs previously associated with PSA expression levels (rs2736098, rs10788160, rs11067228, and rs17632542) were determined. Based upon the distribution of genotype values, "controls" carried a median of 4 PSA-SNP alleles. We next genetically corrected the measured PSA values relative to the median in the population.

Figure 1 demonstrates the percent change in PSA after genetic correction compared with the PSA-SNP allele count. For example, if a man was found to be a carrier of all 8 PSA-SNP alleles, then his PSA level would be 110% higher than the population median. His PSA would have to be decreased by this percentage to correct for the presence of all 8 PSA-SNP alleles. In contrast, if another man was found to be a carrier of none of the PSA SNP alleles, then his PSA would be 38% lower than the population. His PSA would have to be increased by this percentage to correct for the absence of the PSA SNP alleles.

Next, we compared the frequency of men who would meet common biopsy threshold criteria before and after genetic correction of the measured PSA values (Table 2). Prior to genetic correction, 9.7% of men had a PSA value ≥ 2.5 ng/mL and thus may have been eligible for a prostate biopsy. In comparison, only 8.2% of men met this biopsy threshold criterion after genetic correction for the PSA SNPs; representing a significant 15.5% relative risk reduction for biopsy (Table 2, $p<0.0001$). Interestingly, there was an 18.3% reduction in the number of men who initially had a measured serum PSA above biopsy criteria but fell below it after genetic correction (i.e. potentially unnecessary biopsies) and a 3.4% reduction in the number of men who had a measured serum PSA below biopsy criteria but went above it after genetic correction (i.e. potentially delayed biopsies). Based upon these values, one of every 57 men undergoing PSA screening could be spared a biopsy using genetic testing at a threshold of 2.5ng/ml. Similarly, a significantly decreased number of subjects met a biopsy threshold criteria ≥ 4.0 ng/ml after genetic adjustment (5.3% corrected vs. 6.6% uncorrected, 19.7% relative risk reduction, $p<0.0001$; Table 2). This was associated with a 21.8% and 3.3% reduction in the number of men who would have undergone potentially unnecessary and delayed biopsies, respectively (Table 2). Using this biopsy threshold of 4.0ng/ml, one of

every 69 Caucasian men undergoing PSA screening could be spared a potentially unnecessary biopsy using genetic testing.

If we limited our population to men with an unadjusted PSA ≥ 2.5 ng/mL, genetic correction could possibly prevent one of every 6 biopsies using a biopsy threshold of ≥ 2.5 ng/mL. Similarly, limiting our cohort to men with an unadjusted PSA ≥ 4.0 ng/mL, genetic correction also could prevent one of every 6 biopsies using a threshold of ≥ 4.0 ng/mL (Table 3).

A total of 59 men in our cohort have undergone prostate biopsy and 15 have been diagnosed with prostate cancer based upon the initial PSA or subsequent screening PSA values (Table 1). Interestingly, amongst 17 men who fluctuated below the cutoff of 2.5ng/ml after genetic correction, 13 have been biopsied and none was found to have prostate cancer. In comparison, of the 76 men whose PSA remained above the threshold, 43 have been biopsied and 8 have biopsy proven cancer to date. Similarly, amongst 14 men who fluctuated below the 4.0ng/ml cutoff after genetic correction, 7 have been biopsied and none was found to have prostate cancer. In comparison, of the 50 men whose PSA remained above the 4.0ng/ml threshold, 32 have been biopsied and 7 have been diagnosed with cancer to date. None of the men whose PSA went above the threshold has been diagnosed with cancer as yet.

Discussion

The high survival rate of men with prostate cancer is largely a reflection of screening with serum PSA.²⁰ However, support for the widespread use of PSA is questioned because of its limited specificity. This is due to other non-malignant conditions (e.g. benign prostatic hyperplasia, prostatitis, etc.) causing elevated serum PSA concentrations. In addition, recent studies suggest that heritable factors significantly contribute to variation in PSA expression^{17, 21–24}. Specifically, men who carry an increased number of the 4 PSA-SNP alleles express more PSA and are considered to be “genetically high PSA producers”, while men who carry decreased numbers of the PSA-SNPs are considered to be “genetically lower PSA producers.” Thus, the number of PSA-SNP alleles carried by an individual directly affects his measured serum PSA concentrations. The present study demonstrates that genetic correction for the PSA-SNPs could decrease the number of men with an “abnormal” PSA based on commonly used biopsy thresholds. Our data suggest that the traditional single-cutoff PSA screening (e.g. ≥ 2.5 or ≥ 4.0 ng/mL) might be improved by genetic correction. If our results are validated, adjustment for the 4 PSA-SNPs could potentially prevent up to 15–20% of prostate biopsies. Since it has been estimated that more than 1 million biopsies are performed in the US annually²⁵, this could translate into 150,000 to 200,000 potentially unnecessary biopsies every year. Because some prostate biopsy procedures result in infection, sepsis, and hospitalizations²⁶, routine implementation of this genetic adjustment could have a substantial health impact. As sequencing technology advances, the associated cost continues to decrease. Assuming that a diagnostic laboratory has the available equipment, supplies and trained personnel, a panel of 4 SNPs would cost less than 60 cents. Therefore, although approximately 60 men need to be screened with both PSA and genetic testing to prevent an unnecessary biopsy, the cost to benefit ratio appears favorable.

