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## Adverse events in an integrated, home-based treatment program for MDR-TB and HIV in KwaZulu-Natal, South Africa

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### Abstract

Most patients with multidrug-resistant tuberculosis (MDR-TB) in South Africa are HIV-infected, but the safety and tolerability of co-treatment is unknown. We reviewed all adverse events (AEs) for MDR-TB patients in a home-based treatment program in rural KwaZulu-Natal. Of 91 MDR-TB patients, 74 (81%) were HIV-positive and receiving antiretroviral therapy (ART). AEs were common but most were mild and did not require therapy modification. The most common severe AEs were hypothyroidism (36%) and psychosis (5%). Patients receiving concurrent ART did not experience AEs more frequently than those on MDR-TB therapy alone. Concurrent treatment for MDR-TB/HIV can be safely administered in a home-based care setting.

### Keywords

HIV; multidrug-resistant tuberculosis; side effects; adverse events; resource-limited settings

### INTRODUCTION

The emergence of multidrug-resistant tuberculosis (MDR-TB; resistance to at least isoniazid and rifampin) has posed numerous challenges for TB-endemic countries worldwide. MDR-TB is associated with high mortality rates, particularly in the setting of HIV co-infection.<sup>1</sup> Second-line TB medications are more toxic and less potent than treatment for drug-

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Conflicts of Interest

All remaining authors have no funding or conflict to disclose.

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susceptible TB, and most countries have adopted centralized, inpatient models of care for MDR-TB, in part due to concerns about monitoring and treating adverse drug events. However, with limited numbers of hospital beds and specialists, some countries have recently adopted community-based, or ambulatory treatment for MDR-TB.<sup>2-4</sup>

Adverse events (AEs) associated with MDR-TB treatment have been well-described,<sup>2,5-11</sup> and play an important role in treatment because they impact regimen choice, medication adherence and retention in care. Among patients co-infected with MDR-TB and HIV, there is the additional concern of additive or synergistic toxicities when second-line TB medications are given concurrently with antiretroviral therapy (ART). To date, however, there have been few data addressing this issue—the majority of AE reports have been in HIV-negative populations.<sup>5-11</sup> As the HIV and drug-resistant TB epidemics converge, establishing the safety of co-administration of these treatments is essential. Moreover, as a greater proportion of MDR-TB patients are treated in outpatient or community-based settings, it is important to establish that ambulatory treatment can be carried out safely and effectively.

The objective of this study was to examine the frequency and severity of AEs in patients with MDR-TB and HIV co-infection treated at an integrated MDR-TB/HIV home-based treatment program in KwaZulu-Natal (KZN), South Africa.

## METHODS

### Setting

Tugela Ferry is a resource-limited, rural area in KZN province, South Africa, with a high incidence of MDR-TB.<sup>12</sup> More than 80% of MDR-TB patients are co-infected with HIV.<sup>1</sup> The details of the ambulatory MDR-TB/HIV treatment program in Tugela Ferry have been previously described.<sup>13</sup> Patients with suspected or proven MDR-TB are referred to the local Specialized MDR-TB Treatment hospital and clinic. All patients are tested for HIV and, if positive, are initiated on ART as soon as possible. Following a brief admission, patients are treated at home by a visiting injection team (intensive phase) or lay treatment-supporter (continuation phase) with family support. Patients undergo clinical evaluation and laboratory testing monthly with full blood count and chemistries. Thyroid stimulating hormone (TSH) is tested six-monthly. Sputum smear, culture, and drug-susceptibility testing (DST) are performed monthly.

### Treatment

Patients are treated with a standardized MDR-TB regimen consisting of kanamycin (15 mg/kg, max 1000mg daily), ofloxacin (800mg daily), cycloserine (10-20 mg/kg, max 750mg daily, divided BID), ethionamide (10-20 mg/kg, max 750mg daily, divided BID), pyrazinamide (20-30mg/kg, max 1600mg daily) and ethambutol (15-20mg/kg, max 1200mg daily). No patients received capreomycin or para-aminosalicylic acid (PAS). The intensive phase, when patients receive kanamycin, lasts 4 months following culture conversion (six months minimum). Total duration of treatment is a minimum of 24 months. The South African first-line ART regimen consists of: efavirenz, lamivudine, and either stavudine (40mg BID) or tenofovir (beginning April 2010).

