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# Anti-anxiety, cognitive, and steroid biosynthetic effects of an isoflavone-based dietary supplement are gonad and sexdependent in rats

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# Abstract

Isoflavone-rich diets are associated with reduced menopausal symptoms and lowered risk of cancers of reproductive tissues. Isoflavones may mimic some effects of estrogen by binding to estrogen receptors, and/or altering steroid availability. Despite their potential health benefits, neither the effects, nor mechanisms, of isoflavones are well understood. We hypothesized that isoflavones would alter behavior and physiology of rats in sex and/or gonad-dependent manner. An isoflavone-based, commercially-available, dietary supplement was administered via subcutaneous implantation to female and male, intact and gonadectomized Long-Evans rats. Affective (elevated plus-maze), cognitive (water-maze), and reproductive (sexual) behavior was examined. Weights of reproductive structures were measured, as an index of trophic effects. Steroid levels in circulation and brain regions associated with behavioral measures were evaluated by radioimmunoassay. The supplement increased anti-anxiety behavior of intact, but not gonadectomized, rats. The supplement enhanced visual-spatial performance of all rats, but this effect was most evident among proestrous female rats, which had the poorest spatial performance. There were neither effects of the supplement on sexual behavior, mass of reproductive tissues, nor plasma steroid levels. The supplement increased levels of  $5\alpha$ -androstane,  $17\beta$ -diol- $3\alpha$ -diol ( $3\alpha$ diol) in the hippocampus (but not other brain regions) of gonadectomized females. Thus, the supplement altered anxiety and cognitive behavior and brain production of steroids; however, the anti-anxiety effects were limited to rats with an intact reproductive axis and effects on cognitive performance and neurosteriodogenesis were most evident among intact and gonadectomized, female rats respectively.

# Keywords

Soy; Dietary supplement; Estrogen; Estradiol; Non-genomic

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# 1. Introduction

Isoflavones, a class of phytoestrogen, are abundant in the human diet, with soy-based foods being among the highest in isoflavone content (Grun et al., 2001; Reinli and Block, 1996). Diets rich in isoflavones include traditional Asian or vegetarian diets and many infant-formulas (Franke et al., 1995; Reinli and Block, 1996; Setchell et al., 1998). Diets rich in isoflavones have also been associated with less severe psychological and physiological symptoms of menopause, as well as a decreased risk for reproductive cancers and heart disease (Borrelli and Ernst, 2010; Cano et al., 2010; Cassidy and Faughnan, 2000; de Souza et al., 2010; Fitzpatrick, 2003; Limer and Speirs, 2004). Dietary supplements containing isoflavones may therefore have added health benefits (Lethaby et al., 2007). Thus, effects and mechanisms of isoflavone-based supplements need to be better understood.

Isoflavones may have some effects through  $17\beta$ -estradiol, the primary estrogen secreted by the ovaries. *In vivo* studies examining physiologically-relevant concentrations of isoflavones show significant estrogen-like or anti-estrogen-like effects, including alterations in animal behavior, sexually-dimorphic changes in brain volumes, and antiproliferative effects in reproductive tissues (Lephart et al., 2003; Lund and Lephart, 2001; Totta et al., 2005). For example, intact rats on an isoflavone diet show reduced anxiety behavior compared to those on an isoflavone-free diet (Lund and Lephart, 2001) and changes in volume of regions of the hypothalamus sensitive to estrogen (Lephart et al., 2003). Thus, these effects of isoflavones may be due in part to actions through estrogen signaling.

Estrogen acts throughout the body to produce a variety of effects in part through actions at intracellular estrogen receptors (ERs) and their many variants, including ERa and ERB (Pedram et al., 2002; Toran-Allerand, 2004). Estrogen has trophic effects which enhance proliferation of cancer cells, mammary and uterine tissues, and aids in the maintenance of cholesterol and bone density (Li et al., 2008; Marino et al., 2001). Administration of an ER antagonist, such as tamoxifen, can attenuate these effects, demonstrating that some of estrogen's trophic actions are through ERs (Russo and Russo, 1998; White, 1999). Estrogen has a high affinity for ERa and ER $\beta$  (Kuiper et al., 1997), but estrogen's actions at these substrates may exert different trophic actions. For example, actions at ERa have been linked to proliferative effects in breast, uterus, and/or ovaries (Harris et al., 2002; Koehler et al., 2005; Leygue et al., 1998; Ostlund et al., 2003; Rhodes and Frye, 2006; Walf and Frye, 2005b), whereas actions at ER $\beta$  can be antiproliferative in breast and prostate cancer (Acconcia et al., 2005;Mazzucco et al., 2008; Ostlund et al., 2003; Pravettoni et al., 2007; Sotoca et al., 2008; Walf and Frye, 2005b). Many isoflavones bind preferentially to ERβ in competitive binding analyses (Kuiper et al., 1998; Mueller et al., 2004). Both genistin and diadzin, the primary isoflavones in soy and red clover (Fang et al., 2004), bind with greater preferential affinity for ERB, than does estrogen (Kuiper et al., 1998). Isoflavones, and other ERß ligands, can inhibit tumor development among rodents administered carcinogens (Jensen et al., 2010; Onozawa et al., 1999; Walf and Frye, 2010). Indeed, isoflavones are potential treatments for cancer (de Souza et al., 2010). Thus, isoflavones may have beneficial effects in peripheral reproductive tissues, in part through actions at ERβ.

