

Ty1 Sequence with Enhancer and Mating-Type-Dependent Regulatory Activities

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Some insertion mutations in *Saccharomyces cerevisiae* activate the expression of adjacent structural genes. The *CYC7-H2* mutation is a Ty1 insertion 5' to the iso-2-cytochrome *c* coding region of *CYC7*. The Ty1 insertion causes a 20-fold increase in *CYC7* expression in a and α haploid cell types of *S. cerevisiae*. This activation is repressed in the a/α diploid cell type. Previous computer analysis of the *CYC7-H2* Ty1 activator region identified two related sequences with homology both to mammalian enhancers and to a yeast a/α control site. A 112-base-pair (bp) DNA fragment encompassing one of these blocks of homology functioned as one component of the Ty1 activator. A 28-bp synthetic oligonucleotide with the wild-type homology block sequence was also functional. A single base pair mutation within the enhancer core of the synthetic 28-bp regulatory element reduced its activation ability to near background amounts. In addition, the 112-bp Ty1 fragment by itself functioned as a target for repression of adjacent gene expression in a/α diploid cells.

Ty transposable elements are a dispersed repeated gene family in *Saccharomyces cerevisiae* (4). Ty elements are similar to proviral forms of vertebrate retroviruses in structure, transcriptional properties, and gene organization (4, 6, 10, 54). These similarities are extended by the findings that Ty elements transpose through an RNA intermediate, encode a reverse transcriptase, and produce viruslike particles (2, 19, 34). Also similar to retroviruses, insertions of Ty can activate expression of cellular genes (for a review, see reference 56). In this capacity Ty elements exhibit enhancer-like properties. Enhancers are relatively position- and orientation-independent transcriptional control elements that are capable of activating transcription from heterologous promoters (21). Ty elements can activate transcription from positions 125 to 600 base pairs (bp) upstream from the coding sequences of various genes (13, 16, 27, 29, 37, 46, 57). The normal site of transcript initiation for the affected gene is used (37, 57). The orientation of Ty elements is such that the transcription unit for the element and the activated gene is divergent. Transcription of both Ty and the genes that it activates is regulated identically (see below). This observation suggests that the same sequences are involved in the transcription of Ty RNA and the adjacent gene. Such a condition would require that the regulatory sequences within Ty elements function independently of orientation.

The *CYC7-H2* mutation is one example of a Ty element insertion that activates gene expression. In this mutation a class I Ty element is inserted at the *CYC7* locus between the coding sequence for iso-2-cytochrome *c* and the normal upstream transcriptional control sequences (13, 16, 29, 58, 59). The consequence is that Ty1 transcriptional control sequences regulate expression of *CYC7*. Ty RNA and genes under Ty control, including *CYC7-H2*, are subject to mating-type controls (9, 11, 13, 44, 53). One feature of mating-type specialization in *S. cerevisiae* is that haploid-specific gene expression is repressed in a/α diploid cells (23). Similar to other haploid-specific genes, repression of Ty RNA and

CYC7-H2 expression is dependent on the $a1$ and $a2$ regulatory proteins encoded at *MAT* (11, 13). The same regulatory determinants, in addition to those encoded at *MAT*, are required for expression of Ty RNA and Ty-controlled genes in both haploid cell-types of *S. cerevisiae* (9, 13, 53).

The nucleotide sequence of the *CYC7-H2* Ty1 control region was determined previously and analyzed for the presence of enhancer and mating-type responsive elements (15). Several short consensus sequences have been identified that are common to certain groups of enhancers. One example is the core consensus sequence, TGG~~AAA~~AG, that is common to the simian virus 40 (SV40) enhancer and several other viral and cellular enhancers (21, 22, 30, 55). Computer analysis identified two closely related sequences in the *CYC7-H2* Ty1 with homology to the SV40 enhancer core region (Fig. 1). A 28-bp sequence in the *MAT α* intergenic region that mediates $a1$ - $a2$ repression in diploid *S. cerevisiae* has been identified and characterized (50, 51). The two enhancerlike sequences identified in the *CYC7-H2* Ty1 overlap sequences with homology to the diploid control site at *MAT α* (Fig. 1). Results from restriction fragment deletions led us to predict that the homology blocks in Ty1 are necessary for cell-type-specific activation of *CYC7* expression in the *CYC7-H2* mutation (15). Analysis of class II Ty elements inserted at the *HIS4* locus has shown that sequences in the vicinity of homology to the SV40 enhancer are similarly important for Ty activation of the adjacent *HIS4* gene (43).

MATERIALS AND METHODS

Chemical synthesis of DNA and cloning of synthetic DNA. Oligonucleotides described in these studies were synthesized on a DNA synthesizer (model 380A; Applied Biosystems). Two mutagenized oligonucleotides corresponding to each strand of the 28-bp Ty1 block II sequence were synthesized (Fig. 2). The two complementary, mutagenized oligonucleotides were annealed and inserted into the *Sall*-*Bam*HI sites of M13 mp11 replicative form (RF) DNA. *Escherichia coli* JM107 was transformed with the resulting population of recombinant phage to generate a library of

