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## Antiphospholipid Antibodies, Brain Infarcts, and Cognitive and Motor Decline in Aging (ABICMA): Design of a Community-based, Longitudinal, Clinical-pathological Study

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### Abstract

The overall goal of the Antiphospholipid antibodies, Brain Infarcts, and Cognitive and Motor decline in Aging study (ABICMA) is to test the hypothesis that antiphospholipid antibodies (aPL) are associated with an increased risk of pathologically-proven brain infarcts and related to cognitive and motor decline in aging. Putative biologic mechanisms underlying the association of aPL with infarcts and the relation of aPL with clinical outcomes of cognitive and motor impairment, including vascular and other processes, will be examined. The design of this longitudinal, clinical-pathologic study involves quantifying four aPL assays, and relating these to brain infarcts, and to cognitive and motor decline. Vascular mechanisms assessed using ante-mortem magnetic resonance neuroimaging and postmortem neuropathology, as well as non-vascular mechanisms of inflammation and blood-brain barrier permeability alterations will be examined as plausible mediators of the relation of aPL to cognitive and motor impairment. We will take advantage of ante-mortem biologic specimens (longitudinally-collected sera and plasma from which aPL, annexins, C-reactive protein, and matrix metalloproteinases will be quantified), and clinical, neuroimaging, and postmortem neuropathologic data from about 800 older, community-dwelling women and men who have agreed to brain autopsy at time of death, participating in one of two ongoing studies of aging: the Religious Orders Study and the Memory and Aging Project.

### Introduction

Cerebrovascular disease is a leading cause of death and disability, and associated with two common consequences in older persons, cognitive and motor impairment. A growing number of studies implicate auto-immune processes in stroke, and the most commonly identified blood factor associated with immune-mediated stroke is antiphospholipid antibodies (aPL, Table 1). Brain infarcts are among the most common and serious manifestations of aPL. Prospective studies suggest that aPL increase risk for thrombo-

occlusive events, including ischemic stroke (Table 2, [1-3]). Only one study included both men and women and most research has focused on only one of four clinically-used aPL, anticardiolipin antibodies (aCL). The precise role of aPL as a group of autoantibodies in the pathogenesis of stroke in a wide-range of patients and healthy individuals is unclear. In addition, specific underlying pathophysiologic mechanisms relating to aPL effects on the brain, in particular involving thrombosis, have yet to be elucidated. Preliminary data suggest potential roles by annexin A5 resistance, anti-annexin antibodies, and aPL binding to domain I (thrombogenic domain) of  $\beta_2$ -glycoprotein I [4].

The prevalence of aPL in aging and their association with stroke raise the possibility that aPL could also be associated with decline in cognitive and motor function, perhaps through an association with subclinical cerebrovascular disease. This is supported by some literature suggesting that aPL is independently associated with cognitive decline [5]. While the relation of aPL to cognitive function in aging is unclear, a recent international consensus statement concluded that further study is now warranted [6]. The relation of aPL to motor decline, the other common consequence of cerebrovascular disease in older persons, is also unclear. The overall goal of the Antiphospholipid antibodies, Brain Infarcts, and Cognitive and Motor decline in Aging study (ABICMA) is to test the hypothesis that aPL are associated with an increased risk of pathologically-proven brain infarcts and related to the two important clinical outcomes of cognitive and motor decline in community-dwelling older persons. We will investigate the significance of all four clinically-used aPL. We will explore pathogenic mechanisms in aPL-related thrombosis, in particular that involving annexins. We will also examine the relation of aPL to cerebrovascular disease, including subclinical cerebrovascular disease assessed by ante-mortem neuroimaging and postmortem neuropathology, and the extent to which aPL are related to cognitive and motor impairment after controlling for this disease. This would suggest the existence of biologic mechanisms other than cerebrovascular disease linking aPL and cognitive and motor dysfunction. We will thus examine additional plausible factors in the causal pathway, in particular inflammation (assessed by C-reactive protein) and altered blood-brain barrier (BBB) permeability (assessed by matrix metalloproteinases), as these have been suggested to be mechanisms by which antibodies may be allowed to enter into the central nervous system and influence cellular function.

## Research Design and Methods

### Overview of the Study Design

ABICMA will test the hypothesis that aPL are associated with brain infarcts and cognitive and motor decline, and examine vascular and other mechanisms underlying these relations (Figure 1). This study, funded by the National Institutes of Health, began in 09/2009. It takes advantage of two epidemiologic, longitudinal, clinical-pathologic cohort studies from the Rush Alzheimer's Disease Center in Chicago, Illinois. Previously collected and frozen blood specimens at baseline and follow-up will be used to relate aPL to clinical, pathologic, and other data (Figure 2). Sera are sent to the aPL Coordinating Laboratory at the University of Texas Health Sciences Center San Antonio for three aPL assays and other factors. Plasma are sent to the LA/Annexin Coordinating Laboratory in the Bronx, NY, for assays of another aPL and annexins. All data are stored at the Rush Alzheimer's Disease Center. Uniform clinical evaluations are performed annually, from which data on change in cognitive and motor function are derived. Ante-mortem neuroimaging data are collected to assess infarcts and white matter hyperintensities. A standardized neuropathologic examination is conducted at time of death, and provides data on the infarcts and on other variables, blinded to assay and clinical data. We estimate that blood specimens will be available in at least 800 persons for this study.

## Cohorts

Specimens and data are derived from two epidemiologic cohort studies of cognitive and motor aging with essentially identical recruitment techniques, and a large overlap of identical specimen and data collection designed to facilitate analyses of risk factors for neurological conditions.

The Religious Orders Study (ROS) Core has been enrolling subjects since 1994 and is ongoing. All participants have consented to undergo annual clinical evaluations including cognitive and motor testing, a baseline blood draw, and brain donation at time of death. To date, the study has enrolled ~1,200 older Catholic clergy from > 40 groups across the US, with a follow-up rate of 95%. Blood specimens were collected from >1,100 persons, and a subset had one or more follow-up collections (n>500). There have been >550 autopsies performed and the study autopsy rate exceeds 90% [7].