In addition to trophic effects in peripheral reproductive tissues, actions at ERs may mediate some pleiotropic effects in the brain. ER $\alpha$  and ER $\beta$  are differentially expressed in brain. ER $\alpha$  is greater in the hypothalamus of human brains (Koehler et al., 2005; Leygue et al., 1998; Ostlund et al., 2003). ER $\beta$  is more abundant in the hippocampus and cortex (Acconcia et al., 2005; Milner et al., 2005, 2010; Ostlund et al., 2003), brain regions involved in anxiety and cognitive behavior (Engin and Treit, 2007; Save and Poucet, 2009). Estrogen and ER $\beta$  agonists reduce anxiety behavior and enhance cognitive performance among rodents unless ER $\beta$  is knocked down (Rhodes and Frye, 2006; Walf and Frye, 2005b, 2006,

2008b; Walf et al., 2008). Given that actions at ER $\beta$  in the brain and body may be beneficial and isoflavones can have effects through ER $\beta$ , we sought to assess both central and peripheral actions of an isoflavone-based supplement.

Evidence suggests that steroidogenesis may underlie some effects of isoflavones. *In vivo* and *in vitro* data support the effect of isoflavones on steroidogenesis through several mechanisms, including modulating levels of testosterone, estrogen, and dihydrotestosterone (DHT), and interacting with aromatase,  $3\beta$ -hydroxysteroid dehydrogenase ( $3\beta$ -HSD),  $17\beta$ -HSD, and  $5\alpha$ -reductase enzymes, which converts their respective prohormones to metabolites (Almstrup et al., 2002; Brueggemeier et al., 2001a,b; Handa et al., 2008; Hiipakka et al., 2002; Lacey et al., 2005; Laurenzana et al., 2002; Le Bail et al., 2000; Thomas et al., 2004; Whitehead and Rice, 2006; Yi et al., 2002). Thus, we investigated effects of isoflavones on levels of steroid hormone and their metabolites peripherally and in brain.

This study investigated central and peripheral effects of isoflavones. A commerciallyavailable, isoflavone-based, dietary supplement was administered to male and female, intact and gonadectomized rats (as gonadal steroids and/or sex differences may be important for some functional effects of isoflavones). The effects on anxiety behavior, spatial function, and steroid biosynthesis in brain were assessed as indices of central trophic effects. The effects on sexual behavior, reproductive tissue growth, and circulating hormones were considered for consequences on peripheral reproductive parameters. We hypothesized that administration of an isoflavone supplement, compared to placebo vehicle control, would alter measures of affect, cognition, sexual behavior, and/or reproductive tissue mass, and steroid levels, in a sex-dependent and/or gonad-dependent manner.

# 2. Results

Affective, cognitive and sexual behavior was evaluated in proestrous, gonadally-intact male, ovariectomized or castrated rats that have received subscapular implants of a dietary supplement or placebo, two to four weeks prior. Following behavioral evaluation, peripheral tissues were collected and weighed to evaluate trophic effects. Levels of progesterone, testosterone and their  $5\alpha$ -reduced metabolites  $3\alpha$ , $5\alpha$ -THP and  $3\alpha$ -diol were measured in plasma, midbrain, hypothalamus, hippocampus, cortex and cerebellum. The findings, described below in detail, were that the supplement increased anti-anxiety behavior in the elevated plus maze and spatial performance in the Morris water maze. The supplement increased levels of  $3\alpha$ -diol in the hippocampus, but not other regions. There were neither effects on sexual behavior, nor evidence for trophic effects on reproductive tissues of the supplement.

# 2.1. Among intact, but not gonadectomized rats, supplement increased percentage of time spent on open arms of the elevated plus-maze

Percentage of time spent on the open arms (mean $\pm$ SEM) in the elevated plus-maze was altered by sex [*R*(1, 84)=7.2, *p*<0.05], endogenous hormonal status [*R*(1, 84)=19, *p*<0.05], and supplement condition [*R*(1, 84)=5.3, *p*<0.05]. Female rats spent a greater percentage of time on the open arms (24 $\pm$ 2%) than did male rate (16 $\pm$ 2%). Gonadally-intact rats spent a greater percentage of time on the open arms (26 $\pm$ 32%) than did gonadectomized rats (13 $\pm$ 2%). Rats that received the supplement spent a greater percentage of time on the open arms (24 $\pm$ 3%) than did those that received placebos (26 $\pm$ 2%).

Gonadal status and supplement condition significantly interacted to influence percentage of time spent on the open arms [F(1, 84)=6.7, p<0.05]. Intact rats with supplement spent a greater percentage of time on the open arms ( $33\pm4\%$ ) compared to intact placebos ( $19\pm3\%$ )

or gonadectomized animals irrespective of supplement condition (supplement:  $13\pm3\%$ ; placebo:  $14\pm2\%$ ; see Fig. 1).

# 2.2. Among intact>gonadectomized rats, supplement decreased latencies to the hidden platform in the water-maze

Supplement condition [R(1, 84)=5.6, p<0.05] and sex [R(1, 84)=10, p<0.05] influenced mean latency to the hidden platform in the water-maze. Rats that received supplement (57±4 s) performed better than did those that received placebo (73±5 s). Female rats (73±5) were slower to find the hidden platform than were male rats (55±4 s). Proestrous females had longer latencies to the hidden platform, and showed the greatest improvement, than did rats in all other conditions [t(23)=-2.7, p<0.05; see Fig. 2].

# 2.3. Gonadal status, but not supplement, influence sexual behavior and accessory structures of female rats

Gonadal status, but not supplement, influenced latency to first mount or intromission [*R*(1, 40)=84, p<0.05], lordosis rating [*R*(1, 40)=38, p<0.05], lordosis quotient [*R*(1, 40)=47, p<0.05], proceptive quotient [*R*(1, 40)=25, p<0.05], aggressive quotient [*R*(1, 40)=5.1, p<0.05], and percentage of exits [*R*(1, 40)=25, p<0.05]. Intact females had shorter latencies to receive mounts or intromission, greater lordosis quotient, lordosis rating, and proceptivity quotient, lower aggression quotient, and were made more exits following a sexual contact than did ovariectomized rats (see Table 1).

Gonadal status, but not supplement, influenced uterine weight [F(1, 40)=76, p<0.05; see Table 2].

