

# Altered error specificity of RNase H-deficient HIV-1 reverse transcriptases during DNA-dependent DNA synthesis

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## ABSTRACT

**Asp<sup>443</sup> and Glu<sup>478</sup> are essential active site residues in the RNase H domain of human immunodeficiency virus type 1 (HIV-1) reverse transcriptase (RT). We have investigated the effects of substituting Asn for Asp<sup>443</sup> or Gln for Glu<sup>478</sup> on the fidelity of DNA-dependent DNA synthesis of phylogenetically diverse HIV-1 RTs. In M13mp2 *lacZ* $\alpha$ -based forward mutation assays, HIV-1 group M (BH10) and group O RTs bearing substitutions D443N, E478Q, V75I/D443N or V75I/E478Q showed 2.0- to 6.6-fold increased accuracy in comparison with the corresponding wild-type enzymes. This was a consequence of their lower base substitution error rates. One-nucleotide deletions and insertions represented between 30 and 68% of all errors identified in the mutational spectra of RNase H-deficient HIV-1 group O RTs. In comparison with the wild-type RT, these enzymes showed higher frameshift error rates and higher dissociation rate constants ( $k_{off}$ ) for DNA/DNA template–primers. The effects on frameshift fidelity were similar to those reported for mutation E89G and suggest that in HIV-1 group O RT, RNase H inactivation could affect template/primer slippage. Our results support a role for the RNase H domain during plus-strand DNA polymerization and suggest that mutations affecting RNase H function could also contribute to retrovirus variability during the later steps of reverse transcription.**

## INTRODUCTION

Human immunodeficiency virus type 1 (HIV-1) sequence diversity constitutes a major hurdle for the design of

effective and broadly applicable HIV vaccines and plays a major role in antiviral drug resistance. Major factors contributing to the genetic variability of HIV-1 are its high replication rate and the low fidelity of the viral reverse transcriptase (RT). The RT catalyses the conversion of the viral genomic single-stranded RNA into double-stranded proviral DNA, which is integrated into the genome of the host cell (1,2). During reverse transcription, the viral genomic RNA is used as template to synthesise a complementary DNA strand (minus-strand DNA). While this process takes place, the viral RNA is degraded. DNA-dependent DNA polymerization initiated from a short polypurine tract (PPT) resistant to endonucleolytic cleavage leads to the formation of the double-stranded proviral DNA.

The HIV-1 RT is a heterodimeric enzyme with subunits of 66 and 51 kDa, termed as p66 and p51, respectively. Both polypeptide chains share the same amino acid sequence, but amino acids 441 to 560 of the C-terminal region of p66 are missing in p51 (3). The large subunit contains separated DNA polymerase and RNase H domains at its N- and C-terminal regions, respectively. Three highly conserved acidic residues (Asp<sup>110</sup>, Asp<sup>185</sup> and Asp<sup>186</sup>) form the catalytic triad in the DNA polymerase active site. On the other hand, the RNase H catalytic site contains four conserved carboxylates (Asp<sup>443</sup>, Glu<sup>478</sup>, Asp<sup>498</sup> and Asp<sup>549</sup>) that form two metal-binding pockets required for the nucleotidyl transfer reaction involved in RNA degradation during reverse transcription (4,5). Mutational studies have demonstrated that the replacement of Asp<sup>443</sup>, Glu<sup>478</sup> or Asp<sup>498</sup> into their corresponding amides (Asn or Gln) renders RTs devoid of RNase H activity (6,7). The DNA polymerase and the RNase H domains are separated by 15–20 nucleotides. The RNase H cleavage specificity is controlled by primer grip residues (8) that help to position the DNA primer strand near the endonuclease active site (9). Amino acids of the RNase

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H domain contributing to the RNase H primer grip include the Thr<sup>473</sup>-Asn<sup>474</sup>-Gln<sup>475</sup>-Lys<sup>476</sup> quartet, Tyr<sup>501</sup> and Ile<sup>505</sup> (9).

Mutational studies have shown that the fidelity of HIV-1 RT is mainly determined by dNTP-binding residues or amino acids located in their vicinity [for reviews, see refs. (10,11)]. However, other interactions affecting residues of the DNA polymerase domain with the template (e.g. Glu<sup>89</sup>) or the primer strand (e.g. Met<sup>230</sup>), or with the minor groove-binding track (e.g. Gly<sup>262</sup> and Trp<sup>266</sup>) may also contribute to the accuracy of the RT. In some cases, amino acid substitutions at those positions could affect slippage of the template or primer strands and result in altered frameshift error rates, as observed with mutants E89G, G262A or W266A (12-14). In addition, other mutants such as M230I and M230L were prone to introduce tandem repeat deletions, particularly in the presence of biased dNTP concentrations (15).

The contribution of the RNase H domain to DNA polymerase fidelity has not been explored in detail. One round of replication experiments carried out *ex vivo* showed that the primer grip mutation Y501W produces a 4-fold increase in mutant frequency compared with the wild-type HIV-1 RT, although another mutation in the same region (I505A) had no effect on the mutation rate (16). Tyr<sup>501</sup> is highly conserved in retroviruses. The equivalent residue in the murine leukaemia virus (MLV) RT is Tyr<sup>586</sup>. Substituting Phe for Tyr<sup>586</sup> in such an RT produces a 5-fold increase in the retroviral mutation rate in a single replication cycle (17). Sequence analysis of progeny virus revealed that mutations induced by Y586F were frequently observed at adenine-thymine tracts. Modest reductions in accuracy were also observed with MLV vectors carrying other RNase H primer grip mutations such as S557A, A558V and Q559L (18).

