[www.jcbfm.com](http://www.jcbfm.com)

# ORIGINAL ARTICLE Age-related changes in spontaneous oscillations assessed by wavelet transform of cerebral oxygenation and arterial blood pressure signals

Zengyong Li<sup>1,2</sup>, Ming Zhang<sup>1</sup>, Qing Xin<sup>3</sup>, Site Luo<sup>2</sup>, Ruofei Cui<sup>2</sup>, Weiei Zhou<sup>2</sup> and Liqian Lu<sup>2</sup>

The study aims to assess the spontaneous oscillations in elderly subjects based on the wavelet transform of cerebral oxygenation (CO) and arterial blood pressure (ABP) signals. Continuous recordings of near-infrared spectroscopy (NIRS) and ABP signals were obtained from simultaneous measurements in 20 young subjects (age:  $27.3 \pm 7.1$  years) and 15 elderly subjects (age:  $70.8 \pm 5.1$  years) at rest. Using spectral analysis based on wavelet transform, five frequency intervals were identified (I, 0.005 to 0.02 Hz; II, 0.02 to 0.06 Hz; III, 0.06 to 0.15 Hz; IV, 0.15 to 0.40 Hz; and V, 0.40 to 2.0 Hz). The average amplitudes of the  $\Lambda$ [HbO<sub>2</sub>] and tissue oxygenation index in intervals I to V and the relative amplitudes in intervals IV and V were significantly lower in elderly subjects than in young subjects  $(P<0.05)$ . In addition, the relative amplitudes of the ABP in interval I were significantly lower in elderly subjects than in young subjects  $(P = 0.016)$ . The present findings suggest the presence of a cerebrovascular degenerative process caused by aging. Spontaneous oscillations in the CO could be used as an indicator of cerebrovascular changes and could be used to identify the risk for cerebrovascular degenerative processes.

Journal of Cerebral Blood Flow & Metabolism (2013) 33, 692–699; doi:[10.1038/jcbfm.2013.4;](http://dx.doi.org/10.1038/jcbfm.2013.4) published online 30 January 2013

Keywords: age; blood pressure; cerebral oxygenation; near-infrared spectroscopy; wavelet transform

## INTRODUCTION

Aging is associated with marked structural and functional alterations in the cerebrovascular and cardiovascular systems. $1-3$ Age-correlated alterations in cerebral vasculature and autoregulatory mechanisms are the subject of interest in many studies.<sup>4-7</sup>

Near-infrared spectroscopy (NIRS) is particularly sensitive to the microvasculature and can measure changes specifically in the concentration of oxyhemoglobin  $(HbO<sub>2</sub>)$  and deoxy-hemoglobin (Hb).<sup>[8–11](#page-6-0)</sup> Mehagnoul-Schipper *et al*<sup>[12](#page-6-0)</sup> showed a decreased cortical HbO<sub>2</sub> concentration and increased cortical Hb concentration in elderly subjects after posture change, and these findings indicate that regulation of cerebral oxygenation (CO) alters with increasing age. Safonova et  $a^4$  $a^4$  used frequency-domain NIRS to measure changes in cerebral tissue oxygenation and in  $HbO<sub>2</sub>$  and Hb concentrations caused by hypoxia during breath holding and observed age-correlated changes in the cerebral hemodynamics of normal subjects at rest.

The spectral analysis of signals, oxygenated  $[HbO<sub>2</sub>]$  and deoxygenated [Hb], deals with the dynamics of tissue oxygena-tion.<sup>[10,11](#page-6-0)</sup> Spontaneous oscillations are often found in the spectral analysis of CO signals measured using NIRS.<sup>5,10,11,13-15</sup> The power spectrum of CO signals shows oscillations in various frequency bands. Obrig et  $a^{19}$  $a^{19}$  $a^{19}$  showed low frequency (LF) oscillations centered at  $\sim$ 0.1 Hz and slower oscillations at  $\sim$ 0.04 Hz in the<br>human brain using NIRS. Tachtsidis *et al*<sup>[16](#page-6-0)</sup> showed oscillations in

various frequency bands including very low frequency, 0.02 to 0.04 Hz, LF 0.04 to 0.15 Hz, and high frequency 0.15 to 0.4 Hz.

Spectral analyses were performed using Fourier transform or autoregressive methods. However, Fourier transformation fails either in following up the time evolution of high frequency events or the estimation of the frequency content of the LF band.<sup>[17,18](#page-6-0)</sup> Wavelet transform provides windows of adjustable length, thereby offering the benefit of showing high revolution in both high<br>frequency and LF components.<sup>[18](#page-6-0)</sup> Compared with autoregressive estimation, wavelet transform is calculated directly from data, and the limitations of linear modeling and the choice of model order are thus avoided.<sup>18</sup> Using wavelet transform, Stefanovska and co-authors studied blood flow oscillations in cardiovascular system with five frequency intervals (I, 0.0095 to 0.02 Hz; II, 0.02 to 0.06 Hz; III, 0.06 to 0.15 Hz; IV, 0.15 to 0.4 Hz; V, 0.4 to 1.6 Hz).<sup>[17,18](#page-6-0)</sup> In our previous studies, similar intervals were identified using the wavelet transform of NIRS signals recorded from the prefrontal lobe.<sup>[10,11](#page-6-0)</sup>

Spontaneous LF oscillations of  $HbO<sub>2</sub>$  and Hb decline strongly with aging at rest.<sup>[5](#page-6-0)</sup> The correlation values in the interaction of cerebral and cardiovascular LF oscillations exhibit significant increases under orthostatic stress in young subjects but not in old subjects.<sup>[19](#page-6-0)</sup> In this study, we hypothesize that spontaneous oscillations in CO and arterial blood pressure (ABP) change in elderly subjects because of aging. Accordingly, this study aims to analyze the spectral power of CO and ABP signals, and

<sup>&</sup>lt;sup>1</sup>Interdisciplinary Division of Biomedical Engineering, Faculty of Engineering, The Hong Kong Polytechnic University, Hong Kong, China; <sup>2</sup>School of Mechanical Engineering Shandong University, Jinan, PR China and <sup>3</sup>Hospital of Shandong University, Jinan, PR China. Correspondence: Dr Z Li, School of Mechanical Engineering, Shandong University, 17923 Jingshi Road, Jinan 250061, PR China.