# 2.4. Gonadal status, but not supplement, altered sexual behavior, not accessory structures, of male rats

Gonadal status, but not supplement, influenced latency mount [R(1, 43)=72, p<0.05], intromission [R(1, 43)=130, p<0.05], and total number of sexual contacts [R(1, 43)=5.3, p<0.05; see Table 1]. Intact males had lower latencies to mount and intromission, and a greater number of sexual contacts, than did gonadectomized males (see Table 1).

Gonadal status, but not supplement, influenced prostate mass [F(1, 43)=25, p<0.05; see Table 2].

#### 2.5. Radioimmunoassay-supplement alters steroid levels selectively in hippocampus

**2.5.1. Sex and gonadal status, but not supplement, influenced plasma levels of steroids**—Sex significantly influenced circulating progesterone [F(1, 84)=74, p<0.05],  $3\alpha$ , $5\alpha$ -THP [F(1, 84)=250, p<0.05], corticosterone [F(1, 84)=4.0, p<0.05], testosterone: [F(1, 84)=33, p<0.05] and  $3\alpha$ -diol levels [F(1, 84)=5.2, p<0.05]. Female rats had significantly higher circulating concentrations (*M*±SEM) of progesterone (females 43±3.0; males 15±4 ng/ml),  $3\alpha$ , $5\alpha$ -THP (females 13±1; males 3±1 ng/ml), and corticosterone (females 2±1; males 1±1 µg/dl), than did males. Males rats had significantly greater testosterone (females 4±1; males 10±1 ng/ml), and 3 $\alpha$ -diol (females 2±1; males 3±1 ng/ml) levels compared to females.

Gonadal status significantly influenced progesterone [F(1, 84)=7.5, p<0.05],  $3\alpha$ , $5\alpha$ -THP [F(1, 84)=4.1, p<0.05], corticosterone [F(1, 84)=7.1, p<0.05] and testosterone [F(1, 84)=54, p<0.05] levels. Intact rats had higher circulating concentrations of progesterone (intact 31±3; extirpated 23±4 ng/ml),  $3\alpha$ , $5\alpha$ -THP (intact 8±1; extirpated 7±1 ng/ml), corticosterone (intact 2±1; extirpated 1±1 µg/dl), and testosterone (intact 4±1; extirpated 11±2 ng/ml) than did extirpated rats.

Supplement condition had no effect on plasma steroid levels.

**2.5.2. Midbrain. Sex and gonadal status, but not supplement, influenced midbrain steroid levels**—Sex significantly influenced midbrain testosterone levels [F(1, 84)=31, p<0.05]. Male rats had significantly higher circulating concentrations of testosterone (2.1±0.6 ng/g) than did females 0.5±0.1 ng/g).

Gonadal status significantly influenced progesterone [F(1, 84)=6.9, p<0.05],  $3\alpha$ , $5\alpha$ -THP [F(1, 84)=8.3, p<0.05], and  $3\alpha$ -diol [F(1, 84)=11, p<0.05] levels. Intact rats had higher midbrain concentrations of progesterone (intact 1.0±0.5; extirpated 0.5±0.3 ng/g),  $3\alpha$ , $5\alpha$ -THP (intact 2.5±0.7; extirpated 1.3±0.5 ng/g), and  $3\alpha$ -diol (intact 0.8±0.3; extirpated 0.5±0.3 ng/g) than did gonadectomized rats.

Supplement condition had no effect on midbrain steroid levels.

### **2.5.3. Hypothalamus. Sex, gonadal status, not supplement, altered hypothalamic steroid levels**—Sex significantly influenced hypothalamic testosterone levels [F(1, 84)=83, p<0.05]. Male rats had significantly higher hypothalamic concentrations of testosterone $(1.1\pm0.3 \text{ ng/g})$ than did females $(0.3\pm0.1 \text{ ng/g})$ .

Gonadal status significantly influenced progesterone [F(1, 84)=7.9, p<0.05] and  $3\alpha$ ,  $5\alpha$ -THP [F(1, 84)=12, p<0.05] levels in the hypothalamus. Intact rats had higher hypothalamic concentrations of progesterone (intact 0.4±0.1; extirpated 0.1±0.1 ng/g) and  $3\alpha$ ,  $5\alpha$ -THP (intact 1.4±0.2; extirpated 0.6±0.2 ng/g) than did extirpated rats.

Supplement condition had no effect on hypothalamic steroid levels.

# 2.5.4. Hippocampus. Sex, gonadal status, and supplement, altered

**hippocampal steroid levels**—Sex significantly influenced hippocampal  $3\alpha$ , $5\alpha$ -THP [F(1, 84)=14, p<0.05], testosterone [F(1, 84)=9.6, p<0.05], and  $3\alpha$ -diol [F(1, 84)=26, p<0.05] levels. Male rats had significantly lower hippocampal concentrations of  $3\alpha$ , $5\alpha$ -THP (8.1±1.5 ng/g) than did females (15.5±3.1 ng/g). Levels of testosterone among males (5.2±1.1 ng/g) were greater than for females (2.7±1.6 ng/g). Hippocampal  $3\alpha$ -diol levels were higher among males (3.5±0.6 ng/g) than females (1.4±0.2 ng/g).

Gonadal status effected progesterone [F(1, 84)=9.2, p<0.05],  $3\alpha$ ,  $5\alpha$ -THP [F(1, 84)=6.1, p<0.05], testosterone [F(1, 84)=4.6, p<0.05], and  $3\alpha$ -diol [F(1, 84)=10, p<0.05] levels in the hippocampus. Intact rats had higher hippocampal concentrations of progesterone (intact 5.1±011; extirpated 1.1±0.4 ng/g),  $3\alpha$ ,  $5\alpha$ -THP (intact 12.3±1.2; extirpated 8.5±1.1 ng/g), testosterone (intact 5.4±1.1; extirpated 2.3±1.0 ng/g), and  $3\alpha$ -diol (intact 2.5±0.7; extirpated 1.6±0.6 ng/g), than did extirpated rats.