It is widely accepted that RNase H activity may affect mutation and recombination through its coordinated action with the RT DNA polymerase during minus-strand DNA synthesis and plus-strand DNA transfer [see reviews in refs. (19,20)]. However, there is little information about its contribution to DNA-dependent DNA synthesis. In this study, we have used the HIV-1 group O RT as a model because of its biotechnological interest. This enzyme shares ~80% sequence identity with prototypic HIV-1 group M subtype B RTs, frequently used as a reference in biochemical studies. However, the wild-type HIV-1 group O (O<sub>WT</sub>) polymerase is resistant to non-nucleoside RT inhibitors (21) and shows increased thermal stability and fidelity of DNA-dependent DNA synthesis (22). In previous studies, we demonstrated that substituting Ile for Val<sup>75</sup> in HIV-1 group O and group M RTs increased their fidelity around 2-fold (22,23), as determined with an M13mp2 *lacZα*-based forward mutation assay (24). Overall error rates of  $1.4 \times 10^{-4}$ ,  $5.5 \times 10^{-5}$  and  $2.9 \times 10^{-5}$  were obtained for wild-type BH10 (BH10<sub>WT</sub>), O<sub>WT</sub> and mutant O<sub>V75I</sub> RTs, respectively (22). Now, we have used the forward mutation assay to study the effects of RNase H-inactivating mutations on the fidelity of RTs with different levels of accuracy. Our data show that RNase H mutations increase fidelity by lowering the base substitution error

rates of those polymerases. The analysis of mutational spectra and the subsequent biochemical studies suggest that RNase H function may also have an influence on frameshift fidelity during DNA-dependent DNA synthesis, although this effect appears to be restricted to the HIV-1 group O RTs.

## MATERIALS AND METHODS

### Mutagenesis, expression and purification of recombinant RTs

Site-directed mutagenesis was carried out with the QuikChange site-directed mutagenesis kit (Stratagene) by following the manufacturer's instructions. The templates used were derivatives of the plasmid p66RTB (25,26) containing the wild-type RT-coding sequences of the HIV-1<sub>BH10</sub> (BH10) strain (26), an HIV-1<sub>ESP49</sub> group O isolate (21) or a previously described derivative of this clone with the V75I substitution (22). Mutant HIV-1 group O RTs bearing the substitution D443N were obtained with the mutagenic primers 5'-GAAACCTATTATGTAAATGGAGCAGCTA-3' and 5'-TAGCTGCTCATTACATAATAGGTTTC-3'. The amino acid change E478Q was introduced in the BH10 RT by using the mutagenic primers 5'-AAATCAGAAAACCTCAGTTACAAGCAA-3' and 5'-TTGCTTGTAAGTACTGAGTTTTCTGATTT-3'. After mutagenesis, the entire RT-coding regions were sequenced and, if correct, used for RT expression and purification. O<sub>WT</sub> RT and mutant derivatives V75I, V75I/E478Q and E478Q were obtained as previously described (22). All RTs were purified as p66/p51 heterodimers with a His<sub>6</sub> tag at the C-terminal end of the 66-kDa subunit. For this purpose, the RT p66 subunit (encoded within the corresponding p66RTB derivative) was co-expressed with HIV-1 protease in *Escherichia coli* XL1 Blue, and the obtained heterodimers were then purified by ionic exchange followed by immobilized metal affinity chromatography on Ni<sup>2+</sup>-nitriloacetic acid agarose (25). Enzymes were quantified by active site titration before biochemical studies (27).

### Nucleotide incorporation and RNase H activity assays

Kinetic parameters for nucleotide incorporation were determined by using 5'-<sup>32</sup>P-labelled 25PGA and D38, as primer and template, respectively (Figure 1). The 25PGA primer was previously labelled at its 5' terminus with [ $\gamma$ -<sup>32</sup>P]ATP (Perkin Elmer) and T4 polynucleotide kinase (New England Biolabs), and then annealed to D38 in 25 mM Tris-HCl buffer pH 8.0, containing 100 mM KCl and 4 mM MgCl<sub>2</sub>. RTs were pre-incubated with the template-primer during 10 min at 37°C in 6  $\mu$ l of 25 mM Tris-HCl buffer pH 8.0, containing 100 mM KCl, 4 mM MgCl<sub>2</sub> and 2 mM dithiothreitol. Reactions were initiated by adding 6  $\mu$ l of preincubation buffer containing dTTP at concentrations ranging from 20 nM to 40  $\mu$ M, and incubated at 37°C. To determine the rate of product formation (28), aliquots of 4  $\mu$ l were removed after 15 s, quenched with sample loading buffer (10 mM EDTA in 90% formamide containing 3 mg/ml xylene cyanol FF and 3 mg/ml bromophenol blue), analysed by denaturing

Oligonucleotide	Sequence
D38 (38) 25PGA (25)	5' GGGTCCTTTCTTACCTGCAAGAATGTATAGCCCTACCA 3' 3' GGACGTTCTTACATATCGGGATGGT 5'
D38 (38) 25PIN (25)	5' GGGTCCTTTCTTACCTGCAAGAATGTATAGCCCTACCA 3' 3' <u>A</u> GACGTTCTTACATATCGGGATGGT 5'
M54 (54) 3TRP (22)	5' CCCATACAAAAGGAAACATGGGAAACATGGTGGACAGAGTATTGGCAAGCCACA 3' 3' CTGTCTCATAACCGTTCGGGTG 5'
Lac46T (46) 21P1 (21)	5' GCCGTCGTTTTACAACGTCGTGACTGGGAAAACCCTGGCGTTACCC 3' 3' CCCTTTTGGGACCGCAATGGG 5'
31T (31) 21P (21)	5' TTTTTTTTTTAGGATACATATGGTTAAAGTAT 3' 3' CCTATGTATACCAATTCATA 5'

Figure 1. Nucleotide sequences of DNA/DNA complexes used in the assays.

polyacrylamide gel electrophoresis and quantified with a BAS 1500 scanner (Fuji) using the program TINA version 2.09 (Raytest Isotopenmessgerate GmbH, Staubenhardt, Germany). The concentration of template–primer in these assays was 30 nM, while the active RT concentration was ~6–10 nM. The  $k_{cat}$  and the apparent  $K_m$  values were determined as previously described (29,30). Mismatch extension assays were carried out under the conditions described above but using a DNA duplex containing the primer 25PIN that differed from 25PGA in having A instead of T at the 3' end (Figure 1). Aliquots were removed 40 s after adding the dTTP, and assays were performed with nucleotide concentrations ranging from 7.5 to 300  $\mu$ M. The RNase H activity of WT and mutant RTs was determined with the 31T-RNA/21P complex as previously described (22).

