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The Role of the Intestinal Microcirculation in Necrotizing Enterocolitis

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Abstract

Necrotizing enterocolitis (NEC) continues to be a devastating inflammatory disease of the newborn intestine. Despite advances in management, morbidity and mortality remain high. While it is clear that intestinal ischemia plays a large role in disease pathogenesis, attempts to link NEC to intestinal macrovascular derangement have been largely unsuccessful. More recently, there has been a concerted effort to characterize the pathologic changes of the intestinal microcirculation in response to intestinal injury, including NEC. This microcirculatory regulation is controlled by a balance of vasoconstrictor and vasodilator forces. Vasoconstriction is mediated primarily by endothelin-1 (ET-1) while vasodilation is mediated primarily by nitric oxide (NO). These chemical mediators have been implicated in many aspects of intestinal ischemic injury and NEC, with the balance shifting towards increased vasoconstriction associated with intestinal injury. With a proper understanding of these antagonistic forces, potential therapeutic avenues may result from improving this pathologic microcirculatory dysregulation.

Keywords

necrotizing enterocolitis; intestinal ischemia; intestinal microcirculation; endothelin-1; nitric oxide

Necrotizing enterocolitis (NEC) is an intestinal inflammatory condition of unknown etiology characterized by coagulation necrosis of the intestinal wall.¹ It is predominantly a disease of prematurity and is one of the most common surgical emergencies in infants. Mortality has been estimated between 15% to 30%.^{2–7} Approximately 20%–40% of NEC patients require some form of surgical intervention.^{2,3,8–10} Three factors are felt to be necessary for disease initiation: intestinal mucosal injury, intestinal feeding and intestinal bacterial growth.^{11,12} Intestinal feeding produces bacterial proliferation, which can lead to bacterial invasion of the intestinal wall if the mucosa is damaged by some other mechanism. This leads to a cascade of inflammatory events with leukocyte adhesion and activation, complement activation, increased vascular permeability, cytokine release, localized vasoconstriction and localized ischemia/reperfusion (I/R) injury.^{13–15} It is clear that ischemia and the intestinal microcirculation play a role in these events, but it is unclear whether this role is as a primary initiator or simply a secondary response to intestinal injury.

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Intestinal Embryology and Anatomy

To properly understand the physiology and pathology of intestinal injury, including NEC, a thorough understanding of intestinal anatomy is required. The foundation of intestinal anatomy is rooted in intestinal embryology. Beginning in the fourth week of gestation, the primitive gut begins to form as the head, tail and lateral folds incorporate the dorsal portion of the yolk sac.¹⁶⁻¹⁸ The three germ layers of the primitive gut differentiate into specific elements of the mature intestine. The endoderm forms the intestinal tract mucosa, liver and pancreas, while the splanchnopleuric mesoderm forms the connective tissue and muscular components, and ectodermal components contribute to the enteric nervous system.¹⁶⁻¹⁸

The primitive gut can be developmentally and anatomically divided into the foregut, midgut and hindgut. The foregut develops into the pharynx, esophagus, stomach, duodenum, pancreas, liver, biliary system and lower respiratory tract. The midgut forms the small intestine, cecum, appendix, ascending colon and proximal transverse colon. The hindgut forms the distal transverse colon, sigmoid colon, rectum and proximal portion of the anus.¹⁶⁻¹⁸ The intestinal vasculature, as well as the nervous system, develops in tandem, and the macrovascular elements follow a similar anatomic distribution, as described below.

Intestinal vasculogenesis begins as a response to rapid intestinal parenchymal growth.¹⁶ Mesodermal cells form blood islands incorporated into the mesodermal elements surrounding the wall of the yolk sac.^{17,18} These blood islands differentiate into hemangioblasts under the control of fibroblast growth factor-2 (FGF-2).^{18,19} Hemangioblasts can be divided into two separate groups. Peripheral hemangioblasts differentiate into angioblasts under the control of vascular endothelial growth factor (VEGF), which later form endothelial cells and primitive blood vessels.^{18,19} Once this primary vascular bed is established, additional vasculature is added via angiogenesis under the control of VEGF, platelet-derived growth factor (PDGF) and transforming growth factor- β (TGF- β).²⁰ Central hemangioblasts differentiate into hematopoietic stem cells, which further differentiate into their myeloid (monocytes, macrophages, neutrophils, basophils, eosinophils, erythrocytes, megakaryocytes, dendrites) and lymphoid (T-cells, B-cells, NK-cells) lineages.^{18,19}

