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# Problem Solving Interventions for Diabetes Self-management and Control: A Systematic Review of the Literature

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# Abstract

**Aims**—Problem solving is deemed a core skill for patient diabetes self-management education. The purpose of this systematic review is to examine the published literature on the effect of problem-solving interventions on diabetes self-management and disease control.

**Data Sources**—We searched PubMed and PsychINFO electronic databases for English language articles published between November 2006 and September 2012. Reference lists from included studies were reviewed to capture additional studies.

**Study Selection**—Studies reporting problem-solving intervention or problem solving as an intervention component for diabetes self-management training and disease control were included. Twenty-four studies met inclusion criteria.

Data Extraction—Study design, sample characteristics, measures, and results were reviewed.

**Data Synthesis**—Sixteen intervention studies (11 adult, 5 children/adolescents) were randomized controlled trials, and 8 intervention studies (6 adult, 2 children/adolescents) were quasi-experimental designs.

**Conclusions**—Studies varied greatly in their approaches to problem-solving use in patient education. To date, 36% of adult problem-solving interventions and 42% of children/adolescent problem-solving interventions have demonstrated significant improvement in HbA1c, while psychosocial outcomes have been more promising. The next phase of problem-solving intervention research should employ intervention characteristics found to have sufficient potency and intensity to reach therapeutic levels needed to demonstrate change.

Conflict of Interest The authors declare that they have no conflict of interest.

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Day-to-day management of diabetes is primarily in the hands of the patient [1]; therefore, patients require education and skills training to perform diabetes self-management. The American Association of Diabetes Educators (AADE) named seven self-management behaviors that each individual with diabetes must learn and master: 1) blood glucose self-monitoring; 2) taking medications; 3) healthy eating; 4) being active; 5) reducing risks; 6) healthy coping; and 7) problem solving [2]. Problem solving is a cognitive-behavioral process by which a person attempts to identify effective and adaptive solutions for specific problems encountered in everyday living [3]. In the AADE 7 framework, problem solving is defined as "a learned behavior that includes generating a set of potential strategies for problem resolution, selecting the most appropriate strategy, applying the strategy, and evaluating the effectiveness of the strategy [2]." Problem-solving facilitates enactment of each of the other self-management behaviors [2,4]. In behavioral science, problem solving has been a long-standing, effective, therapeutic intervention approach for behavior change [3]; however, its application to diabetes self-management is more recent [5].

In 2007, the AADE solicited systematic reviews on each of the seven self-management behaviors. An initial systematic review of problem solving in diabetes self-management and control was published, which addressed definitions and frameworks for problem solving in diabetes self-management, and evidence for problem solving as (1) an outcome that can be measured, (2) a behavior associated with self-management behaviors and clinical outcomes, (3) an effective intervention for improving self-management and/or disease control, and (4) a tool used by health care professionals [6]. The systematic review concluded that 50% of adult problem-solving studies and 25% of studies with children/adolescents reported improvement in HbA1c, and effect on behavioral and psychosocial outcomes was varied. Methodological limitations in the design and reporting of the research, however, hindered recommendations regarding intervention content delivery for effectiveness. The purpose of this current review is to examine the published literature during the period since the previous review on problem solving interventions for diabetes self-management and disease control.

## METHODS

#### Search

Searches were conducted in PubMed (National Library of Medicine and National Institutes of Health) and PsycINFO (a database of psychological literature). The following medical subject heading (MeSH) terms were used to search each database: diabetes mellitus, problem solving, problem focused, decision making, self-management, and self-care. In order to capture all articles not presented in the previous review, searches spanned the dates November 2006 to September 2012. Searches were limited to peer-reviewed, English-language articles and human subjects. Dissertations were excluded from the searches.

#### Selection

Results from the searches of the identified databases were compared and duplicate findings were removed. Abstracts for each unique finding were reviewed (by K.P.S. and F.H.B.) for relevance to the topic. Exclusion criteria were as follows: (1) not an investigation of problem solving (e.g., unrelated to the topic or only a report of problems/barriers without investigation of problem solving), (2) investigation of clinical problem solving or clinical decision making (e.g., medical diagnostics) by professionals, (3) did not report on persons with diabetes (e.g., mixed disease samples that excluded or included very few persons diagnosed with diabetes), or (4) cross-sectional, non-intervention studies. Selected studies were reviewed in full, and their reference lists were scanned for additional studies not captured in the initial search. Inclusion criteria for full review were author description of problem-solving as a main intervention, description of problem solving as a component of

an intervention, or report of use of problem-solving steps [3]. Selected studies were examined (by K.P.S. and S.L.F.) to determine if they were appropriate for the current review based on exclusion and inclusion criteria listed above. Any discrepancies were resolved through discussion for 100% consensus.

#### **Data Abstraction**

Data abstraction was performed by two investigators independently (K.P.S. and S.L.F.). The abstractions were reviewed by a third investigator (F.H.B.). In the data abstraction process, two studies were identified as not meeting previously stated inclusion criteria and were therefore excluded.

Study Characteristics examined in abstraction:

!! Sample characteristics: sample size, type 1 or type 2 diabetes, age, gender, race/ ethnicity, education, SES.

!! Study design: randomized controlled trial or quasi-experimental design.

!! Methods: measurement tools, procedures.

!! Results: problem solving, self-management behaviors, and physiological, psychosocial, and process outcomes.

Missing data are denoted in the summary tables as not reported.

#### RESULTS

The number of studies identified and excluded at each stage of the search, selection, and data abstraction are presented in Figure 1. Twenty-four unique studies were included in the review.

#### **Study Characteristics**

The 24 intervention studies applied the following research designs: randomized controlled trial (n = 17) [7-23] and quasi-experimental or preintervention/postintervention design (n = 8) [24-32].

Of the intervention studies, 17 (71%) were conducted with adults and 7 (29%) examined children and/or adolescents. Three (13%) studies [9,16,17] examined only females and 2 (8%) studies did not report gender [21,30], but the rest of the studies included both genders. Race/ethnicity of study participants was not reported in 3 (13%) studies [18,22,31]. One study examined Caucasians [32], 12 (50%) studies included multiple ethnicities (generally Caucasian, African American, and Latino)[7,11,12,14-16,19,21,23,25,26,30], 5 (21%) studies were African American only [8,9,20,27,28], 2 study samples were Latino[13,17], and 1 study sample was South Korean [24].

#### **Data Synthesis**

Studies were divided by age group (i.e., adult studies, n=17; and child/adolescent studies, n=7) for reporting of results.

#### Adult Intervention Studies of the Effect of Problem Solving on Diabetes Outcomes

Of the 17 studies of adults, 11 were randomized controlled trials, and six were quasiexperimental designs (Table 1). The extent and manner in which problem-solving was included in the intervention varied greatly. One study reported an intervention that was solely problem-solving-based [8]. In five (29%) of the studies, problem-solving was one

component of a larger intervention that utilized other treatment approaches [9,12,16,24]. In seven (41%) of the studies, only certain steps of problem-solving were included in the intervention (e.g., goal setting or action planning) [13-15,18,19,25,26]. In the remaining three studies (18%), the intervention did not consist of problem-solving skills training, but rather a problem-solving based support group [17,27,28] or was included as one of multiple components of an intervention package [20,30]. Interventions were delivered in varying formats, including individual face-to-face [9,12,16,18,20,24,25], group face-to-face [8,13,14,17,18,20,25-28,30], phone-based [12,13,16,25], DVD-based [30], internet-based [15], and video-conferencing [19]. Four sets of outcomes were reviewed for each intervention study: physiological outcomes, self-management behaviors, problem solving, and psychosocial outcomes.

**Physiological Outcomes**—Physiological outcomes were reported in 15 (88%) of the studies, with 14 (93%) of those reporting HbA1c. With regard to outcomes, 7 (50%) studies reported significant improvements in HbA1c following intervention [8,13,15,17,24,27,29], ranging from -0.09 to -0.93. These improvements in HbA1c were seen over 3 to 12 months of follow-up. In three studies, however, the improvement in HbA1c was not maintained at 6-month follow-up [24], 12-month follow-up [17], or 18-month follow-up [13]. One study reported that an increase in adherence (assessed by the Summary of Diabetes Self-Care Activities [33]) was a significant mediator of improved glycemic control over five years [19].