#### Primer extension assays in the presence of three dNTPs

The template–primer M54/3TRP (Figure 1) was used to determine the extent of misincorporation in the absence of one dNTP (23). The concentration of the template–primer in the reaction was 30 nM, and the active enzyme concentration was 12 nM. Assays were carried out for 0–60 min at 37°C in 10  $\mu$ l of 25 mM Tris-HCl buffer pH 8.0, containing 100 mM KCl, 4 mM MgCl<sub>2</sub> and 2 mM dithiothreitol. Reactions were initiated by the addition of 10  $\mu$ l of different combinations of dNTPs at a concentration of 1 mM each (\*: dATP, dCTP, dGTP, dTTP); (-G: dATP, dCTP, dTTP); (-C: dATP, dGTP, dTTP); (-A: dCTP, dGTP, dTTP); (-T: dATP, dCTP, dGTP). At the end of the incubation period (5, 30 and 60 min), the reactions were stopped by adding 4  $\mu$ l of sample loading buffer. Reaction products were analysed by denaturing polyacrylamide gel electrophoresis and phosphorimaging.

#### M13mp2 *lacZ* $\alpha$ -based fidelity assays

The fidelity of DNA-dependent DNA synthesis was determined using the forward mutation assay (24), in the previously described conditions (31). Briefly, a gapped

M13mp2 DNA substrate was obtained by deleting 407 nucleotides of one of the strands, including the *lacZ* $\alpha$ -complementation target sequence. Gap-filling reactions were carried out at 37°C during 30 min in 10  $\mu$ l of 25 mM Tris-HCl (pH 8.0) buffer containing 100 mM KCl, 4 mM MgCl<sub>2</sub>, 2 mM dithiothreitol, 250  $\mu$ M of each dNTP, 5  $\mu$ g/ml of gapped duplex DNA and 100 nM RT. Polymerization products were electroporated into *E. coli* MC1061 host cells, and after a brief recovery period (10 min), transformants were plated on an *E. coli* CSH50 lawn in M9 plates containing 5-bromo-4-chloro-3-indolyl  $\beta$ -D-galactopyranoside and isopropyl  $\beta$ -D-1-thiogalactopyranoside (24). Mutant plaques (light blue or colourless) were picked, and the phage double-stranded DNA replicative form was isolated. Phenotypes of mutant plaques were confirmed by nucleotide sequencing using primer 5'-GCTTGCTGCAACTCTCTCAG-3' (Macrogen Inc., Seoul, South Korea).

Error frequencies were calculated as the ratio of confirmed mutant plaques to the total number of plaques screened. Specific error rates were derived by multiplying the overall error frequency with the percentage of all mutations represented by the particular class of mutations (e.g. base substitutions). This number is divided by 0.6 (the average probability of an error being expressed in the M13mp2 assay) (32), and by the total number of sites where this class of mutations can be detected (i.e. 125 for base substitutions, 148 for frameshifts and 79 and 69 for mutations in runs and non-runs, respectively).

#### Processivity assays

Qualitative measurements of the processivity of WT and mutant RTs were obtained with single-stranded M13mp2 DNA template, primed with a <sup>32</sup>P-5'-end-labelled 15-mer (Lac110: 5'-GCGATTAAGTTGGGT-3') complementary to positions 105–119 of the *lacZ* $\alpha$  coding sequence (33). Processivity reactions were carried out at 37°C in 20  $\mu$ l of 25 mM Tris-HCl buffer pH 8.0, containing 100 mM KCl, 4 mM MgCl<sub>2</sub>, 2 mM dithiothreitol, 30 nM template–primer, 50  $\mu$ M of each dNTP, 50 nM RT and 5  $\mu$ g/ $\mu$ l



salmon sperm DNA trap. Enzymes were pre-incubated with template–primer for 10 min before adding the dNTPs (either in the presence or absence of trap). Following nucleotide addition, aliquots were removed after 15 min, mixed with an equal volume of sample loading buffer, and analysed by electrophoresis on a denaturing 12% polyacrylamide gel containing 8 M urea and phosphorimaging. The effectiveness of the trap was previously determined by pre-incubating the template–primer with the DNA trap before adding the RT.

#### Determination of dissociation equilibrium constants ( $K_d$ ) for RT and DNA/DNA duplexes

Template–primers used in these experiments (D38/25PGA and D38/25PIN) are shown in Figure 1. Both DNA/DNA duplexes are identical except for the nucleotide located at the 3' end of the primer that originates a mismatch in the case of D38/25PIN. For the determination of the  $K_d$  of WT and mutant RTs and the D38/25PGA duplex, enzymes were pre-incubated with increasing concentrations of the 5'-<sup>32</sup>P-labelled 25/38-mer (1–30 nM) for 10 min at 37°C in 10 µl of a 25 mM Tris-HCl buffer pH 8.0, containing 100 mM KCl, 4 mM MgCl<sub>2</sub> and 2 mM dithiothreitol. Reactions were initiated by adding 10 µl of 1 mM dTTP (dissolved in the pre-incubation buffer). Aliquots of 4 µl were then removed at 10, 20, 30 and 40 s, quenched with sample loading buffer and analysed by denaturing polyacrylamide gel electrophoresis and phosphorimaging, as described above. In these experiments, the active RT concentration was around 3 nM. The burst amplitudes (RT bound to template–primer at time 0) were plotted as a function of the template–primer concentration, and the data were fitted to a quadratic equation to obtain the equilibrium dissociation constant for RT binding to template–primer (34).