The Memory and Aging Project (MAP) has been enrolling subjects since 1997 and is ongoing. Participants have consented to undergo annual clinical evaluations including cognitive and motor testing, annual blood specimen collection, and brain, spinal cord, nerve and muscle donation at time of death. To date, the study has enrolled ~1,600 older community-dwelling men and women living in >40 retirement communities or senior subsidized-housing facilities in the Chicagoland area. The follow-up rate is ~ 85%. There are >1,500 persons who had a blood specimen collected and many have had one or more follow-up collections (n~1,200). With nearly 450 autopsies performed to date, the autopsy rate exceeds 80% [8].

## Available Clinical and Neuropathologic Data

**Clinical Data**—Clinical data are collected at baseline and annually, from which change in cognitive and motor function will be derived for ABICMA. Participants of ROS and MAP undergo systematic, uniform evaluations, which include a medical history, physical examination focused, and neuropsychological testing. To date, there is an average of 6.8 years (SD =4.5) of annual follow-up data for ROS and MAP.

Cognitive data allow the documentation of the presence, severity and spectrum of cognitive impairment, and show relations with risk factors and adverse health outcomes [7,8]. Neuropsychological tests assess a range of abilities emphasizing dissociable cognitive processes with different anatomic substrates commonly affected by aging. Individual tests (19 in ROS, 17 in MAP) are used to create a composite score of global cognition, as described elsewhere [9]. Further, composite scores of five cognitive domains are available, including perceptual speed, working memory, episodic memory, semantic memory, and visuospatial ability [9]. An experienced neuropsychologist, blinded to clinical data, reviews data and summarizes impairment in each domain. A one-year interval between visits is long enough to allow measurable change in cognition. Diagnostic classification of cognitive status (dementia, mild cognitive impairment, cognitive impairment related to stroke) are conducted [7,8].

Motor data are collected, from which level of and change in motor function are determined. These include components of standardized stroke and parkinsonism scales [8]. Additional motor data are available in MAP from which a composite global motor function score is derived [10]. Subcomponents include muscle strength (based on nine appendicular strength measures using dynamometers) and motor performance (based on nine upper and lower extremity measures).

Data on a range of vascular risk factors and conditions are available, including stroke, myocardial infarction, diabetes, hypertension, and hypercholesterolemia [7,8].

**Neuropathologic Data**—A uniform evaluation, focused on common age-related pathologies, is performed by a board-certified neuropathologist blinded to clinical data. On macroscopic examination, the number, volume, location, and side of infarcts are recorded [11]. All grossly visualized and suspected infarcts are dissected for histologic examination. The presence and severity of atherosclerosis is assessed. The microscopic examination allows confirmation of the age of the infarcts. For identification of microscopic infarcts and other pathology, blocks are processed and stained. Location, side, and age of microscopic infarcts are determined [12]. The presence and severity of arteriolosclerosis is documented.

### Available Blood Specimens and Laboratory Data

Blood is collected in serum separator and lavender top tubes, centrifuged, divided into aliquots, and stored at the Rush Alzheimer's Disease Center Laboratory in a  $-80^{\circ}\text{C}$  freezer. The baseline or first available specimen, and in a subset a second and third specimen collected at a later time point, are being used to measure markers of interest. A 0.5 ml aliquot of serum is needed for three aPL assays, and an additional 0.5 ml is needed for markers of inflammation (C-reactive protein, CRP) and BBB permeability (matrix metalloproteinase, MMP). Plasma (0.5ml) is used for annexins and one aPL assay. ABICMA is also taking advantage of existing laboratory data, including on inflammation and others.

### Quantification of Blood Measures

The aPL Coordinating Laboratory is quantifying three serum aPLs: antibodies to anticardiolipin (aCL),  $\beta_2$ -glycoprotein I (anti- $\beta_2$ GPI), and antiphosphatidyl-serine (aPS). Results are interpreted blinded to clinical data. Commercial kits are used for aCL and aPS (Corgenics, Inc.), and anti- $\beta_2$ GPI (INOVA Diagnostics, Inc.), according to manufacturer's instructions. All tests are run in duplicate and if the variance is  $>15\%$ , assays are repeated.

The LA/Annexin Coordinating Laboratory quantifies plasma measures: one aPL, lupus anticoagulant (LA), and all annexins. LA testing is performed using commercially available reagents on citrated plasma [13]. Annexin A5 resistance assays use a 2-stage method [14]. Plasma is also assayed for anti- $\beta_2$ GPI IgG antibodies and anti-annexin A5 IgG and IgM (American Diagnostica Inc.) [15].

Inflammation and BBB permeability may help elucidate separate, non-cerebrovascular processes involved in aPL pathogenesis. Testing will be conducted at the aPL Coordinating Laboratory, using commercial kits for CRP (C-Reactive Protein Ultra Sensitive ELISA, Calbiotech), and for matrix metalloproteinase 9 (MMP-9) and matrix metalloproteinase tissue inhibitor (TIMP, R&D Systems, Inc.).

### Ante-mortem Neuroimaging of Vascular Processes

aPL will be related to cerebrovascular disease abnormalities identified on brain MRI and to cognitive and motor function after controlling for these abnormalities, in a subset of subjects (~400). Data are collected using a 3T Philips scanner (Best, The Netherlands), located at the Brain Research Imaging Center, University of Chicago. Scans include a T1-weighted 3D magnetization-prepared rapid acquisition gradient-echo (MP-RAGE) sequence with: TE=3.7ms, TR=8.1ms, flip angle  $8^{\circ}$ , 181 sagittal slices, slice thickness 1mm, acquired in-plane resolution  $1\text{mm} \times 1\text{mm}$ , parallel imaging; and a 2D fast spin-echo T2-weighted fluid-attenuated inversion recovery (FLAIR) sequence with: TE=90 ms, TR=9s, inversion time TI=2.5s, 35 axial slices, slice thickness 4mm, acquired in-plane resolution  $0.86\text{mm} \times 1.12\text{mm}$ , and parallel imaging. Total acquisition time is ~10min.