### Adverse Event Monitoring and Management

Patients are screened at baseline for any pre-existing symptoms. Following hospital discharge, they are seen monthly at the clinic by a clinician. At each visit, they are screened for any AEs, using a standardized screening instrument which includes 15 common complaints, such as peripheral neuropathy (numbness, burning or pain), nausea, and rash.

The clinician evaluates positive findings and grades them in severity using a modified DAIDS toxicity table.<sup>14</sup> Modifications in therapy are at the discretion of the treating clinician. All patients undergo formal audiometry at baseline and every 1-2 months while receiving kanamycin.

## Analysis

We reviewed medical records for culture-confirmed MDR-TB patients who initiated treatment at the MDR-TB center between 11/1/2008 and 4/15/2011. Patients were excluded if they had resistance to kanamycin, amikacin, capreomycin or a fluoroquinolone.

We identified any reported AEs as of 11/15/2011. Hospital/clinic records were manually reviewed to corroborate self-reports of confusion, psychosis, depression, and insomnia and to identify any interventions. We defined psychosis as severe if cycloserine or terizidone was stopped, even if a grade was not provided. Laboratory results for hemoglobin, potassium, creatinine, and alanine aminotransferase (ALT) were graded using the DAIDS toxicity table. TSH was graded as: normal (0.34-5.6 mIU/L), elevated (5.6-8.0 mIU/L) and severely elevated (>8.0 mIU/L).

AEs were pooled to determine the proportion of patients who experienced at least one AE. We then calculated the proportion experiencing each specific AE at least once, as well as those experiencing severe AEs (grade 3). Finally, we analyzed the proportion of patients experiencing each AE during 6-month time blocks. Intra-patient trends over time were analyzed using generalized estimating equations. AE proportions and trends were compared among those who did and did not receive concurrent ART.

The study was approved by the ethics committees at the University of KwaZulu-Natal, Albert Einstein College of Medicine, Yale University, and by the KwaZulu-Natal Department of Health.

## RESULTS

During the study period, 101 patients with culture-confirmed MDR-TB initiated treatment at the decentralized clinic. Of these, 10 either died or were transferred during their initial hospitalization and had no AE data available. The remaining 91 patients were included in this analysis.

Fifty (55%) patients were female and the median age was 34 (IQR 29-41) years. Seventy-six (84%) were HIV co-infected, with a median CD4 count of 207 cells/mm<sup>3</sup> (IQR 89-411) at MDR-TB treatment initiation. Sixty-six (87%) HIV-infected patients were already receiving ART at MDR-TB treatment initiation, and 8 of the remaining 10 patients started ART a median of 45 days (IQR 19-90) later. One patient defaulted treatment before initiating ART, and one was an elite controller. At the time of analysis, patients had been followed for a median of 652 days (IQR 476-728).

### Clinical Adverse Events

Clinical AEs were extremely common, with 90 patients (99%) reporting at least one AE. The most common were peripheral neuropathy (73%), injection site pain (66%), rash (53%), and nausea/vomiting (42%) (**Table 1**). Clinician grading was inconsistent, with only 38% of AEs receiving a grade. The most common severe AEs (SAEs) were psychosis (5%), hearing loss (8%), and peripheral neuropathy (5%). Cycloserine or terizidone was stopped in all cases of psychosis. Four patients became severely depressed (two were suicidal) and required discontinuation of cycloserine or terizidone. Nine patients (10%) required dose-reductions of kanamycin for hearing loss, and six (7%) changed from stavudine to

zidovudine or tenofovir because of peripheral neuropathy. Formal audiometry results were available for 35 patients, of whom 24 (69%) had some degree of hearing loss and 4 (11%) had grade 3 or higher (defined as >55 dB threshold shift in 2 or more contiguous frequencies).