The  $K_d$ s for the mismatched DNA/DNA complex were determined by using an equilibrium competition assay (35). In these assays, RTs were preincubated with equimolar amounts of radioactively labelled and unlabelled template–primers, before the nucleotide incorporation reaction. Briefly, RTs (3 nM) were first preincubated at 37°C for 10 min, with a mixture of D38/[<sup>32</sup>P]25PGA and unlabelled D38/25PGA (each one at 7 nM concentration) in 10 µl of 25 mM Tris-HCl buffer pH 8.0, containing 100 mM KCl, 4 mM MgCl<sub>2</sub> and 2 mM dithiothreitol. Then, the elongation reaction was initiated by adding 10 µl of 1 mM dTTP (dissolved in the pre-incubation buffer). Aliquots of 4 µl were removed at 10, 20, 30 and 40 s, quenched with sample loading buffer and analysed by denaturing polyacrylamide gel electrophoresis. The fraction of extended labelled primer at time 0 ( $E_{X_{\text{matched}}}$ ) is determined by phosphorimaging. Then a similar experiment is performed by using as unlabelled challenge DNA the template–primer D38/25PIN, instead of unlabelled D38/25PGA. D38/25PIN contains an A:C mismatch at the 3' end of the primer (Figure 1). The fraction of extended labelled primer in these experiments is designated as  $E_{X_{\text{mismatched}}}$ ,

and the  $K_d$  for the mismatched template–primer can be calculated from the expression:

$$\begin{aligned} &K_d(\text{mismatched})/K_d(\text{matched}) \\ &= (E_{X_{\text{mismatched}}}/2E_{X_{\text{matched}}} - E_{X_{\text{mismatched}}}) \quad (35) \end{aligned}$$

#### Determination of dissociation rate constants ( $k_{\text{off}}$ )

Dissociation rate constants for template–primers were determined with three different substrates (i.e. D38/25PGA, Lac46T/21P1 and 31T/21P) (Figure 1). Assays were carried out in 25 mM Tris-HCl buffer pH 8.0, containing 100 mM KCl, 4 mM MgCl<sub>2</sub> and 2 mM dithiothreitol. RTs (24 nM) were pre-incubated with the corresponding template–primer substrate (at 8 nM concentration) for 10 min at 37°C. Then, 2.5 µl of salmon sperm DNA trap (16.5 mg/ml) was added to 5 µl of the pre-incubated sample, and the incubation was continued for 0–60 s, before adding the corresponding dNTP. Elongation reactions carried out in the presence of 20 µM dATP (with Lac46T/21P1) or 100 µM dTTP (with 31T/21P and with D38/25PGA) were incubated for 7 s. The dNTPs were supplied in 2.5 µl of 25 mM Tris-HCl buffer pH 8.0, containing 100 mM KCl, 4 mM MgCl<sub>2</sub> and 2 mM dithiothreitol. To determine whether the addition of only one nucleotide could affect the  $k_{\text{off}}$  values, control experiments involving the addition of several nucleotides were carried out with primer 31T/21P. These assays were carried out under the same conditions, after adding a mixture of dTTP and dATP at concentrations of 200 µM and 500 µM, respectively, followed by a 1-min incubation at 37°C. Reactions were quenched with one volume of sample loading buffer and analysed by denaturing polyacrylamide gel electrophoresis and phosphorimaging. The data (amount of elongated primer) were fitted to the single exponential decay:  $y = \exp(-k_{\text{off}} \times t)$ , where  $k_{\text{off}}$  is the dissociation rate constant and  $t$  is the time of incubation with the trap (in seconds) (36,37).

## RESULTS

### Enzyme activity

The active centre of the HIV-1 RT RNase H domain contains highly conserved acidic residues (Asp<sup>443</sup>, Glu<sup>478</sup>, Asp<sup>498</sup> and Glu<sup>549</sup>) that coordinate two divalent cations required to hydrolyse the RNA template during reverse transcription. HIV-1 RTs with amino acid substitutions affecting residues of the RNase H catalytic centre (e.g. D443N or E478Q) were devoid of endonuclease activity, as determined with an RNA/DNA heteropolymeric substrate (Figure 2). The DNA polymerase activity of RNase H-deficient mutants was determined with a 38/25-mer heteropolymeric template–primer and dTTP, under steady-state conditions. As shown in Table 1, RNase H-inactivating mutations were not detrimental to nucleotide incorporation. All tested RTs showed similar or increased catalytic efficiencies (measured as the ratio  $k_{\text{cat}}/K_m$ ) in comparison with the O<sub>WT</sub> RT, and the mutant enzyme V75I (O<sub>V75I</sub>), as



DNA-dependent DNA synthesis, even though the RNase H domain is located away from the DNA polymerase catalytic site of the RT.

An M13mp2-based forward mutation assay was used to determine the fidelity of RTs devoid of RNase H activity. In these assays, RTs are used to synthesise a DNA complementary to the *lacZα* gene in reactions carried out in the presence of relatively high dNTP concentrations. Mutations generated during the polymerization reaction can be scored using an indicator strain, by the number of plaques with altered colour phenotype (pale blue or colourless). The mutant frequencies obtained with HIV-1 group O RTs lacking RNase H activity, such as mutants O\_D443N, O\_E478Q, O\_V75I/D443N and O\_V75I/E478Q, were ~3–6.6 times lower than those obtained with the wild-type enzyme (Table 2). These effects were also observed in the BH10 RT, as the corresponding E478Q mutant showed 2-fold increased fidelity in comparison with the wild-type enzyme (Table 2). Mutations E478Q and D443N showed the largest increase in accuracy when introduced in the O\_WT RT sequence context.

The mutational spectra of RNase H-deficient HIV-1 group O RTs show a relatively large proportion of one-nucleotide deletions that in several cases accumulated at specific hot spots (Supplementary Figure S2a–d). For example, the spectrum of O\_D443N RT has one hot spot at position +123, and O\_V75I/D443N has four major hot spots for one-nucleotide deletions at positions –61, +105, +141 and +149. Hotspots around positions +105/+106 and +149 were found in the spectra of O\_V75I/D443N and O\_V75I/E478Q RTs. Although position +149 could be considered as a common hot spot location for both mutant RTs, identified errors were one-nucleotide deletions in the case of O\_V75I/D443N RT and base substitutions (mostly G→T mutations) in the case of O\_V75I/E478Q RT. These results were rather different from those obtained with O\_WT and mutant O\_V75I RTs that showed a very low tendency to introduce insertions or deletions (22). A base substitution hot spot at position –36 was observed in the mutational spectra of O\_D443N and O\_E478Q RTs. This mutational hot spot was previously identified in the mutational analysis of O\_WT and O\_V75I RTs, but was absent in the RNase H-deficient polymerases carrying the V75I substitution. All RNase H-deficient RTs were shown to introduce large deletions, although they were more frequent in the case of mutant O\_E478Q.