Post-processing of data provide the number/volume/location of infarcts and WMH. MP-RAGE and FLAIR image volumes are aligned using rigid body registration. Infarcts are manually segmented (<http://afni.nimh.nih.gov/afni>, [16]). WMH are segmented automatically based on a support vector machine learner (WMLS, SBIA, University of Pennsylvania, [17]). We then register a human brain atlas to the T1-weighted volume of each subject, and transfer labeled white matter regions to the space of individual subjects. Location of lesions is automatically recorded by identifying labels that overlap with segmented lesions.

### Data Management

Blood specimen data are linked to clinical, neuroimaging, and neuropathologic data using a relational database (Figure 2). Access is secured and checks ensure data integrity. Customized programs score and summarize data, and provide automatically-updated reports, available on a secure SSL website (Apache Tomcat Web Server 6.0.14).

### Data Analyses

We first provide descriptive information on subjects enrolled in ABICMA to date, their clinical and neuropathologic characteristics, and preliminary blood data. We next present power calculations for the main hypotheses of the study.

## Results

### Subjects

Of >2,000 eligible subjects to date, ABICMA will include approximately 800 alive or deceased subjects for whom at least one frozen serum specimen is available for aPL quantification. Blood specimens from two additional time points, proximate to death and another point between the first and last measurement, will be used to assess persistence of aPL positivity and altered annexins. About 1,600 persons have at least one follow-up serum and plasma specimen. To date, blood data have been collected in deceased subjects only, in order to examine one of the main hypothesis, namely the relation of aPL to pathologically-proven brain infarcts. Here, we present data on the first 538 subjects.

### Demographic, Clinical, and MRI Data

Basic demographic data are shown in Table 3. The mean age at time of the first aPL measurement was 84.2 years (SD=6.5) and at death was 88.7 years (SD=6.4). Selected clinical data, including cognitive and motor data (Table 4), vascular factors, medications, and laboratory data, are presented (Table 5). MRI scans were performed in 17/538 subjects to date (Figure 3).

### Neuropathologic Data

Data on 531/538 (99%) deceased and autopsied subjects are currently available. Brain infarcts (macroscopic or microscopic) are present in 258/531 (49%) of subjects (Table 6, Figure 4). Large and small vessel pathology is also common.

### aPL and Annexin Measurements

Four aPL measures have been quantified (aCL, anti- $\beta_2$ GPI, and aPS, LA) using the first sample collected (Table 7). The mean time interval from this measurement to death is 4.6 (SD=2.5) years. The overall aPL positivity (positivity in any of four aPL) in this first measurement is 24.4%. Follow-up measures proximate to death have been quantified in 418/538 subjects, with a mean time interval to death of 0.8 (SD=0.7) years. The overall aPL positivity proximate to death is 31.1%, suggesting a relation of increasing positivity with

age. The *persistence* of aPL positivity (positivity identified at both time points) is 75%, suggesting that positivity may change over time and underscoring the importance of collecting longitudinal data. Descriptive data on annexins are also shown in Table 7.

## Power

Different approaches are used for modeling the presence of infarcts (binary cross-sectional data) and cognitive or motor decline (continuous longitudinal data). In examining the association of overall aPL positivity with the presence of infarct, the projected power depends on the sample size, the proportion of aPL positivity, the baseline probability of infarct ( $p_0$ ), and the effect size quantified by the odds ratio (OR) [18]. Preliminary data suggests that about 25% of subjects are aPL positive. Assuming a baseline probability of infarct of 0.45, a sample size of 800 will have 82% power to detect an OR of 1.60 at  $\alpha$  level of 0.05 (Table 8). For longitudinal data analysis, random coefficient models will be used to examine the relation of aPL positivity with the rate of decline in cognitive (motor) function. In these models, the point estimate, when negative, indicates the additional amount of cognitive (motor) decline, per year, for subjects with aPL positivity. Assumptions for the power are based on current data and previous analysis results. To date, among individuals with aPL data, the average number of annual visits is 7 years, and the variances associated with random slopes and residuals are 0.01 and 0.05. As shown in Table 9, at  $\alpha$  level of 0.05 a sample size of 800 will have 81% power to detect a 0.025 unit of steeper decline in cognitive (motor) function.

## Discussion

ABICMA is a longitudinal, clinical-pathologic study testing the hypothesis that aPL are associated with brain infarct risk and two most common consequences of cerebrovascular disease, cognitive and motor decline. The study will provide a comprehensive aPL portfolio on a large cohort of ~800 community-dwelling, older subjects followed clinically with cognitive and motor change data, and in whom brain autopsy is conducted. Further, this study will examine putative biologic mechanisms underlying associations of aPL with neuropathologic and clinical outcomes. In particular, ABICMA will examine the role of annexins in the relation of aPL to infarct pathology. Also, mechanisms linking aPL to clinical outcomes of cognitive and motor impairment will be explored, including vascular processes determined using ante-mortem MRI and postmortem neuropathology, as well as non-vascular processes involving inflammation and altered blood-brain barrier permeability (Figure 5).

aPL are present in a third of persons in their eighth decade, and are associated with significant disability and death [3]. Cerebrovascular disease is the most common among neurological presentations, and second most common presentation overall [19]. While studies in young persons have consistently shown an association with ischemic stroke, data in older persons is mixed [20]. Only three prospective epidemiologic studies have directly examined aPL and stroke, and only one aPL antibody was tested and only at a single time point (see Table 2). One study of male physicians was negative, but power may have been limited [1]. Another study showed an odds of stroke of 1.5 [2]. In a third study, women but not men had an increased risk of stroke [3]. Additional clinical trial data suggest that both aCL and LA, rather than either alone, are associated with stroke [21]. aPL research should consider a panel of aPL, particularly since two other clinical tests have since emerged as potentially important (anti- $\beta_2$ GPI and aPS). Further, research on persistence of aPL positivity as a prognostic factor, including anti- $\beta_2$ GPI, is needed [6,21].