Interestingly, genetic correction of the measured PSA result could decrease the number of potentially unnecessary biopsies by approximately 18–22%. In other words, genetic correction could significantly decrease the number of biopsies being performed in genetically high PSA producers without prostate cancer. Genetic correction also decreased the number of potentially delayed biopsies by approximately 3%. This would correspond to a significant decrease in the number of biopsies in genetically low PSA producers.

Limitations of our study include examining the relatively small study population of Caucasian men, and additional investigation is necessary in other ethnic groups. In addition, not all of the healthy volunteers who initially met biopsy criteria have been biopsied to date. Therefore, true confirmation of re-classification after genetic correction as potentially unnecessary or delayed biopsies remains to be determined prospectively. However, as mentioned in the results section, there is a significantly higher rate of prostate cancer diagnosis amongst men whose PSA was above the biopsy threshold and did not fluctuate after genetic correction compared to those who fell below after correction. Finally, the influence of genetic correction on clinical outcomes requires further prospective study in a large independent cohort.

Conclusion

Our results confirm that a personalized PSA value can be obtained by adjusting for the presence of 4 genetic variants that influence serum PSA concentrations. If confirmed, this approach could potentially be used to tailor PSA screening, possibly reduce unnecessary biopsies, and avoid delay in performing necessary biopsies.

Acknowledgments

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Percent Correction of PSA vs. PSA-SNP Allele Count