Unlike in the case of O\_WT and O\_V75I RTs, insertions and deletions represented 30–68% of all errors in the mutational spectra generated by the RNase H-deficient HIV-1 group O RTs (Table 3). The calculated error rates reveal that both D443N and E478Q increase the accuracy of the RT by reducing the base substitution error rate, although both mutant RTs also showed decreased frameshift fidelity. For example, the base substitution error rate of O\_E478Q RT was estimated to be 10.9 times lower than the rate obtained with the O\_WT enzyme. In contrast, the wild-type enzyme showed increased frameshift fidelity in comparison with mutant O\_E478Q RT. Similar data were obtained with O\_D443N RT, which in comparison with the WT RT

**Table 2.** Accuracy of RT variants in M13mp2 *lacZα* forward mutation assays

RTs	Total plaques	Mutant plaques	Mutant frequency <sup>a</sup>	Fidelity (fold increase) (relative to O_WT RT)
O_WT				
(exp. 1) <sup>b</sup>	7579	63	0.00831	
(exp. 2)	3957	38	0.00960	
O_V75I <sup>b</sup>	9894	47	0.00475	1.9
O_D443N	25697	51	0.00198	4.5
O_E478Q	40755	55	0.00135	6.6
O_V75I/D443N	27500	50	0.00182	4.9
O_V75I/E478Q	16854	49	0.00291	3.1
BH10_WT				
(exp. 1)	3792	43	0.01134	0.8
(exp. 2)	2192	29	0.01323	0.7
BH10_E478Q	18045	104	0.00576	1.5 (2.0) <sup>c</sup>

<sup>a</sup>Reported background frequencies in this assay ( $\sim 6 \times 10^{-4}$ ) (24) are in most cases a consequence of M13mp2 DNA rearrangements that result in the loss of the *lacZ* gene. Phage DNA was obtained from all mutant plaques, and the sequence of the reporter gene was determined in all cases. No *lacZ* mutations were identified after sequencing phage DNA from >20000 plaques obtained from two to three *E. coli* electroporations carried out with gapped M13mp2 DNA substrate (31). For each enzyme, mutant plaques were obtained after transfection with the products of four to six gap-filling reactions.

<sup>b</sup>Reported values for O\_WT and O\_V75I RTs were taken from Álvarez *et al.* (22).

<sup>c</sup>Fold-increase in fidelity relative to BH10\_WT RT.

introduced base substitutions errors with an 8.3-fold reduced frequency, but showed increased frameshift error rate. Most of the insertions and deletions introduced by RNase H-deficient RTs were caused by –1 frameshifts, rendering one-nucleotide deletions. Mutants O\_D443N and O\_V75I/D443N showed a higher tendency to introduce –1 frameshifts at heteropolymeric sequences (i.e. non-runs) in comparison with the RTs containing the E478Q substitution.

In the case of BH10 RT, the increased fidelity conferred by E478Q could be also attributed to its lower base substitution error rate, as compared with the BH10\_WT RT (Table 3). However, in contrast with the well-known strong tendency to produce –1 frameshifts of WT HIV-1 group M subtype B RTs (10,11), this type of errors represented only 11.2% of all identified mutations in the spectrum of the BH10\_E478Q RT (Table 3 and Supplementary Figure S2e). Mutational hot spots generated by BH10\_E478Q RT, and involving base substitutions at positions –36, +81/+83 and +88/+90 were also observed in the mutational spectrum of BH10\_WT RT (22). BH10\_E478Q RT has a low tendency to produce large deletions. In our study, we could only identify one deletion after screening >100 plaques. Despite the low frequency of these events, RNase H-deficient HIV-1 group O RTs appear to be more prone to introduce deletions during DNA polymerization.

### Template–primer binding and processivity

The increased number of errors due to frameshifts generated by RNase H-deficient HIV-1 group O RTs

**Table 3.** Summary of error rates for WT and mutant RTs, for various classes of mutations

Mutation type	O_WT RT <sup>a</sup>		O_V75I RT <sup>a</sup>		O_D443N RT		O_E478Q RT		O_V75I/D443N RT		O_V75I/E478Q RT		BH10_WT RT <sup>a</sup>		BH10_E478Q RT	
	No. of errors	Error rate	No. of errors	Error rate	No. of errors	Error rate	No. of errors	Error rate	No. of errors	Error rate	No. of errors	Error rate	No. of errors	Error rate	No. of errors	Error rate
All classes	49	1/17166	47	1/29936	51	1/71673	57	1/101644	54	1/72403	49	1/48916	49	1/7369	107	1/23981
Base substitutions	49	1/9053	47	1/15789	26	1/74122	31	1/98547	20	1/103209	34	1/37175	31	1/5760	95	1/14246
Transitions	25 (51%)		23 (48.9%)		18 (69.2%)		15 (48.4%)		8 (40%)		17 (50%)		20 (64.5%)		27 (28.4%)	
Transversions	24 (49%)		24 (51.1%)		8 (30.8%)		16 (51.6%)		12 (60%)		17 (50%)		11 (35.5%)		68 (71.6%)	
Frameshifts	0	<1/541516	0	<1/878587	25	1/91343	26	1/139197	34	1/71768	15	1/99826	18	1/11745	12	1/133533
Insertions	0		0		4 (16%)		1 (3.8%)		1 (2.9%)		3 (16.7%)		3 (16.7%)		11 (91.7%)	
Deletions	0		0		21 (84%)		25 (96.2%)		33 (97.1%)		14 (93.3%)		15 (83.3%)		1 (8.3%)	
At runs <sup>b</sup>	0	<1/280371	0	<1/498947	8	1/152173	9	1/214574	1	1/1340801	12	1/66553	18	1/6253	4	1/213833
At non-runs	0	<1/244881	0	<1/435789	14	1/76156	10	1/169100	31	1/36981	1	1/712074	0	<1/98207	8	1/93383

<sup>a</sup>Reported values for O\_WT, O\_V75I and BH10 RTs were taken from Álvarez *et al.* (22).

<sup>b</sup>A run is considered when there is a row of three or more identical nucleotides. Insertions or deletions of two or more nucleotides are excluded from the run versus non-run analysis.