Although aPL are linked to the clinical manifestation of cerebrovascular disease and are used in clinical practice as stroke predictive biomarkers, there is a paucity of data on aPL



and pathologically-proven brain infarcts, the underlying cause of most clinical strokes. Prior studies' limitations may be addressed by research using brain tissue with definitive identification of infarcts, including microscopic infarcts, which elude identification by currently available neuroimaging technology [12, 22]. Case reports with brain tissue data showed perivascular inflammation and amyloid- $\beta$  deposition in vessels, while others found changes suggestive of a non-inflammatory vasculopathy [23]. Further, in 150 patients who came to autopsy, aPL were relatively common but not associated with vasculitis [24]. Discrepancies in findings may be due to a variety of factors, including case selection and differential effects of aPL.

Given the relation of aPL to stroke, these antibodies may be associated with the two most common clinical consequences of cerebrovascular disease in aging, cognitive and motor decline. While several studies have found a relation to dementia or cognitive impairment, the literature has focused on case-control series, mostly using a cross-sectional design [22]. Few longitudinal studies of aPL and change in cognition are published, all in systemic lupus erythematosus and controls, and results suggest associations with cognitive decline, particularly in psychomotor speed and working memory [5,25,26]. Further, the possibility that neurologic effects of aPL may be independent of the actual disease state of lupus has been raised. While there is relatively little data, an international consensus statement calls for further study to determine whether aPL affect cognition [6]. Further, the role of aPL in the other important neurological condition of aging, motor decline, is uncertain and warrants further study.

Mechanisms linking aPL to cerebrovascular disease have been unclear. This may be explained, at least in part, by heterogeneity among aPL [6]. Also, research suggests that both aPS and  $\beta_2$ GPI antibodies improve specificity of the antiphospholipid antibody syndrome over aCL alone. Emerging data, including from animal and human imaging studies, point toward vascular processes and thrombosis in particular, as important plausible mechanisms [27]. Antibodies have been proposed to interfere with endogenous anticoagulant mechanisms, such as the annexin A5 anticoagulant shield. Indeed, human tissue studies of persons with aPL show reduction of annexin A5 on cell surfaces including of endothelial cells, and morphologic evidence using atomic force microscopy shows aPL disruption of annexin A5 crystallization [14,28]. The annexin mechanism has been confirmed, and the assay for annexin A5 resistance has been validated [15]. We will examine annexins as a mechanism for thrombosis that would manifest as pathologically-proven brain infarct (Figure 6).

Additional data from cell, animal, and human studies, suggest that other vascular mechanisms, including ones without evidence of brain infarct, as well as non-vascular mechanisms may be at play in aPL pathogenesis. MRI studies have shown both large and small infarcts, WMH, as well as atrophy [22,29]. Further, evidence points to aPL having a range of effects, including BBB breakdown, inflammation, extravasation of blood proteins, including aCL and anti- $\beta_2$ GPI, which may then exert direct cellular dysfunction, neurodegeneration, and others [27,30]. We will examine some of these mechanisms. The mechanistic hypothesis concerns the ante-mortem and postmortem indicators of cerebrovascular disease (using MRI and neuropathology) as mediators of the association of aPL with impaired cognitive and motor function (Figure 5). The study design will allow us to examine for subclinical cerebrovascular disease, which is thought to be a much more common problem than stroke, which itself may be seen as only the "tip of the iceberg". In order to explore potential non-cerebrovascular mechanisms, we will assess inflammation (using C-reactive protein) and altered BBB permeability (MMP), and whether the relation of aPL to cognitive and motor impairment is attenuated when accounting for these.

Strengths of ABICMA include being the only epidemiologic study, to our knowledge, linking aPL to pathologically-proven brain infarct and cognitive and motor function. The study takes advantages of specimens and data from two community-based cohorts of both older women and men with high autopsy rates. Systematically-collected annual clinical data and postmortem vascular neuropathology data provide outcome summary measures. The assessment of aPL is comprehensive, including a panel of four aPL, three of which quantify two isotypes (IgG and IgM). We will examine persistence, number and combinations of aPL positivity, and aPL isotypes and titers. Putative biologic mechanisms will be elucidated, specifically annexins and other vascular and non-vascular mechanisms, including subclinical cerebrovascular disease assessed using ante-mortem MRI and postmortem neuropathology.

There are also several limitations. A challenge concerns the variability in laboratory measurements. Given the expertise and experience of the two laboratories used in ABICMA, we are confident that we can adequately address this challenge. There are also limitations in the definition of aPL. While we will be using the recommended cutoffs for aPL positivity, based on the manufacturers guidelines and literature, it is possible that only higher titers are important [1]. We will be able to address this with further exploratory analyses. Further, our chosen definition will allow a more direct comparison to the other major epidemiologic studies to date.

A critical barrier to progress in aPL research is accessibility to a large cohort of older persons, with data on aPL and other potential pathogenic markers, longitudinal measures of cognitive and motor function, and objective data on cerebrovascular disease including subclinical disease. Although ABICMA promises to overcome this barrier, improve scientific knowledge in the field, and have the potential to change clinical practice as aPL are modifiable vascular factors, further research is needed in the field. Findings from ABICMA will need to be replicated in other cohorts. Further, research on neuropathologic measures of WMH identified in neuroimaging would help to expand the examination of aPL and cognitive and motor outcomes after controlling for a wider range of cerebrovascular disease. Other vascular and non-vascular mechanisms will need to be explored. Finally, the risk-benefit ratio of testing and treating aPL in cerebrovascular disease, and cognitive and motor decline in aging will need to be determined.

