# Original Article ESR1 single nucleotide polymorphisms predict breast cancer susceptibility in the central European Caucasian population

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Received March 4, 2013; Accepted March 29, 2013; Epub April 12, 2013; Published April 30, 2013

Abstract: Estrogen and progesterone hormones are key regulators of a wide variety of biological processes. In addition to their influence on reproduction, cell differentiation and apoptosis, they affect inflammatory response, cell metabolism and most importantly, they regulate physiological breast tissue proliferation and differentiation as well as the development and progression of breast cancer. In order to assess whether genetic variants in the steroid hormone receptor gene *ESR1* (estrogen receptor alpha) had an effect on sporadic breast cancer susceptibility, we assessed 7 ESR1 single nucleotide polymorphisms (SNPs) for associations with breast cancer susceptibility and clinical parameters in 221 breast cancer patients and 221 controls, respectively. We identified *ESR1* intron SNP +2464 C/T (rs3020314) and ESR1 intron SNP -4576 A/C (rs1514348) to correlate with breast cancer susceptibility and progesterone receptor expression status. Patients genotyped CT for ESR1 intron SNP +2464 (rs3020314) ( $p \le 0.045$ ) or genotyped AC for ESR1 intron SNP -4576 (rs1514348) ( $p \le 0.000026$ ) were identified to carry a significant risk as to the development of breast cancer in the Central European Caucasian population (both together:  $p \le 0.000488$ ). Our study could confirm previous associations and revealed new associations of SNP rs1514348 with susceptibility to breast cancer and clinical outcome, which might be used as new additional SNP markers.

Keywords: Estrogen receptor, ESR1, SNP, breast cancer

#### Introduction

In the Western World breast cancer affects one in eight women during their lifetime and is the most common type of invasive cancer in women worldwide. Breast cancer is the type of cancer with the highest incidence in women worldwide with the second highest mortality rate among all tumors in Europe and North America [1]. Breast cancer is an enormous public health issue, with approximately 60,000 women being diagnosed annually in Germany, leading to about 18,000 deaths [2]. Estrogen exposure has been identified as one central factor in the origination and growth of this cancer [3] and its effects on the breast epithelium is mainly mediated by estrogen receptor alpha (ESR1) [4]. ESR1 is a steroid hormone receptor with a molecular weight of about 140 kb. It is localized

on chromosome 6q25.1 and comprises 8 exons [5].

ESR1 single nucleotide genetic polymorphisms have been studied in the context of numerous clinical studies with quite heterogeneous results. Several studies showed statistically significant associations between ESR1 polymorphisms and breast cancer [6-8], whereas other studies did not find any associations [9-11]. However, recent studies revealed some new ESR1 SNPs to have an influence on breast cancer susceptibility. Rs2046210, located 180 kb upstream of the transcription starting site of exon 1 of the ESR1 gene, showed positive association for breast cancer risk in Chinese women in two separate studies [12-14]. A strong association of rs741581, located within PPARGC1B, an ER co-activator, and breast cancer risk

Gene	SNP Name	Intron Number	Sequence		
ESR1	rs985694	4	GCTTTATATAATACA[C/T]CCCTGAAGTTTAGAT		
	rs7757956	4	CACCCAAGGCCCTGC[A/T]GGGTTCAGGCCACAC		
	rs3020314	4	GAGAGATGACAGAAG[C/T]CTCTGTTTAAGGACA		
	rs1514348	2	AGCAGGCTGAATGGA[A/C]AATGCAGACTTACCC		
	rs2347867	3	GGTAACTGAGACAGC[A/G]CCAAATAAGGAGCAG		
	rs6557171	3	TATTTTTAACAAGTT[C/T]GTCCTGTTAAATGTC		
	rs9397456	4	TTCTTTTTCCACATC[A/G]ATGTCCAGTTGCTTC		

Table 1. Gene, SNPs, and Sequences

among northern Europe patients was identified by Li et al., 2011 [15]. Another strong association was detected for the ESR1 intron SNP +7807 G/T (rs7766585) in a SNP approach study on northern European patients [16]. Fletcher et al., 2011 found also a breast cancer susceptibility locus for ESR1 (ESR1 promoter SNP +1517 A/C (rs3734805) and ESR1 intron SNP +9436 G/T (rs9383938)) in the northern European population [17]. Based on these findings ESR1 and its genetic variations appear to be essential as to the pathogenesis of breast cancer and need therefore to be further investigated. We studied 7 ESR1 single nucleotide polymorphisms (SNPs) and correlated the frequency of these SNPs in a population of 221 breast cancer patients with 221 unrelated, healthy donors (controls) of the German population, in order to determine whether and to what extent specific ESR1 SNPs had an effect as to breast cancer susceptibility. In addition, we correlated SNP genotype data with clinical parameters such as the cancer histology. receptor status or the outcome of chemotherapy.