E-mail: [zyongli@sdu.edu.cn](mailto:zyongli@sdu.edu.cn)

This project was supported by the National Natural Science Foundation of China (Grant No. 81071223), Shandong Provincial Natural Science Foundation (ZR2010HM024), and Independent Innovation Foundation of Shandong University (IIFSDU, 2010JQ007).

Received 13 August 2012; revised 15 December 2012; accepted 7 January 2013; published online 30 January 2013

Female sex

(mm Hg)

(mm Hg)

Systolic blood pressure

Diastolic blood pressure

Table 1. Characteristics of the participants

determine age-related changes in spontaneous oscillations in CO and ABP at rest.

## MATERIALS AND METHODS

#### Subjects

A total of 35 subjects were recruited from Shandong university to participate in this study, among whom 20 were young subjects (age:  $27.3 \pm 7.1$  years; Group Young) and 15 were elderly subjects (age: 70.8  $\pm$  5.1 years; Group Old). Table 1 shows the characteristics of the participants.

Excluded from the study were subjects with (1) hypertension; (2) diabetes mellitus; (3) subarachnoid hemorrhage; (4) insufficiency of the heart, lungs, kidneys, and liver; (5) smoking or drinking habits, and (6) additional medications (angiotensin-converting enzyme, inhibitors/angiotensin II receptor blockers, and calcium channel blockers). A diagnosis of hypertension was made if systolic blood pressure (BP)  $\geqslant$  140 mm Hg or diastolic BP  $\geq$ 90 mm Hg.<sup>[20](#page-6-0)</sup>A diagnosis of diabetes mellitus was based on clinical assessment or fasting serum glucose level. Hypercholesterolemia was defined as a total fasting serum cholesterol level of  $\geqslant$  220 mL/dL.

Before the experiment, basic subject information, including age, weight, height, BP, and brief medical history, was recorded (Table 1). Informed consent was obtained from all subjects. The experimental procedures were approved by the Human Ethics Committee of Shandong University and were in accordance with the ethical standards specified by the Helsinki Declaration of 1975 (revised in 1983).

#### Measurement

Data for NIRS signal and ABP were obtained from simultaneous measurements. The NIRS method depends on the differential absorption properties of hemoglobin in the NIR region between 700 and 1,000 nm.<sup>[8](#page-6-0)</sup> At 760 nm, the absorption spectrum of Hb is greater than that of  $HbO<sub>2</sub>$ ; the opposite is true at 850 nm. The Tissue Saturation An Heng monitor (TSAH-100) uses the difference in absorbance between these two wavelengths to measure the change in regional blood volume (or change in total hemoglobin). Tissue absorbency measurements were recorded and converted into optical density (OD) units using the modified Beer– Lambert law.<sup>21</sup> Based on the modified Beer–Lambert law, we have<sup>2</sup>

$$
\Delta OD^{\lambda_1} = (e_{HbO_2}^{\lambda_1} \Delta C_{HbO_2} + e_{Hb}^{\lambda_1} \Delta C_{Hb}) r \times DPF
$$
\n(1)

$$
\Delta OD^{\lambda_2} = (e_{HbO_2}^{\lambda_2} \Delta C_{HbO_2} + e_{Hb}^{\lambda_2} \Delta C_{Hb}) r \times DPF
$$
 (2)

where  $\Delta OD^{\lambda}$  is the change in OD measured at a given wavelength,  $\lambda_1$  and  $\lambda_2$  are the wavelengths of two light-emitting diodes,  $\varepsilon$  is the extinction coefficient,  $\Delta C_{HbO2}$  and  $\Delta C_{HbO2}$  are the changes in the chromophore concentrations of  $HbO<sub>2</sub>$  and  $Hb$ , r is the distance between the source and the detector, and DPF is the differential pathlength factor (unitless) that accounts for the increased distance that light travels from the source to the detector because of scattering and absorption effects. Based on the  $\Delta OD^{\lambda}$ measurements at the two wavelengths, the concentration changes in  $HbO<sub>2</sub>$  and Hb were calculated using equations (1) and (2). The concentration change in total hemoglobin was calculated as follows:

$$
\Delta[\text{tHb}] = \Delta[\text{Hb}] + \Delta[\text{HbO}_2] \tag{3}
$$

The difference in OD between these two wavelengths was considered as an index of tissue oxygenation, whereas the sum signal reflected the relative change in blood volume, which is proportional to [tHb]. The relative changes in concentration including  $\Delta[Hb]$ ,  $\Delta[HbO_2]$ , and  $\Delta[Hb]$ were calculated in real time using the modified Beer–Lambert law and the tissue oxygenation index (TOI) was calculated in real time using the spatially resolved spectroscopy (SRS) algorithm.<sup>[21](#page-6-0)</sup>