### Laboratory Adverse Events

Eighty-nine (98%) patients had at least one laboratory result available during treatment. Laboratory AEs were common, but rarely clinically significant (**Table 1**). Forty-six (52%) patients had a grade 1 elevation in ALT, of whom 6 (7%) had a grade 3 or 4 elevation. All 6 of these elevations resolved on repeat testing without any change in regimen. Similarly, 32 (36%) patients experienced grade 1 elevation in potassium and 11 (12%) experienced grade 3 or 4 elevation but all were normal on repeat testing. Mild hypokalemia was also common (37 [42%] grade 1) but only 3 (3%) patients had severe hypokalemia ( $K^+ < 2.4$  mEq/L); all improved with supplemental oral potassium. Hypothyroidism was very common. Among 73 (80%) patients with at least one TSH result, 37 (51%) had an elevated TSH and 26 (36%) had a level >8.0 mIU/L, requiring initiation of levothyroxine. For each AE, there was no significant difference in frequency or severity between patients who were and were not receiving concurrent ART.

### Changes in Adverse Events Over Time

Examining 6-month, within-treatment time blocks, we found that all clinical AEs decreased in frequency over the course of treatment (**Figure 1**). These temporal decreases were statistically significant ( $p < 0.05$ ), except for depression. Anemia improved over the course of treatment, as did hypokalemia and elevations in creatinine; the latter two may be related to discontinuation of kanamycin in the continuation phase. Hypothyroidism also improved over time, possibly due to levothyroxine therapy. The frequency of ALT elevations or hyperkalemia did not change over the course of treatment. There was no significant difference in temporal trends between patients receiving and not receiving concurrent ART. At the end of the study period, 78 patients (86%) were either cured ( $n=37$ ) or still on treatment ( $n=41$ ).

## DISCUSSION

This study demonstrates the safety of concurrent treatment of MDR-TB and HIV in an ambulatory setting with home-based care. Overall, we found very high rates of AEs, but the majority were mild and did not require discontinuation of either MDR-TB treatment or ART. Interestingly, we found no significant differences in AE frequency between subjects who were and were not treated with concomitant ART, even among AEs with a plausible mechanism of additive or synergistic toxicity (e.g., peripheral neuropathy and neuropsychiatric effects).

Eighteen subjects (20%) in our study either reported, or were found to have confusion/psychosis, but on chart review, only 5 (5%) were considered sufficiently severe by the treating clinician to discontinue cycloserine or terizidone. Neuropsychiatric toxicity from cycloserine is well-known,<sup>15</sup> and there has been concern about co-administration with efavirenz. Four of the 5 patients with severe psychosis in our cohort received concurrent efavirenz, but this was not stopped and psychosis resolved in all patients. In Lesotho, 16% of MDR-TB patients experienced psychosis from cycloserine but the authors did not note how many discontinued cycloserine or how many were receiving concurrent efavirenz.<sup>2</sup> In Istanbul, 21% of patients experienced psychiatric symptoms but this was a combined category which included psychosis, depression and anxiety, making it difficult to compare with our results.

Hypothyroidism was very common in our study and 36% of patients required levothyroxine therapy. Although hypothyroidism was previously believed to be rare in MDR-TB treatment, recent reports suggest that it is more common.<sup>16-18</sup> In Lesotho, 69% of MDR-TB patients treated with a combination regimen including both ethionamide and PAS had a TSH level >10 mIU/L. Although hypothyroidism was less common in our cohort, none of our patients were treated with PAS, suggesting that PAS and ethionamide have an additive effect in causing hypothyroidism. Some studies reporting lower rates of hypothyroidism only measured TSH in symptomatic patients, thus missing subclinical cases.<sup>5,8</sup>

Irreversible hearing loss from kanamycin or amikacin is perhaps the most devastating AE associated with MDR-TB treatment. In our study, audiometry results were not available for most patients, and consequently, our results of self-report are a minimal estimate. The available audiometry results, however, confirm high rates of subclinical hearing loss. Further study is needed to determine if changes in dose or frequency prevent ongoing hearing loss without affecting TB outcomes. Reported rates of ototoxicity vary widely in the literature, depending largely on the availability of routine audiometry testing rather than symptom-based testing or clinical self-report. In the cohort from Istanbul, 50% of patients receiving amikacin discontinued injections prematurely because of ototoxicity.<sup>8</sup>