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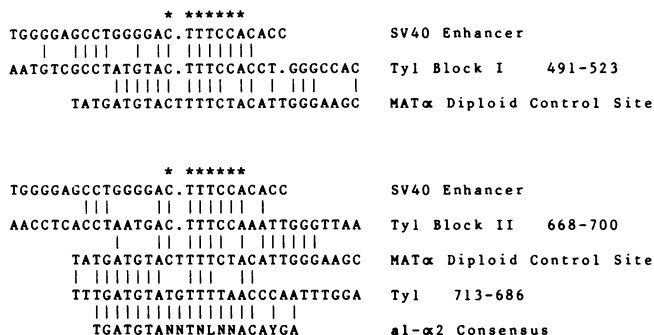


FIG. 1. Alignment of Ty1 sequences with SV40 and MAT α regulatory sequences. Vertical lines indicate identical bases between two sequences on adjacent lines. Dots are inserted to maximize alignment. The SV40 sequence is 26 bp from the 72-bp repeat, which includes the seven-nucleotide enhancer core (55). Core sequences are indicated by asterisks. The MAT α sequence is the 28-bp fragment which has been shown to be sufficient for repression of MAT α 1 in diploid cells (51). The α 1- α 2 consensus was deduced from the MAT α diploid control site and homologous regions from HO and STE5 (35). N represents any nucleotide, L represents A or T, and Y represents C or T. CYC7-H2 Ty1 sequences are from previously described data (15). Block I and block II refer to Ty1 sequences with overlapping homology to the SV40 enhancer region and to the MAT α diploid control site. SV40, MAT α , α 1- α 2 consensus, and Ty1 sequences 713 to 686 are the complement of the sequences presented previously (15, 35, 51, 55).

point substitution mutations throughout the synthesized interval. Procedures for the synthesis, cloning, and sequencing of the mutants have been provided previously in detail (25). Characterization of the mutant library is in progress, and its detailed description will be presented elsewhere. In this study we analyzed the phenotype of two isolates from

the library; the wild type and one mutant with a single base substitution in the enhancer core. The *SalI*-*Bam*HI fragments from the corresponding M13 RF DNA were cloned into the *SalI*-*Bam*HI site of the *S. cerevisiae*-*E. coli* shuttle vector described below.

Plasmid constructions. CYC7-H2 and CYC7⁺ plasmids used in these studies were constructed from previously described centromeric plasmids designated pNC3 and pNC5, respectively (15). Both plasmids are derived from pYe(CEN3)-30 which is a pBR322-based vector with *S. cerevisiae* TRP1, ARS1, and CEN3 sequences (17). Plasmid DNA was prepared by the rapid boiling procedure (24). The crude plasmid DNA was treated with RNase, and protein was extracted with phenol. All enzymes used in the constructions were purchased either from New England Biolabs, Inc. (Beverly, Mass.) or U.S. Biochemicals (Cleveland, Ohio). Restriction digestions, DNA ligation reactions, and 3'-end repair with T4 DNA polymerase were performed by standard procedures (33). The linker tailing method was used to attach linkers (31). *Bam*HI and *Sal*I linkers were purchased from New England Biolabs. All plasmid constructions were amplified by transformation into *E. coli* HB101 (3, 38). Each construction was verified by restriction endonuclease cleavage analysis. Junction regions were verified by DNA sequence analysis. Insertions into CYC7 sequences were verified by subcloning appropriate regions of plasmid DNA into M13. The DNA sequence was determined by the chain termination method by procedures described previously (1). Insertions into Ty1 sequences were verified by directly sequencing plasmid DNA (5). An oligonucleotide complementary to the region of interest was synthesized and used as the primer.

***S. cerevisiae* strains and genetic procedures.** Complete genotypes for the *S. cerevisiae* strains used in this study are given in Table 1. All strains were iso-1-cytochrome *c* deficient because of mutations at the CYC1 structural gene. The



FIG. 2. Predicted Ty1 regulatory sequences and polylinker sequences. Ty1 sequences are indicated by capital letters and polylinker sequences are indicated by lowercase letters. The 112-bp Ty1 *HpaI*-*Sau3A* fragment includes Ty1 sequence positions 668 to 779 (15). A Ty1 *Sau3A* fragment (positions 234 to 779) subcloned into the *Bam*HI site of M13 mp8 was the source of the *HpaI*-*Sau3A* sequence for constructions described in this study. The overlined sequences are homologous to the SV40 enhancer region. Asterisks indicate the position of the seven-nucleotide enhancer core sequence. The underlined regions are homologous to the MAT α diploid control site (see Fig. 1). The single base pair substitution mutation in the enhancer core region of the synthetic block II sequence is indicated by boldface letters. The symbols to the right are used in Fig. 3 to represent the corresponding sequences.