## Material and methods

## Patient samples

221 female breast cancer patients who were recruited from 2003 till 2010 and 221 healthy female as controls were analyzed. Breast cancer patients were 31 to 90 years old and were diagnosed at the Saarland University Hospital -Department of Gynecology, Obstetrics and Reproductive Medicine. The controls consisted of healthy women aged 20 to 30 years.

## Allelic discrimination

Genomic DNA was purified from peripheral blood mononuclear cells (PBMCs), with the Nucleospin<sup>®</sup> Blood genomic DNA purification kit in accordance with the manufacturer's protocol (Macherey-Nagel, Düren, Germany). The following ESR1 SNPs were analyzed: ESR1 intron SNP +8406 C/T (rs985694), ESR1 intron SNP +8916 A/T (rs7757956), ESR1 intron SNP +2464 C/T (rs3020314), ESR1 intron SNP -4576 A/C (rs1514348), ESR1 intron SNP +1619 A/G (rs2347867), ESR1 intron SNP +6362 C/T (rs6557171) and ESR1 intron SNP +8935 A/G (rs9397456). Analyses were focused on coding, nonsynonymous SNPs, which potentially influence protein structure, activity, stability or localization because of changes in amino acid sequence and on promoter SNPs, which can lead to changes in ESR1 expression levels. These SNPs were chosen because of disease associations that were previously reported in the literature, as rs985694 is associated with higher incidence of type 2 diabetes and higher fasting plasma glucose [18], rs7757956 is linked to obesity in 11 year old children [19], rs3020314 is related with susceptibility to lymphoma [20], rs1514348, rs2347867, rs6557171 and rs9397456 are associated with an increased risk for Alzheimer's disease [21]. ESR1 SNPs with allelic frequencies of more than 15 % in Caucasians were also analyzed. Primer sequences are listed in Table 1.

SNP validation was carried out through allele specific SNP-Assays by TaqMan-PCR on an ABI Prism 7700 Sequence Detection System (ABI, Darmstadt, Germany) in accordance with the instructions provided by the manufacturer. The polymerase chain reaction was run in a total reaction volume of 5  $\mu$ l containing an average of 10 ng/ $\mu$ l of genomic DNA, a final concentration of 2.5  $\mu$ l TaqMan Mastermix, 0.25  $\mu$ l SNP Assay [20 fold] and 2.25  $\mu$ l DNA in H<sub>2</sub>0. The following PCR protocol was used: Incubation at 50°C for 5 seconds, denaturation at 95°C for 10 minutes, followed by 40 cycles of denaturation at 92°C for 15 seconds and annealing and extension at 60°C for 1 minute. After run-



**Figure 1.** Comparison of allelic discrimination of rs3020314 and rs151434 between breast cancer patients and healthy controls. The percentage of the variant allele compared to the wt allele is higher in breast cancer patients, which is true for SNP rs302031 and rs151434. Significance of p < 0.05 \*, p < 0.01 \*\*.

Table 2. Genotype Distributions: breast cancer	r patients versus controls
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Rs Number	Intron Number	Genotype	Cases	Controls	Odds ratio (95 % CI)	P-value
rs3020314	4	CC	13 (5.8 %)	10 (4.5 %)	1.62 [0.68-3.85]	0.521
		СТ	111 (50.2 %)	90 (40.7 %)	1.53 [1.04-2.26]	0.045
		TT	97 (43.9 %)	121 (54.8 %)	1*	0.022
rs1514348	2	AA	30 (13.6 %)	41 (18.6 %)	1.07 [0.61-1.87]	0,154
		AC	122 (55.2 %)	78 (35.5 %)	2.28 [1.50-3.47]	0.000026
		CC	69 (31.2 %)	101 (45.9 %)	1*	0.002

\*Reference group.

ning the PCR, the genotype of each sample was automatically determined. For each PCR run two negative controls and three positive controls (homozygous wild type or variant and heterozygous) were used.