Sex, endogenous hormonal status, and supplement condition interacted to alter hippocampal  $3\alpha$ -diol levels [F(1, 84)=4.1, p<0.05; see Fig. 3]. Supplement had effects contingent upon gonadal status in female and male rats. Gonadectomized female animals that received supplement had higher levels of  $3\alpha$ -diol in the hippocampus compared to gonadectomized females that received placebo or intact females regardless of supplement condition. Whereas intact male rats had higher levels of  $3\alpha$ -diol in the hippocampus than did castrated male rats, an effect that was amplified by the supplement.

**2.5.5.** Cortex. Sex and gonadal status, but not supplement, altered cortical steroid levels—Sex significantly influenced cortical  $3\alpha$ ,  $5\alpha$ -THP [F(1, 84)=7.6, p<0.05], testosterone [F(1, 84)=170, p<0.05], and  $3\alpha$ -diol [F(1, 84)=8.4, p<0.05] levels. Female rats

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had significantly higher cortical concentrations of  $3\alpha$ ,  $5\alpha$ -THP (10.1±1.3 ng/g) than did males ( $5.5\pm1.3$  ng/g). Levels of cortical testosterone among males ( $4.5\pm1.1$  ng/g) were greater than for females ( $1.3\pm0.6$  ng/g). Cortical  $3\alpha$ -diol levels were higher among males ( $1.8\pm0.4$  ng/g) than females ( $1.2\pm0.2$  ng/g).

Gonadal status effected  $3\alpha,5\alpha$ -THP [F(1, 84)=8.4, p<0.05] levels in the cortex. Intact rats had higher cortical concentrations of  $3\alpha,5\alpha$ -THP (intact  $11.3\pm1.4$ ; extirpated  $5.8\pm1.8$  ng/g than did extirpated rats.

Supplement condition had no effect on cortex steroid levels.

**2.5.6. Cerebellum sex and gonadal status, but not supplement, altered cerebellar steroid levels**—Sex significantly influenced cerebellar  $3\alpha$ , $5\alpha$ -THP R(1, 84)=5.8, p<0.05, testosterone R(1, 84)=31, p<0.05, and $3\alpha$ -diol R(1, 84)=4.6, p<0.05 levels. Female rats had significantly higher cerebellar concentrations of  $3\alpha$ , $5\alpha$ -THP ( $21.1\pm3.4$  ng/g) than did males ( $14.5\pm1.3$  ng/g). Levels of cerebellar testosterone among males ( $10.2\pm21.1$  ng/g) were greater than for females ( $4.2\pm1.1$  ng/g). Cortical  $3\alpha$ -diol levels were higher among males ( $2.0\pm0.6$  ng/g) than females ( $1.2\pm0.2$  ng/g).

Gonadal status effected progesterone [F(1, 84)=4.5, p<0.05] levels in the cortex. Intact rats had higher cerebellar concentrations of progesterone (intact  $4.9\pm2.1$ ; extirpated  $2.4\pm0.9$  ng/g than did extirpated rats.

Supplement condition had no effect on cortex steroid levels.

# 3. Discussion

These data support the idea that an isoflavone supplement can improve anxiety-like and cognitive behavior, with an absence of deleterious effects on sexual behavior or reproductive tissue mass, albeit sex and endogenous hormonal status may be important for these effects. Intact rats that received the supplement had reduced anxiety-like behavior compared to intact controls, and this effect was not seen in gonadectomized animals. The supplement also enhanced measures of visual-spatial memory. This effect was most evident among intact females. When administered placebo, intact, proestrous female rats had the longest latencies to find the hidden platform compared to all other groups. We have previously shown that proestrous rats perform more poorly in the water maze than do diestrous or male rats (Frye, 1995). Notably, supplement administration improved performance in the water maze of all rats, but this effect was most evident in the proestrous rats. Furthermore, the supplement did not alter measures of sexual behavior, reproductive tissue mass, or plasma steroid levels. For brain steroid measures, the level of  $3\alpha$ -diol in the hippocampus was affected by the supplement. Ovariectomized rats that received the supplement had higher levels of hippocampal 3a-diol than did ovariectomized rats administered vehicle; however, the supplement did not alter hippocampal 3a-diol levels among intact female rats, intact male rats, or gonadectomized rats.

#### 3.1. Anxiety behavior

The supplement's effect on anxiety-like behavior confirms and extends previous reports. Intact animals that received supplement had reduced anxiety-like behavior in the elevated plus-maze, while gonadectomized animals did not have increased anti-anxiety behavior. Previous studies revealed anti-anxiety-like effects with soy consumption for intact animals of both sexes (Lund and Lephart, 2001; Patisaul et al., 2005). Although in one study anxietylike behavior for intact males in the elevated plus-maze were greater with a low-dose, soy diet (Patisaul et al., 2005) another study that used a higher dose shows anti-anxiety-like

In the elevated plus maze, gonadectomy abrogated the anti-anxiety-like effect of the supplement. The gonads are the primary source of steroid hormones for intact animals, and behavioral tasks that measure anxiety-like behavior are sensitive to endogenous hormone levels that are modified by gonadectomy. The decline in steroid hormones due to gonadectomy in males (androgens) and females (estrogens, progestogens), and the natural fluctuation in the estrogen cycle of female animals can influence anxiety-like behavior (Frye, 2001; Walf and Frye, 2006, 2008a). However, plasma steroid levels did not differ between supplement and placebo groups. One explanation for gonadectomy attenuating anti-anxiety effects of the supplement irrespective of steroid hormone levels may be that isoflavones modulate factors that can alter steroid sensitivity or availability.

#### 3.2. Visual-spatial memory

The present study found a significant effect of the isoflavone supplement to enhance spatial cognitive performance in the water-maze task. The supplement's effect on visual–spatial memory in this experiment confirms others' reports for intact males and females. Previous studies show an enhancement to cognitive performance in the radial arm-maze task for intact females fed isoflavones (Lund et al., 2001). Intact male rats fed a long-term diet enriched with a high-dose of isoflavones resulted in no effect on visual–spatial memory, while a low-dose diet resulted in enhancement to visual–spatial memory (Lee et al., 2004). Thus, isoflavones effects on visual–spatial performance may be dose-dependent, and our data are in concordance with studies that use higher doses of isoflavones in the diet.