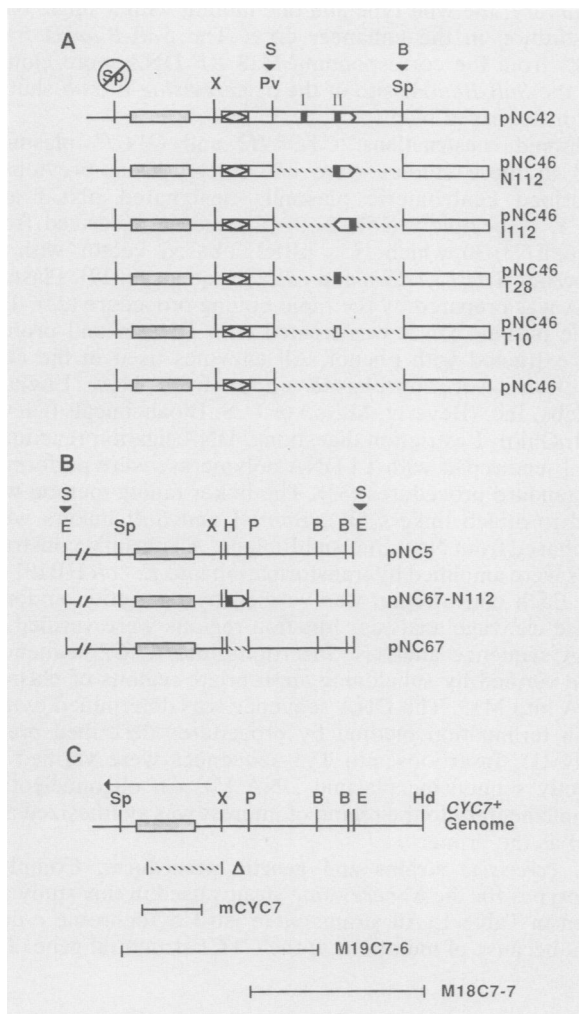


FIG. 3. (A) *CYC7-H2* region from pNC3-derived plasmids. The entire *CYC7*-coding region is present in all constructions and is represented by the shaded box. pNC3 includes 1.5 kb of Ty1 proximal to the *CYC7*-coding region. The open box represents Ty1 sequences. The delta region of Ty1 is indicated by a diamond. The block I and block II sequences within Ty1 are indicated by solid boxes labeled I and II, respectively. The 112-bp *HpaI-Sau3A* fragment includes block II and adjacent sequences delimited by the arrowhead. The solid box and the open box within the broken lines indicate, respectively, the synthetic wild-type and mutant block II elements. The sequences corresponding to the 112-bp block II fragment, the wild-type block II element, and the mutant block II element are given in Fig. 2. The broken lines indicate deleted sequences. The downward-pointing arrows indicate the positions of restriction site linker insertions. The leftmost *SphI* site was destroyed by cleavage with *SphI*, end repair with T4 polymerase, and religation. (B) *CYC7* region from pNC5-derived plasmids. Symbols in the diagrams are the same as those described above for panel A. Only the *HaeIII* site that forms the junction in pNC67 and pNC67-N112 is shown. (C) *CYC7*⁺ region on chromosome V. Symbols in the diagram are the same as those described above for panel A. The arrow above the *CYC7*-coding region indicates the direction and extent of *CYC7* transcription. The broken bar below the diagram delimits the deleted sequences in the *cyc7-67* allele. The solid bars below the diagram delimit the DNA probes used in Northern and Southern blot experiments. Restriction sites in panels A to C are abbreviated as follows: B, *Bam*HI; E, *Eco*RI; H, *Hae*III; Hd, *Hind*III; P, *Pst*I; Pv, *Pvu*II; S, *Sal*I; Sp, *Sph*I; X, *Xho*I.

TABLE 1. Yeast strains used in this study

| Strain | Genotype |
|-----------|--------------------------------------------------------------|
| E906-8B | <i>MATa cycl-11 cyc7-67 can1 leu2-3,-112 trp1-Δ1 ura3-52</i> |
| E730-4A | <i>MATα cycl cyc7-67 can1 his5 leu2 trp1</i> |
| KZ8-5C | <i>MATa cycl CYC7⁺ his4 ural</i> |
| J14046-7A | <i>MATa cycl CYC7⁺ cyc10-1 met14 lys1 lys2</i> |
| E378-1A | <i>MATa cycl CYC7-H2 cry1 his1 lys2</i> |

cycl-11 allele in strain E906-8B is a 2-bp substitution that changes the CCA codon at iso-1-cytochrome *c* amino acid position 76 to a TAA nonsense codon (12). Strains E906-8B and E730-4A were also iso-2-cytochrome *c* deficient because of the *cyc7-67* allele which is a *CYC7* deletion (see Fig. 3C) described by T. Cardillo and K. Zaret (cited in reference 14). The *CYC7*⁺ strain KZ8-5C, the *cyc10 CYC7*⁺ strain J14046-7A, and the *CYC7-H2* strain E378-1A were used as standards for our comparisons of *CYC7* expression. The *cyc10* allele in J14046-7A caused a fourfold increase in the amount of iso-2-cytochrome *c* produced compared with *CYC10*⁺ *CYC7*⁺ strains (29).

Media and conventional yeast genetic procedures for crosses, strain constructions, and scoring nutritional markers have been described by Sherman et al. (47). For the purpose of cytochrome *c* or *CYC7* mRNA determinations, strains were grown under derepressing conditions on YPS medium (1% [wt/vol] yeast extract [Difco Laboratories, Detroit, Mich.] and 2% [wt/vol] Bacto-Peptone [Difco], 1% [wt/vol] sucrose).

Yeast transformation. Two independent *E. coli* isolates for each construction were used to transform *S. cerevisiae* E906-8B by a LiCl procedure (26). The *trp1-Δ1* deletion in the strain permits selection of the pNC3- and pNC5-derived plasmids by requiring growth on synthetic medium lacking tryptophan.