After the age, height and body mass of the subjects were recorded, NIRS measurements were obtained using the TSAH-100 developed by Tsinghua University, China. The eyes of the subjects were open during data acquisition. To minimize head movements, the subjects were in comfortable sitting posture, and their gaze was controlled by presenting a crosshair on the computer monitor. The sensor consisted of a twowavelength light-emitting diode and two PIN diodes. The former component served as the source and emitted light at wavelengths of 760 and 850 nm, whereas the latter components served as the detectors. Photons could penetrate the overlying tissues into the cerebral cortex (gray matter) when the distance between the detector and the source is  $\geqslant$  30 mm, and the penetration depth could reach its maximum value when the distance is 40 mm.<sup>[21](#page-6-0)</sup> Therefore, the distances between the light source and the two detectors were set to 30 mm (S1) and 40 mm (S2), respectively. The differential signal  $(S1 - S2)$  in the OD recorded by the two detectors was used to obtain the cortical signal. This configuration was validated by Teng et  $al^{21}$ . The forehead of each subject was cleaned using medical alcohol and then the sensors were carefully fixed using a flexible and adhesive fixation pad and an elastic band. A sensor was placed on the left forehead, 1.5 cm lateral to the cerebral midline to avoid the sagittal sinus, and at least 2 cm above the eyebrow to avoid the frontal sinus. The sensor was secured with a tensor bandage wrapped around the forehead, taking sufficient care to ensure there would be no admission of background light. and standard deviations, and Chi-square test for percentages.

\*<0.01. <sup>a</sup>Values are presented as means and standard deviations and percentages. <sup>b</sup>P values for differences are calculated using t-test for means

Characteristic  $Youna^a$  Old<sup>a</sup> P for

Age (years) 27.3 (7.1) 70.8 (5.1) 0.000\* Body mass index (BMI) 21.1 (2.0) 24.8 (1.7) 0.006<br>
Female sex 20% 30% 0.558

124 (14) 122 (8) 0.841

82 (8) 73 (5) 0.706

The sampling rate of the NIRS-derived signals was set to 10 Hz. The CO signal was monitored for 10 minutes at the frontal lobe using NIRS. The continuous BP waveform was monitored noninvasively with a transducer attached to the wrist using a BP analysis system (FDP-I, Shanghai Science Teaching Co., Shanghai, China) at a sampling of 250 Hz. The measured BP data were resampled to 10 Hz using the spline interpolation method provided by Matlab (The Mathworks, Inc, Natick, MA, USA).

#### Preprocessing of Data for Spectral Analysis

In this study, wavelet transform was used to detect and remove movement-related artifacts. In particular, wavelet transform was applied to the NIRS time series to decompose them into signal and uncorrelated noise components in distinct scales. Using the default threshold criterion, unknown artifacts in NIRS data were removed. This method was showed in detail in our recent paper. $^{23}$  In addition, the average of whole recorded segment in ODs was used for signal normalization to avoid systematic differences between subjects and groups.

#### Spectral Analysis

The method of wavelet transform was described previously in detail. $^{24}$  $^{24}$  $^{24}$  A continuous wavelet transform projects a signal s on a family of zero-mean functions, called the wavelets, deduced from an elementary function, called the mother wavelet  $\Psi(u)$ , through translations and dilations.<sup>[17](#page-6-0)</sup> The continuous wavelet transform of a signal s is defined as

$$
\tilde{g}(s,t) = \int_{-\infty}^{+\infty} \Psi_{s,t}(u)g(u)du
$$
 (4)

where  $\tilde{g}(s,t)$  is a wavelet coefficient and  $\Psi_{s,t}(u)$  is a wavelet function, which is defined as

$$
\Psi_{s,t} = \frac{1}{\sqrt{s}} \Psi\left(\frac{u-t}{s}\right) \tag{5}
$$

where  $t$  is time, and  $s$  is scale related to the frequency  $f$ , which can be expressed as  $f = f_0/s$ , where  $f_0$  determines the current frequency resolution. Setting  $f_0 = 1$  Hz, we obtain the simple relation  $f = 1/s$ .

The continuous wavelet transform is a mapping of the function  $g(u)$  onto the time-frequency plane. Through adjustment of the window used in wavelet transform, slower and faster events can be categorized accord-ingly.<sup>[17](#page-6-0)</sup> In this study, Morlet wavelet was selected for the wavelet transform analysis. Morlet wavelet is a product of a sine wave and a bell-shaped analysis, motion wavelet is a product of a simple time–frequency Gaussian distribution function that allows the best time–frequency localization within the limits provided by the uncertainty principle.<sup>[17](#page-6-0)</sup> The wavelet transform was calculated in the frequency interval of 0.005 to 2 Hz. The upper limit of 2 Hz was set to include the heart rate frequency, whereas the lower limit was selected to include possible regulatory

## 693

 $difference<sup>b</sup>$ 



Figure 1. Typical time series of simultaneous recordings of arterial blood pressure (ABP) for one young subject (A) and its average power spectrum shown on a log scale (B), and one elderly subject (C), and its average power spectrum shown on a log scale (D). Vertical lines indicate the outer limits of the frequency interval: (I) 0.005 to 0.02 Hz, (II) 0.02 to 0.06 Hz, (III) 0.06 to 0.15 Hz, (IV) 0.15 to 0.4 Hz, and (V) 0.4 to 2 Hz.

mechanisms of the tissue oxygenation signal.<sup>[17,18](#page-6-0)</sup> The wavelet transform was calculated using a logarithmic frequency resolution, and the frequency axes were thus presented logarithmically. This procedure is particularly appropriate for the estimation of the LF component.