Contrary to the present data, two studies found cognitive enhancing effects in the radial armmaze and water-maze for ovariectomized female rats given isoflavones (Monteiro et al., 2008; Pan et al., 2000). However, both of these studies used soy protein isolate (SPI) in the experimental animal diets to investigate the effects of isoflavones. Administration of SPI, rather thanpurified isoflavones, may result in behavioral effects that are not due to isoflavones, but rather other constituents found in SPI (Kang et al., 2010). While SPI is rich in isoflavones genistein and daidzein, it also contains 134 other chemicals with mostly unknown metabolic actions (Fang et al., 2004). Indeed, SPI has been shown to have effects dissimilar to pure isoflavone extracts. A human study found that SPI, regardless of isoflavone content, lowered serum levels of DHT, DHT/testosterone, and testosterone, and that SPI with little-to-no isoflavone present also increased levels of estrogen and dehydroepiandrosterone sulfate (Dillingham et al., 2005). SPI stripped of isoflavones can induce cytochrome P450 enzymes CYP3A1 and CYP3A2, which are important for the biosynthesis and metabolism of steroid hormones (Ronis et al., 2006). Meanwhile, the current experiment used a supplement containing pure soy isoflavone extracts devoid of SPI; as such, differences in effects between studies among gonadectomized rats may be due in part to SPIs, and not isoflavones.

#### 3.3. Sexual behavior

These data confirm and extend previous findings. Intact males fed soy for 40 days did not have different sexual behavior compared to that of rats fed soy-free diet (Cicero et al., 2004). Another study assessing the effects of the isoflavone, genistein, on sexual behavior of ovariectomized rats also found no differences between genistein and control (Patisaul et al., 2002). One study on ovariectomized rats sexual behavior using soy protein isolate (SPI) revealed negative effects on sexual behavior due to SPI in hormone-primed rats compared to

SPI-free controls (Patisaul et al., 2001, 2004). These negative effects of isoflavones on sexual behavior may be due to properties that are unique to SPI and not specifically to isoflavones.

#### 3.4. Tissue mass

The supplement in the present experiment also had no trophic effect on reproductive tissue mass. This is commensurate with previous research that suggests isoflavones do not increase risk of reproductive cancers. A majority of data supporting isoflavones as having antiproliferative effects comes from in vitro and animal models (Banerjee et al., 2008; Sarkar et al., 2010). In vitro studies reveal that isoflavones may act through several mechanisms to halt cell cycle progression or induce apoptosis of cancer cell lines (Davis et al., 1998; Li et al., 1999; Matsukawa et al., 1993; Peterson and Barnes, 1993; Sarkar et al., 2010). Animal models also show that isoflavones are preventative against reproductive cancers. Compared to isoflavone-free controls, isoflavone fed rats show a reduced incidence of chemically induced ovarian, breast, uterine, and prostate cancers (Cabanes et al., 2004; Eason et al., 2005; Onozawa et al., 1999; Tanaka et al., 2002). Isoflavones bind and activate ERβ (Kuiper et al., 1998), in part through action involving the estrogen response element (Koohi et al., 2007). ERß agonism may be a potential mechanism for antiproliferative effects of isoflavones in these tissues, as estrogen can also induce apoptosis to cancer cell lines through similar activation of ER $\beta$  (Acconcia et al., 2005). As such, we are presently investigating isoflavones in ER<sup>β</sup> knock-out mice and their wildtype counterparts.

#### 3.5. Endocrine measures

The present experiment is the first to show that a supplement containing isoflavones alters levels of  $3\alpha$ -diol in the hippocampus, and does so in a sex- and gonad-dependent manner. Gonadectomized female animals that received supplement had higher levels of hippocampal  $3\alpha$ -diol than gonadectomized controls or intact females regardless of supplement condition. Furthermore, this effect was not seen in males. Previous reports indicate that isoflavones can inhibit  $3\beta$ -HSD and  $17\beta$ -HSD, two enzymes necessary for the irreversible reduction of DHT to  $3\beta$ -diol (Lacey et al., 2005; Whitehead and Rice, 2006). By inhibiting this reaction, DHT may be forced to equilibrate and form additional  $3\alpha$ -diol in the hippocampus. While isoflavones may increase  $3\alpha$ -diol synthesis, the ability of isoflavones to bind to ER $\beta$  may block  $3\alpha$ -diol from exerting previously reported behavioral effects (Edinger and Frye, 2007; Osborne et al., 2009). Thus, elevated levels of  $3\alpha$ -diol in the hippocampus of gonadectomized females may represent one of the numerous endocrine disrupting effects reported with isoflavones. Yet the role  $3\alpha$ -diol plays in mediating the behavioral effects of isoflavones, and how this interacts with other possible mechanisms, requires further research.

#### 3.6. Caveats, considerations, and conclusions

The present study was an empirical investigation of a commercially-available supplement that has the benefits of face validity, but is not without limitations. One criticism of this study is that the effects of a dietary supplement, which is isoflavone-based, but has other components, was investigated. Isoflavone supplements, infant-formulas, and diets rich in isoflavones, such as traditional Asian or vegetarian diets, all contain an array of isoflavones (Franke et al., 1995; Reinli and Block, 1996; Setchell et al., 1998). Indeed, in addition to some rats being administered a supplement composed of various isoflavones, which may have influenced the outcome of these studies. Multiple studies show that human plasma, urine, and breast milk contain a variety of isoflavones as well, making the study of the combined effects of isoflavones an important focus for research. Another weakness of this study was that isoflavones were not measured in diet, blood, or brain. Indeed, it would have

been better to ascertain the absorption rate(s) of the supplement to ensure that the circulating levels of isoflavones were increased above baseline concentrations. However, comparing the findings of this experiment to that of others', the data appear to be in accordance with those studies that used high (but not supraphysiological) dose regime of isoflavones in their treatment, as seen by the reduced anxiety-like behavior and cognitive effects in intact males (Lee et al., 2004; Lund and Lephart, 2001). Indeed, supraphysiological levels of isoflavones increase ovary mass after four weeks of daily administration (McClain et al., 2006). Our data did not show any significant change in ovary mass. Despite these limitations, the present study demonstrates that isoflavone administration need not be via diet, nor long-term, to produce salient behavioral and neuroendocrine effects that are sex- and/or gonad-dependent.