Iso-2-cytochrome *c* determinations. Derepressed cells for determination of cytochrome *c* content were grown as narrow lines on solid YPS medium. Spectroscopic examination of intact cells at -196°C was performed with a wavelength prism spectroscopy (48). This method allows estimation of cytochrome *c* content in transformed strains by comparing the intensities of the c_{α} bands with those for standard strains with known amounts of cytochrome *c*. Quantitative determinations of cytochrome *c* content were also made by spectrophotometric examination of cytochrome *c* extracted from 1.5 g (wet weight) of cells. Cell lysis, cytochrome *c* extraction, and cytochrome *c* isolation procedures were adapted from those of Sherman et al. (49). The total amount of cytochrome *c* from each cell suspension was recovered in a final volume of 1 ml. The cytochrome *c* solutions were reduced by the addition of a few crystals of sodium dithionite. The absorption spectra from 450 to 650 nm were measured on an diode array spectrophotometer (model 8450A; Hewlett-Packard Co., Palo Alto, Calif.). The concentration of the solution was calculated by using the value of $27.6 \text{ cm}^{-1} \text{ mM}^{-1}$ for the reduced c_{α} band extinction coefficient at 550 nm (45).

Hybridization probes. The M13 recombinant phage mCYC7 was provided by D. Pietras and F. Sherman (32). The *CYC7* fragments M19C7-6 and M18C7-7 (Fig. 3C) were subcloned and maintained in M13 mp19 and M13 mp18, respectively. The plasmid pYactI which contains the yeast actin gene (*ACT1*) was provided by R. Ng and J. Abelson (39).

The *CYC7* fragments shown in Fig. 3C were isolated from

TABLE 2. Comparison of cytochrome *c* produced by *CYC7*⁺, *CYC7-H2*, and *CYC7-H2* derivative strains

| Allele(s) | Plasmid | Amt of cytochrome <i>c</i> in ^a : | | |
|---------------------------------------|------------|----------------------------------------------|-------------------|-----------------------|
| | | intact cells (relative) ^b | Extracts | |
| | | | nmol ^c | Relative ^d |
| <i>CYC7-H2</i> | | 21 ± 1.3 | 8.9 | 22 |
| <i>CYC7</i> ⁺ <i>cyc10</i> | | 5 ± 1.6 | 1.6 | 4 |
| <i>CYC7</i> ⁺ | | 1 ± 0.3 | 0.4 | 1 |
| <i>cyc7-67</i> | | 0 | | |
| <i>cyc7-67</i> | pNC42 | 29 ± 3.3 | 14.0 | 35 |
| <i>cyc7-67</i> | pNC46 | 6 ± 1.1 | 3.2 | 8 |
| <i>cyc7-67</i> | pNC46-T10 | 7 ± 1.7 | 4.2 | 10 |
| <i>cyc7-67</i> | pNC46-T28 | 18 ± 3.1 | 6.1 | 15 |
| <i>cyc7-67</i> | pNC46-N112 | 20 ± 1.4 | 7.6 | 19 |
| <i>cyc7-67</i> | pNC46-I112 | 29 ± 4.3 | 10.4 | 26 |

^a Values are not corrected for plasmid copy number in each culture.

^b Amounts of cytochrome *c* are relative to the amount produced by a standard *CYC7*⁺ strain. Twelve independent isolates were analyzed from each plasmid transformation. Values are the means ± standard deviations.

^c Results are the average of three independent extractions and determinations.

^d Amounts are relative to the nanomoles of cytochrome *c* extracted from the standard *CYC7*⁺ strain.

the corresponding RF DNAs and were used to prepare *CYC7* DNA and mRNA hybridization probes. A 2.2-kilobase (kb) *EcoRI-HindIII* fragment isolated from pYactI was used to prepare an *ACT1* mRNA hybridization probe. RF and plasmid DNAs for fragment isolations were prepared by a CsCl banding method (40). After digestion with appropriate restriction enzymes, double-stranded DNA fragments were isolated from agarose gels (8). Fragments were labeled with [α -³²P]dCTP (New England Nuclear Corp., Boston, Mass.) by the nick-translation reaction described previously (42).

Yeast RNA preparation and Northern hybridization analysis. Yeast RNA preparation and Northern hybridization procedures were carried out as described previously (7). Filters were hybridized to radioactively labeled mCYC7 DNA to detect the 0.6-kb *CYC7* mRNA. Hybridization to *ACT1* mRNA on the same filters provided an internal control for the loading and the transfer of RNA. Filters were boiled in water for 10 min to remove the mCYC7 DNA probe and then were hybridized to radioactively labeled *ACT1* DNA. The *ACT1* probe that we used hybridizes to two yeast transcripts: the 1.25-kb actin RNA and another 0.66-kb RNA (18). A computer-interfaced laser densitometer (model 2202; LKB Instruments, Inc., Rockville, Md.) programmed to measure relative peak areas from bands on autoradiograms was used to measure the intensity of *CYC7* and *ACT1* hybridizing bands.

Yeast DNA preparation and Southern hybridization analysis. Total yeast DNA was isolated essentially by procedures described previously (47). Restriction endonuclease-digested DNA samples were fractionated by electrophoresis and transferred to nitrocellulose (52). The filters were hybridized to radioactively labeled *CYC7* DNA probes under previously described conditions (33). The relative amount of plasmid DNA from each culture was determined by the ratio of plasmid to genomic band intensities on autoradiograms, as measured by densitometry.