Other physiological outcomes reported included: total cholesterol (n=4), LDL (n=6), HDL (n=3), systolic blood pressure (n=6), diastolic blood pressure (n=4), weight (n=3), BMI (n=5), waist circumference (n=1), and symptoms of hypo/hyperglycemia (n=2). Two studies [18,27] demonstrated significant improvement in total cholesterol immediately following the intervention, while one study did not [24,27,28]. The immediate improvement in total cholesterol reported by Tang et al. [27] was not maintained at six months post-intervention. However, a study that did not see immediate improvements in total cholesterol found significant improvement at one-year post-intervention [28]. Two of six studies found significant improvement in LDL following intervention, the first [27] noted a decrease of 8.47 mg/dL following intervention and the second [8] reported a median decrease of 25.0 mg/dL among the subset of persons with suboptimal LDL at baseline, but without an overall group-level effect. One study [24,28] reported no significant change in HDL while two studies [27,28] found that HDL significantly worsened following intervention. A majority of studies [16,18,27,30] reported no effect of the intervention on either systolic BP or on diastolic BP [16,27,28]. Two studies reported significant effect on diastolic BP immediately following the intervention [27,28], while one study reported clinically significant reductions in systolic blood pressure (median reduction of 7.17 mm/Hg) and diastolic (median reduction of 14.67 mm/Hg) blood pressure in those patients with suboptimal BP at baseline but found no overall group effect [8]. Overall, studies reported no improvement in BMI [18,24,26,28], weight [16,28], or waist circumference [18] following the intervention. A set of studies by Lorig and colleagues reported symptoms of hyper- and hypoglycemia as an outcome. Two studies [13,14] reported no effect of the intervention on symptoms of hyperglycemia. One study [13] reported a significant effect of the intervention on symptoms of hypoglycemia, which did not persist to the 18-month follow-up, while the other study [14] found no effect of the intervention on symptoms of hypoglycemia.

**Self-management behaviors**—Fifteen (88%) studies with adults reported selfmanagement behaviors as intervention outcomes. Most frequently reported were diet (n=10), exercise (n=11), self-monitoring of blood glucose (n=8), and medication adherence (n=5). Of the 10 studies reporting dietary outcomes, six (60%) [14,16,17,26-28] reported a significant effect of the intervention on one or more aspects of following a healthy diet,

while 4 studies [10,18,20,30] reported no effect of the intervention on any aspect of following a healthy diet. Of the 11 studies reporting physical activity outcomes, three (27%) reported a significant effect of the intervention on one or more aspects of physical activity, while 8 studies [13-16,20,27,28,30] reported no effect of the intervention on physical activity. All 8 studies assessing self-monitoring of blood glucose [10,13,14,18,20,27,28,30] reported no effect of the intervention. Similarly, none of the 5 studies assessing medication adherence [10,20,27,28,30] reported a significant effect of the intervention. Both studies [8,19] reporting global diabetes adherence (Summary of Diabetes Self-Care Activities scale) found significant improvement in self-management behaviors overall following intervention.

**Problem solving**—Problem-solving skill or process was reported in 5 (29%) of the studies with adults. Each study used a different problem-solving measure and examined a different intervention approach. One study reported increased problem solving following brief in-person counseling plus two telephone counseling sessions at 2 and 4 weeks [25]. A second study demonstrated that problem solving significantly improved over 3-months post-intervention following group-delivered intensive (8 session), but not brief (1 session) problem-solving training [8]. Another study did not find a significant change in problem solving following additional presented on a DVD [30]. Problem solving increased and there was significant between group differences in problem-solving skills counseling session with a nurse versus those who only received a 90-minute diabetes education session with a diabetes educator [16]. A culturally adapted diabetes self-management intervention for Latinas also resulted in an increase in problem-solving at 3-months and significantly higher problem solving at 3- and 6-month follow-ups compared to usual care [17].

**Psychosocial outcomes**—Fifteen (88%) studies reported psychosocial outcomes. Most commonly assessed were self-efficacy (n=7), patient activation (n=3), depression (n=4), and patient-provider communication (n=3). Four of 7 studies (57%) [13-15,17] reported a significant, positive effect of the intervention on self-efficacy, while 3 studies [16,20,30] reported no effect of the intervention on self-efficacy. Patient activation did not significantly improve in any of the three studies assessing this outcome [13-15]. Two of 4 studies [12,14] reported a significant effect of the intervention on depression, while the remaining two studies [15,16] reported no effect of the intervention on patient-provider communication, while the third study [13] reported no significant effect of the intervention on patient-provider communication. One study found no effect of the intervention on affective-oriented or problem-solving oriented coping [24]. Another study reported a significant, positive effect on perceived social support, practice of stress management techniques, and use of social-environmental resources, but no effect on physical or mental health quality of life [17].

#### Child and Adolescent Intervention Studies of the Effect of Problem Solving on Diabetes Outcomes

Of the seven studies of children and adolescents, five (71%) [7,11,21-23] were randomized controlled trials and the remaining two were quasi-experimental designs [31,32] (Table 2). In five (71%) of the intervention studies problem-solving was the main behavioral treatment approach, [7,11,21,22,31] and in two studies problem solving was combined with Behavioral Family Systems Therapy [23] or Cognitive Behavioral Therapy [23,32]. Interventions were delivered in varying formats, including individual face-to-face [32], single family face-to-face [7,11,21,23], group face-to-face [31], multifamily face-to-face [23], internet-based [22], and telephone follow-ups after face-to-face sessions [7,11,21,32].

Three of the studies delivered the intervention during routine clinic visits [7,11,21]. Effect of the intervention on problem-solving, self-management behaviors, physiological outcomes, and psychosocial outcomes are described.

**Physiological Outcomes**—Six (86%) of the intervention studies examined HbA1c as an outcome. No other physiological outcomes were reported. Only two of the six studies (33%) reported significant improvement in HbA1c associated with the intervention. Loding et al, [31] found a significant decrease in HbA1c (from 9.4% to 8.4%) in girls, but not in boys, at the 12 month follow-up. Among adolescents who received BFST-D [23] problem-solving and poor problem resolution was significantly, positively correlated with HbA1c level at the six month follow-up assessment. In the feasibility test of the WE\*CAN intervention [21] there was no significant effect on HbA1c at follow-up; however, there were significant between group differences for change in HbA1c in the larger trial at the 24-month follow-up among older adolescents (i.e., 12-14 years old) [11]. Furthermore, Nansel et al. [11] reported a significant relationship between change in HbA1c and change in blood glucose monitoring or adolescent-reported adherence. There were no significant changes in HbA1c in the other two studies [7,22].

**Self-management Behaviors**—One study examined the relationship between problemsolving skills and self-management behaviors after intervention [23] and five studies assessed between-group differences in self-management behaviors following problemsolving intervention [7,11,21,22,32]. In Wysocki et al. [23] use of the problem-solving process was significantly correlated with higher scores on a general measure of diabetes self-management at 6-months and 12-months post-baseline and problem resolution was significantly correlated with an increase in self-management behaviors at 12-months postbaseline. There were no significant associations at 18-months post-baseline. Each of five studies that examined group differences in self-management behaviors at post-intervention utilized a global measure of diabetes behaviors [7,11,21,22,32]. Only one of the five studies found a significant effect of the intervention on self-management at the post-intervention follow-up [22].

**Problem Solving**—Change in problem-solving skill or process was examined in three (43%) of the intervention studies. In one study [23], participants in Behavioral Family Systems Therapy – Diabetes (BFST-D) had significantly better problem solving skills than standard care at 12 and 18 month post-baseline follow-ups and Education Session participants at 18 months post-baseline. Also, problem resolution was significantly higher for those in BFST-D compared to standard care at all time points and better than the education intervention at 6 and 18-months [23]. However, two studies found that there was no intervention effect or significant differences between the intervention and control groups on problem-solving [7,22].