**Table 4.** Dissociation equilibrium constants for WT and mutant HIV-1 group O RTs and DNA/DNA template-primers

RTs	Apparent $K_d$ (nM)	
	Matched template-primer	Mismatched template-primer
O_WT	2.76 ± 0.29	2.74 ± 0.33
O_D443N	2.60 ± 0.19	2.94 ± 0.65
O_E478Q	2.92 ± 0.55	ND
O_V75I/D443N	2.41 ± 0.40	ND
O_V75I/E478Q	2.83 ± 0.59	3.27 ± 0.69

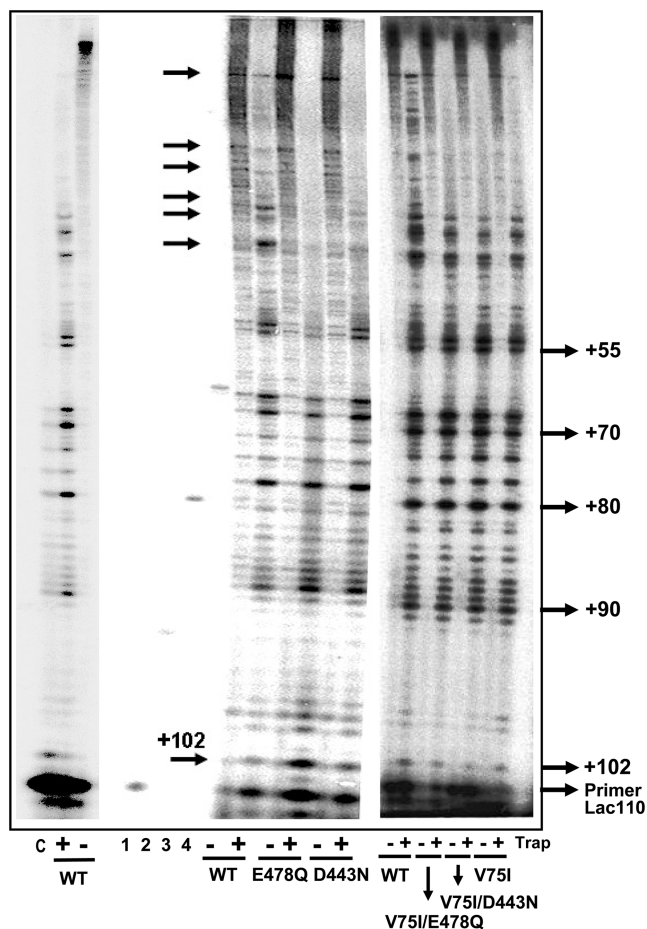
The  $K_d$  values were obtained with DNA/DNA template-primers D38/25PGA (containing a matched base pair at the 3' end of the primer) and D38/25PIN (containing a mismatched 3' terminus). Reported values are the averages ± standard deviations, obtained from at least three independent experiments. ND, not determined.

could be associated with an impaired interaction with the template-primer. Dissociation equilibrium constants ( $K_d$ ) for O\_WT and mutant RTs and DNA/DNA template-primers are given in Table 4. There were not significant differences in the  $K_d$  values obtained for O\_WT RT and mutant enzymes lacking RNase H activity. Furthermore, the presence of a mismatched nucleotide at the 3' end of the primer had no effect on template-primer binding affinity (Table 4).

Earlier studies showed a correlation between the probability of termination of processive synthesis and frame-shift fidelity (33,38). Therefore, we studied the processivity of RNase H-deficient mutants using single-stranded M13mp2 DNA as template and a primer mimicking the sequence of *lacZα* around position +110. As shown in Figure 3, all HIV-1 group O RTs were able to synthesise long DNA products in the absence of trap. However, the length of these products is decreased relative to the wild-type enzyme when reactions are carried out in the presence of DNA trap. Qualitative analysis of the processivity patterns reveals that O\_WT RT produces longer extensions than the RNase H-deficient RTs. Termination sites appear to be similar for all enzymes, but prominent stops were observed at position +102 with mutants O\_D443N and O\_E478Q. Both RTs generated large deletions at this termination site (Figure 3). The three RTs having the mutation V75I (i.e. O\_V75I, O\_V75I/D443N and O\_V75I/E478Q) showed intermediate processivity, while O\_D443N RT and particularly O\_E478Q were found to be the least processive polymerases. These results suggest that RNase H mutations may have an effect on fidelity by altering the dynamics of DNA/DNA template-primer binding.

In this context, dissociation rate constants ( $k_{off}$ ) of RT and DNA/DNA complexes provide information on the kinetics of this equilibrium. We obtained  $k_{off}$  values for all HIV-1 group O RTs using three different heteropolymeric template-primers to avoid any potential bias due to the local sequence context in the DNA duplex. As shown in Table 5, differences were relatively small but significant, at least in the case of template-primers





**Figure 3.** Processivity of wild-type and mutant HIV-1 group O RTs. Processivity assays were performed with M13mp2 single-stranded DNA as template and the 15-nt oligonucleotide Lac110 as primer. Reactions were carried out for 15 min at 37°C. Marker oligonucleotides of 15, 25, 38 and 54 nucleotides are shown in lanes 1–4, and correspond to primers Lac110, 25PGA, D38 and M54, respectively. C stands for control (obtained after pre-incubating the template–primer with the DNA trap before adding the RT). Numbers on the right indicate the location of specific stops in the *lacZ* sequence.

31T/21P and D38/25PGA. The mutant O\_V75I/D443N showed the highest  $k_{\text{off}}$ , followed by the RTs O\_D443N, O\_V75I/E478Q and O\_E478Q, while the lowest  $k_{\text{off}}$  values were obtained with the WT enzyme and the mutant O\_V75I RT. Interestingly, these values correlate well with the calculated frameshift error rates that are highest for mutant O\_V75I/D443N RT and lowest for O\_WT and O\_V75I RTs.