RESULTS

Analysis of the Ty1 block II sequence for enhancer function. The sequences designated block I and block II have homology to the *MAT α* diploid control site and to the SV40

enhancer (Fig. 1). The location of blocks I and II in Ty1 are shown in Fig. 3A. Tests were made to determine whether the block II region has enhancer activity. Deletion of the 744-bp *PvuII-SphI* fragment that encompasses both blocks I and II abolishes the full activation effects of Ty1 on *CYC7* expression. The strategy for our test was to replace the *PvuII-SphI* region of Ty1 with small segments of DNA that contain the putative regulatory sequence. The ability of the Ty1 derivatives to activate *CYC7* expression was determined in *S. cerevisiae* on the basis of iso-2-cytochrome *c* and *CYC7* mRNA production.

Plasmids constructed for these analyses were each derived from the *CYC7-H2* centromeric plasmid pNC3 (Fig. 3A). The *PvuII* and *SphI* restriction sites in the Ty1 region of pNC3 were modified by insertion of *SalI* and *BamHI* linkers, respectively, to give the plasmid designated pNC42. The restriction site alterations provided convenient cloning sites in the Ty1 sequence without affecting *CYC7-H2* expression. The *SalI* and *BamHI* fragment of pNC42 was replaced by different DNA fragments with sequences shown in Fig. 2. A synthetic polylinker was inserted between the cloning sites to give the deletion control plasmid designated pNC46. A 112-bp block II region of Ty1 was transferred into the *HpaI* site of pNC46 as a *HincII* fragment from an appropriate M13 subclone. The construction with the fragment in its normal orientation is designated pNC46-N112, and the construction with the fragment in an inverted orientation is designated

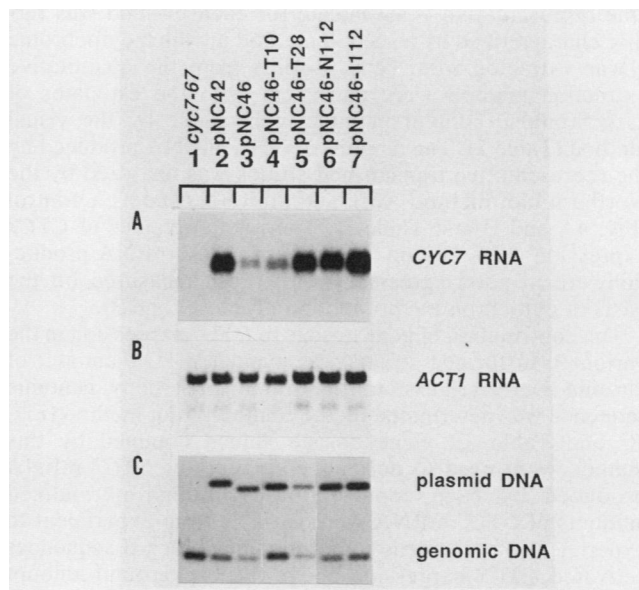


FIG. 4. (A) Autoradiogram of a Northern blot showing *CYC7* mRNA. A total of 20 μ g of total RNA from the *cyc7-67* recipient strain and strains with different plasmids were loaded into each lane, as indicated at the top of the figure. The filter was hybridized to the radiolabeled mCYC7 DNA probe diagrammed in Fig. 3. (B) Autoradiogram of a Northern blot showing *ACT1* mRNA. The filters shown in panel A were washed and rehybridized to an actin DNA probe. The signal intensity for *ACT1* mRNA provides an internal control for the amount of RNA transferred to the filter. (C) Autoradiogram of a Southern blot comparing the amount of *CYC7*-hybridizing plasmid and genomic DNA. Approximately 1 μ g of *EcoRI*-digested DNA from the *cyc7-67* recipient strain and from strains with different plasmids were loaded into each lane, as indicated at the top of the figure. The filters were hybridized to the radiolabeled M19C7-6 DNA probe (Fig. 3). The bands corresponding to plasmid and genomic DNA are indicated to the right of the autoradiogram.

TABLE 3. Comparison of *CYC7* RNA produced from *CYC7-H2* and *CYC7-H2* derivative strains^a

| Allele(s) | Plasmid | Expt 1 | | | | Expt 2 | | | | | |
|---------------------------------------|------------|-------------------------|-------------|---------------------|--------------------------|-----------------------|-----------------------|-------------------------|-------------|---------------------|--------------------------|
| | | Amt of RNA ^b | | | Gene dosage ^c | Normalized expression | | Amt of RNA ^b | | | Gene dosage ^c |
| | | <i>CYC7</i> | <i>ACT1</i> | $\frac{CYC7}{ACT1}$ | | Per gene ^e | Relative ^f | <i>CYC7</i> | <i>ACT1</i> | $\frac{CYC7}{ACT1}$ | |
| <i>CYC7-H2</i> | | | | | | | | 4,406 | 3,453 | 1.3 | 1 |
| <i>CYC7</i> ⁺ <i>cyc10</i> | | | | | | | | 569 | 2,531 | 0.2 | 1 |
| <i>cyc7-67</i> | | 0 | 748 | 0 | 1 | 0 | 0 | | | | |
| <i>cyc7-67</i> | pNC42 | 2,816 | 863 | 3.3 | 2.2 | 1.5 | 8 | 6,661 | 2,029 | 3.3 | 1.9 |
| <i>cyc7-67</i> | pNC46 | 336 | 662 | 0.5 | 2.6 | 0.2 | 1 | 700 | 1,506 | 0.5 | 2.5 |
| <i>cyc7-67</i> | pNC46-T10 | 389 | 745 | 0.5 | 1.2 | 0.4 | 2 | 947 | 1,260 | 0.8 | 1.3 |
| <i>cyc7-67</i> | pNC46-T28 | 2,867 | 1,373 | 2.1 | 1.7 | 1.2 | 6 | 3,541 | 1,424 | 2.5 | 1.5 |
| <i>cyc7-67</i> | pNC46-N112 | 2,423 | 1,349 | 1.8 | 2.5 | 0.7 | 4 | 3,878 | 1,883 | 2.1 | 2.6 |
| <i>cyc7-67</i> | pNC46-I112 | 7,375 | 1,637 | 4.5 | 2.3 | 2.0 | 10 | 6,435 | 1,703 | 3.8 | 3.2 |