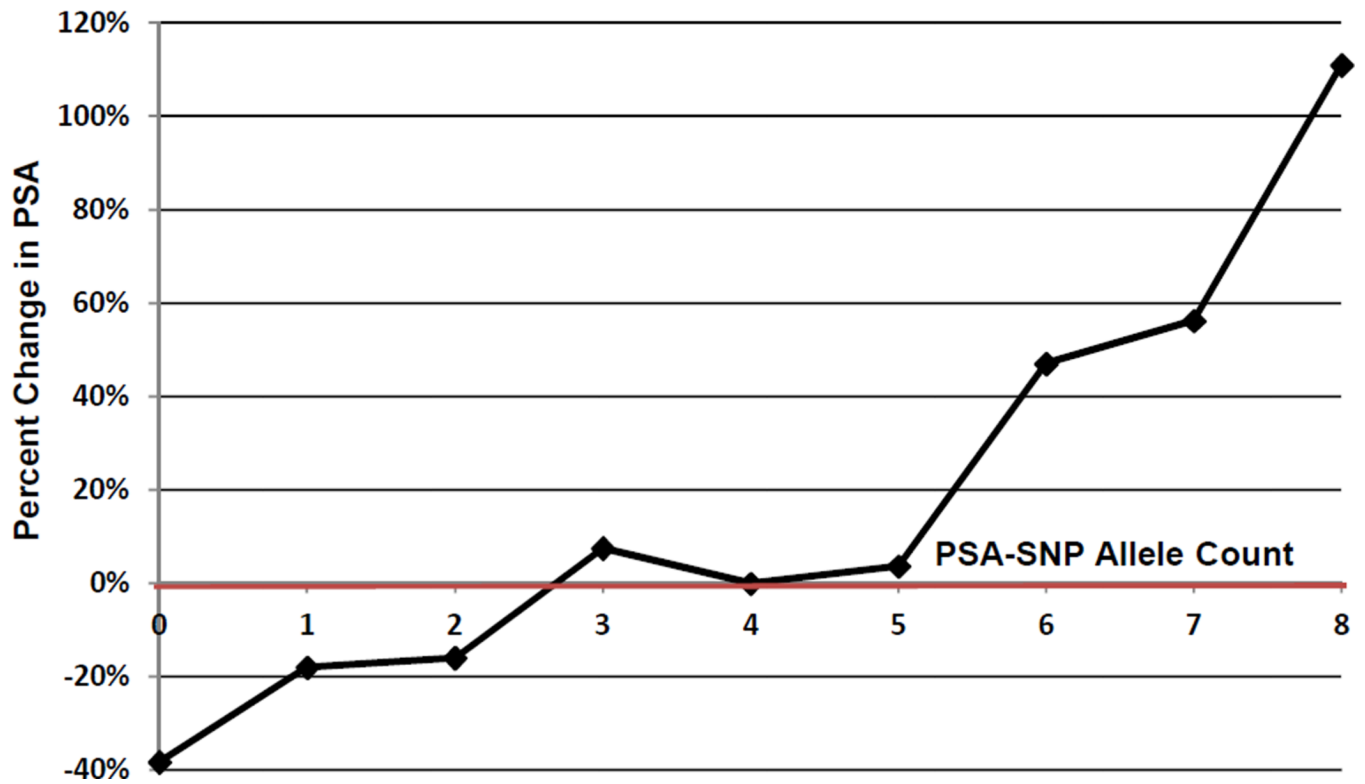


Figure 1. Percent Change in Serum PSA Concentrations Based on PSA-SNP Allele Count Relative to Median in the Population

*It should be noted that not all of the PSA SNPs influence PSA expression equally. Therefore, the allele count represents the average percent change in PSA (correction) based upon all combinations of PSA-SNP alleles for that count.

Table 1

Demographics and Serum PSA Concentrations of 964 Healthy Caucasian Volunteers Without Prostate Cancer.

Total men	964
European Ancestry	100
Median Age (years)	57
Age (years) in Quartiles	n (% of total)
50	203 (21.1%)
51–57	263 (27.3%)
58–65	263 (27.3%)
66	235 (24.4%)
Median Serum PSA (ng/ml)	0.8
Serum PSA Concentration	n (% of total)
0.0 – < 2.5 ng/mL	869 (90.2%)
2.5 – 4.0 ng/mL	40 (4.2%)
4.0 – 10.0 ng/mL	50 (5.2%)
>10.0 ng/mL	5 (0.5%)
Number of Men Undergoing Prostate Biopsies (to Date)	59 (6.1%)
Number of Men Diagnosed with Prostate Cancer After Study Initiation (to Date)	8 (0.8%)

Table 2

Number of Men Meeting Biopsy Criteria (PSA < 2.5 ng/mL or PSA < 4.0 ng/mL) Before and After Genetic Correction of Serum PSA Concentrations.

A. Biopsy Threshold: PSA < 2.5 ng/mL				
		Corrected PSA Concentration; n= (%)		
		PSA <2.5 ng/mL	PSA < 2.5 ng/mL	Total
Measured Serum PSA Concentration; n= (%)	PSA <2.5 ng/mL	868 (90.0)	3 (0.3)	871 (90.3)
	PSA < 2.5 ng/mL	17 (1.8)	76 (7.9)	93 (9.7)
	Total	885 (91.8)	79 (8.2)	

B. Biopsy Threshold: PSA < 4.0 ng/mL				
		Corrected PSA Concentration; n= (%)		
		PSA <4.0 ng/mL	PSA < 4.0 ng/mL	Total
Measured Serum PSA Concentration; n= (%)	PSA <4.0 ng/mL	897 (93.3)	3 (0.3)	900 (93.6)
	PSA < 4.0 ng/mL	14 (1.5)	50 (5.1)	64 (6.6)
	Total	911 (94.6)	53 (5.3)	

Table 3

Number of Men With Initial Uncorrected Serum PSA ≥ 2.5 ng/mL or ≥ 4.0 ng/mL Meeting Biopsy Criteria (PSA ≥ 2.5 ng/mL or ≥ 4.0 ng/mL) Before and After Genetic Correction of Serum PSA Concentrations

A. Biopsy Threshold ≥ 2.5 ng/ml			
	After Genetic Correction; n= (%)		
	PSA <2.5 ng/ml	PSA ≥ 2.5 ng/ml	Total
Measured PSA Level ≥ 2.5 ng/ml	16 (17.2)	77 (82.8)	93 (100.0)

B. Biopsy Threshold ≥ 4.0 ng/ml			
	After Genetic Correction		
	PSA <4.0 ng/ml	PSA ≥ 4.0 ng/ml	Total
Measured PSA Level ≥ 4.0 ng/ml	11 (17.2)	53 (82.8)	64 (100.0)