The present study revealed that a commercially available, isoflavone-based supplement, selectively enhanced neurosteroidogenesis in the hippocampus and improved spatial performance of rats. These findings are relevant for the special "Window of Opportunity" concept regarding hormone-replacement therapies, as many people look to over-the-counter supplements as a means to manage physical, psychological, or cognitive changes they experience at midlife. In this study, supplements improved spatial performance of all rats, but most notably that of rats in proestrus. Whether differences in learning and memory may have been observed with other behavioral tasks, or if the rats were tested in other stages of the estrous cycle, is an intriguing possibility that was not addressed. We also saw that the supplement enhanced neurosteroidogenesis in the hippocampus of rats within weeks of extirpation. Whether the magnitude of the effects would have been different at later timepoints following ovariectomy/gonadectomy is an intriguing possibility to consider. Despite these limitations, there were beneficial effects of this commercially available, isoflavone-based, dietary supplement. The supplement reduced anxiety-like behavior, improved visual-spatial memory, and enhanced neurosteroidogenesis. Whether the latter mediates the former was not ascertained; however, these effects occurred independent of trophic effects on peripheral reproductive tissues. Concerns regarding susceptibility to cancer are the primary reasons that some individuals forego hormone replacement therapies. Thus, effects of an isoflavone based supplement to enhance spatial performance and selectively increase hippocampal steroidogenesis are of interest and worthy of further investigation.

# 4. Experimental procedures

These methods were approved by The Institutional Animal Care and Use Committee at the University of Albany—State University of New York.

#### 4.1. Subjects and housing

Experimental rats were adult Long–Evans male and female rats (n=92), bred in the Laboratory Animal Care Facility at The University at Albany (original stock from Taconic Farms, Germantown, NY). At the start of the experiment, all rats were within a few days age of each other (50 days±4). The rats were group housed (3–4 per cage) in polycarbonate cages (45 cm × 24 cm × 21 cm), and kept in a room that was temperature controlled (21 °C ±1) on a 12 h reverse light/dark cycle (8 am/pm switch). Rats had constant access to Purina Rat Chow and tap water.

#### 4.2. Herbal supplement

A commercially-available herbal supplement, Women's Iso-Formula (AmeriSciences; Houston, TX), was used for this experiment, as the manufacturer ensured quality control validation of the components in their product to ensure uniformity. The supplement contains a combination of  $\beta$ -sitosterol extract, black cohosh extract (root), soy isoflavone extract (bean), kudzu (root), and red clover extract (flower) totaling 470mg. The soy isoflavone extract used in this product contains ~40% total isoflavones with 19% being daidzein, 15% being genistein, 3% being daidzin, 2% being genestin, and 1% glycitein.

# 4.3. Surgery

Rats were gonadectomized, ovariectomized, or left intact under xylazine (12 mg/kg; Bayer Corp., Shawnee Mission, KS) and ketamine (60 mg/kg; Fort Dodge Animal Health, Fort Dodge, IA) anesthesia. In females, a small incision was made on the flank of the animal and then through the abdominal wall on each side. The ovary, oviduct, and top of the fallopian tube were ligated and removed, and the abdominal wall was sutured. In males, an incision was made in the scrotum. The testicles were ligated and removed, and the scrotum was sutured.

One week later, all subjects were assigned to two conditions (supplement or placebo) and surgically implanted with either one tablet of the supplement or a silastic capsule placebo of approximately the same size, subcutaneously. Implant surgery was performed under the same anesthesia as gonadectomy. In brief, an incision was made in the skin on the back. The supplement or placebo was inserted into the subscapular space. The skin was then sutured closed. Following all surgery, rats were monitored for a healthy recovery.

#### 4.4. Behavioral testing

Four days post implant-surgery all rats underwent behavioral testing. The order of tasks was not counterbalanced because stressful tasks that may alter subsequent behavior (i.e. water maze may alter sex testing and elevated plus maze behavior) were carried out last. Behavioral data were collected by using an observer who was blind to the experimental condition of the rats, and with the ANY-Maze video-tracking system (Stoelting Co., Wheat Dale, IL, USA).

Estrous cycle phase was determined by daily examination of vaginal smear cytology (between 0700 and 0800 h), per previous methods (Cooke et al., 1999). All female rats were sex tested on proestrus, which is characterized by the presence of many nucleated epithelial cells, when estrogen levels are declining, but progestin levels are high (Feder, 1984; Frye and Bayon, 1999).

**4.4.1. Elevated plus-maze**—The elevated plus-maze was utilized as per previous methods (Walf and Frye, 2007). The maze consisted of four evenly sized arms (49 cm  $\times$  10 cm) arranged in a plus shape, and elevated 50 cm off the ground. Two of the arms are surrounded by 30 cm high walls, while the other two arms are left open and exposed. Rats were placed in the center of the maze and left to freely explore the novel environment for 5 min. The total percentage of time spent on either open arm is utilized as an index of decreased anxiety-like behavior.