pNC46-I112. A synthetic oligonucleotide with 28 bp of sequence corresponding to block II and an oligonucleotide with a single base substitution in the enhancer core sequence of block II were cloned in the *SalI* and *BamHI* sites of pNC46. The resulting derivatives are designated pNC46-T28 and pNC46-T10, respectively.

Each plasmid was used to transform cytochrome *c*-deficient *S. cerevisiae* E906-8B. Spectroscopic examination of intact transformed cells showed that each construction produced a characteristic amount of cytochrome *c* (Table 2). One representative yeast isolate for each plasmid was further characterized by a second method in which cytochrome *c* was extracted from cells. Results from the quantitative extraction method were consistent with the estimates of cytochrome *c* content in intact cells made by the visual method (Table 2). The amount of *CYC7* mRNA produced by the representative transformed strains was analyzed by the Northern blot method, with actin mRNA used as a control (Fig. 4A and B and Table 3). The relative values of *CYC7* expression measured on the basis of *CYC7* mRNA production were in good agreement with those measured on the basis of cytochrome *c* production (Tables 2 and 3).

The contribution of gene dosage to *CYC7* expression in the various transformed strains was evaluated. The amount of plasmid DNA relative to that of a single-copy genomic sequence was determined by the Southern blot method (Fig. 4C and Table 3). Gene dosage values obtained by this method were used to normalize amounts of *CYC7* mRNA produced by each construction. Although normalized amounts of *CYC7* mRNA were variable from experiment to experiment, the constructions containing block II sequences activated *CYC7* expression above the background amount observed with pNC46. Also, in each experiment the amount of *CYC7* mRNA associated with the mutant block II sequence was less than that observed with the wild-type sequence. The following conclusions are drawn from the average relative expression determined for each plasmid shown in Table 3. (i) Deletion of the 744-bp fragment from Ty1 resulted in a sevenfold reduction in *CYC7* expression for the pNC46 deletion plasmid compared with the pNC42 *CYC7-H2* plasmid. (ii) Insertion of a 112-bp restriction fragment encompassing block II into pNC46 in the normal orientation (pNC46-N112) restored *CYC7* activation to an amount that was fourfold above that of the background. (iii) The 112-bp restriction fragment inserted in the inverted orientation (pNC46-I112) restored activation to amounts near that observed for the *CYC7-H2* plasmid pNC42. (iv) The 28-bp synthetic block II element (pNC46-T28) similarly

caused a sixfold activation above that of the background. This result defines the 28-bp block II sequence as one component of the Ty1 activator. (v) A single base pair mutation in the enhancer core of the block II sequence (pNC46-T10) reduced the activation effect of block II to twofold above that of the background. This result demonstrates that the enhancer core sequence is essential for block II activator function.

Analysis of the block II region for diploid control function. The Ty1 sequence in pNC42 has seven sequences with homology to the diploid control site at *MAT α* . Three of these potential targets of $\alpha 1$ - $\alpha 2$ repression are present outside of the 744-bp fragment encompassing blocks I and II (15). For this reason the constructions used to analyze activator function of the block II sequence were not considered to be appropriate for evaluation of its diploid control function. To address this question, the 112-bp Ty1 fragment encompassing the block II sequence was tested for its effect on *CYC7* gene expression in the absence of other Ty1 sequences.

Two plasmids constructed for these analyses were derived from the *CYC7*⁺ plasmid pNC5 (Fig. 3B). In the control plasmid pNC67, a 90-bp *HaeIII-PstI* fragment of *CYC7* was replaced by the M13 mp8 *SmaI-PstI* polylinker (Fig. 2). In pNC67-N112 the 90-bp *CYC7* fragment was replaced by the Ty1 block II region isolated as a *HpaI-PstI* fragment from an appropriate M13 subclone (Fig. 2). The deleted *CYC7* segment in both constructions is between positions -200 and -290 of the reported *CYC7* nucleotide sequence (37). These sequences are required for the function of both the positive and negative transcriptional control elements identified at *CYC7* (58, 59). Also note that the block II insertion in pNC67-N112 is only 16 bp distal to the insertion junction for Ty1 in the *CYC7-H2* mutation (17, 29).