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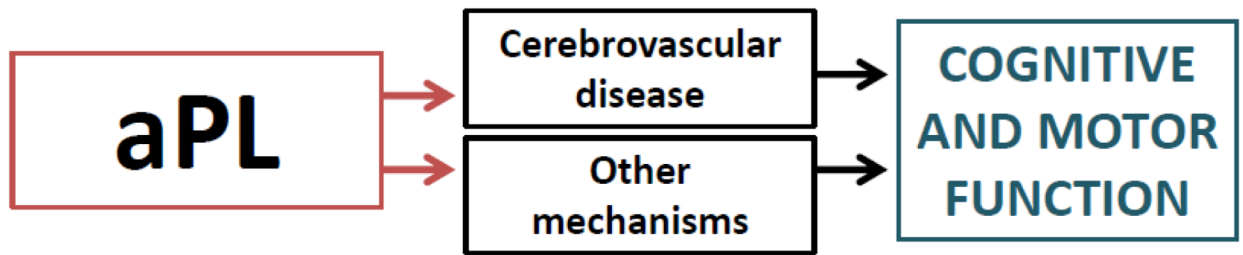
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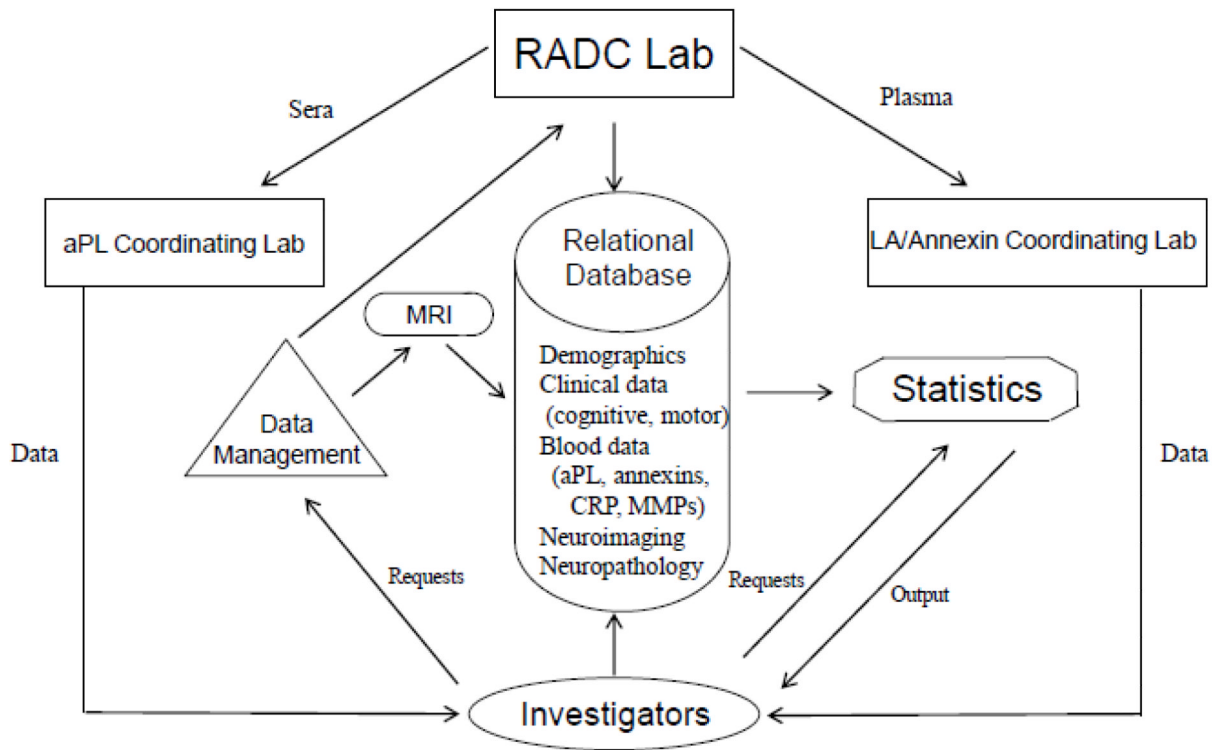
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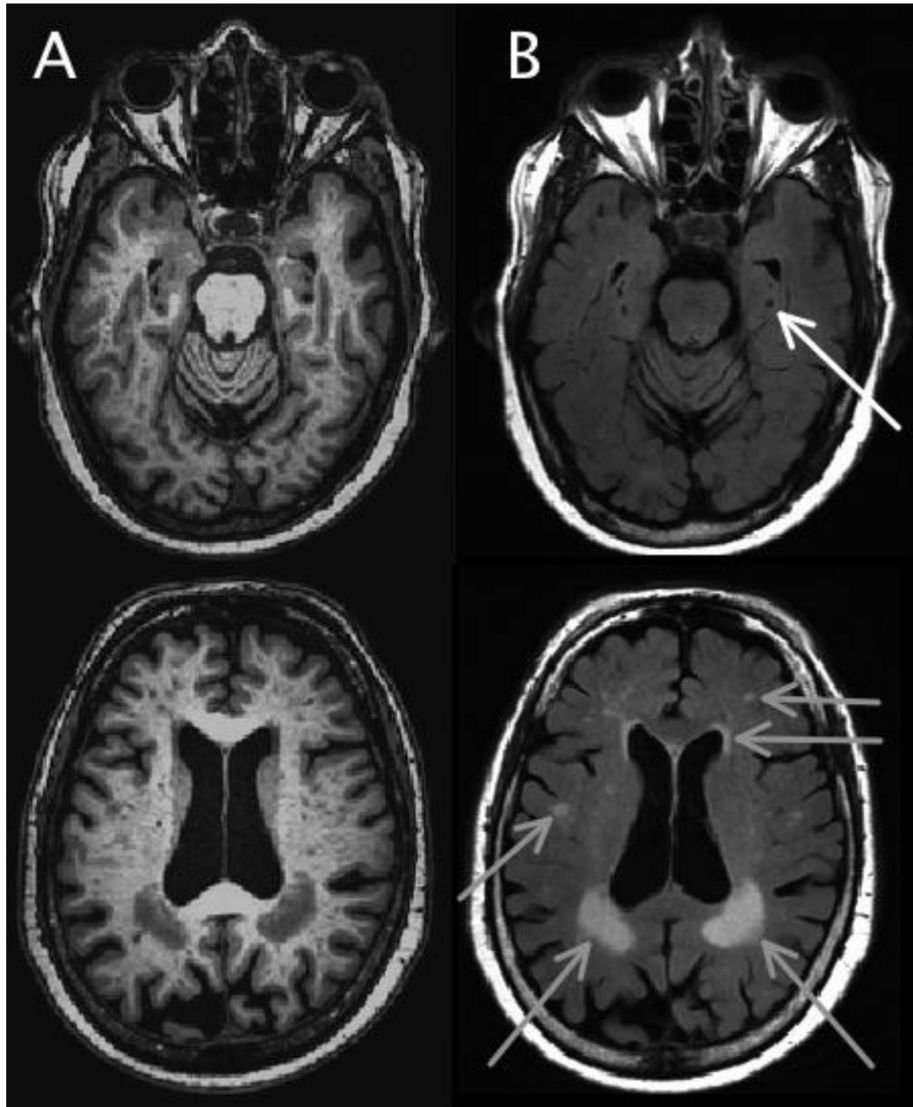
**Figure 1.**