Nearly all AEs were reported less frequently over time, even though patients were actively asked about specific AEs at each visit throughout treatment. Although certain AEs are related to specific therapies (e.g., ototoxicity), the improvement of most over time despite continuation of therapy suggests either that they represent the general discomfort of being ill (improving as the underlying illness is treated), or that patients become accustomed to medication side effects and learn to tolerate them until the end of treatment. In either case, our results are encouraging, and demonstrate that patients continue treatment with favorable outcomes.<sup>13</sup>

This study has several important limitations. First, patients were not routinely screened for AE-related symptoms while they were admitted to the hospital. This may have missed AEs shortly after initiating therapy, when they are likely to be more common. Second, with the exception of psychosis and confusion, AEs were primarily identified by self-report and, given the inconsistent severity grading by the clinicians, it is difficult to determine their clinical significance. Third, although we did not find a difference in the incidence of AEs between HIV co-infected subjects receiving concomitant ART and HIV-uninfected subjects, our study only included 15 HIV-negative subjects and may not have had adequate power to detect a small difference.

With a growing case burden and limited hospital beds, South Africa has recently moved to a community-based treatment model for MDR-TB nationwide.<sup>19</sup> We have found that, although AEs are frequent, patients with MDR-TB—with or without HIV co-infection—can be safely treated at home with supportive care. Our data support the recent WHO treatment guidelines for MDR-TB calling for ambulatory models of care.<sup>20</sup> With intensive patient/family education, close clinical follow-up, and rapid assessment and management of AEs, patients remain in treatment and achieve successful outcomes even in rural, resource-limited settings with high HIV-prevalence.<sup>21</sup>

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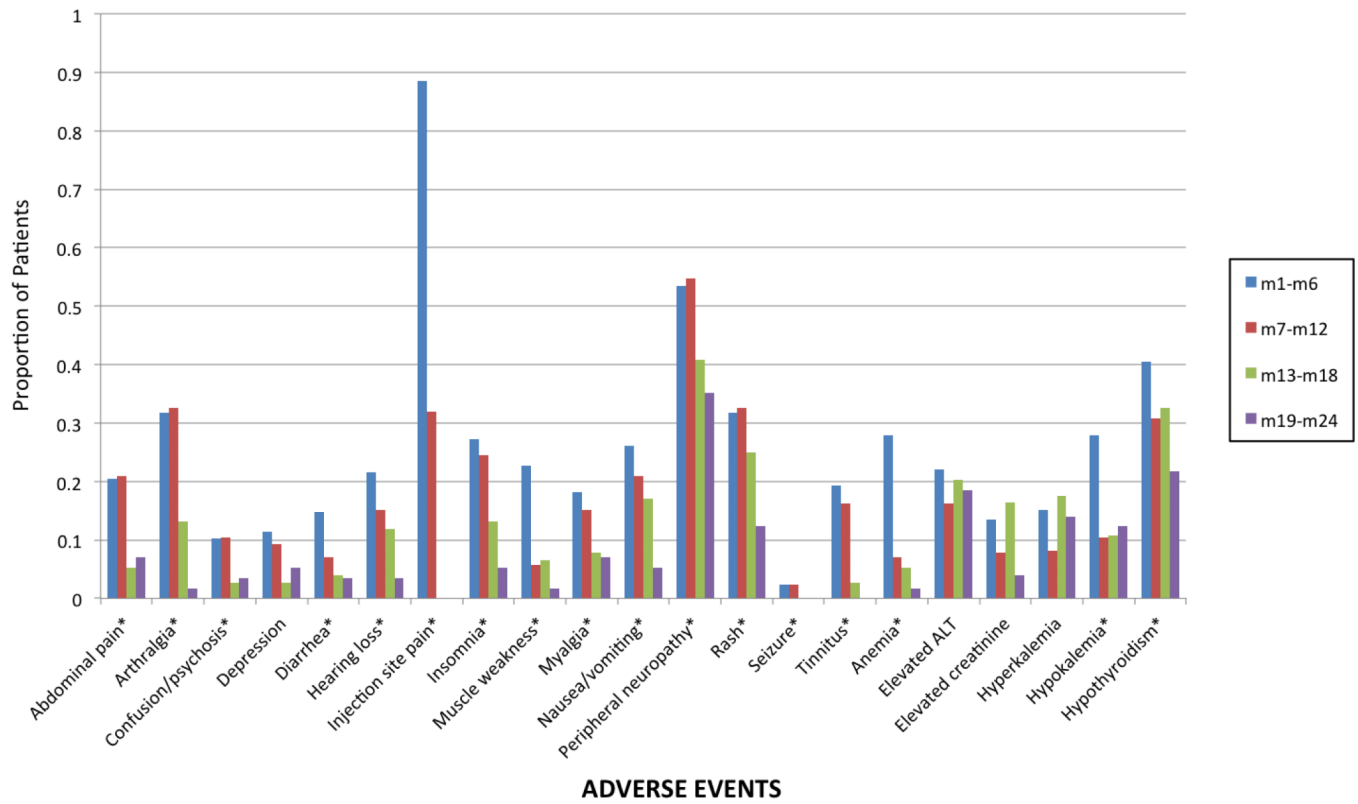
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\*p<0.05 for temporal trend  
 ALT=alanine aminotransferase