Quantitative measures were introduced to enable comparisons among sets of signals.<sup>[17](#page-6-0)</sup> Given that an oscillatory component in a signal can be characterized by its instantaneous frequency and corresponding amplitude, the average amplitude within a given frequency interval can be determined as

$$
A_i(f_{i1}, f_{i2}) = \frac{1}{t_w} \int_{0}^{tw} \int_{1/f_1}^{1/f_2} \frac{1}{s^2} |\tilde{g}(s,t)|^2 ds dt
$$
 (6)

where A is the amplitude and ds and dt are the derivatives of scale and time, respectively. The frequencies  $f_{i1}$  and  $f_{i2}$  are the lower and upper bounds of the ith frequency interval. The power is averaged over the time  $t_{\rm w}$ , for which the wavelet transform is calculated, and  $\tilde{g}(s,t)$  is the continuous wavelet transform of a signal  $q(u)$ . Details on these variables are discussed elsewhere.<sup>25</sup>

The relative or normalized amplitude is expressed as

$$
a_i(f_{i1}, f_{i2}) = \frac{A_i(f_{i1}, f_{i2})}{A_{\text{total}}}
$$
 (7)

where  $a_i$  is the relative amplitude within the *i*th frequency interval and  $A_{total}$  is the average amplitude obtained over the entire frequency range under observation.

#### Statistical Analysis

The values were expressed as the median (standard deviations) or percentages. The data of each subject were tested for the normality (Kolmogorov–mirnov test) at the group level and homogeneity of variance (Levene test) to ensure that they fulfilled the assumption required by the parameter analysis. Significant differences in characteristics between young and elderly subjects were determined using t-test for means and standard deviations, and Chi-square test for percentages. Two-way ANOVA with factors group and frequency band was used to study the main effects of group (age) on the spontaneous oscillations. Post hoc analyses between the two groups were performed using adjusted Bonferroni comparison tests (the number of comparisons being corrected is 50). The Bonferroni correction controls the experiment-wise alpha well, but this correction is very conservative and results in greatly diminished power to detect differentiation among pairs of sample collections.<sup>[26](#page-6-0)</sup> There were 50 post hoc comparisons in this study. With an alpha  $= 0.05$ , the new significant level for all multiple comparisons should be  $0.05/50 = 0.001$ . However, by using such a small alpha, the acceptance range becomes too wide and a large number of type II errors would occur and the tests would have limited statistical power. As a potential solution, Chandler<sup>[27](#page-6-0)</sup> suggested that the sacrificial loss of power can be avoided by choosing an experiment-wise error rate higher than the usually accepted 5%, which results in a balance between different types of errors. Chandler<sup>27</sup> recommended that error rates of 10% to 15% are appropriate levels of control on experiment-wise error (especially for large numbers of tests). In this study, the experimentwise error rate was set at 15% and the P-critical value could be adjusted to 0.003. Here, we adjusted the P values for post comparisons at the level of 0.003 instead of the  $P$  threshold. The adjusted  $P$  value was equal to unadjusted  $P$  value  $\times$  (0.05/0.003). Pearson correlation analysis was performed to test the general correlation between the means of variables at the group level (SPSS version 11.0, SPSS Inc., Chicago, IL, USA). A difference with  $P<0.05$  was considered as statistically significant.

## RESULTS

## Spontaneous Oscillations in Cerebral Oxygenation and Arterial Blood Pressure

In this study, periodic oscillations with five frequencies intervals in ABP and CO were identified (Figures 1 and 2): I (0.005 to 0.02 Hz), II (0.02 to 0.06 Hz), III (0.06 to 0.15 Hz), IV (0.15 to 0.5 Hz), and V (0.5 to 2 Hz). [Figures 3A and 3B](#page-3-0) show a comparison of the absolute (A) and relative amplitudes (B) of ABP for the five frequency intervals between the young and elderly subjects. Two-way ANOVA showed that the group (age) has significant effects on the relative amplitudes of ABP oscillations ( $F = 5.914$ ,  $P = 0.016$ ). The relative amplitudes of the ABP in frequency interval I were significantly lower by 12%  $(P = 0.016)$  in the elderly subjects than in the young subjects.

Two-way ANOVA showed that the group has significant effects on the average amplitudes of CO oscillations (for  $\Delta$ [Hb], F = 6.340,  $P = 0.013$ ;  $\Delta$ [HbO<sub>2</sub>], F = 14.249, P < 0.001;  $\Delta$ [tHb], F = 7.653,  $P = 0.006$ ; and TOI,  $F = 36.727$ ,  $P < 0.001$ ). [Figure 4](#page-4-0) shows a comparison of the average amplitudes of the CO signal for the five frequency intervals between the young and elderly subjects. The amplitude of the  $\Delta$ [Hb] in frequency interval V in the elderly subjects was found to be significantly lower by 64% ( $P = 0.032$ )

<span id="page-3-0"></span>

Figure 2. Typical time series of simultaneous recordings of cerebral oxygenation  $(A[HbO<sub>2</sub>])$  for one young subject (A) and its average power spectrum shown on a log scale (B), and one elderly subject (C), and its average power spectrum shown on a log scale (D). Vertical lines indicate the outer limits of the frequency interval: (I) 0.005 to 0.02 Hz, (II) 0.02 to 0.06 Hz, (III) 0.06 to 0.15 Hz, (IV) 0.15 to 0.4 Hz, and (V) 0.4 to 2 Hz.

than that in the young subjects ([Figure 4A\)](#page-4-0). The amplitudes of  $\Delta$ [HbO<sub>2</sub>] in frequency intervals I to V were significantly lower by 42% ( $P = 0.046$ ), 47% ( $P = 0.022$ ), 56% ( $P = 0.025$ ), 66% ( $P = 0.029$ ), and 85% ( $P = 0.021$ ), respectively, in the elderly subjects than in the young subjects [\(Figure 4B](#page-4-0)). The amplitude of the TOI in intervals I to V was significantly lower by 12% ( $P = 0.012$ ), 24%  $(P = 0.017)$ , 41%  $(P = 0.024)$ , 54%  $(P = 0.032)$ , and 69%  $(P = 0.038)$ , respectively, in the elderly subjects than in the young subjects [\(Figure 4D](#page-4-0)).