**4.4.2. Water-maze**—A version of the Morris water-maze was used as a measure of spatial ability as per previous methods (Osborne et al., 2009). A pool (circumference 555 cm, 71 cm deep) of water (24 °C) was colored white with non-toxic tempura paint, and a hidden platform (clear plexiglass,  $5.3 \text{ cm} \times 5.3 \text{ cm}$ ) was placed roughly 2.5 cm below the surface of the water, approximately 60 cm from the side of the pool. Habituation and training in the water maze occurred on day five of our testing regiment, following all previous testing for that day. Rats were given one minute to freely explore the maze without the hidden platform as habituation. Sixty seconds after habituation was completed, rats were trained by placing them in the pool with the platform, for two 120 s trials, with a 120 s latency between trials.

If rats found the platform before the trial was complete, they were held on the platform for 45 s, and the trial was ended. If they did not find the platform in 120 s, rats were led to the platform and held there for 45 s, allowing time to take in visual cues from around the room as to the location of the platform. There were many visual cues in the room that could aid the rat in learning the position of the platform, including shelving, a computer, desk, door, and pipes. Testing was performed 24 h post training, and consisted of four 120 s trials, with 3 min between trials. Rats began the maze from different quadrants in the pool at the start of each trial to maximize exposure to spatial cues; the order of placement in quadrants was alternated to counter-balance for effects of starting placement. Average latency to finding the platform was recorded for the testing trials.

**4.4.3. Standard sex testing**—Standard sex testing was used for male rats as per previous methods (Frye, 2001). Male subjects were placed in a chamber ( $37.5 \text{ cm} \times 75 \text{ cm} \times 30 \text{ cm}$ ) along with a female hormonally primed stimulus rat. Rats were allowed to freely interact. The test lasted 10 min, or one ejaculatory series. Sex behaviors recorded were the latency to mounts and intromissions, and the total number of sexual contacts.

**4.4.4. Paced mating**—Paced mating was utilized as per previous methods (Frye et al., 2007). Paced mating was carried out in a chamber ( $37.5 \text{ cm} \times 75 \text{ cm} \times 30 \text{ cm}$ ) partitioned into two equal sections. The partition had a small hole (5 cm diameter) that the female rat could crawl through, but the stimulus male rat could not due to his larger size. Experimental females were vaginally-masked using a  $1.5 \text{ cm} \times 1.5 \text{ cm}$  square of masking tape (to prevent pregnancy), then placed in the partition opposite that of the male and left in the box for 15 min or until the male ejaculated. Measures recorded include: latency to first mount or intromission, the frequency and intensity of lordosis (quantified by rating of dorsiflexion on a scale of 0–3), as well as frequency of proceptive (hopping, darting, ear-wiggling) and aggressive (vocalizations, defensive posture) behavior. The number of times the female left the chamber containing the male following copulatory behavior (percent exits) was also recorded.

#### 4.5. Tissue collection

Male and ovariectomized female subjects were killed the day after completion of behavioral testing. Intact females were killed 1–7 days after behavioral testing. Ovaries, uterus, dorsal and ventral prostate, and testes were collected. Total wet mass by weight of ovaries, uterus, prostate, and testes was determined. Whole brains and trunk blood were collected for later measurement of estrogen, testosterone,  $3\alpha$ -diol, progesterone,  $3\alpha$ -5 $\alpha$ -THP, and corticosterone. Trunk blood was centrifuged at 3000g for 10min, plasma was stored in Eppendorfs at -80 °C. Brains were rapidly frozen on dry ice and stored at -80 °C prior to RIA.

#### 4.6. Radioimmunoassay

**4.6.1. Extraction and dissection**—Standard steroid extraction and radioimmunoassay techniques used by our laboratory, and others, for measurement of these steroids in plasma were utilized (Frye et al., 2006; Walf and Frye, 2005a). Briefly, brains were thawed, and the midbrain, hypothalamus, hippocampus, cortex, and cerebellum were grossly dissected. Following dissection, steroids were extracted from the midbrain, hypothalamus, hippocampus, cortex, cerebellum, and serum as described below. Testosterone,  $3\alpha$ -diol, progesterone,  $3\alpha$ ,  $5\alpha$ -THP, and corticosterone were extracted from serum with ether. After snap-freezing twice, test tubes containing steroid and ether were evaporated to dryness in a speed drier. Samples were reconstituted in 500 µl assay buffer.

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Testosterone,  $3\alpha$ -diol, progesterone, and  $3\alpha$ , $5\alpha$ -THP were extracted from brain tissues following homogenization with a glass/Teflon homogenizer in a PBS solution. Tissues were centrifuged at 3000g and the supernatant was chromatographed on Sepak-cartridges equilibrated with 50% MeOH:1% acetic acid. Steroids were eluted with increasing concentrations of MeOH (50% MeOH followed by 100% MeOH). Solvents were removed using a speed drier. Samples were reconstituted in 500 µl assay buffer and standard curves were set up for each steroid. These procedures result in recovery of ~50–60% of steroids from original concentrations.

**4.6.2. Standard curves**—The range of the standard curves was 0–2000 pg/ml for testosterone and  $3\alpha$ -diol, 0–8000 pg/ml for progesterone and  $3\alpha$ , $5\alpha$ -THP, and 0–4 µg/dl corticosterone. Standards were added to assay buffer followed by addition of the appropriate antibody and 3H steroid.