Three major arterial branches from the dorsal aorta persist and mature to supply the mature derivatives of the primitive gut. The celiac artery supplies foregut derivatives, the superior mesenteric artery (SMA) supplies midgut derivatives and the inferior mesenteric artery (IMA) supplies hindgut derivatives.¹⁶⁻¹⁸ These major arterial trunks successively branch into smaller vessels until they eventually pierce the longitudinal and circular intestinal muscular layers to enter the submucosa.

The intestinal microcirculation is visually summarized in Figure 1.²¹ The 1A arterioles branch into 2A arterioles. These 2A arterioles form vascular shunts with other 1A arterioles. These arterioles remain in the intestinal submucosa and represent a bridge between the intestinal micro- and macro-circulation. The 1A-2A plexuses are the primary sites of vascular resistance and thus are the primary regulators of intestinal blood flow.²²⁻²³ The 3A arterioles arise from the 2A arterioles and enter the intestinal mucosa. Each 3A arteriole enters a single villus to form a terminal capillary network. Prior to mucosal entry, 3A arterioles branch into 4A arterioles, which enter the intestinal muscular layers. The collecting venules from each villus drain into a mucosal sinus. They do not run in immediate proximity to their arterial counterparts until they reach the level of the submucosa.²¹

Intestinal Circulatory Regulation

Regulation of intestinal blood flow can be divided into extrinsic and intrinsic elements.²⁴ Extrinsic regulation refers to control from a site other than the intestine, often via the autonomic nervous system and cardiovascular reflexes. It typically functions to preserve systemic cardiovascular homeostasis, and can work at the expense of the local intestinal circulation.²⁴ Intrinsic intestinal circulatory control refers to regulation produced by mediators that are formed and released locally in the intestine and its vasculature. Intrinsic regulation functions to preserve intestinal microcirculatory homeostasis locally to ensure delivery of oxygen and nutrients to the intestine.²⁴ There is a balance between vasoconstrictive and vasodilatory influences in newborn intestine at the intrinsic level.^{21,25,26}

Intestinal microcirculatory blood flow is largely based on resting vascular resistance. This is the impedance to flow across a regional circulation in the setting of steady-state hemodynamic conditions.²⁵ Blood flow is inversely proportional to resistance, so increased resistance leads to decreased blood flow, with the corollary being true as well. Vascular resistance is inversely proportional to vessel radius to the 4th power. This implies that small vasoconstrictive or vasodilatory changes cause significantly larger changes in vascular resistance and blood flow. The newborn infant intestinal microcirculation is characterized by lower resting vascular resistance compared to that of older subjects. This leads to a higher rate of blood flow and an increased delivery of nutrients and oxygen.^{27,28}

Necrotizing Enterocolitis and the Intestinal Macrocirculation

Investigators have explored potential relationships between the intestinal circulation and NEC-like intestinal injury for over forty years. Initial observations noted a correlation between perinatal asphyxia and subsequent gastrointestinal perforation.²⁹ This was referred to as the diving reflex, as it was physiologically similar to the known cardiac output redistribution to the brain observed in diving mammals.³⁰ It was speculated that extrinsic neurogenic blood flow redistribution from splanchnic organs to the brain resulted in intestinal ischemia. Initial studies in newborn piglets supported this hypothesis by demonstrating mucosal damage after acute asphyxia.^{31,32} This hypothesis fell out of favor as later studies noted that infants with NEC rarely suffered intrapartum asphyxia,³³ that NEC rarely occurs in the 1st week of life,³³ and that sustained adrenergic stimulation, a central facet of the diving reflex, does not cause sustained intestinal blood flow reduction, nor does it cause intestinal tissue hypoxia.^{34,35}

Some data suggest that abnormal SMA blood flow parameters, with a high vascular resistance, are associated with intestinal dysmotility and early feeding intolerance^{36,37} and possibly later development of NEC.³⁸ However, other attempts to link NEC to macrocirculatory intestinal blood flow derangements have yielded mixed results. Associations between NEC and exchange transfusions,³⁹ umbilical artery catheters,⁴⁰ or plasma hyperviscosity⁴¹ have not held up to investigational scrutiny. A number of postnatal factors that decrease mesenteric blood flow including caffeine administration,^{42,43} presence of a patent ductus arteriosus (PDA),^{44,45} indomethacin for treatment of PDA,^{46,47} hyperalimentation,⁴⁸ and the use of continuous positive airway pressure⁴⁹ have not been definitively linked to the development of NEC.