Two-way ANOVA showed that the group has significant effects on the relative amplitudes of  $\Delta$ [Hb] (F = 9.478, P = 0.002),  $\Delta$ [HbO<sub>2</sub>]  $(F = 12.201, P = 0.001), \Delta[tHb]$   $(F = 10.698, P = 0.001),$  and TOI  $(F = 24.263, P < 0.001)$ . [Figure 5](#page-4-0) shows a comparison of the relative amplitudes of CO signals for the five frequency intervals between the young and elderly subjects. The relative amplitude of the  $\Delta$ [Hb] in frequency intervals IV and V was significantly lower by 19% ( $P = 0.011$ ) and 38% ( $P = 0.026$ ) in the elderly subjects than in the young subjects [\(Figure 5A\)](#page-4-0). The amplitude of the  $\Delta$ [HbO<sub>2</sub>] in intervals IV and V was significantly lower by 33% ( $P = 0.049$ ) and 65% ( $P < 0.01$ ), respectively, in the elderly subjects than in the young subjects ([Figure 5B](#page-4-0)). The amplitude of the  $\varDelta$ [tHb] in intervals IV and V was significantly lower by 27% ( $P = 0.042$ ) and 28% ( $P = 0.017$ ), respectively, in the elderly subjects than in the young subjects [\(Figure 5C](#page-4-0)).The amplitude of the TOI in frequency intervals III to V was significantly lower by 22% ( $P = 0.041$ ), 77%  $(P = 0.032)$ , and 80% ( $P = 0.02$ ), respectively, in the elderly subjects than in the young subjects at rest [\(Figure 5D](#page-4-0)).

Correlation Between Spontaneous Oscillations in the CO and Arterial Blood Pressure

[Table 2](#page-5-0) shows the correlations between spontaneous oscillations in CO ( $\Delta$ [HbO<sub>2</sub>]) and ABP in the young and elderly subjects. In the young subjects, significant correlations were found between the ABP oscillations in interval II and the cerebral oscillations in intervals I to V ( $P = 0.013$ ,  $P = 0.023$ ,  $P = 0.013$ ,  $P = 0.041$ , and  $P = 0.008$ , respectively), as well as between ABP oscillations in



Figure 3. Comparison of the amplitudes of arterial blood pressure (ABP) signal (A) absolute amplitude and (B) relative amplitude in the five frequency intervals between the young and old subjects. (I) 0.005 to 0.02 Hz, (II) 0.02 to 0.06 Hz, (III) 0.06 to 0.15 Hz, (IV) 0.15 to 0.4 Hz, and (V) 0.4 to 2 Hz. Significant differences are marked between the young and old subjects with  $*P<0.05$ .

<span id="page-4-0"></span>





Figure 4. Comparison of the amplitudes of cerebral near-infrared (NIR) signal (A)  $\Delta$ [Hb], (B)  $\Delta$ [HbO<sub>2</sub>], (C)  $\Delta$ [tHb], and (D) tissue oxygenation index (TOI) in the five frequency intervals between the young and old subjects. (I) 0.005 to 0.02 Hz, (II) 0.02 to 0.06 Hz, (III) 0.06 to 0.15 Hz, (IV) 0.15 to 0.4 Hz, and (V) 0.4 to 2 Hz. Significant differences between the young and old subjects are marked with  $*P<0.05$ .

Figure 5. Comparison of the relative amplitudes of cerebral nearinfrared (NIR) signal (A)  $\Delta$ [Hb], (B)  $\Delta$ [HbO<sub>2</sub>], (C)  $\Delta$ [tHb], and (D) tissue oxygenation index (TOI) in the five frequency intervals between the young and old subjects. Significant differences between the young and old subjects are labeled with  $*P<0.05$  and  $*P<0.01$ .



<span id="page-5-0"></span>Table 2. Correlations between spontaneous oscillations in cerebral oxygenation  $(\Delta[\text{HbO}_2])$  and arterial blood pressure (ABP) in the young and old subjects



interval II and the cerebral oscillations in intervals I to V ( $P = 0.046$ ,  $P = 0.022$ ,  $P = 0.012$ ,  $P = 0.014$ , and  $P = 0.030$ , respectively). However, no significant correlation was found in spontaneous oscillations between CO  $(\Lambda[\text{HbO}_2])$  and ABP in the elderly subjects.

# **DISCUSSION**

In this study, spontaneous oscillations in CO and ABP were assessed using wavelet transform in young and elderly subjects. Spontaneous oscillations with five characteristic frequencies were found in the cortex and ABP based on the wavelet transform of NIRS and ABP signals. Our results show that the CO oscillations were significantly lower in the elderly subjects than in the young subjects. In addition, the elderly subjects had lower spontaneous ABP oscillation in the relative amplitudes in interval I compared with the young subjects at rest.

Explaining the source of an oscillation at a given frequency is often controversial in the literature. The oscillations in frequency interval I (0.005to 0.02 Hz) were identified and investigated by Stefanovska et al.<sup>[17,18](#page-6-0)</sup> Stefanovska et al<sup>17,18</sup> performed a simultaneous iontophoretic application of acetylcholine (an endothelialdependent vasodilator) and sodium nitroprusside (endothelial independent), and revealed that oscillations at  $\sim$  0.01 Hz originate from endothelial-related metabolic activity. Although the functional and structural characteristics of blood vessels change with successive branching, a smooth single-celled layer of endothelial cells or endothelium that lines the inner surface of the vessels, is commonly found in the entire cardiovascular system.<sup>[18](#page-6-0)</sup> Capillaries consist only of endothelium, whereas all other vessels have additional layers of connective tissue and smooth muscle[.18](#page-6-0) Metabolic regulation is the process by which blood flow is controlled based on the concentrations of metabolites.<sup>18</sup> The most important role of such metabolic regulation is to adjust the blood flow to satisfy the oxygen requirement of cells.<sup>[25](#page-6-0)</sup> In the present study, CO oscillations  $(A[HbO<sub>2</sub>]$  and TOI) in the elderly subjects declined in this interval. The lower amplitude of the oscillations in this frequency interval indicates that the dilatatory ability of cerebral vessels may decline with age.