4.6.3. Antibodies—The testosterone antibody (T3-125; Endocrine Sciences, Calabasas Hills, CA) typically binds between 60% and 65% of [3H] testosterone, and was used in a ratio of 1:20,000 dilution. These antibodies have modest cross reactivity with DHT and negligible binding to other androgens. The 3a-diol antibody (X-144;Dr. P.N. Rao, Southwest Foundation for Biomedical Research, San Antonio, TX), is highly specific for  $3\alpha$ -diol, typically binds ~96% of [3H]  $3\alpha$ -diol, and does not cross-react with other steroids. The progesterone antibody (P#337 from Dr G D Niswender, Colorado State University) typically binds between 30% and 50% of [3H]progesterone, and was used in a ratio of 1 : 30000 dilution. This P antibody has very low levels (<4%) of cross-reactivity with DHP and 3a,5a-THP (Niswender 1973). The 3a,5a-THP antibodies (#921412-5, Dr Robert Purdy, Veterans Medical Affairs, La Jolla, CA, USA) binds between 40% and 60% of [3H]3a,5a-THP, and was used in a ratio of 1 : 5000 dilution. The 3a,5a-THP antibody cross-reacts with  $3\alpha$ -hydroxypregn-4en-20-one (84%) and DHP (11%), and its  $\beta$ -isomer (7%), P (6%), and pregnenolone (<2%; Purdy et al. 1990; Finn and Gee 1994). The corticosterone antibody (Endocrine Sciences: #B3-163), used in a 1: 20,000 dilution, has little cross-reactivity with deoxycorticosterone (4%), but negligible (<1%) cross-reactivity with other steroid hormones, including cortisol, aldosterone, and P (McCormick et al., 2005).

**4.6.4. Measurement**—Separation of bound and free steroid was accomplished by the rapid addition of dextran-coated charcoal. Following incubation with charcoal, samples were centrifuged at 3000*g* and the supernatant was pipetted into a glass scintillation vial with 5ml scintillation cocktail. Sample tube concentrations were calculated using the logit–log method and interpolation of the standards with Assay Zap.

#### 4.7. Statistical analyses

To determine the interactive effects of sex (male, female), endogenous hormonal status (intact, gonadectomized), and supplement condition (supplement, placebo) on measures of anxiety-like behavior, cognitive behavior, and endocrine measures, data were analyzed using three-way analyses of variance (ANOVAs). Two-way ANOVAs including endogenous hormonal status and supplement condition were used for measures of sexual behavior, as different testing paradigms were used for males and females in this measure. One and two-way ANOVAs of supplement condition, or of endogenous hormonal status and supplement condition, or of endogenous hormonal status and supplement condition, were used for analyses of tissue masses. Group differences were determined with Fisher's PLSD comparisons when significant main or interactive effects were found (p<0.05). Descriptive data are presented as the mean (M)±standard error of the mean (SEM).

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#### Fig. 1.

The percentage (mean±SEM) of time(during 300 s task) spent on the open arms of the elevated plus-maze for intact and gonadectomized, female and male rats administered placebo (grey) or supplement (black). A \* indicates a significant interaction of gonadal status and supplement condition to be greater for marked groups (p<0.05).

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The mean ( $\pm$  SEM) latency (s) to the hidden platform in the water-maze for intact and gonadectomized, female and male rats administered placebo (grey) or supplement (black). A \* indicates a significant difference for the marked group to be greater compared to all other groups (*p*<0.05).



#### Fig. 3.

Levels of  $3\alpha$ -Androstanediol (mean±SEM) in the hippocampus of intact and gonadectomized, female and male rats administered placebo (grey) or supplement (black). A \* indicates a significant interaction of sex, gonadal status and supplement condition to be greater for marked groups (p<0.05).

#### Table 1

Sexual behavior. For females, latency to first mount or intromission, lordosis rating, lordosis quotient, proceptive quotient, aggressive quotient, and percentage of exits in the paced mating chamber. Intact females had a reduced latency to first mount or intromission, and higher lordosis rating, lordosis quotient, proceptivity quotient, aggression quotient, and percentage of exits compared to gonadectomized females. For males, latency to mount, intromission, and total number of sexual contacts in standard sex testing. Intact males had a reduced latency to mount and intromission, and a greater number of sexual contacts than did gonadectomized males. Data are represented as mean  $\pm$  SEM.

	Sexual Behavior of Females				
	Intact		Gonadectomized		
	Placebo (n=12)	Supplement (n=11)	Placebo (n=11)	Supplement (n=11)	
Contact latency (s)	160.0±67.0	168.0±68.0	600.0±0.0	600.0±0.0	
Lordosis rating (score 0-3)	2.2±0.4	1.6±0.4	$0.0{\pm}0.0$	$0.0{\pm}0.0$	
Lordosis quotient (%)	85.0±17.0	78.0±17.0	0.0±0.0	$0.0{\pm}0.0$	
Proceptivity quotient (%)	36.0±9.8	35.0±10.0	0.0±0.0	0.0±0.0	
Aggressive quotient (%)	5.6±1.8	18.5±10.5	0.0±0.0	0.0±0.0	
Percent exits (%)	39.0±9.1	24.0±8.7	0.0±0.0	0.0±0.0	
	Sexual Behavior of males				
	Intact		Gonadectomized		
	Placebo (n=13)	Supplement (n=13)	Placebo ( <i>n</i> =11)	Supplement (n=10)	
Mount latency (s)	540.0±91.0	640.0±86.0	900.0±0.0	900.0±0.0	
Intromission latency (s)	490.0±40.0	460.0±63.0	$0.0{\pm}0.0$	$0.0\pm0.0$	
Total sexual contacts (#)	1.6±0.5	2.7±1.6	$0.0{\pm}0.00$	$0.0\pm0.00$	

#### Table 2

Reproductive tissues. For females, mass of the uterus was less in gonadectomized animals, and neither uterus, nor ovary mass, was different due to supplement. For males, mass of the prostate was less in gonadectomized animals, and neither prostate nor testicle mass were different due to supplement. Data are represented as mean  $\pm$  SEM.

	Female					
	Intact		Gonadectomized			
	Placebo ( <i>n</i> =12)	Supplement (n=11)	Placebo (n=11)	Supplement (n=11)		
Uterus mass (mg)	162±11	168±11	120±10	120±10		
Ovaries mass (mg)	190±80	130±15	-	-		
	Male					
	Intact		Gonadectomized			
	Placebo ( <i>n</i> =13)	Supplement ( <i>n</i> =13)	Placebo (n=11)	Supplement (n=10)		
Prostate Mass (mg)	290±020	260±20	170±30	130±20		
Testicles Mass (mg)	2900±170	2900±130	-	-		