Overall model relating aPL to outcomes of cognitive and motor decline\*

\*The relation of aPL to cognitive and motor function is mediated by cerebrovascular disease (top middle box) and other factors (bottom middle box)



**Figure 2.**  
Specimen and data transfer



**Figure 3.** Ante-mortem brain MRI scan demonstrating cerebrovascular disease *in vivo*\*  
\*T1-weighted MP-RAGE (A) and T2-weighted FLAIR (B) images of two slices of a MAP participant, demonstrating examples of infarcts (white arrow) and white matter hyperintensities (gray arrows).

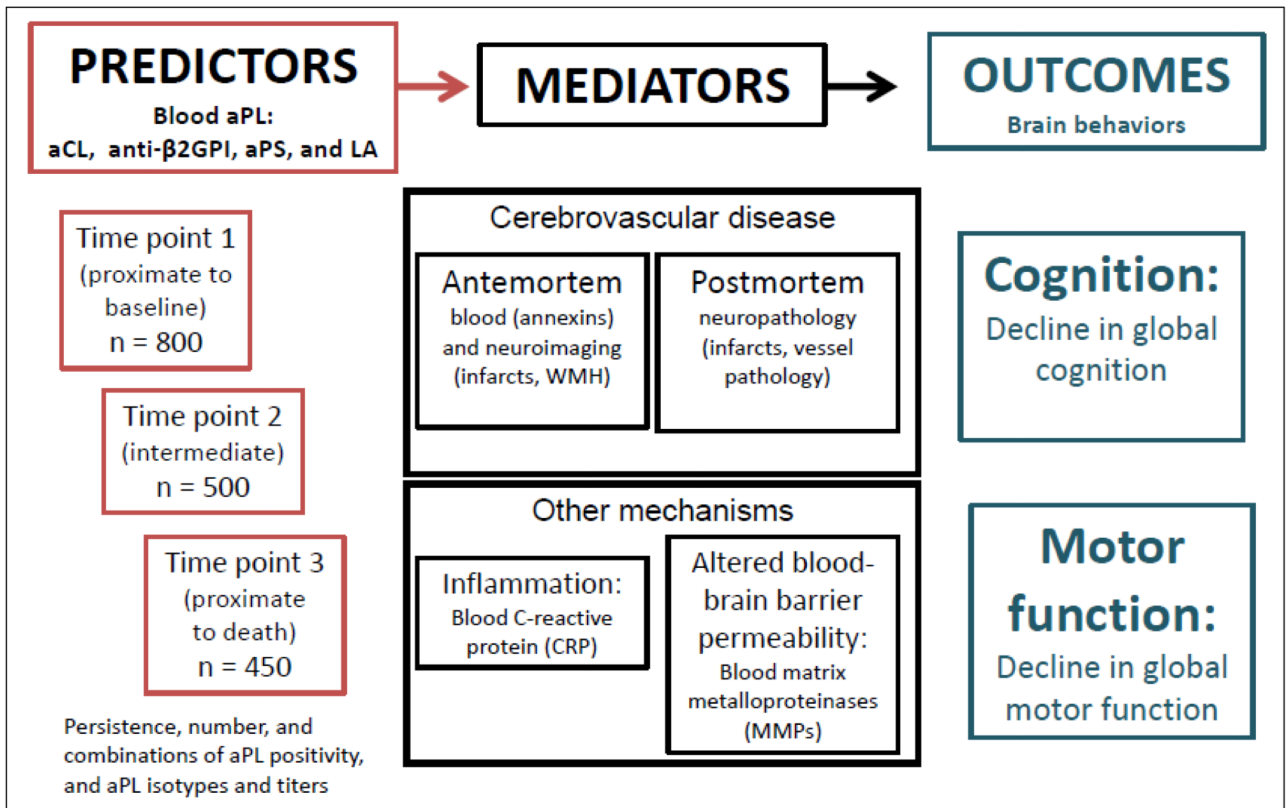


**Figure 4.**

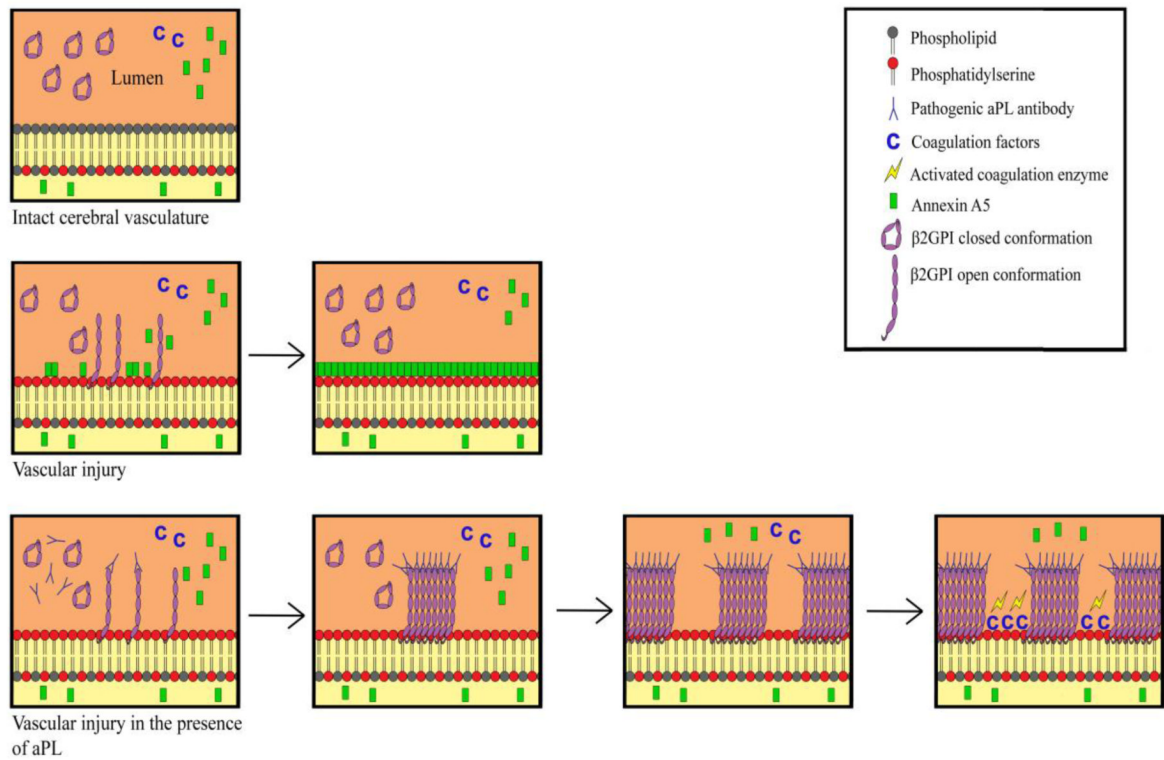
Postmortem neuropathology demonstrating cerebrovascular disease\*

\*Left: chronic infarct in precentral gyrus and adjacent white matter, with central cavitation;  
right: microscopic confirmation of same infarct (H&E, ×20).





**Figure 5.** Overall study design for ABICMA\*  
 \*ABICMA: Antiphospholipid antibodies, Brain Infarcts, and Cognitive and Motor decline in Aging study

**Figure 6.**

Multistep hypothesis for aPL-mediated infarct involving annexin resistance\*

\*Top: Intact cerebral vasculature is thromboresistant.  $\beta$ 2GPI circulates in the circular conformation.

Middle: Vascular injury in the *absence* of aPL antibodies: phosphatidyl-serine becomes exposed, with capacity to stimulate thrombotic response, and is available for binding to competing moieties ( $\beta$ 2GPI, annexin A5, and coagulation factors). A5, which is expressed inside the endothelium and circulating in the microenvironment over it, has the highest affinity because of its' two dimensional crystallization and multivalency. A5 "outcompetes" the other moieties and covers the injured surface, restoring thromboresistance.

Bottom: Vascular injury in the *presence* of aPL antibodies: binding of  $\beta$ 2GPI requires conformational change that snaps it open and exposes the domain 1 epitope. This leads to formation of high affinity immune complexes that "outcompetes" A5 for binding and leaves insufficient space for formation of ordered crystals of A5. Gaps in coverage of phosphatidyl-serine become occupied by coagulation factors that stimulate thrombosis.

**Table 1**

## Description of aPL antibody and related tests

<u>TEST</u>	<u>ACTIVITY</u>
<u>Routine tests</u>	