## DISCUSSION

During reverse transcription, the HIV-1 RT RNase H activity is required to hydrolyse the RNA strand of RNA/DNA heteroduplex molecules. The hydrolytic cleavage is mediated by a two-metal-ion catalytic mechanism (4,5). The side chains of active site residues Asp<sup>443</sup> and Glu<sup>478</sup> participate in the coordination of two and one metal ions, respectively (Figure 4). In HIV-1 RT, mutations D443N and E478Q abolish RNase H activity while retaining wild-type DNA polymerase activity (7). Our results confirm those early findings but also showed that both RNase H-inactivating mutations have an impact on fidelity of DNA-dependent DNA synthesis. These findings are surprising, as RNase H active site residues are located away from the DNA polymerase nucleotide-binding site and DNA/DNA template–primers are not RNase H substrates.

The results of the forward mutation fidelity assays show that both HIV-1 group O and group M RTs bearing mutations D443N or E478Q are more accurate than the wild-type enzyme. Analyses of their mutational spectra indicate that this is a consequence of their lower tendency to generate base substitution errors, although HIV-1 group O RNase H-deficient RTs were also prone to introduce frameshifts, mostly by producing one-nucleotide deletions. Previously, we showed that the frameshift error rate of O\_WT and O\_V75I RTs was very low ( $<1.85 \times 10^{-6}$ ), as determined with the M13mp2 *lacZ*α-based assay (22). However, in the present study, RNase H-deficient HIV-1 group O RTs showed frameshift error rates as high as  $1.4 \times 10^{-5}$ , with insertions and deletions representing  $>50\%$  of all errors detected in their

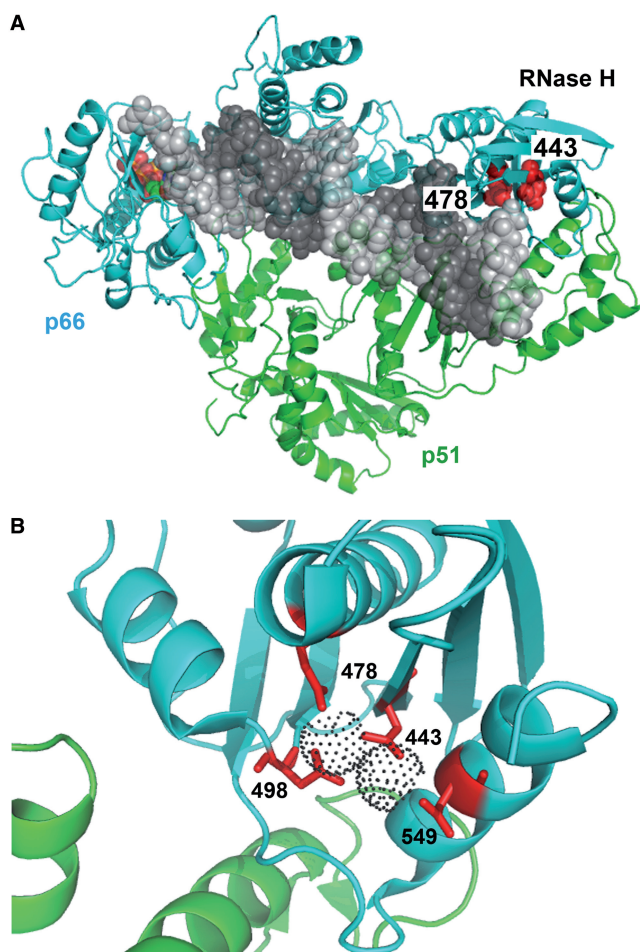
**Table 5.** Dissociation rate constants ( $k_{\text{off}}$ ) for binary complexes of RT and DNA/DNA template–primers

RTs	$k_{\text{off}}$ ( $\text{s}^{-1}$ )		
	31T/21P <sup>a</sup>	D38/25PGA	Lac46T/21P1
O_WT	0.080 ± 0.010	0.103 ± 0.012	0.207 ± 0.014
O_V75I	0.051 ± 0.003 (0.6)	0.093 ± 0.009 (0.9)	0.210 ± 0.017 (1.0)
O_D443N	0.187 ± 0.010 (2.3)**	0.214 ± 0.011 (2.1)**	0.264 ± 0.010 (1.3)**
O_E478Q	0.123 ± 0.018 (1.5)*	0.133 ± 0.006 (1.3)**	0.311 ± 0.005 (1.5)**
O_V75I/D443N	0.256 ± 0.025 (3.2)**	0.232 ± 0.025 (2.3)**	0.315 ± 0.020 (1.5)**
O_V75I/E478Q	0.163 ± 0.001 (2.0)**	0.169 ± 0.004 (1.6)**	0.270 ± 0.018 (1.3)*

The  $k_{\text{off}}$  values were obtained with DNA/DNA duplexes of different sizes: 31/21mer (31T/21P), 38/25mer (D38/25PGA) and 46/21mer (Lac46T/21P1). Reported values are the averages ± standard deviations, obtained from at least three independent experiments. Numbers between parentheses represent the fold-increase relative to the  $k_{\text{off}}$  value for the WT enzyme. \*\* $P < 0.01$  and \* $P < 0.05$  compared with the corresponding O\_WT or O\_V75I RTs by unpaired Student's *t* test.

<sup>a</sup>Determinations based on the incorporation of several nucleotides gave consistent results. Thus, the  $k_{\text{off}}$  values obtained with O\_WT, O\_E478Q and O\_V75I/D443N RTs, after elongating the primer 21P in the presence of a mixture of dTTP and dATP, were  $0.092 \pm 0.009$ ,  $0.138 \pm 0.014$  and  $0.212 \pm 0.020 \text{ s}^{-1}$ , respectively.