We first determined whether the 112-bp Ty1 fragment could activate *CYC7* gene expression by transformation of the constructions described above into haploid strain E906-8B. Transformed strains were analyzed for cytochrome *c* production in intact cells as described above (data not shown). Table 4 shows the amounts of cytochrome *c* extracted from representative isolates. The relative gene dosage contributed by the various plasmids in transformed strains was estimated as described above. The normalized amounts of iso-2-cytochrome *c* for the *CYC7*⁺ plasmid and the two derivatives were essentially the same (Table 4). We conclude that the 112-bp Ty1 fragment has no activator ability by itself.

We determined whether the basal *CYC7* expression could be repressed in diploid yeast cells by the presence of the

TABLE 3—Continued

| Normalized expression | | Expt 3 | | | | | Normalized relative expression in expt: | | | Avg relative expression ^d | |
|-----------------------|-----------------------|-------------------------|-------------|---------------------|--------------------------|-----------------------|-----------------------------------------|---|---|--------------------------------------|---|
| Per gene ^e | Relative ^f | Amt of RNA ^b | | | Gene dosage ^c | Normalized expression | | 4 | 5 | | 6 |
| | | <i>CYC7</i> | <i>ATC1</i> | $\frac{CYC7}{ACT1}$ | | Per gene ^e | Relative ^f | | | | |
| 1.3 | 7 | 766 | 750 | 1.0 | 1 | 1.0 | 4 | | | | 5 |
| 0.2 | 1 | 425 | 2,238 | 0.2 | 1 | 0.2 | 1 | | | 1 | 1 |
| | | | | | | | | | | | 0 |
| 1.7 | 9 | 2,630 | 2,544 | 1.0 | 0.9 | 1.1 | 4 | 9 | 4 | 6 | 7 |
| 0.2 | 1 | 615 | 1,708 | 0.4 | 1.2 | 0.3 | 1 | 1 | 1 | | 1 |
| 0.6 | 3 | 737 | 3,108 | 0.2 | 0.9 | 0.2 | 1 | 3 | 2 | 2 | 2 |
| 1.7 | 9 | 2,076 | 2,015 | 1.0 | 1.3 | 0.8 | 3 | 9 | 3 | 5 | 6 |
| 0.8 | 4 | | | | | | | 5 | | | 4 |
| 1.2 | 6 | | | | | | | 5 | | | 7 |

^a Complete data are presented for three experiments that represent the range of relative expression observed for these comparisons. Relative expressions calculated from three additional experiments are also given. Results from independent Northern and Southern blots with the same preparations are presented in experiments 2 and 4 and in experiments 3 and 5.

^b Values are integrated peak areas measured from autoradiograms by laser densitometry.

^c Gene dosage is the ratio of *CYC7* plasmid copy number to a single *CYC7* genome copy in each culture. The value is obtained from integrated peak areas for the corresponding bands on autoradiograms measured by laser densitometry. The ratio is corrected for the amount of plasmid and genome homology to the radiolabeled M19C7-6 probe.

^d The average is calculated from the relative expression values from experiments 1 to 6.

^e Normalized expression per gene is the *CYC7/ACT1* value divided by the corresponding gene dosage for each culture.

^f Values are relative to the pNC46 control plasmid in experiments 1 to 5 and to the *CYC7⁺ cyc10* control in experiment 6.

112-bp block II fragment. Tester strain E730-4A (*MAT α*) was crossed to representative transformed strains (*MATa*) and to the *CYC7-H2* strain E378-1A for reference. *MAT α /MATa* diploid strains were isolated on the basis of complementing nutritional markers. Amounts of iso-2-cytochrome *c* and relative gene dosage were determined as described above (Table 4). The plasmid with the 112-bp Ty1 fragment inserted upstream from the *CYC7* coding region (pNC67-N112) produced approximately fivefold less iso-2-cytochrome *c* in diploid cells than in haploid cells. Although the repression in diploid cells was not as large as that for the *CYC7-H2* allele in its chromosomal location, the repression was the same as that observed for pNC42, the *CYC7-H2* plasmid in these experiments. No repression was observed for the pNC5 or pNC67 control plasmids. These results indicate that the 112-bp fragment contains a functional target for diploid repression.

DISCUSSION

Ty1 homology block II functions as an enhancer of *CYC7* expression when present with other Ty1 sequences. A 744-bp Ty1 *PvuII-SphI* fragment, which is required for full activa-

tion effects of Ty1 on adjacent gene expression, includes two closely related sequences, designated block I and block II. Each block shows homology to both the SV40 enhancer and the diploid control site at *MAT α* (Fig. 1). In this report we showed that a synthetic 28-bp block II sequence could replace the 744-bp Ty1 region for the activation of adjacent *CYC7* expression. A single base pair substitution within the enhancer core of the synthetic block II element reduced its activation ability to near background amounts. Hence, the activation of *CYC7* expression that we observed with the block II element is sequence specific, and the enhancer core sequence is essential for its function. These results demonstrate that the block II sequence is a key component of the Ty1 activator.