**Figure 1.**  
 Proportion of patients experiencing clinical adverse events, by within-treatment 6-month time blocks.



**Table 1**

Frequency of adverse events reported at any point during MDR-TB treatment

	All patients (n=91)	HIV-positive receiving concomitant MDR-TB treatment and ART (n=74) <sup>*</sup>	HIV-negative (n=15)
Adverse Event	Patients reporting event, N (%)	Patients reporting event, N (%)	Patients reporting event, N (%)
<i>Clinical Adverse Events</i> <sup>†</sup>			
Peripheral neuropathy	66 (73)	55 (74)	10 (67)
Injection site pain	57 (66)	47 (64)	8 (53)
Rash	48 (53)	39 (53)	7 (47)
Arthralgia	39 (43)	32 (43)	6 (40)
Nausea/vomiting	38 (42)	30 (41)	7 (47)
Insomnia	33 (36)	26 (35)	6 (40)
Abdominal pain	32 (35)	25 (34)	6 (40)
Myalgia	32 (35)	26 (35)	6 (40)
Hearing Loss	31 (34)	24 (32)	6 (40)
Generalized weakness	27 (30)	22 (30)	4 (27)
Tinnitus	26 (29)	22 (30)	3 (20)
Depression	20 (22)	18 (24)	1 (7)
Diarrhea	20 (22)	14 (19)	4 (27)
Confusion/psychosis	18 (20)	16 (22)	2 (13)
Seizure	3 (3)	3 (4)	0 (0)
<i>Laboratory Adverse Events</i> <sup>‡</sup>	(n=88)	(n=72)	(n=14)
Elevated ALT	43 (49)	36 (50)	6 (43)
Hypothyroidism <sup>‡</sup>	38 (51)	34 (57)	4 (33)
Hypokalemia	35 (40)	29 (40)	6 (43)
Hyperkalemia	30 (34)	24 (33)	5 (36)
Anemia	29 (33)	28 (39)	1 (14)
Elevated creatinine	21 (24)	18 (25)	3 (21)

ALT=alanine aminotransferase; ART=antiretroviral therapy; MDR-TB: multidrug-resistant TB

<sup>\*</sup> Does not include 2 HIV-infected patients who did not receive ART.<sup>†</sup> comparisons between HIV+/ART and HIV-negative groups non significant (p>0.05) for all clinical and lab AEs.<sup>‡</sup> n=76 with a TSH result (n=60 HIV+/ART+; n=12 HIV-negative; n=2 HIV+/ART-)