In this study, the spontaneous oscillations in ABP exhibited relatively lower spontaneous activities in interval I in the elderly subjects. Aging per se is associated with structural and functional changes that can take place at the level of the vascular smooth muscle and the endothelium of blood vessels.<sup>[26](#page-6-0)</sup> Endothelial dysfunction is one of the characteristic changes that occur with age, independently of other known cardiovascular risk factors.<sup>[28](#page-6-0)</sup>

The decreased amplitude in endothelial component suggests that the vasodilatory capability of the vessels in the elderly subjects was decreased compared with that of the vessels in the young subjects. Vasodilation mechanism involves stimuli resulting from the release of mediators from the endothelium.<sup>[29,30](#page-6-0)</sup> Endothelial cells act as a source of several vasoactive substances that control the contraction and relaxation of smooth muscles by releasing vasodilators such as nitric oxide and vasoconstrictors.<sup>[31](#page-6-0)</sup> Therefore, a decrease in spontaneous oscillations could be the result of the endothelial layer stiffening.

Within the brain, hemodynamic parameters are closely regulated through tight neurovascular coupling and partial autonomic control in frequency interval II (0.02 to  $0.06$  Hz).<sup>32</sup> The peak at  $\sim$  0.03 Hz was observed in BP, skin blood flow, and CO signals.<sup>[16,17](#page-6-0)</sup> Kastrup et  $a^{33}$  $a^{33}$  $a^{33}$  found that the oscillation at  $\sim$  0.03 Hz disappeared after local and ganglionic nerve blockade in chronically sympathectomized human tissue. After ganglion blockade, Zhang et  $a^{\beta^2}$  found that the transfer function gain between beat-to-beat changes in arterial pressure and cerebral blood flow velocity increased and that the phase lead of cerebral blood flow velocity to arterial pressure diminished at very low frequency  $<$  0.07 Hz. These results suggest that the oscillation in interval II is a vascular reaction of neurogenic origin. The continuous activity of the autonomous nervous system serves to maintain the basal level of vessel contraction. The nerves release substances that affect the activities of smooth muscles, leading to changes in the vessel radii and resistance.<sup>[18](#page-6-0)</sup> In the present study, reduced cerebrovascular response in this interval might suggest changes in neurovascular regulatory in elderly persons.

The LF oscillations at  $\sim$  0.1 Hz in HbO<sub>2</sub> in concentration are associated with vasomotion in an intact adult brain.<sup>[34,35](#page-7-0)</sup> Spontaneous activity recorded in microvascular smooth muscle cells was shown to lie in this range (0.07 to 0.1 Hz).<sup>[33](#page-7-0)</sup> The vascular smooth muscles contract or relax in response to an increase or a decrease in intravascular pressure, respectively.<sup>[18](#page-6-0)</sup> The cerebral oscillations in interval III were suggested to originate locally from the intrinsic myogenic activity of smooth muscle cells in resistance vessels and this myogenic mechanism may be partly under<br>autonomic control.<sup>[14,18,36,37](#page-6-0)</sup> Spontaneous LF oscillations of HbO<sub>2</sub> and Hb decline strongly with aging at rest and in cerebral microangiopathy.[5,13](#page-6-0) Reduction in spontaneous LF oscillations might indicate a declining spontaneous activity in microvascular smooth muscle cells and increased vessel stiffness with aging.<sup>[5](#page-6-0)</sup> In the present study, the decreased amplitude in this interval might indicate a reduced contractility of the smooth muscle layer of the arteriole in the elderly subjects.

The link between spectral features and heart rate and respiration is well established.<sup>[10,38](#page-6-0)</sup> The relative amplitude of  $\Delta$ [HbO<sub>2</sub>] or TOI in intervals IV (0.15 to 0.4 Hz) and V (0.4 to 2 Hz) was significantly lower in the elderly subjects than in the young subjects. The oscillations in intervals IV and V reflected the influence of respiratory and cardiac activities, which serve as pumps that drive blood through the vessels.<sup>[18](#page-6-0)</sup> The effect of the cardiac and respiratory pumping is manifested in the vessels. The decrease in these oscillations might be partly attributed to the degeneration of lung and heart function in elderly subjects. The average amplitudes in Hb or tHb were also higher in the young subjects than in elderly subjects [\(Figures 4A and 4C\)](#page-4-0). Considering that the amplitude in [Hb] was lower than that in  $[HbO<sub>2</sub>]$  at rest, the average amplitudes in  $[Hb]$  or  $[Hb]$  did not show significant difference in the present sample size.

In this study, significant negative correlations between the CO and ABP oscillations were found in the young subjects but not in elderly subjects. Interestingly, CO was significantly negatively correlated with ABP oscillations in intervals II and III in the young subjects but not in the elderly subjects. The results of the present study may be explained by the physiology of the oscillations. The observed correlations reflect neural control of the cerebral

<span id="page-6-0"></span>698

circulation. The negative correlations might indicate the nonsynchronization of the CO and ABP oscillations under observation. The present results suggest that the coupling between the cerebrovascular and cardiovascular systems is impaired with aging at rest. Further studies should focus on the phase difference of CO and ABP oscillations in time series using wavelet analysis.