Because of these developments, much attention has turned towards the investigation of the intestinal microcirculation. There is emerging evidence that dysregulation at this level is associated with the development of NEC. In experimental rat models of NEC, intestinal microvascular blood flow to injured intestine is impaired⁵⁰ and these animals demonstrate abnormal microvascular anatomy.⁵¹ Much of the focus on the intestinal microcirculation

focuses on the balance between intestinal vasoconstriction controlled primarily by endothelin-1 (ET-1), and intestinal vasodilation controlled primarily by nitric oxide (NO).

Intestinal Microcirculatory Vasoconstriction: The Role of Endothelin-1

Endothelin-1 (ET-1) is a constitutively expressed vasoactive and mitogenic protein produced by endothelial cells⁵² that acts as the primary vasoconstrictor in neonatal intestinal vasculature.⁵³ It exerts its biological effects by binding to endothelin receptor type A (ET_A) and endothelin receptor type B (ET_B).^{54–56} ET-1 is initially transcribed as prepro ET-1, a 212 amino acid protein. It is processed by nonspecific proteases to big ET-1, a 38 amino acid peptide,⁵⁷ and then to its final biologically active, 21 amino-acid form by endothelin converting enzyme.⁵⁸ Under basal conditions, production of ET-1 is greater in younger compared to older subjects.⁵³ In addition to its constitutive expression, increased production of ET-1 is locally stimulated by decreased blood flow, hypoxia and various inflammatory cytokines.^{59–61} ET-1 has both vasoconstrictive and angiogenic properties.⁵³

Both ET_A and ET_B expression are higher in younger compared to older subjects.⁶² ET_A is mainly expressed on vascular smooth muscle cells, where its activation induces sustained vasoconstriction.⁵⁴ ET_B is expressed on both vascular smooth muscle cells and endothelial cells. As with ET_A, activation of vascular smooth muscle cell ET_B results in vasoconstriction. On the other hand, stimulation of endothelial cell ET_B leads to NO-mediated vasodilation.^{55,56} ET_A receptor blockade has been shown to decrease intestinal vascular resistance, with a more profound effect in newborns compared to adults.⁶³ ET_B receptor stimulation has been shown to produce mild NO-dependent vasodilation in the newborn intestinal vasculature.^{26,53} In the neonatal intestinal vasculature, the effects of ET_A (vasoconstriction) surpass those of ET_B (predominantly vasodilation), thus the overall effect of ET-1 is vasoconstriction.

ET-1 has been extensively studied in many models of both animal and human intestinal injury, including NEC. Much of the pathogenic mechanistic detail has been explained in the setting of intestinal I/R injury. Intra-arterial ET-1 injection in rats leads to decreased intestinal perfusion and production of tissue damage,⁶⁴ and leads to polymorphonuclear leukocyte (PMN) infiltration and oxidative stress as well as mucosal barrier dysfunction.⁶⁵ These effects were attenuated by ET_A blockade, but not by ET_B blockade.⁶⁶ ET-1 infusion in guinea pigs decreases submucosal terminal microvessel blood flow and increases microvascular permeability, effects that are also attenuated by ET_A but not ET_B blockade.⁶⁷ Vasoconstriction occurs after intestinal I/R injury in 3-day old, but not 35 day-old swine, and is attenuated by ET_A receptor blockade.⁶³ In a rat model of I/R injury, pretreatment with ET_A and ET_B blockade decreased mucosal injury, decreased PMN infiltration and improved blood flow.⁶⁸ In the same model, inhibition of endothelin converting enzyme, the final step in ET-1 post-translational protein modification, decreased intestinal mucosal injury.⁶⁸