**Psychosocial Outcomes**—All children/adolescent intervention studies except one examined psychosocial outcomes, including diabetes care responsibility, conflict, quality of life, and diabetes related stress, and studies generally reported improvement in one or more aspect of communication or conflict. Problem resolution, but not problem-solving process, was significantly associated with less diabetes conflict at the 6- and 12-month follow-ups among those who received the BFST-D [23]. Furthermore, adolescents and mothers who received BFST-D displayed significantly less negative communication and mothers in this group demonstrated significantly more positive communication than participants in the standard care group at each follow-up time point [23]. BFST-D was significantly better than the Education Session condition for reducing adolescent negative communication at the 6-

month follow-up only and for reducing the mother's negative communication and increasing mother's positive communication at 6- and 12-month follow-ups. Participants in the BFST-D group displayed significantly less negative reciprocity than the standard condition at 6- and 12-months and the Education Session condition at 6-months only. The BFST-D group had significantly higher positive reciprocity than the standard condition at all follow-ups and significantly higher than the Education Session condition at 6- and 12-months. There were no significant between-group differences in adolescents' use of positive communication or fathers' communication style.

Studies reported no significant effect of intervention on diabetes stress [32], diabetesspecific or general pediatric quality of life [21,31], or parent-child conflict or responsibility sharing [21]. Finally, three (50%) of the studies provided qualitative data on patient satisfaction with the intervention. For the WE\*CAN intervention (feasibility study) [21], 97.7% of children/adolescents and 93.4% of parents agreed or strongly agreed that overall they liked being in the intervention. In the study on an internet-based problem-solving intervention [22], 63% of the children/adolescents gave the intervention an 'A.' Children/ adolescents participating in the Loding et al. study [31] reported feeling more able to discuss issues about diabetes more calmly with parents.

### **DISCUSSION AND CONCLUSIONS**

This current review encompassing literature published during the period from November 2006 – September 2012, examined the evidence of problem-solving interventions on diabetes self-management and control since the previous systematic review [6] that included intervention research from 1990 – 2006. Conclusions regarding the problem-solving intervention literature are discussed in the context of intervention design, delivery, and populations and characteristics of effective interventions.

#### Problem-solving intervention design, delivery, and populations

The current review period demonstrated increased modalities for problem-solving intervention delivery, particularly new use of internet and DVD approaches. However, primary delivery modalities, across both review periods, remained group-based contacts in studies with adults [68,13,14,17,18,20,25-28,30,31], and in-person family contacts in studies with children/adolescents [6,7,11,21,23]. In this current review, there were three child/ adolescent studies that demonstrated the feasibility of delivering a problem-solving intervention during routine clinic visits [7,11,21].

Studies examined in this current review were more likely to provide information on who delivered the intervention and in some cases how the interventionists were trained and supervised. Professional background, training, and discipline of the interventionists varied greatly and included college graduates, graduate student therapist/psychology doctoral students, diabetes nurses, diabetes educators, licensed social workers, geriatric nurse practitioners, clinical psychologists, psychiatrists, and depression nurse specialists. Additional evaluation needs to be conducted to determine experience and training requirements for personnel to be effective in delivering a problem-solving intervention as well as the feasibility of routinely implementing problem-solving interventions in clinical practice settings.

There is inconsistency in how problem-solving interventions have been conceptualized across the treatment studies included in both reviews. Some studies address problem-solving as an educational topic, among several other topics of education; others describe use of problem-solving as a process that is support-group based or as a therapeutic modality, either in an informal manner or as a more structured approach within a package of interventions;

while others delivered structured patient problem-solving training largely as a stand-alone behavioral intervention. Consistent with the differences in how problem-solving intervention was conceptualized and designed, number of intervention sessions and duration varied widely, spanning a single session, three in-clinic sessions spread out over 6-months to a year, to 4 or more sessions delivered on a routine schedule.

Studies included in this current review suggests that more problem-solving interventions have been applied to more diverse patient populations since the previous review [6]. The majority of studies in this current review reported either multiethnic samples or racial/ethnic minorities as the sole participants in the study. One adult study conducted the intervention completely in Spanish [13] whereas two had bilingual interventionists [17,19]. In addition, some of the child/adolescent studies provided education and income background information on the parents, which mostly consisted of moderate to high socioeconomic status families. Based on this review, there is evidence that problem-solving training and support can feasibly be delivered to various patient populations.

#### Characteristics of effective problem-solving interventions

Overall, the current review yielded only minimal additional evidence of intervention effectiveness on key outcomes. Combining findings from the 2007 systematic review and the current review, with regard to HbA1c only 38% of the intervention studies reported significant improvement in HbA1c or between-group differences in HbA1c following the intervention (36% of adult studies and 42% of child/adolescent studies). Interestingly, among the adult studies that demonstrated significant improvement in HbA1c, six included samples of only racial/ethnic minorities, suggesting that a problem-solving intervention is an effective approach for diabetes control and self-management among ethnic minorities [8,13,15,17,24,27,28]. Overall, it appeared that both adult and child/adolescent studies that had multiple intervention sessions (~4 or more sessions) with problem-solving as one component of the intervention or patient's receiving training in the steps of problem-solving appeared to be most effective for diabetes self-management and control. However, only one study actually compared the effectiveness of a single problem-solving training session to multiple sessions (i.e., 8 sessions on problem-solving) [8], and demonstrated that the multiple session format of traditional problem-solving therapy [3] was more effective in improving behavioral and physiological outcomes than a single-topic/module approach to problem solving. Although evidence of the effectiveness of one delivery modality over the other is premature at this time, it appears that internet-based interventions may not be as effective for children/adolescents [22,34] as family and group-based approaches to date

In the 2007 review, with regard to behavioral outcomes, evidence appeared strongest for effectiveness of interventions on isolated self-management behaviors in children, adolescents, and adults and on depression in adults. The current review found evidence for intervention effectiveness on self-management behaviors to be inconsistent and weak. Between both reviews very few interventions assessed problem solving as an outcome. Among studies that did, 71% of adult studies and 50% of child/adolescent studies demonstrated a significant effect of problem-solving interventions on problem-solving ability. Interventions that were effective for improving problem solving generally consisted of four or more patient education or problem-solving training sessions [6,8,17]. In addition, it is clear from both reviews that problem-solving interventions consistently have a positive effect on several psychosocial outcomes among adults and children/adolescents.

In conclusion, this current systematic review reveals an increase in the research focusing on intervening on problem solving as a modifiable skill for diabetes self-management and disease control. An encouraging finding was the reporting of investigating different delivery modalities for this intervention approach, as well as expansion of the populations

investigated to include more minority and underserved groups. However, this systematic review found persisting methodological limitations in the body of literature that contribute to overall patterns of inconsistency in outcomes of problem-solving interventions to date. The next phase of problem-solving intervention research will need to address whether interventions are designed and delivered with sufficient potency (with regard to problemsolving content and training of patients in problem-solving as a skill set) and sufficient intensity (with regard to treatment dose and duration) to reach therapeutic levels needed to demonstrate change. There is a need for greater consistency in the content of problemsolving interventions in order to compare outcomes across studies. Greater consistency would allow for more in-depth exploration of other factors influencing outcome (e.g., treatment dose, method of delivery, interventionist training, patient characteristics, etc.). In order to achieve greater consistency, researchers should clearly identify what constitutes problem-solving training and use this definition to guide study design. Observed characteristics of effective problem-solving interventions to date should be used to help standardize treatments and to test replication or generalization of effect across patient populations. Additional feasibility studies examining the implementation of behavioral interventions in clinical settings are needed.

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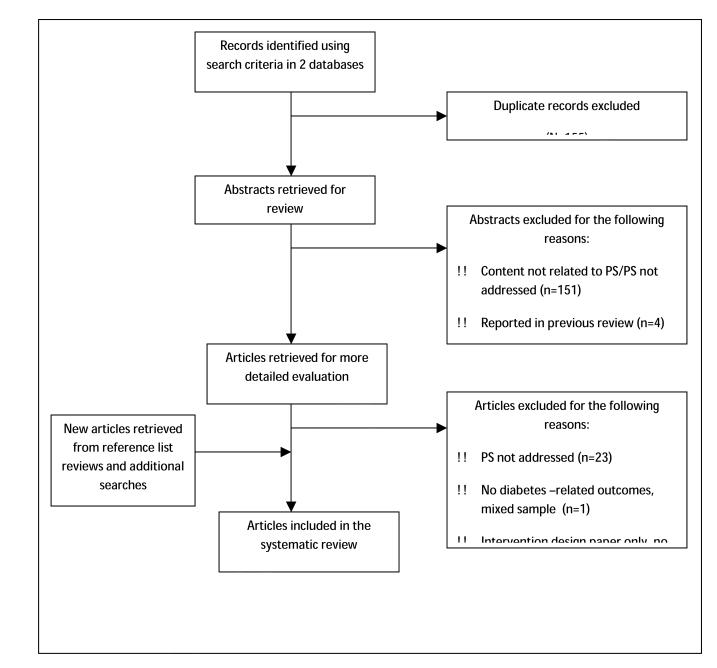
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#### Figure 1.