# Methodological Considerations

The NIR light must first pass through the superficial tissue layers (scalp and skull) before reaching the cortex. Therefore, these superficial layers may cause noise and nonspecific hemodynamic variations, which could contaminate the measured signal. Interferences from superficial layers are often referred to as 'global interference'.[39](#page-7-0) Therefore, the contribution of skin blood flow to NIR measurements of tissue oxygenation might potentially confound interpretation of the NIR-derived signal. Previous studies reported that depth-resolved measurements can be effectively achieved using detectors at short (~1 cm) and long<br>(>3 cm) distances from the source.<sup>[40](#page-7-0)</sup> In the present study, we used one light source and two detectors placed at 30 and 40 mm from the source to separate extracerebral (scalp and skull) and brain hemodynamic signals. For such configurations, the differences in the OD as detected by the two detectors were mainly attributed to the tissue (cortex) absorption. In the present study, we attempted to prevent the entry of background light using an elastic bandage to attach the probes firmly on the forehead. Considering that spontaneous oscillations are posture dependent,<sup>16</sup> NIRS and ABP measurements were performed in the subjects in a comfortable sitting posture, and the NIRS sensors were set up in predefined position.

## Summary

In summary, the decrease observed in the spontaneous oscillations in the cerebral  $\triangle$ [HbO<sub>2</sub>] and TOI signals suggests the presence of a cerebrovascular degenerative process because of aging. In particular, the contribution of respiratory and cardiac activities in the regulation of cerebral perfusion was lower in the elderly subjects than in the young subjects. The relatively lower amplitudes in frequency interval I in ABP suggest the existence of a stiffening endothelial layer because of aging in elderly subjects. These findings indicate that spontaneous oscillations in CO could be used as an indicator of cerebrovascular changes and could be used to identify the risk for cerebrovascular degenerative processes.

# DISCLOSURE/CONFLICT OF INTEREST

The authors declare no conflict of interest.

## **REFERENCES**

- 1 Kastrup A, Dichgans J, Niemeier M, Schabet M. Changes of cerebrovascular CO<sub>2</sub> reactivity during normal aging. Stroke 1998; 29: 1311–1314.
- 2 Matteis M, Troisi E, Monaldo BC, Caltagirone C, Silvestrini M. Age and sex differences in cerebral hemodynamics: a transcranial Doppler study. Stroke 1998; 29: 963–967.
- 3 Buckner RL, Snyder AZ, Sanders AL, Raichle ME, Morris JC. Functional brain imaging of young nondemented, and demented older adults. J Cogn Neurosci 2000; 12 (Suppl. 2): 24–34.
- 4 Safonova LP, Michalos A, Wolf U, Wolf M, Hueber DM, Choi JH et al. Age-correlated changes in cerebral hemodynamics assessed by near-infrared spectroscopy. Arch Gerontol Geriatr 2004; 39: 207–225.
- 5 Schroeter ML, Schmiedel O, von Cramon DY. Spontaneous low-frequency oscillations decline in the aging brain. J Cereb Blood Flow Metab 2004; 24: 1183–1191.
- 6 van Beek AHEA, Claassen JAHR, Rikkert MGMO, Jansen RWMM. Cerebral autoregulation: an overview of current concepts and methodology with special focus on the elderly. J Cereb Blood Flow Metab 2008; 28: 1071–1085.
- Journal of Cerebral Blood Flow & Metabolism (2013), 692 699 **And Contact Con**
- 7 Edlow BL, Kim MN, Durduran T, Zhou C, Putt ME, Yodh AG et al. The effects of healthy aging on cerebral hemodynamic responses to posture change. Physiol Meas 2010; 31: 477–495.
- 8 Obrig H, Hirth C, Junge-Hulsing J, Doge C, Wolf T, Dirnagl U et al. Cerebral oxygenation changes in response to motor stimulation. J Appl Physiol 1996; 81: 1174–1183.
- 9 Obrig H, Neufang M, Wenzel R, Kohl M, Steinbrink J, Einhäupl K et al. Spontaneous low frequency oscillations of cerebral haemodynamics and metabolism in human adults. Neuroimage 2000; 12: 623–639.
- 10 Li ZY, Wang YH, Li Y, Wang Y, Li JP, Zhang LL. Wavelet analysis of cerebral oxygenation signal measured by near-infrared spectroscopy in subjects with cerebral infarction. Microvasc Res 2010; 80: 142–147.
- 11 Li ZY, Zhang M, Xin Q, Chen GQ, Liu FF, Li JP. Spectral analysis of near-infrared spectroscopy signals measured from prefrontal lobe in subjects at risk for stroke. Med Phys 2012; 39: 2179–2185.
- 12 Mehagnoul-Schipper DJ, Vloet LCM, WNJM Colier, Hoefnagels WHL, RWMM Jansen. Cerebral oxygenation declines in healthy elderly subjects in response to assuming the upright position. Stroke 2000; 31: 1615–1620.
- 13 Schroeter ML, Bücheler MM, Preul C, Scheid R, Schmiedel O, Guthke T et al. Spontaneous slow hemodynamic oscillations are impaired in cerebral microangiopathy. J Cereb Blood Flow Metab 2005; 25: 1675–1684.
- 14 Rowley AB, Payne SJ, Tachtsidis I, Ebden MJ, Whiteley JP, Gavaghan DJ. Synchronization between arterial blood pressure and cerebral oxyhaemoglobin concentration investigated by wavelet cross-correlation. Physiol Meas 2007; 28: 161–173.
- 15 Leung TS, Tisdall MM, Tachtsidis I, Smith M, Delpy DT, Elwell CE. Cerebral tissue oxygen saturation calculated using low frequency haemoglobin oscillations measured by near infrared spectroscopy in adult ventilated patients. Adv Exp Med Biol 2008; 614: 235–244.
- 16 Tachtsidis I, Elwell CE, Leung TS, Lee CW, Smith M, Delpy DT. Investigation of cerebral haemodynamics by near-infrared spectroscopy in young healthy volunteers reveals posture-dependent spontaneous oscillations. Physiol Meas 2004; 25: 437–445.
- 17 Stefanovska A, Bracic M, Kvernmo HD. Wavelet analysis of oscillations in the peripheral blood circulation measured by laser Doppler technique. IEEE Trans Biomed Eng 1999; 46: 1230–1239.
- 18 Shiogai Y, Stefanovska A, McClintock PVE. Nonlinear dynamics of cardiovascular ageing. Phys Rep 2010; 488: 51–110.
- 19 Peng T, Ainslie PN, Cotter JD, Murrell C, Thomas K, Williams MJA et al. The effects of age on the spontaneous low-frequency oscillations in cerebral and systemic cardiovascular dynamics. Physiol Meas 2008; 29: 1055–1069.
- 20 Jones WJ, Williams LS, Bruno A, Biller J. Hypertension and cerebrovascular disease. Semin Cerebrovasc Dis Stroke 2003; 3: 144–154.
- 21 Teng YC, Ding HS, Gong QC. Monitoring cerebral oxygen saturation during cardiopulmonary bypass using near-infrared spectroscopy: the relationships with body temperature and perfusion rate. J Biomed Opt 2006; 11: 024016.
- 22 Ding HS, Teng YC. Non-invasively monitoring human tissue oxygenation using near-infrared spectroscopy: independent innovations. Laser Optoelectron Progress (in Chinese) 2007; 44: 1–18.
- 23 Luo ST, Li ZY, Zhang M, Chen GQ. Detection and analysis of alcohol near-infrared spectrum in vitro and vivo based on wavelet transform. Spectrosc Spectr Anal 2012; 32: 1541–1546.
- 24 Li ZY, Tam EWC, Leung JYS, Mak AF. Wavelet analysis of skin blood oscillations in persons with spinal cord injury and normal subjects. Arch Phys Med Rehabil 2006; 87: 1207–1212.
- 25 Humeau A, Koïtka A, Abraham P, Saumet JL, L'Huillier JP. Time-frequency analysis of laser Doppler flowmetry signals recorded in response to a progressive pressure applied locally on anaesthetized healthy rats. Phys Med Biol 2004; 49: 843–857.
- 26 Narum SR. Beyond Bonferroni: less conservative analyses for conservation genetics. Conserv Genet 2006; 7: 783–787.
- 27 Chandler CR. Practical considerations in the use of simultaneous inference for multiple tests. Anim Behav 1995; 49: 524–527.
- 28 Matz RL, Andriantsitohaina R. Age-related endothelial dysfunction potential implications for pharmacotherapy drugs. Aging 2003; 20: 527–550.
- 29 Fromy B, Abraham P, Saumet JL. Non-nociceptive capsaicin-sensitive nerve terminal stimulation allows for an original vasodilatory reflex in the human skin. Brain Res 1998; 811: 166–168.
- 30 Fromy B, Merzeau S, Abraham P, Saumet JL. Mechanisms of the cutaneous vasodilator response to local external pressure application in rats: involvement of CGRP, neurokinins, prostaglandins and NO. Br J Pharmacol 2000; 131: 1161–1171.
- 31 Cines DB, Pollak ES, Buck CA, Loscalzo J, Zimmerman GA, McEver RP et al. Endothelial cells in physiology and in the pathophysiology of vascular disorders. Blood 1998; 91: 3527–3561.