**Figure 4.** Crystal structure of HIV-1 RT showing the location of RNase H active site residues. (A) Ternary complex of HIV-1 group M subtype B RT, double-stranded DNA and dTTP [PDB coordinates from Huang *et al.* (39), PDB code 1RTD]. The RT subunits are represented by cyan and green ribbons. The template and primer strands are shown in light and dark grey sphere models. The incoming dNTP is shown in orange, and the side-chains at positions 443 and 478 are shown in red. (B) Close-up view of the RNase H active site showing the location of Asp<sup>443</sup>, Glu<sup>478</sup>, Asp<sup>498</sup> and Asp<sup>549</sup> and the coordinating metal ions (dot surfaces) [PDB coordinates from Su *et al.* (40), PDB code 3LP1].

mutational spectra. In addition, these RTs often generated large insertions and deletions. These results suggested that inactivation of the RNase H could play a role in the dynamics of template–primer binding. In agreement with this proposal, we found that inactivation of the RNase H leads to RTs with decreased processivity and higher dissociation rate from the template–primer. However, RNase H mutations did not seem to affect template–primer affinity. Previous reports have demonstrated that the RNase H-inactivating mutation D443N decreases HIV-1 group M subtype B RT processivity (41), and similar effects have been reported for its equivalent residue in MLV RT (i.e. D524N) (42). However, in the case of mutant E478Q, differences with the wild-type HIV-1 (group M—subtype B RT) were not significant when assayed in the presence of 6 mM Mg<sup>2+</sup> (43).

DNA polymerization processivity is defined as the number of nucleotides added per cycle of enzyme

binding, synthesis and dissociation from the template–primer. Lower processivity is related to an increase in prematurely paused products and/or a higher dissociation rate ( $k_{\text{off}}$ ) of the RT from the template–primer (36) that would facilitate strand transfer and template or primer slippage. We did not observe differences in the distribution of termination sites during processive DNA synthesis, except in the case of mutants O\_D443N and O\_E478Q at position +102, where both enzymes showed an increased tendency to introduce large deletions. These observations suggest that those mutants could also have increased template switching activity with DNA/DNA duplexes. The higher frameshift error rates of mutants O\_V75I/D443N and O\_D443N RTs correlated well with their high  $k_{\text{off}}$  values, in agreement with their expected contribution to slippage.

Previous studies have shown that one-nucleotide deletions or insertions represented ~20–30% of all errors introduced by the wild-type HIV-1 group M subtype B RT (33,38,44,45). Mutations at the nucleotide-binding site (e.g. K65R, L74V, Q151N and M184I) have a relatively minor impact on error distribution, with frameshifts occurring mostly at nucleotide runs (44–46). Interestingly, these mutational patterns changed when the introduced mutations affected residues that interact with the template–primer. For example, substituting Ala for Gly<sup>262</sup> or Trp<sup>266</sup> renders RTs with lower processivity, higher  $k_{\text{off}}$  and increased tendency to introduce frameshift errors (13,14). In contrast, the BH10\_WT RT showed a higher frameshift error rate than the RNase H-deficient mutant E478Q (Table 4). These data correlated well with the higher dissociation rate constants obtained with the wild-type enzyme [(43); data not shown]. The different effects of E478Q on the dissociation rate constants of HIV-1 group O and group M RTs could be related to structural differences between the corresponding RNase H domains. The number of amino acid sequences differences between the RNase H domains of BH10 and HIV-1 group O RTs represents around 28%, while this figure drops to only 15% when the DNA polymerase domain is considered (47). Furthermore, these differences represent >50% of the sequence when the comparison is restricted to residues 460–483.

Interestingly, the substitution of Gly for Glu<sup>89</sup> did not have a major effect on the overall fidelity of the HIV-1<sub>HXB2</sub> RT as determined in forward mutation assays, but as observed with the HIV-1 group O RNase H-deficient mutants, the E89G RT showed a relatively high tendency to introduce frameshift errors (both run and non–run-associated), and a higher accuracy for base substitutions in comparison with the wild-type RT (44). Frameshift errors made by the E89G mutant accumulated at well-known hotspots such as the one located at positions –34 to –36 of the *lacZα* gene. E89G RT and RNase H-deficient mutants share an increased fidelity of mispair extension in comparison with the wild-type RT (48,49). However, unlike in the case of the mutants described in our study, E89G increased DNA polymerase processivity (50,51). Despite the mechanistic relationship between processivity,  $k_{\text{off}}$  and fidelity, available studies do

not provide strong evidence to support a correlation between fidelity and processivity (50,52).

Current models to explain HIV-1 genetic recombination assume a relevant role for RNase H in promoting template switching during minus-strand DNA synthesis and the initiation of plus-strand DNA synthesis (19,20,53). DNA polymerization catalysed by HIV-1 RT is 7–10 times faster than RNA degradation (27,54). During RNA-dependent DNA synthesis, the balance between DNA polymerase and RNase H activities plays a major role in controlling the frequency of RT template switching. RNase H degradation reduces the base pairing between the growing DNA strand and the RNA template, and thereby facilitates annealing of the nascent DNA to an acceptor template. *In vitro* and *ex vivo* experiments have shown that decreased RNase H activity leads to reduced template switching (55–58). In our assays, based on DNA-dependent DNA synthesis, we found that RNase H-deficient mutants can generate large deletions (and insertions), while these types of errors are rare in RTs with wild-type RNase H activity (22,31). Previous studies showed that RNase H minus HIV-1 RT (i.e. p66<sup>E478Q</sup>/p51) is able to perform DNA to DNA transfers in a process mediated by the strand displacement activity of the RT (59). However, sequence analyses of mutants containing large deletions did not reveal the existence of hairpin structures that could resemble the strand displacement substrate. On the contrary, most deletions involved repeated sequences of five to eight nucleotides (Supplementary Figure S3). The higher dissociation rate constants shown by RNase H-deficient HIV-1 group O RTs are likely major contributors to increased template switching and therefore to the generation of large deletions and insertions during DNA-dependent DNA synthesis.

The roles of the RNase H activity of RT provide support for the likelihood that most recombination events occur during minus-strand DNA synthesis. However, our results demonstrate that RNase H function can affect frameshift fidelity in DNA-dependent DNA synthesis while influencing processivity and the dissociation of the RT from the template–primer. Taken together, our data suggest that the influence of the RNase H domain on template switching might not be exclusively related to its enzymatic activity, but a result of its physicochemical properties affecting the interaction of the RT with the duplex DNA (i.e.  $k_{off}$ ). Therefore, mutations in the RNase H domain could also contribute to HIV variability during plus-strand DNA synthesis.

## SUPPLEMENTARY DATA

Supplementary Data are available at NAR Online: Supplementary Table 1 and Supplementary Figures 1–3.

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