Flow diagram of record identification and selection for study inclusion in systematic review for 2006-2012.

#### Table 1

# Adult Problem-Solving Intervention Studies from 2006-2012

| Study   | Design | Sample   | Intervention (I) and<br>Control (C) Groups  | Results <sup>a</sup>  |
|---|--------|--|---|---|
| Amoako<br>et al.<br>(2007,<br>2008)[9,<br>10] | RCT    | N = 68; mean age<br>= 61 y; type 2;<br>100% female;<br>100% African<br>American;<br>recruited from<br>physician clinics  | C – Usual care<br>I – DM-UMI: 4<br>weekly sessions<br>(10-60 minutes each)<br>delivered via phone by<br>a nurse   | Between-group<br>differences at 6-week<br>follow-up<br>! ! Physio: NR<br>! ! SMB: Exercise (+);<br>Diet (-); SMBG (-); Foot<br>Care (-); Med Adherence<br>(-)<br>! ! PS: NR<br>! ! Psych: DM knowledge<br>(+); Patient-provider<br>communication (+);<br>Uncertainty in illness (+)<br>Cognitive reframing (+)<br>! ! TS: NR  |
| Simon et<br>al.<br>(2007)<br>[12]             | RCT    | N = 329; mean<br>age = 57-58 y;<br>96% type 2; 34%<br>female; 75%<br>Caucasian;<br>recruited from<br>primary care<br>clinics   | C – Usual care:<br>pharmacotherapy,<br>structured problem-<br>solving<br>psychotherapy, or<br>both.<br>I – Stepped care: step<br>one – antidepressant<br>pharmacotherapy or<br>structured problem-<br>solving<br>psychotherapy; step<br>two – combining meds<br>and therapy or<br>adjusting meds; step<br>three – in- person<br>consult with<br>psychiatrist or referral<br>for specialty mental<br>health treatment;<br>initial 60 minutes with<br>depressionnurse<br>specialist followed by<br>twice monthly in-<br>person or phone<br>contact (30 min); in-<br>person or phone<br>follow-ups after the<br>initial session for 12<br>months | 6-month, 12-month, 24-<br>month follow-up <sup>b</sup><br>! ! Physio: HbAlc (-)<br>! !SMB: NR<br>! ! PS: NR<br>! ! Psych: Depression (+)<br>! !TS: NR   |
| Lorig et<br>al.<br>(2008)<br>[13]             | RCT    | N = 417 (C) n = $198; mean age =$ $52.8 y; 67.2%$ female; 100% Latino/Spanish Speaking; (I) n = 219; mean age = 52.9 y; 57.1% female; 100% Latino/Spanish Speaking; recruited from community | Study 1: C – Usual<br>care wait list<br>I – SDSMP: 6<br>sessions (2.5 hours<br>each) with peer<br>leaders, no<br>reinforcement<br>Study 2: C2 – No<br>reinforcement of<br>SDSMP from study<br>one<br>I2 – Monthly<br>automated telephone<br>reinforcement of<br>SDSMP from study 1  | Study 1: Between-group<br>differences at 6-month<br>follow-up <sup>b</sup><br>! Physio: HbAlc (+);<br>Symptoms of<br>hypoglycemia(-)<br>! SMB: Aerobic exercis<br>(-); stretching/strength<br>exercise (-); SMBG (-)<br>!! PS: NR<br>!! Psych: Health distress<br>(+); self-efficacy (+);<br>communication with<br>physician (-)<br>!! TS: NR<br>Study 2: 18-month follow<br>up |

| Study                             | Design | Sample Intervention (I) and<br>Control (C) Groups  |   |
|-----------------------------------|--------|--|---|
|                                   |        |  | <pre>!! Physio: HbAlc,<br/>between-group difference<br/>(-), C2 group (+);<br/>Symptoms of<br/>hypoglycemia, between-<br/>group differences (-), C2<br/>group (+); Symptoms of<br/>hyperglycemia, between-<br/>group differences (-), C2<br/>group (+)<br/>! !SMB: Aerobic exercise<br/>between-group difference<br/>(-), C2 group (-);<br/>stretching/strength<br/>exercise, between-group<br/>differences (-), C2 group<br/>(-); SMBG, between<br/>group differences (+), C2<br/>group (-)<br/>! !Ps: NR<br/>! !Psych: Self-efficacy,<br/>between-group difference<br/>(-), C2 group (+);<br/>Communication with<br/>physician, between-group<br/>differences (-)<br/>! !TS: NR</pre> |
| Utz et al.<br>(2008)<br>[20]      | RCT    | N = 21; type 2;<br>100% AfricanII- Individual DSM<br>(based on AADE 7):<br>sessions after baselir<br>(week 1, week 4,<br>week 8)(11)n = 8; mean<br>age = 56.6 y;<br>(12)n = 13; mean<br>age = 62.4 y;<br>76.9% femaleII- Individual DSM<br>(based on AADE 7):<br>weekly 2hr sessions | 3 differences at Post-<br>intervention follow-up <sup>C</sup><br>! ! Physio: HbAlc (-)<br>! !SMB: General diet (-);<br>Specific diet (-): Carb  |
| Lorig et<br>al.<br>(2009)<br>[14] | RCT    | N = 352; type 2;<br>recruited from<br>= 159; mean age<br>= 65.4 y; 66.2%<br>female; 70.6%<br>186; mean age =<br>67.7 y; 62.4%<br>female; 64%<br>Caucasian  | Between-group<br>differences at 6 month<br>follow-up <sup>b</sup><br>!! Physio: HbA1c (-);<br>Weight (-); Symptoms<br>hypoglycemia(+);<br>Symptoms hyperglycemia<br>(-)<br>!! SMB: Aerobic exercise<br>(+); SMBG (+); Healthy<br>eating (+); Reading food<br>labels (+);<br>Communication with<br>physician (+)<br>!! PS: NR<br>!! Psych: Depression (+);<br>Self-efficacy (+); Patient<br>activation (+)<br>!!TS: NR   |
| Lorig et<br>al.<br>(2010)<br>[15] | RCT    | N = 76; mean ageC – Usual care= 54.3 y; type 2;I – IDSMP: Internet73% female; 76%delivery with peerCaucasian, 14%facilitators of onlineAmerican Indian/forums, online conteAlaska Native;plus interactiverecruited fromactivitiescommunity andcommunity                              | 6-month follow-up<br>! Physio: HbA1c, I group<br>(-), between-group<br>differences (+)<br>! SMB: Aerobic<br>exercise, I group (-),<br>between-group difference<br>(-)<br>! !PS: NR  |