In addition to the synthetic regulatory element, we analyzed a 112-bp Ty1 restriction fragment that included the block II sequence. The position of the block II sequence with respect to other Ty1 sequences in the constructions compared here is different from that in the parental Ty1 element. The positional flexibility for the function of the block II region is characteristic of enhancer elements. We also showed that the 112-bp block II fragment functions in an inverted orientation so that it is similar to other enhancerlike

TABLE 4. Comparison of cytochrome *c* produced from *CYC7-H2*, *CYC7⁺*, and *CYC7⁺* derivative alleles

| Allele | Plasmid | Haploid cell type | | | Diploid cell type | | | Fold repression ^d |
|----------------|------------|-----------------------------------------|--------------------------|------------------------------------|-----------------------------------------|--------------------------|------------------------------------|------------------------------|
| | | Cytochrome <i>c</i> (nmol) ^a | Gene dosage ^b | Normalized expression ^c | Cytochrome <i>c</i> (nmol) ^a | Gene dosage ^b | Normalized expression ^c | |
| <i>CYC7-H2</i> | | 9.9 | 1 | 9.9 | 0.4 | 0.5 | 0.8 | 12.4 |
| <i>cyc7-67</i> | pNC42 | 15.7 | 1.4 | 11.2 | 4.3 | 1.8 | 2.4 | 4.7 |
| <i>cyc7-67</i> | pNC5 | 2.0 | 3.1 | 0.6 | 1.1 | 1.2 | 0.9 | 0.7 |
| <i>cyc7-67</i> | pNC67 | 2.1 | 3.0 | 0.7 | 1.3 | 1.9 | 0.7 | 1.0 |
| <i>cyc7-67</i> | pNC67-N112 | 3.1 | 2.2 | 1.4 | 0.4 | 1.2 | 0.3 | 4.7 |

^a Values shown are the average of two independent extractions and determinations.

^b Gene dosage is the ratio of *CYC7* plasmid copy to *CYC7* genome copy in each culture. The value is obtained from integrated peak areas for the corresponding bands on autoradiograms measured by laser densitometry. The ratio is corrected for the amount of plasmid and genome homology to the radiolabeled M18C7-7 probe.

^c Normalized expression is the nanomoles of cytochrome *c* divided by the corresponding gene dosage for each culture.

^d Fold repression is the normalized expression in the haploid cell type divided by the normalized expression in the diploid cell type.

sequences in that it is orientation independent. All Ty insertion mutations characterized to date that activate adjacent gene expression, however, have the same orientation. It may be that the Ty enhancer sequences do not operate over the distance found in the case of an inverted element or that some other component of the Ty activator is orientation dependent.

Several observations suggest that the Ty1 activator has a multicomponent structure. First, the block II sequence functioned as an activator of *CYC7* expression in the presence of other Ty1 sequences. No significant activation of *CYC7* expression was observed, however, when the 112-bp block II fragment by itself was inserted upstream of the *CYC7*-coding region. Second, deletions involving various sequences outside of the block I and II regions of Ty1 have a deleterious effect on *CYC7-H2* expression (M. Company, unpublished data). Third, Roeder et al. (43) have shown by analysis of mutant derivatives of a Ty insertion at *HIS4* that sequences in addition to the enhancerlike region are essential for the activation of adjacent gene expression. A multicomponent structure is suggested from analyses of other enhancer regions such as those from SV40, the immunoglobulin κ chain gene and the major histocompatibility complex $E\beta$ gene (20, 22, 41).

The 112-bp block II region includes a functional diploid control site. In diploid cells producing $\alpha 1$ and $\alpha 2$ regulatory proteins, *HO* and *MAT α 1* gene expression is reduced to below detectable amounts (28, 50). By contrast, the expression of Ty1, *CYC7-H2*, and *RME1* is reduced only 5- to 20-fold (11, 13, 36). Nonidentical but closely related DNA sequences appear to mediate the quantitatively different response to $\alpha 1$ - $\alpha 2$ repression observed for these various yeast genes. Siliciano and Tatchell (50, 51) have identified a single 28-bp sequence in the *MAT α* intergenic region that is sufficient to completely repress *MAT α* transcription. Multiple sites with homology to the *MAT α* diploid control site are found in Ty, *HO*, and *STE5* (15, 35, 44a).

CYC7 expression was found to be \sim fivefold lower in α/α diploid cells than in haploid cells when the 112-bp block II fragment was inserted upstream of the *CYC7*-coding region. Although repression was less than that observed for *CYC7-H2* in its chromosomal location, the same fivefold repression was observed for a *CYC7-H2* centromeric plasmid analyzed in parallel studies. We conclude that the 112-bp Ty1 block II fragment encompasses a functional diploid control sequence. This Ty1 fragment contains two sequences that match the 28-bp *MAT α* diploid control sequence. The two sites have opposite orientations and are at positions 674 to 700 and 686 to 713 in the *CYC7-H2* Ty1 sequence (Fig. 1). We note that the Ty1 homology site at positions 686 to 713 conforms more closely to the $\alpha 1$ - $\alpha 2$ consensus sequence deduced from comparison of *MAT α* , *HO*, and *STE5* sequences (35). Additional experiments, however, will be necessary to determine whether the composite sequence or one or both of the homology sites constitutes the functional diploid control site of the 112-bp fragment.

A number of viral and cellular enhancers exhibit host or tissue specificity (21). Ty1 similarly exhibits cell-type specificity in its ability to activate adjacent gene expression. Results of this study demonstrate that the block II region of Ty1 contains both enhancer and diploid control activities. Although results of this study do not indicate whether or how this region might function within the context of Ty1, the implication is that the contiguous enhancerlike element and diploid control site(s) mediate cell-type specificity of the Ty1 activator.

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