Anticardiolipin antibodies	Originally thought to measure antibodies against this phospholipid, but now understood to detect phospholipid binding proteins. Cardiolipin is present in intracellular membranes.
Anti- $\beta$ 2GPI antibodies	Measure antibodies against this phospholipid-binding.
Lupus anticoagulant test	Measures antibody-mediated interference in assembly of blood coagulation complexes on phospholipid. Surrogate test for high affinity/avidity aPL antibodies.
<u>Other tests</u>	
Antiphosphatidyl-serine antibodies	Measures antibodies bonding to this phospholipid that may be more biologically active than cardiolipin because it is expressed on cytoplasmic membranes.
Anti-domain1 IgG	Detects antibodies that bind to this aminoterminal domain of $\beta$ 2GPI.
Anti-annexin A5 antibodies	Measures antibodies that bind to this phospholipid binding protein.
Annexin A5 resistance assay	Detects antibodies that bind with high affinity and interfere with the anticoagulant activity of this protein.

**Table 2**

Summary of large prospective cohort studies of aPL and thrombo-occlusive events

Study	Authors	Year	aPL assay	Outcome	Risk	95% CI	Follow-up
PHS	Ginsburg et al.	1992	aCL	Stroke	ns	-	5 years $\sigma$
				DVT or PE	RR 5.3	1.6, 18.3	5 years $\sigma$
HHS	Brey et al.	2001	anti- $\beta_2$ GPI	Stroke	OR 2.2	1.5, 3.4	15 years $\sigma$
					OR 1.5	1.0, 2.3	20 years $\sigma$
				MI	OR 1.8	1.2, 2.6	15 years $\sigma$
					OR 1.5	1.1, 2.1	20 years $\sigma$
FCOS	Janardhan et al.	2004	aCL	Stroke or TIA	HR 2.6	1.3, 5.4	11 years $\rho$
					HR 1.3	0.7, 2.4	11 years $\sigma$

PHS: Physicians Health Study; HHS: Honolulu Heart Study; FCOS: Framingham Cohort and Offspring Study; aCL: anticardiolipin antibodies; anti- $\beta_2$ GPI: antibodies to  $\beta_2$ -glycoprotein I; DVT: deep vein thrombosis; PE: pulmonary embolism; MI: myocardial infarction; TIA: transient ischemic attack; ns: not significant; RR: relative risk; OR: odds ratio; HR: hazard ratio; CI: confidence interval

**Table 3**

Demographic characteristics of 538 subjects included in ABICMA\* to date

	<b>Religious Orders Study n=178</b>	<b>Memory and Aging Project n=360</b>	<b>Total** n= 538</b>
Age, years (SD)***	83.4 (7.4)	84.6 (6.1)	84.2 (6.5)
Male, n (%)	60 (33.7%)	128 (35.6%)	188 (34.9%)
White, n (%)	173 (97.7%)	352 (97.8%)	525 (97.8%)
Education, years (SD)	17.9 (3.4)	14.4 (2.8)	15.5 (3.5)

\* ABICMA: Antiphospholipid antibodies, Brain Infarcts, and Cognitive and Motor decline in Aging study.