| Study                                      | Design | Sample  | Intervention (I) and<br>Control (C) Groups  | Results <sup>a</sup>   |
|--|--------|---|---|--|
|  |        | healthcare<br>providers   |   | ! !Psych: Depression, I<br>group (-), between-group<br>differences (-); patient<br>activation , I group (-),<br>between-group difference<br>(+); self-efficacy, I group<br>(+) and between-group<br>differences (+)<br>! !TS: NR   |
|  |        |   |   | 18-month follow-up<br>! !Physio: HbA1c (NR)<br>! !SMB: Aerobic exercise<br>between-group difference<br>(-)   |
|  |        |   |   | ! !PS: NR<br>! !Psych: Depression (-);<br>patient activation,<br>between-group difference<br>(+); self-efficacy,<br>between-group difference<br>(+)<br>! !TS: NR   |
| Allen et<br>l.<br>2011)<br>16]             | RCT    | N = 29; type 2;<br>100% female;<br>recruited from<br>large health<br>system in<br>Massachusetts<br>(C) n = 15; mean<br>age = 51.7 y;<br>66.7% Caucasian,<br>20% African<br>American, 13.3%<br>Latina (I) n = 14;<br>mean age = 52.2<br>y; 64.3%<br>Caucasian, 14.3%<br>African<br>American, 21.4%<br>Latina | C – 90-minute<br>counseling session<br>with certified diabetes<br>educator on<br>continuous glucose<br>monitoring and impact<br>on physical activity;<br>90-minute diabetes<br>education session<br>provided by certified<br>diabetes educator; and<br>follow-up phone call 4<br>weeks later<br>I - 90-minute<br>counseling session on<br>continuous glucose<br>monitoring; 90-<br>minute<br>problemsolving skills<br>counseling provided<br>by nurse<br>interventionist with<br>focus on barriers to<br>physical activity; and<br>follow-up phone call 4 | Between-group<br>differences at 3-month<br>follow-up<br>! ! Physio: HbA1c (-);<br>Systolic BP (-); Diastolic<br>BP (-); Weight (-)<br>! !SMB: Personal Eating<br>Plan (-); Fruit and<br>Vegetable consumption<br>(-); High Fat Food<br>consumption (-); Exercise<br>(-); Minutes in Sedentary<br>Activity (-); Minutes in<br>Light Activity (-); Minutes<br>in Moderate Activity (-)<br>! !PS: DPSI (+)<br>! !Psych: Depression (-);<br>Self-efficacy for Physical<br>Activity (-)<br>! !TS: NR  |
| Hill-<br>Briggs et<br>al.<br>(2011)<br>[8] | RCT    | N = 56 adults;<br>type 2; 100%<br>African<br>American;<br>recruited from<br>diabetes registry<br>from urban<br>community<br>practice sites (I1)<br>n = 27; mean age<br>= 61.5 y; 66.7%<br>female (I2) n =<br>29; mean age =<br>61.1 y; 51.7%<br>female  | weeks later<br>II- DECIDE<br>Condensed: 1 group<br>self-management<br>education session (90<br>min) followed by 1<br>condensed group PST<br>session (60 min),<br>based on D'Zurilla and<br>Nezu's problem-<br>solving therapy<br>I2- DECIDE<br>Intensive: 1 group<br>self-management<br>education session (90<br>min) followed by 8<br>group PST sessions<br>(60 min), based on<br>D'Zurilla and Nezu's<br>problem-solving<br>therapy   | 1-week post-intervention<br>follow-up <sup>C</sup><br>! Physio: NR<br>! SMB: NR<br>! SMB: NR<br>! PS: Health Problem<br>Solving Scale (-)<br>! Psych: DM and CVD<br>knowledge, I group (+), C<br>group (+); Barriers to DM<br>Self-Management, I group<br>(+), C group (-)<br>! TS: Patient workbooks<br>>4.71 (scale 1-5) on<br>helpfulness, ease of<br>visual presentation; Group<br>sessions: >4.75 (scale 1-5<br>on helpfulness, ease of<br>understanding, and overal<br>satisfaction<br>3-month post-interventior<br>follow-up <sup>C</sup> |

| Study Design                            | Sample   | Intervention (I) and<br>Control (C) Groups   | Results <sup>a</sup>  |
|---|--|--|---|
|   |  |  | ! !Physio: HbA1c, I group<br>(+), C group (-), between<br>group difference (+)<br>! !SMB: SDSCA, I group<br>(+), C group (-)<br>! !PS: Health Problem<br>Solving Scale, I group (+),<br>C group(-)<br>! !Psych: DM and CVD<br>knowledge, I group (+), C<br>group (-); Barriers to DM<br>Self-Management, I group<br>(-), C group (-)<br>! !TS: NR   |
| Toobert RCT<br>et al.<br>(2011)<br>[17] | N = 280; type 2;<br>100% Latina<br>females; recruited<br>from Kaiser<br>Permanente<br>Colorado Clinics<br>and 1 large<br>community<br>health center (C)<br>n = 138; mean<br>age 58.7 y;<br>14.7% prefer<br>Spanish (I) n =<br>142; mean age =<br>55.6 y; 17%<br>prefer Spanish | C – Usual Care<br>I – Usual care plus 2.5<br>day retreat followed<br>by 1-hour meetings<br>encouraging<br>participants to: a)<br>follow the<br>Mediterranean diet<br>adapted for Latin<br>American culture;<br>b)practice stress<br>management<br>techniques daily;<br>c)engage in physical<br>activitydaily for 30<br>minutes; d) stop<br>smoking; and e) take<br>part in problem<br>solving-based support<br>groups. Meetings were<br>held weekly for first 6<br>months and twice<br>monthly for next 6<br>months. | Between group<br>differences at 6-month<br>follow-up<br>b<br>!! Physio: HbA1c (+); 10-<br>year heart disease risk<br>score (+)<br>!! SMB: Diet (+);<br>Exercise (+); Smoking (-)<br>!! PS: DPSI (+)<br>!! Psych: Self-efficacy<br>(+); Perceived Support<br>(+); Stress Management<br>practice (+); Use of social<br>and environmental<br>resources (+); Dysical<br>HQOL (-); Mental HQOL<br>(-); Change in PS and<br>change in diet (+); Change<br>in PS and change in stress<br>management (-); Change in PS<br>and change in use of<br>social and environmental<br>resources (+); Change in PS<br>and change in use of<br>social and environmental<br>resources (+); Change in PS<br>and change in HbA1c,<br>10-year CHD risk score,<br>physical HQOL, and<br>mental HQOL (-)<br>!!TS: NR<br>Between group<br>differences at 12-month<br>follow-up<br>!!Physio: HbA1c (-); 10-<br>year heart disease risk<br>score (?)<br>!!SMB: Diet (-); Exercise<br>(-); Smoking (-)<br>!!PS: DPSI (+)<br>!!Psych: Selfefficacy (+);<br>Perceived Support (+);<br>Stress Management<br>practice (+); Change in PS and<br>change in diet (-); Change<br>in PS and change in stress<br>management (-); Change<br>in PS and change in es<br>management (-); Change<br>in PS and change in es<br>social and environmental<br>resources (-); Change in PS<br>and change in Use of<br>social and environmental<br>resources (-); Change in PS<br>and change in twe of<br>social and environmental<br>resources (-); Change in PS<br>and change in HbA1c,<br>10-year CHD risk score, |