## Statistical analyses

Statistical analysis of as to genotype frequencies in association with clinical parameters were performed with the Pearson's  $X^2$  tests, on the basis of deviations of genotype frequencies in controls that were calculated with the Hardy-Weinberg formula. Genotype risks were estimated as odds ratios with 95 % confidence intervals. Unless otherwise stated, the p-values presented are for the overall differences in genotype distribution between cases and controls or clinical aspects.

## Results

We investigated for possible significances when correlating ESR1 genotype frequencies of sporadic breast cancer patients and healthy donors with clinical parameters such as breast cancer histology, breast cancer TNM-stage, hormone receptor and Her2 receptor status, the outcome of chemotherapy and patient survival.

Our analyses identified the variant allele of the ESR1 intron SNP +2464 C/T (rs3020314) to correlate with breast cancer risk ( $p \le 0.045$ ) as patient have higher percentages of variant allele in the homozygous (CC) and heterozygous (TC) genotype and lower percentages of the homozygous wt genotype (TT) when compared to healthy donors with odds ratio [ct/tt] = 1.53

(95 % CI 1.04-2.26), odds ratio [cc/tt] = 1.62(95 % CI 0.68-3.85) and with significance values of p = 0.52; p = 0.045 and p = 0.022 respectively (**Figure 1** and **Table 2**).

Similarly, correlations were calculated for ESR1 intron SNP -4576 A/C (rs151434), whereby breast cancer patients showed lower percentages for the wt allele ( $p \le 0.002$ ) and higher percentages of the heterozygous genotype ( $p \le 0.000026$ ) compared to healthy donors, with odds ratio [ac/cc] = 2.28 (95 % Cl 1.50-3.47), odds ratio [aa/cc] = 1.07 (95% Cl 0.61-1.87) and with even higher significances of p = 0.002 and p = 0.000026, respectively (**Figure 1** and **Table 2**).

The other SNPs (ESR1 intron SNP +8406 C/T (rs985694), ESR1 intron SNP +8916 A/T (rs7757956), ESR1 intron SNP +1619 A/G (rs2347867), ESR1 intron SNP +6362 C/T (rs6557171) and ESR1 intron SNP +8935 A/G (rs9397456) that were analyzed failed to correlate with breast cancer risk.

In addition, we also observed a significant association between negative progesterone receptor status and the homozygous and heterozygous genotype of the variant allele of ESR1 intron SNP +2464 C/T (rs3020314) with odds ratio [cc/ct] = 3.22 (95 % CI 0.68-15.25), odds ratio [tt/ct] = 2.57 (95 % Cl 1.35-4.87) and with p values of p = 0.008 and 0.002respectively (Figure 2, Table 3). 67.2 % of patients who tested negatively for the expression of the progesterone receptor showed a heterozygous genotype CT and 29.5 % showed to be homozygous for the wt allele TT. On the other hand, in patients who tested positively for the expression of the progesterone receptor the heterogenous genotype CT was significantly lower (43.8 %) while the percentage of patients with the homozygous wt genotype TT was significantly higher (49.4%) when compared to the PR negative controls (Figure 2 and Table 3).

A correlation of ESR1 intron SNP -4576 A/C (1514348) with the progesterone receptor status identified a significant correlation for the homozygous variant genotype, which was higher for PR positive patients (odds ratio [aa/ac] =  $6.33 (95 \% \text{ Cl } 1.43 \cdot 27.95)$ , odds ratio [cc/ac] =  $1.03 (95 \% \text{ Cl } 0.54 \cdot 1.96)$  and p = 0.006), while the proportion of the wt and heterozygous genotypes was nearly similar between PR positive

and PR negative patients (**Table 3**). The other SNPs (ESR1 intron SNP +8406 C/T (rs985694), ESR1 intron SNP +8916 A/T (rs7757956), ESR1 intron SNP +1619 A/G (rs2347867), ESR1 intron SNP +6362 C/T (rs6557171) and ESR1 intron SNP +8935 A/G (rs9397456)) that were analyzed showed no correlations with the progesterone receptor status.