ET-1 expression is upregulated by a variety of inflammatory cytokines.^{65,66,68–73} In cultured endothelial cells, IL-1 increases expression of both ET-1 and ET_A.^{61,74} IL-1 β increases ET-1 expression and decreases ET_B expression in cultured endothelial cells, and increases ET_A expression in cultured smooth muscle cells. Infusion of IL-1 β causes ileal vasoconstriction attenuated by ET_A receptor blockade, which implies that that the vasoconstriction was ET-1-mediated.⁷⁰

Ileal ET-1 mRNA expression was increased in a rat model of NEC, with compromised microvascular perfusion and decreased intestinal blood flow.⁷⁵ In this model, topical ET-1 applied to the intestinal mucosa further increased intestinal permeability and microvascular dysfunction.⁷⁵ Also in a rat model of NEC, microvascular blood flow was decreased to injured intestine, and was improved by ET_A receptor blockade.⁷⁶ In confirmed cases of

human NEC there was increased ET-1 expression in NEC compared to non-NEC intestine, with ET-1 levels increasing with increasing intestinal injury.⁷¹ Dissected subserosal arterioles showed relative vasoconstriction based on vessel diameter, flow rate and vascular resistance in arterioles proximal to NEC-injured intestine compared to vessels distal to the injury. ET_A blockade led to vasodilation of the proximal arterioles but not the distal arterioles from NEC patients, and not the arterioles from non-NEC control patients. These data lend support to ET-1-mediated vasoconstriction via ET_A in the setting of NEC.⁷¹

Intestinal Microcirculatory Vasodilation: The Role of Nitric Oxide

NO is the primary intestinal vasodilator in the newborn.^{27,28} It is produced by a family of NO synthases during conversion of L-arginine to L-citrulline.⁷⁷ It exerts its biological effects in a paracrine fashion on smooth muscle cells by binding to and activating the heme moiety of soluble guanylate cyclase (sGC) to produce cyclic guanosine monophosphate (cGMP),⁷⁸ which decreases intracellular calcium concentrations leading to vasodilation and decreased vascular resistance.⁷⁹ The endothelial isoform, eNOS, is constitutively expressed, but can be increased by a variety of mechanical and chemical stimuli.²⁵

SMA NO concentration is increased in younger compared to older subjects, and the increased vascular resistance caused by NO inhibition is more pronounced in younger compared to older subjects.^{25,27} Likewise, NO-mediated vasodilation is greater in newborn compared to older subjects.^{25,27} The most important physiologic stimulus to newborn eNOS activity is endothelial shear force. Increased blood flow causes increased eNOS-mediated NO production and vasodilation. Again, this response to shear force is greater in newborns compared to older subjects.^{26,80}

Coordinated processes regulate the balance of ET-1 and NO. ET-1 stimulates eNOS activity and NO production via the ET_B receptor to produce vasodilation.^{55,56} In cultured endothelial cells, ET-1 stimulates increased eNOS mRNA and protein expression.⁸¹ NO donors decrease ET-1 induction,⁸² while NO inhibitors increase both basal and stimulated ET-1 levels, and decrease shear-mediated down-regulation of ET-1 expression.⁵⁹ NO has also been shown to decrease the binding affinity of ET-1 to ET_A.⁸³

NO activity has also been studied in multiple animal and human models of intestinal injury, including NEC. In models of sustained low intestinal blood flow and intestinal I/R injury, there was increased vascular resistance in 3-day old piglets but no change in 35-day old piglets, due to decreased NO production.^{84,85} In intestinal I/R injury, there is a decrease of both basal NO and of stimulated NO from endothelial cell eNOS.^{86,87} In a rat model of NEC, blockade of NOS decreases intestinal microcirculatory blood flow.⁷⁶ Also in a rat model of NEC, the well-known intestinal cytoprotective agent heparin-binding EGF-like growth factor (HB-EGF) improved microcirculatory blood flow⁵⁰ by increasing both NO production and by increasing ET_B expression.⁸⁸ Rats with NEC demonstrated distorted, injured and broken arterioles, venules and capillaries. These anatomic microvascular changes were attenuated by treatment with HB-EGF via NO regulatory mechanisms.⁵⁰