| Study                             | Design   | Sample   | Intervention (I) and<br>Control (C) Groups  | Results <sup>a</sup>   |
|-----------------------------------|--|--|---|--|
|                                   |  |  |   | physical HQOL, and<br>mental HQOL (-)<br>! !TS: NR   |
| Trief et<br>al.<br>(2011)<br>[18] | RCT  | N = 44; mean age<br>= 59.9 y; 64%<br>female; ethnicity<br>NR; recruited<br>through letters<br>and<br>advertisements  | C – Enhanced usual<br>care<br>I1– Individual<br>intervention, 11<br>sessions<br>I2– Couples<br>intervention, 11<br>sessions   | 6-month follow-up<br>! ! Physio: HbAlc (-);<br>Total Cholesterol, II group<br>(+), I2 group (+); Systolic<br>BP (-); BMI (-); LDL (-);<br>Waist circumference (-)<br>! !SMB: Diet (-); SMBG<br>(-)<br>!! PS: NR<br>!! Psych: NR<br>!! TS: NR   |
| Trief et<br>al.<br>(2012)<br>[19] | RCT  | $\begin{split} N &= 1665; \text{ mean} \\ age &= 70.8 \text{ y;} \\ 62.8\% \text{ female;} \\ 49.4\% \text{ Caucasian,} \\ 14.9\% \text{ African} \\ American, 35.2\% \\ Hispanic, .5\% \\ Other; mean \\ education &= 9.8 \\ y; recruited \\ through primary \\ care providers \\ (C) n &= 821; \\ mean age &= 70.9 \\ y; 62.1\% \text{ female;} \\ mean education &= \\ 9.9 \text{ y} \\ (I) n &= 844; mean \\ age &= 70.8 \text{ y;} \\ 63.5\% \text{ female;} \\ mean education &= \\ 9.7 \text{ y} \end{split}$ | C – Usual care<br>I – IDEATel<br>Intervention:<br>Televisits every 4-6<br>weeks over 5 years<br>(study duration) with<br>nurse case manager<br>and dietitian via a<br>home telemedicine<br>unit. At each visit the<br>educator and<br>participant set a<br>behavioral goal,<br>address barriers to<br>achieving goal and<br>develop action plan.<br>At the following visit<br>behavioral goals are<br>reviewed and action<br>plans are revised if<br>goal has not been met. | 5-year follow-up <sup>b</sup><br>! ! Physio: HbA1c (NR)<br>! ! SMB: SDSCA,<br>between group differences<br>(+)<br>! ! PS: NR<br>! ! Psych: NR<br>! ! TS: NR  |
| Klug et<br>al.<br>(2008)<br>[26]  | Quasi-experimental (pre-post design with no control group) | N = 179; mean<br>age = 69.2 y; type<br>2; 78.2% female;<br>72% Caucasian,<br>1% African<br>American, 11%<br>American Indian,<br>8% Asian<br>American, 1%<br>Multiracial, 7%<br>other; recruited<br>from community  | C – No control or<br>comparison group<br>I – Healthy<br>Changes™: Weekly<br>group sessions lasting<br>1.5 hours, 26 topics<br>covered, # of sessions<br>attended ranged from<br>0-46, peer leaders in<br>community setting  | 4-month follow-up<br>! !Physio: BMI (-)<br>! !SMB: Diet (+); Physical<br>Activity (+); number of<br>days following a healthful<br>diet (-); number of days<br>engaged in physical<br>activity (-)<br>! !PS: NR<br>! !Psych: Self-rated health<br>(-); Use of supportive<br>resources (+)<br>! !TS: 68-76% of<br>participants rated the<br>intervention as helpful in<br>reaching program goals |
| DeWalt<br>et al.                  | Quasi-experimental (pre-post design with no control group) | N = 250; mean<br>age = 56 y; type<br>2; 65% female;<br>22% Caucasian,<br>45% African<br>American, 33%<br>Latino/Hispanic,<br>31% Spanish;<br>recruited from  | C – No control or<br>comparison group<br>I – 15 min brief<br>counseling (FTF)<br>followed by two brief<br>counseling sessions at<br>2 and 4 weeks over<br>the phone;<br>intervention focused  | 2, 4, and 12 week follow-<br>up <sup>b</sup><br>!! Physio: NR<br>!! SMB: NR<br>!! PS: 13% demonstrated<br>problem-solving around<br>goals, at 4 weeks post-<br>baseline – 16%, at 12<br>weeks post-baseline –<br>20%<br>!! Psych: NR<br>!! TS: 75% Very/<br>Extremely likely to keep<br>using DM guide; 81%  |

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| Study                               | Design   | Sample  | Intervention (I) and<br>Control (C) Groups  | Results <sup>a</sup>   |
|-------------------------------------|--|---|---|--|
| (2009)<br>[25]                      |  | internal medicine<br>practices  | on setting an action plan   | Very/Extremely likely to recommend DM guide  |
| Glasgow<br>et al.<br>(2009)<br>[30] | Quasi-experimental (Preference/Randomized Design)          | N = 155; type 2;<br>gender NR;<br>recruited from<br>Kaiser<br>Permanente<br>Colorado Health<br>Plan DM<br>Registry (I1)<br>mean age = 63.5<br>y; 16.1% Latino/<br>Hispanic (I2)<br>mean age = 63.4<br>y; 15.3% Latino/<br>Hispanic                  | I1 – DSME Class<br>(based on AADE 7): 2<br>(2.5-3 hr) classes<br>I2 – DVD (based on<br>AADE 7): 7 segments<br>recommended to be<br>done in 2-3 sessions   | 6-month follow-up<br>! !Physio: HbA1c (-);<br>LDL (-); Systolic BP (-)<br>! !SMB: Diet (-); Exercise<br>(-); Med Adhere (-);<br>SMBG (-)<br>! !PS: DM Problem<br>Solving Scale (-)<br>! !Psych: Self-Efficacy (-);<br>Patient Activation (-); DM<br>Distress (-)<br>! !TS: 54% DVD was<br>fairly or extremely<br>helpful; 46% class was<br>extremely helpful   |
| Tang et<br>al.<br>(2010)<br>[27]    | Quasi-experimental (Time-Series Design)                    | N = 77; mean age<br>= 61 y; type 2;<br>69% female;<br>100% African<br>American;<br>recruited from<br>community  | C – Participants serve<br>as their own controls<br>(time-series design)<br>I–LM: Empowerment<br>Approach (Anderson<br>and Funnell): 24<br>sessions  | 6 month follow-up<br>! ! Physio: HbAlc (-);Total<br>cholesterol (+); Diastolic<br>BP (+); Weight (-); BMI<br>(-); Systolic BP (-); HDL<br>(-); LDL (-)<br>! !SMB: Diet (+); SMBG<br>(+); Carb spacing (-);<br>Exercise (-); Foot care (-);<br>Med Adherence (-)<br>!! PS: NR<br>!! Psych: DM<br>empowerment (-); DM<br>QOL (-)<br>!! TS: NR<br>6 months post-<br>intervention follow-up <sup>C</sup><br>!! Physio: HbAlc (+);<br>Weight (+); BMI (+);<br>LDL (+); HDL (x);<br>Systolic BP (-); Diastolic<br>BP (-); Total Cholesterol<br>(-)<br>! !SMB: Diet (-); SMBG<br>(-); Carb spacing (-);<br>Exercise (-); Foot care (-);<br>Insulin Adherence (-)<br>! PS: NR<br>! Psych: DM<br>empowerment (-); DM<br>QOL (-)<br>! TS: NR |
| Lee et al.<br>(2011)<br>[24]        | Quasi-experimental (pre-post design with comparison group) | N = 57; type 2;<br>100% South<br>Koreans;<br>recruited from 3<br>endocrinology or<br>internal medicine<br>clinics in an<br>urban city of<br>South Korea (I1)<br>n = 28; mean age<br>63.2 y; $64.3%female (I2) n =29; mean age61.1$ y; $44.8%female$ | <ul> <li>I1– Problem-solving counseling based on the PS model of chronic disease self-management along with a recommendation to walk at moderate intensity (i.e., 40-60% of maximum heart rate) 5 times per week for &gt; 30 minutes and self-monitor walking with diaries. 12-week intervention.</li> <li>I2– Same problem-solving counseling and walking</li> </ul> | 3-month post-intervention<br>follow-up <sup>C</sup><br>! ! Physio: HbA1c, I1<br>group (+) I2 group (+);<br>Glycemic control<br>(glucose), I1group (+) I2<br>group (+); BMI, I1 group<br>(+) I2 group (-); Total<br>cholesterol, I1 group (-) I2<br>group (-); HDL, I1 group<br>(-) I2 group (-); LDL, I1<br>group (-) 12group (-);<br>Triglycerides, I1 group (-)<br>I2 group (-); CRP, I1<br>group (-) I2 group (-);<br>Tissue plasminogen<br>activator, I1 group (-) I2  |