\*\* Deceased and autopsied subjects who had frozen blood specimens available and in whom aPL data have been collected to date. Because studies are ongoing, additional subjects will be eligible for ABICMA in the future.

\*\*\* Age at time of first aPL measurement.

**Table 4**

## Cognitive and motor data\*

	<b>Total n= 538</b>
<b>Cognitive data</b>	
<i>Global cognitive function score</i>	-0.29 (0.87)
Perceptual speed score	-0.51 (1.03)
Working memory score	-0.14 (0.85)
Episodic memory score	-0.31 (1.08)
Semantic memory score	-0.25 (0.94)
Visuospatial ability score	-0.17 (0.92)
<i>Mild Cognitive Impairment, n (%)</i>	187 (34.9%)
<b>Motor data</b>	
<i>Global parkinsonian signs score</i>	14.6 (10.5)
Gait disturbance score	28.9 (19.7)
Bradykinesia score	16.3 (15.0)
Rigidity score	8.5 (14.7)
Tremor score	4.1 (7.2)
<i>Global motor function score**</i>	0.75 (0.24)
Muscle strength score	0.80 (0.26)
Motor performance score	0.74 (0.26)

\* Mean (SD) scores, unless otherwise specified, at time of first aPL measurement.

\*\* Data available only in the Memory and Aging Project cohort.



**Table 5**

## Clinical characteristics \*

	<b>Total n= 538</b>
<b>Vascular factors</b>	
<i>Vascular Conditions</i>	
Stroke, n (%)	112 (21%)
Myocardial infarction, n (%)	133 (25%)
Claudication, n (%)	140 (26%)
<i>Vascular Risk Factors</i>	
Diabetes, n (%)	118 (22%)
Hypertension, n (%)	370 (69%)
Smoking (ever), n (%)	175 (33%)
<i>Other Vascular Factors</i>	
Systolic BP proximate to death, mean (SD)	128 (21)
Diastolic BP proximate to death, mean (SD)	81 (11)
Body mass index proximate to death, mean (SD)	26.0 (5.1)
<b>Medications</b>	
Aspirin, n (%)	388 (72%)
Amiodarone, n (%)	111 (21%)
Digoxin, n (%)	85 (16%)
Statin, n (%)	214 (40%)
Anti-hypertensive, n (%)	438 (81%)
Insulin, n (%)	37 (7%)
Oral hypoglycemic, n (%)	81 (15%)
<b>Laboratory factors**</b>	
<i>Lipid profile</i>	
Total cholesterol, mean (SD)	187 (40)
LDL, mean (SD)	104 (33)
HDL, mean (SD)	54 (16)
Hemoglobin A <sub>1</sub> C, mean (SD)	6.0 (0.6)

\* Data are derived from all study evaluations (coded as present if identified at least once across the study), unless otherwise specified.

\*\* At time of first aPL measurement or first available data.

**Table 6**

## Neuropathologic characteristics

	<b>Total n = 531</b>
<b>Brain infarcts</b>	
Any infarct present (macroscopic or microscopic), n (%)	313 (59%)
Macroscopic infarct present, n (%)	256 (48%)
Number	
One, n	109
More than one, n	147
Location	
Cortical, n	137
Subcortical, n	193
Brainstem or cerebellum, n	36
Microinfarct present, n (%)	185 (35%)
Number	
One, n	102
More than one, n	83
Location	
Cortical, n	97
Subcortical, n	128
Brainstem or cerebellum, n	6
<b>Vessel pathology*</b>	
Atherosclerosis, n (%)	228 (43%)
Arteriosclerosis, n (%)	206 (39%)

\* moderately severe or more

**Table 7**

aPL and annexin measures

	<b>Time point 1 n = 538</b>	<b>Time point 2 (proximate to death) n = 418</b>
<b>Antiphospholipid antibodies (aPL) positivity, n (%)</b>	131 (24.4%)	130 (31.1%)
Anticardiolipin antibodies (aCL)		
IgG	24 (5%)	36 (9%)
IgM	85 (16%)	79 (19%)
Antibodies to $\beta_2$ -glycoprotein I (anti- $\beta_2$ GPI)		
IgG	10 (2%)	10 (2%)
IgM	29 (5%)	21 (5%)
Antiphosphatidyl-serine antibodies (aPS)		
IgG	23 (4%)	21 (5%)
IgM	41 (8%)	36 (9%)
Lupus anticoagulant (LA) positivity, n (%)	6 (1%)	6 (1%)
<b>Annexin positivity, n (%)</b>		
Annexin A5 resistance	59 (11)	33 (8%)
anti- $\beta_2$ GPI IgG	33 (6%)	12 (3%)
Anti-annexin A5		
IgG	13 (2%)	10 (2%)
IgM	12 (2%)	8 (2%)

**Table 8**

Power for infarct outcome

<b>n</b>	<b>aPL positivity</b>	<b>P<sub>0</sub></b>	<b>OR</b>	<b>Power</b>
650	25%	0.45	1.60	0.74
			1.50	0.61
			1.40	0.46
800	25%	0.45	1.60	0.82
			1.50	0.70
			1.40	0.54
1,000	25%	0.45	1.60	0.90
			1.50	0.79
			1.40	0.63

**Table 9**

Power for longitudinal cognitive and motor outcomes

n	aPL positivity	Number of annual visits	G(2,2) variance of random slope	Residual variance	Type-I error $\alpha$	Point estimate	Power
650	25%	7	0.01	0.05	0.05	-0.025	0.72
						-0.030	0.86
800	25%	7	0.01	0.05	0.05	-0.025	0.81
						-0.030	0.92
1,000	25%	7	0.01	0.05	0.05	-0.025	0.88
						-0.030	0.97