In human intestine resected for NEC, submucosal arterioles showed abnormal eNOS function compared to control specimens.⁸⁹ The NEC arterioles constricted in response to pressure, failed to dilate or generate NO in response to acetylcholine, and failed to dilate in response to blood flow. However, these arterioles did dilate in response to exogenous NO, demonstrating functional smooth muscle. Asymmetric dimethylarginine (ADMA), an endogenous competitive inhibitor of NOS, has also been implicated in the pathogenesis of NEC. In human infants with NEC, a decreased ratio of arginine to ADMA was associated with an increased incidence of NEC and higher NEC mortality.⁹⁰

L-arginine, a major substrate for NO production, has also been studied in the setting of intestinal injury and NEC. In rat models of endotoxemia, decreased plasma arginine led to decreased small intestine blood flow.⁹¹ In a mouse model of NEC, L-arginine administration increased ileal NO and decreased intestinal injury.⁹² Likewise, in premature infants with NEC there was decreased plasma arginine levels both at NEC diagnosis and seven days prior to diagnosis.^{93,94} Also, a mutation in carbamoyl phosphate synthase, the rate-limiting enzyme in L-arginine production, has been linked to an increased risk of NEC in human preterm infants.⁹⁵ Some data suggest that L-arginine administration increases serum NO production,⁹⁶ and decreases intestinal injury in swine models of I/R⁹⁷ and NEC⁹⁸, mouse models of NEC⁹⁹ and even in human NEC.¹⁰⁰

Conclusion

Intestinal ischemia is clearly a hallmark of NEC, but whether it is a primary inciting factor or a secondary result of intestinal inflammation and mucosal injury is uncertain. The dynamic interplay between the vasoconstrictor forces dominated by ET-1, and the vasodilator forces dominated by NO, largely control regional microcirculatory blood flow and local intestinal ischemia in newborn intestine. Dysregulation of this delicate balance in favor of vasoconstriction has been noted in both animal models and in confirmed human NEC. As our understanding of these antagonistic interactions improve, modulation of these pathways represents a promising potential therapeutic strategy for this often devastating disease.

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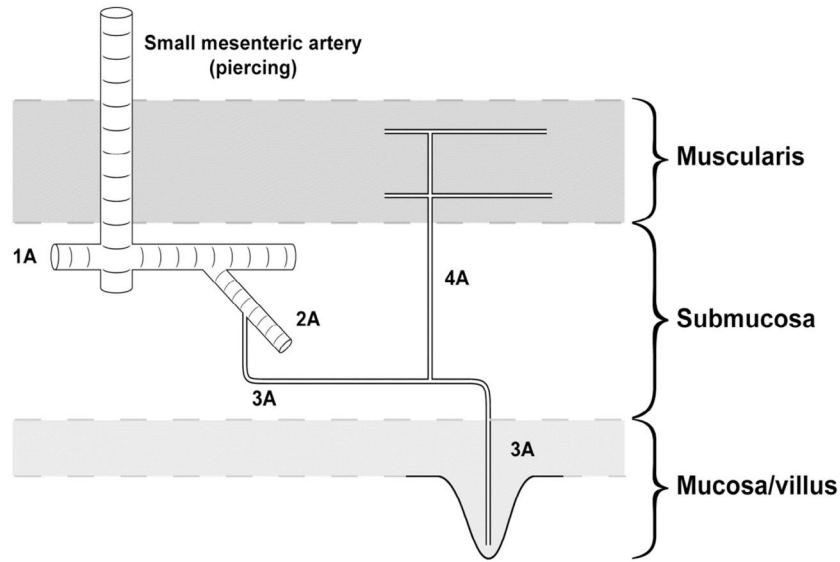


Figure 1. Schematic representation of the intestinal microcirculation

Small mesenteric arteries pierce the muscularis layers and terminate in the submucosa where they give rise to 1A (1st order) arterioles. 2A (2nd order) arterioles arise from 1A arterioles. Although not shown here, these 2A arterioles merge with several 1A arterioles, thus generating an arteriolar plexus that serves to pressurize the terminal downstream microvasculature. 3A (3rd order) arterioles arise from 2A arterioles and proceed to the mucosa, giving off a 4A branch just before descent into the mucosa. The 4A vessels travel to the muscularis layers. Each 3A vessel becomes the single arteriole perfusing each villus. Reprinted with permission.²¹