| Study                    | Design  | Sample  | Intervention (I) and<br>Control (C) Groups  | Results <sup>a</sup>  |
|--------------------------|---|---|---|---|
|                          |   |   | recommendation<br>provided in I1, but<br>walking monitored by<br>ambulatory heart rate<br>monitor with<br>instantaneous<br>feedback. 12-week<br>intervention.   | group (+); Plasminogen<br>activator inhibitor 1, 11<br>group (+) 12 group (-);<br>Parma Cardiovascular<br>Risk Index, 11 group (-) 12<br>group (-)<br>! !SMB: NR<br>! !PS: NR<br>! !PS: NR<br>! !PSych: Affective-<br>oriented coping, 11 group<br>(-) 12 group (-); Problem-<br>oriented coping, 11 group<br>(-)<br>12 group (-)   |
|                          |   |   |   | ! !TS: NR 6-month post-   |
|                          |   |   |   | intervention follow-up <sup>C</sup><br>! !Physio: HbA1c, I1<br>group (-) I2 group (x);<br>Glycemic control<br>(glucose), I1 group (-) I2<br>group (-); BMI, I1 group<br>(-) I2 group (x); Total<br>cholesterol, I1 group (-) I2<br>group (-); HDL, I1 group<br>(-) I2 group (-); LDL, I1<br>group (-) I2 group (-);<br>Triglycerides, I1 group (-)<br>I2 group (-); CRP, I1<br>group (-) I2 group (-);<br>Tissue plasminogen<br>activator, I1 group (-) I2<br>group (-); Plasminogen<br>activator inhibitor 1, I1<br>group (-) I2 group (+);<br>Parma Cardiovascular<br>Risk Index, I1 group (-) I2<br>group (+)<br>! !PS: NR<br>! !Psych: Affective-<br>oriented coping, I1 group<br>(-) I2 group (-)<br>! !TS: NR |
| Tang et<br>al.           | Quasi-experimental, prospective (participants had received DSME prior to enrolling in the study and | N = 60; mean age<br>= 62.4 y; type 2;                                   | I1– DSME<br>Enhancement: 6  | 6-month follow-up,  |
| 2011,<br>1012)<br>28,29] | received DSME enhancement or DSMS during the<br>course of the study)                                | 70% female;<br>100% African<br>American;<br>recruited from<br>community | months of mailed<br>DSME to reinforce<br>DSME received in the<br>past<br>I2– DSMS: 88 weekly<br>sessions (75 min each)<br>over 24 months using<br>Anderson and<br>Funnell's<br>empowerment<br>approach in addition<br>to DSME received in<br>the past | DSME Enhancement<br>! ! Physio: HbAlc (-);<br>Total Cholesterol (+);<br>Diastolic BP (+); Weight<br>(-); BMI (-); Systolic BP<br>(-); HDL (-); LDL (-)<br>! !SMB: Diet (+); SMBG<br>(+); Foot care (+); Carb<br>spacing (-); Exercise (-);<br>Med Adherence (-);<br>Insulin Adherence (-)<br>! ! PS: NR<br>! ! Psych: DM<br>empowerment (-); DM<br>QOL (-)<br>! ! TS: NR<br>24-month follow-up,<br>DSMS <sup>b</sup><br>! ! Physio: HbA1c (-);<br>Total Cholesterol (-);<br>Diastolic BP (-); Weight<br>(-); BMI (-); Systolic BP<br>(-); HDL (-); LDL (-)<br>! !SMB: Diet (+); Carb<br>spacing (+); Insulin<br>Adherence (+); Exercise   |

| Study | Design | Sample | Intervention (I) and<br>Control (C) Groups | Results <sup>a</sup>   |
|-------|--------|--------|--|--|
|       |        |        |  | (-); Foot care (-); Med<br>Adherence (-); SMBG (-)<br>! !PS: NR<br>! !Psych: DM QOL (+);<br>DM empowerment (-)<br>! !TS: NR<br>1-year post-intervention  |
|       |        |        |  | follow-up, DSMS <sup>C</sup><br>! !Physio: HbA1c (+);<br>Total Cholesterol (+);<br>LDL (+); Diastolic BP (x)<br>HDL (x); Weight (-); BM:<br>(-); Systolic BP (-)<br>! !SMB: Diet (-); Carb<br>spacing (-); Insulin<br>Adherence (-); Exercise<br>(-); Foot care (-); Med<br>Adherence (-); SMBG (-)<br>! !PS: NR |
|       |        |        |  | ! !PS: NR  |

AADE, American Association of Diabetes Educators; BMI, body mass index; BP, blood pressure; CVD, cardiovascular disease; DECIDE, Decision-Making Education for Choices in Diabetes Everyday; DM, diabetes; DM-UMI, Diabetes Uncertainty Management Intervention; DPSI, Diabetes Problem-Solving Inventory; DSME, diabetes self-management education; DSMP, Diabetes Self-Management Program; DSMS, Diabetes Self-Management Support; FTF, face-to-face; HDL, high-density lipoprotein; IDSMP, Internet Diabetes Self-Management Program; LDL, lowdensity lipoprotein; LM, Lifelong Management; NR, not reported; Physio, physiological outcomes; PS, problem solving; PST, problem-solving training; Psych, psychosocial outcomes; SDSCA, Summary of Diabetes Self-care Activities; SDSMP, Spanish Diabetes Self-management Program; SMB, self-management behaviors; SMBG, self-monitoring of blood glucose; TS, treatment satisfaction.

<sup>a</sup>Results are categorized as problem solving, self-management behaviors, physiological outcomes, psychosocial outcomes, and treatment satisfaction. The symbol (-) indicates that the specified intervention outcome was not statistically significant; (+) indicates that the specified intervention outcome was statistically significant but not in the hypothesized direction; (?) indicates that the specified intervention outcome was not clearly stated in the article.

<sup>b</sup>Follow-up time frame is number of weeks or months from baseline. Intervention duration within that time frame is indicated in the Intervention and Control Groups column.

 $^{C}$ Follow-up time frame is reported at post-intervention, i.e., number of weeks or months from conclusion of the intervention.

#### Table 2

# Child and Adolescent Problem-Solving Intervention Studies from 2006-2012

| Study                            | Design | Sample   | Intervention (I) and Control (C)<br>Groups  | Results <sup>a</sup>  |
|----------------------------------|--------|--|---|---|
| Wysocki et<br>al. (2008)<br>[23] | RCT    | N = 104 families with<br>adolescents; aged 11-16;type<br>1; recruited from two<br>pediatric centers (C) n = 32;<br>50% female; 53% Caucasian,<br>34% African American (11)n<br>= 36; 44% female; 75%<br>Caucasian, 25% African<br>American (12)n = 36; 42%<br>female; 61% Caucasian, 33%<br>African American, 3% Latino,<br>3% other | C: Standard Care<br>I1: Education sessions led by<br>diabetes nurses and health care<br>professionals; guided by ADA<br>curriculum for teens; 12<br>multifamily sessions in 6 months<br>I2: BFST-D led by psychologist,<br>postdoc, or LCSW; PST is one<br>component of BFST in addition to<br>communication training, cognitive<br>restructuring, functional and<br>structural family therapy; 12<br>sessions in 6 months (single<br>family) | 6, 12, and 18-month follow-ups <sup>b</sup><br>! ! PS (Coded video-tape of family<br>discussions around problems using<br>Interaction Behavior Code): I2 > C at<br>12 and 18-months (+); I2 > ES at 18<br>months (+)<br>! ! Problem Resolution: I2 > C at all<br>follow-ups (+); I2 > ES at 6 and 18-<br>months (+)<br>! ! Psych: Adolescent negative<br>communication, I2 < C at all follow-ups (+), I2 < ES at 6-months (+);<br>Adolescent positive communication,<br>(-); Mother negative communication,<br>(-); Mother negative communication,<br>(-); Mother negative communication,<br>(-); Mother negative communication<br>(-); Mother negative communication<br>(-); Mother negative communication<br>(-); Father negative communication<br>(-); Father negative communication<br>(-); Father negative communication<br>(-); Father reciprocity, I2 < C at 6 and<br>12-months (+), I2 < ES at 6 -months<br>(+); Positive reciprocity, I2 > C at all<br>follow-ups (+), I2 > ES at 6 and 12-<br>months (+), I2 < ES at 6 and 12-<br>months (+), I2 > ES at 6 and 12-<br>month follow-up <sup>b</sup><br>(I2)<br>! ! Physio: PS and DSMP (+); Problem<br>Resolution and DSMP (-)<br>! !SMB: PS and DSMP (+); Problem<br>Resolution and DSMP (+)<br>! !SMB: PS and DSMP (+); Problem<br>Resolution and DSMP (+)<br>! !Physio: PS and DRC (-); Problem<br>Resolution and DSMP (+)<br>! !Physio: PS and DRC (-); Problem<br>Resolution and DSMP (+)<br>! !Physio: PS and DRC (-); Problem<br>Resolution and DSMP (+)<br>! !Physio: PS and DRC (-); Problem<br>Resolution and DSMP (+)<br>! !Physio: PS and DRC (-); Problem<br>Resolution and DSMP (+)<br>! !Physio: PS and DRC (-); Problem<br>Resolution and DSMP (+)<br>! !Physio: PS and DRC (-); Problem<br>Resolution and DSMP (+)<br>! !Physio: PS and DRC (-); Problem<br>Resolution and DSMP (+)<br>! !Physio: PS and DRC (-)<br>! !Physio: PS and DRC (-); Problem<br>R |
| Wysocki et<br>al. (2008)<br>[7]  | RCT    | 114 youth and 109 parents;<br>type 1; aged 9-14.5; 51%<br>female; 71% Caucasian, 12%<br>African American, 10%<br>Latino, 7% other; parents -<br>35% some college; 40%<br>\$50,000-100,000; recruited<br>from 4 pediatric diabetes<br>centers across US   | C: Usual care<br>I: 3 sessions of a family-focused,<br>low-intensity behavioral<br>intervention applying basic<br>problem-solving strategy to daily<br>problems in management of<br>diabetes delivered during quarterly<br>routine diabetes clinic visits over<br>6-months; clinic encounters<br>followed by telephone calls to<br>evaluate and refine intervention<br>plan   | Post-intervention follow-up<br>! ! Physio: HbA1c between group<br>differences (-)<br>! ! SMB: DSMP between group<br>differences (-)<br>! ! PS: DPSI between group<br>differences (-)<br>! ! Psych: DFRQ between group<br>differences (-)<br>! ! TS: NR  |
| Nansel et<br>al. (2009)<br>[21]  | RCT    | 122 adolescents (30-32<br>families); aged 9-14.5; type 1;<br>gender NR; 71.1% Caucasian,<br>11.6% African American,<br>9.9% Latino, 7.4% other;<br>45.4% of parents had a  | C: Usual care<br>I: WE*CAN intervention (W for<br>work together to set goals; E for<br>explore possible barriers and<br>solutions; C for choose the best<br>solutions; A for act on your plan;  | Post-intervention follow-up <sup>b</sup><br>! ! Physio: HbA1c, I group (-), C<br>group (-), between-group differences<br>(-)  |