- <span id="page-7-0"></span>32 Zhang R, Zuckerman JH, Iwasaki K, Wilson TE, Crandall CG, Levine BD. Autonomic neural control of dynamic cerebral autoregulation in humans. Circulation 2002; 106: 1814–1820.
- 33 Kastrup J, Bühlow J, Lassen NA. Vasomotion in human-skin before and after local heating recorded with laser Doppler flowmetry A method for induction of vasomotion. Int J Microcirc Clin Exp 1989; 8: 205-215.
- 34 Elwell CE, Springett R, Hillman E, Deply DT. Oscillations in cerebral haemodynamics. Implications for functional activation studies. Adv Exp Med Biol 1999; 471: 57–65.
- 35 Golenhofen K. Slow rhythms in smooth muscle. in: Bühlbring E, Brading AF, Jones AW, Tomita T (Eds.) Smooth Muscle. Edward Arnold Ltd: London, 1970, pp 316–342.
- 36 Pagani M, Montano N, Porta A, Malliani A, Abboud FM, Birkett C et al. Relationship between spectral components of cardiovascular variabilities and

direct measures of muscle sympathetic nerve activity in humans. Circulation 1997; 95: 1441–1448.

- 37 Harper AM, Deshmukh VD, Rowan JO, Jennett WB. The influence of sympathetic nervous activity on cerebral blood flow. Arch Neurol 1972; 27: 1–6.
- 38 Malliani A, Pagani M, Lombardi E. Physiology and clinical implications of variability of cardiovascular parameters with focus on heart rate and blood pressure. Am J Cardiol 1994; 73: 3C–9C.
- 39 Zhang Q, Brown EN, Strangman GE. Adaptive filtering to reduce global interference in evoked brain activity detection: a human subject case study. J Biomed Opt 2007; 12: 064009.
- 40 Strangman G, Franceschini MA, Boas DA. Factors affecting the accuracy of nearinfrared spectroscopy concentration calculations for focal changes in oxygenation parameters. Neuroimage 2003; 18: 865–879.