## Discussion

In the study presented herein, we correlated seven ESR1 SNPs with breast cancer susceptibility and clinical parameters in 221 breast cancer patients. Especially for two SNPs, ESR1 intron SNP +2464 C/T (rs3020314) and ESR1 intron SNP -4576 A/C (rs1514348), we identified highly significant associations with breast cancer susceptibility and with the progesterone receptor status. Also, we found that the heterozygous genotype (CT) of ESR1 intron SNP +2464 C/T (rs3020314) not only correlates with greater risk to come down with breast cancer but also has a higher probability of a progesterone receptor negative breast cancer. On the other hand individuals genotyped TT for ESR1 intron SNP +2464 C/T (rs3020314) are considered to have a larger probability to develop progesterone receptor positive breast cancer.

Alison M. Dunning et al. investigated genetic variation and breast cancer risk [22-25]. They showed that ESR1 intron SNP +2464 C/T (rs3020314) has an overall effect on breast cancer, including estrogen receptor status, histology, staging and grading [24] and is associated with breast cancer risk, what was explained by putative induced alterations in alternative mRNA splice variants [23].

Similar to our results they observed higher breast cancer susceptibility for the ESR1 intron SNP +2464 C/T (rs3020314) in individuals with the genotype CT in comparison to individuals with the genotype TT in the European population [23]. In our analyses we could additionally specify the declared overall effect on breast cancer. Interestingly we did not observe any association between ESR1 intron SNP +2464 C/T (rs3020314) and the estrogen receptor status, histology, staging or grading, but we saw a significant association to the progesterone receptor status, as described above.



**Figure 2.** Association between rs3020314 and progesterone receptor status. The percentage of progesterone receptor positive patients decreases from wt to heterozygous and homozygous variant genotype, whereas the percentage of progesterone receptor negative patients increases from homozygous wt to the heterozygous genotype. While the percentage of the of progesterone receptor negative patients is significantly lower in wt patients (p = 0.008) it is significantly higher in patients with heterozygous genotype (p = 0.002). Significance of p < 0.05 (\*), p < 0.01 (\*\*).

Rs Number	Intron Number	Genotype	Cases	Controls	Odds ratio (95 % CI)	P-value
rs3020314	4	CC	11 (6.8 %)	2 (3.3 %)	3.22 [0.68-15.25]	0.310
		СТ	70 (43.8 %)	41 (67.2 %)	1*	0.002
		TT	79 (49.4 %)	18 (29.5 %)	2.57 [1.35-4.87]	0.008
rs1514348	2	AA	28 (17.5 %)	2 (3.3 %)	6.33 [1.43-27.95]	0.006
		AC	84 (52.2 %)	38 (62.3 %)	1*	0.191
		CC	48 (30 %)	21 (34.4 %)	1.03 [0.54-1.96]	526

Table 3. Genotype Distributions: breast cancer patients and progesterone receptor status

\*Reference group.

ESR1 intron SNP -4576 A/C (rs1514348) was subject of a study which looked for the survival after the diagnosis of breast cancer [26], but no association to survival was found and neither did we. ESR1 intron SNP -4576 A/C (rs1514348) was never investigated for possible association to breast cancer susceptibility and we detected higher breast cancer susceptibility with the genotype AC in comparison to the wt genotype (CC) in the European population. Based on our results it is more common to develop positive progesterone receptor breast cancer with the AA genotype. With regard to these results the ESR1 intron SNP -4576 A/C (rs1514348) can be used as additional marker for breast cancer susceptibility.

Finally it becomes obvious that the discussion of SNPs and their relation to breast cancer comes up with many different approaches, for example whether a SNP modifies the breast cancer susceptibility, or whether a SNP modifies clinical parameters. A polymorphism may not only alter the susceptibility to acquire breast cancer, but has multifarious associations on a breast cancer patient at all.

Its to point out that we could not only confirm previous associations but that our analyses revealed new associations of ESR1 intron SNP -4576 A/C (rs1514348) with susceptibility to breast cancer and clinical outcome, which might be used as new additional SNP markers. So our results present a next step towards a comprehensive SNP marker set for breast cancer susceptibility and clinical outcome and individualized cancer therapy.

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