| Study                            | Design   | Sample  | Intervention (I) and Control (C)<br>Groups  | Results <sup>a</sup>   |
|----------------------------------|--|---|---|--|
|                                  |  | college degree; 77.4% of<br>families reported annual<br>income of \$50,000 or greater;<br>recruited from four major<br>medical centers<br>(C) $n = 62$<br>(I) $n = 60$  | and N for note the results)<br>delivered by specially trained<br>graduate students at 3 routine<br>clinic visits over a maximum of 12<br>months with telephone follow-up<br>at weeks 2 and 6. Specific<br>objectives were to improve disease<br>management problem solving,<br>improve parent-child cooperation<br>and communication and reduce<br>conflict regarding disease<br>management, and facilitate<br>appropriate sharing of disease<br>management responsibility. | <pre>!! SMB: DSMP, I group (-); DSMP, C group (-); DSMP between-group differences (-) !! PS: NR !! PS: NR !! PS: NP PedsQOL, I group (-), between-group differences (-); DQOL, I group (-), between-group differences (-); Parent-Child Conflict, I group (-), between-group differences (-); Responsibility Sharing, I group (-), between-group differences (-) !! TS: 97.7% of youth and 93.4% of parents liked intervention</pre> |
| Mulvaney<br>et al.<br>(2010)[22] | RCT  | 52 adolescents; type 1; aged<br>13-17 years old; 49% female;<br>ethnicity NR; recruited from<br>pediatric diabetes clinics<br>(C) n = 18<br>(I) n = 34  | C: Usual care<br>I: YourWay, an 11- week internet-<br>based intervention with 6<br>multimedia stories depicting<br>psychosocial barriers to self-<br>management and problem solving<br>and multimedia presentations on<br>the steps of problem solving;<br>included help from problem-<br>solving expert  | Post-intervention follow-up<br>! ! Physio: HbA1c, I group (-),<br>between-group differences (-)<br>! ! SMB: DBRS, I group (?), between-<br>group differences (+)<br>!! PS: DPSB, I group (-), between-<br>group differences (-)<br>! ! Psych: NR<br>!! TS: 63% gave intervention an 'A',<br>37% gave it a 'B'  |
| Nansel et<br>al. (2012)<br>[11]  | RCT  | 390 adolescents; aged 9-14.9;<br>type 1; recruited from four<br>major medical (C) n = 189;<br>mean age = 12.4y; 50.8%<br>female; 74.4% Caucasian,<br>10.8% African American,<br>9.1% Hispanic, 5.7% Other (I)<br>n = 201; mean age = 12.5 y;<br>50.7% female; 75.5%<br>Caucasian, 7.8% African<br>American, 10.9% Hispanic,<br>5.7% Other | C: Usual care<br>I: WE*CAN intervention (W for<br>work together to set goals; E for<br>explore possible barriers and<br>solutions; C for choose the best<br>solutions; A for act on your plan;<br>and N for note the results)<br>delivered by trained health<br>advisors at each routine clinic<br>visits over a maximum of 21<br>months with telephone follow-up<br>at weeks 2 and 6.  | 24-month follow-up<br>! ! Physio: HbA1c between group<br>differences (+) for ages 12-14 at both<br>18-month and 24-month follow-up;<br>Change in HbA1c and change in<br>parental DSMP (+), change in SMBG<br>(-), change in adolescent DSMP (-)<br>! ! SMB: DSMP between-group<br>differences (-); SMBG (x)<br>! ! PS: NR<br>! ! Psych: NR<br>! ! TS: NR   |
| Loding et<br>al. (2007)<br>[31]  | Quasi-<br>experimental<br>(pre-post<br>design with<br>no control<br>group) | 19 adolescents and 17<br>mothers; type 1; aged 13-18;<br>52.6% female; ethnicity NR;<br>recruited from two diabetes<br>centers in Norway<br>(11)n = 5<br>(12)n = 6<br>(13)n = 6   | C: No control or comparison group<br>I: Education, support and problem<br>solving sessions once a month for<br>1 hour; adolescent and parent<br>groups separate; I1 and I2 received<br>10 group sessions and I3 received<br>6 group sessions  | 12- and 24-month follow-up <sup>b</sup><br>!! Physio: HbA1c (-) for total sample,<br>HbA1c (+) for girls only at 12 months<br>!! SMB: NR<br>!! PS: NR<br>!! Psych: DQOL (-);<br>!! TS: NR  |
| Salamon et<br>al. (2010)<br>[32] | Quasi-<br>experimental<br>(pre-post<br>design with<br>no control<br>group) | 10 adolescents; type 1; aged<br>11-18; 40% female; 100%<br>Caucasian; recruited from<br>outpatient diabetes clinic  | C: No control or comparison group<br>I: CBT program which aimed to<br>train adolescents to develop<br>cognitive restructuring and<br>behavioral problem-solving skills.<br>Initial session was 60-90 minutes<br>delivered in patient's home by<br>psychology doctoral students<br>followed by 3 individual weekly<br>phone contacts.  | 1-month follow-up <sup>b</sup><br>! ! Physio: NR<br>! ! SMB: SCF (-)<br>! ! PS: NR<br>! ! Psych: DSQ (-)<br>! ! TS: NR   |

BFST-D, Behavioral Family Systems Therapy-Diabetes; CBT, Cognitive Behavioral Therapy; DBRS, Diabetes Behavior Rating Scale; DFRQ, Diabetes Family Responsibility Questionnaire; DPSB, Diabetes Problem-Solving Behavior Scale; DPSI, Diabetes Problem Solving Inventory; DSMP, Diabetes Self-Management Profile; DQOL, Diabetes Quality of Life Scale; DRC, Diabetes Responsibility & Conflict Scale; DSQ, Diabetes Stress Questionnaire; LCSW, licensed clinical social worker; NR, not reported; Physio, physiological outcomes; PS, problem-solving; PST, Problem Solving Therapy; Psych, psychosocial outcomes; RCT, randomized controlled trial; SCF, Self-care Around Friends Scale; SMB, self-management behaviors; SMBG, self-monitoring of blood glucose; TS, treatment satisfaction.

<sup>c</sup>Follow-up time frame is number of weeks or months from conclusion of the intervention.

<sup>a</sup>Results are categorized as problem solving, self-management behaviors, physiological outcomes, psychosocial outcomes, and treatment satisfaction. The symbol (-) indicates that the specified intervention outcome was not statistically significant; (+) indicates that the specified intervention outcome was statistically significant in the hypothesized direction; (x) indicates that the specified intervention outcome was

statistically significant but not in the hypothesized direction; (?) indicates that the specified intervention outcome was not clearly stated in the article.

 $b_{\rm Follow-up}$  time frame is number of weeks or months from baseline. Intervention duration within that time frame is indicated in the Intervention and Control Groups column.