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## A Hemoglobin-based Multifunctional Therapeutic: Polynitroxylated Pegylated Hemoglobin (PNPH)

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### Abstract

Polynitroxylated pegylated hemoglobin (PNPH) as a multifunctional therapeutic takes advantage of the oxygen transport ability of hemoglobin, the anti-oxidative stress activities from the redox coupling of nitroxide and heme iron, and the hyper-colloid properties of pegylation. The published pre-clinical data demonstrating PNPH acts as a neurovascular-protective multifunctional therapeutic in an animal model simulating pre-hospital resuscitation of traumatic brain injury (TBI) with hemorrhagic shock (HS) is reviewed. Preliminary results on the potential utility of PNPH for neurovascular protection in thrombolytic stroke therapy and for correction of vascular dysfunction through transfusion in sickle cell disease (SCD) are also discussed. We hypothesize that with PNPH hemoglobin has more than been tamed – it has become a therapeutic and not just a non-toxic extracellular oxygen carrier - and that successful PNPH development as a multifunctional therapeutic that protects the neurovasculature and reduces oxidative stress may represent a paradigm shift in transfusion and critical care medicine, which may meet a number of un-met medical needs resulting from oxidative stress and inadequate blood flow, such as HS, TBI, SCD and stroke.

### Keywords

Nitroxide; Hemoglobin; poly (ethylene) glycol; oxidative stress; HBOC

### Current Generation HBOCs

A blood substitute that eliminates the need for refrigeration and crossmatching, can be manufactured in quantity, has a long shelf life, and reduces the risk of iatrogenic infection is a worthwhile goal. However, hemoglobin-based oxygen carriers (HBOCs) developed during the past half century and tested in humans have done more harm than good by increasing risk of death and myocardial infarction (1). This abysmal history, and the resulting negative views of HBOCs held by the medical, regulatory, and investment communities, means that a paradigm shift is required. The outcome of the 2008 FDA/NIH co-sponsored workshop on HBOCs was the view that in order to be successful, an HBOC must demonstrate a therapeutic index with evidence of both safety and efficacy (2). This is based primarily on the association of increased risk of heart attack and death but also in part on the lack of therapeutic efficacy of current generation HBOCs.

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The conventional wisdom concerning the causes of the adverse effects of experimental HBOCs is that the primary mechanism of toxicity is nitric oxide (NO) scavenging at the endothelium, causing vasoconstriction and, paradoxically for a product intended to deliver oxygen, compromising tissue perfusion and oxygenation (3). After unsuccessful phase II clinical trials of diaspirin cross-linked hemoglobin by Baxter (4), a number of HBOC developers sought to tame hemoglobin (Hb) by creating Hb derivatives, which either reduced NO binding or compensated for NO binding. These strategies included increasing the molecular weight of modified Hb to reduce Hb extravasation into the endothelium and binding of NO by 1) glutaraldehyde polymerization of human (5) and bovine Hb (6) by former Northfield Laboratories and Biopure Corp., respectively, and 2) O-raffinose cross-linking human Hb with residual unpolymerized tetramers by former Hemosol Inc. (7). An alternative strategy included genetically modifying Hb to reduce NO binding as was done by Baxter (8). These products were tested in advanced clinical trials but the results were negative (1).

Additionally, it appears that cell-free Hb derivatives tested to date also bring another set of toxicities in addition to NO scavenging. These toxicities are related to pro-oxidant activity, and are not addressed by the above approaches. They are the result of the combination of oxygen and heme iron in the absence of the controlling influence of the antioxidant enzymes of the red cell. The result can be generation of toxic molecules like superoxide, hydrogen peroxide, hydroxyl radical, oxoferryl porphyrin, etc. (3,4). Thus the reduction in oxygen delivery resulting from Hb's NO scavenging and vasoconstriction is further exacerbated by oxidative stress through a burst of superoxide generation from heme iron auto oxidation in the transfused HBOCs (9). In this view, cell-free Hb without appropriate regulation would actually add to the inflammatory insult of ischemia and reperfusion, adding to the underlying pathology in the very clinical situations where a blood substitute would be most useful. Polynitroxilation may well provide the controlling influence to tame the pro-oxidant activity of Hb (10).

In addition to lipid encapsulated or "cellular" hemoglobin as done by Waseda University and Terumo Corporation, the most recent strategies to develop cell-free hemoglobins that address both vasoconstriction *and* the pro-oxidant activity of cell-free Hb include:

1. Polynitroxylating the HBOC to introduce SOD- and catalase-mimetic activities by Hsia et. al. (10,17, 21).
2. Co-polymerizing the HBOC with the antioxidant enzymes SOD and catalase to neutralize the natural pro-oxidant activities of heme iron and to enhance the anti-oxidant and anti-inflammatory activities by D'Angillo and Chang (11).
3. Pharmacological cross-linking the HBOC with ATP, adenosine and reduced glutathione to diminish intrinsic toxic effect of cell-free Hb by Simoni et al. (12).
4. Limiting the excessive oxygen-delivery capacity of the HBOC by modifying the Hb in such a way that oxygen-binding affinity is increased ( $P_{50}$  is decreased) by Winslow (13).
5. S-Nitrosylating and PEGylating the HBOC to avoid NO scavenging by heme by Nakai. (14).
6. Adjunct therapies of nitric oxide inhalation by Zapol et. al. (15) or sodium nitrite therapy by Petal and Kerby et. al. (16) to attenuate the hypertensive effect of HBOC.

The ideal HBOC would not only expand circulatory volume and deliver oxygen, but also do so in a manner that treats the oxidative insult of ischemia and reperfusion. It should perform

at least as well as blood if not better. In fact, the ideal HBOC could conceivably perform better than stored red cells, given recent evidence that the safety of packed red cells drops off as they are stored for more than a week or two (18). We propose that PNPB with its added catalytic antioxidant activity of nitroxides may hold the key to meeting this challenge.

## A New Generation Hemoglobin Based Therapeutic - PNPB - Structure and Development

In this review we describe further refinement of the last approach through the creation of polynitroxylated pegylated Hb (PNPB). A schematic of PNPB is shown in Figure 1 and the legend gives structural details on the molecule. The PNPB has five structural and functional components contributing to its unique therapeutic activities: 1) Hb as the protein center provides oxygen carrier capability but is carbonylated to provide thermo stability and added anti-inflammatory activity, 2) the hydrated polyethylene glycol moieties of PNPB provide hyper-colloid properties important to stabilizing hemodynamics during hypotension and hypovolemia, 3) the nitroxide moieties of PNPB not only improve the safety of cell-free Hb but also provide anti-oxidant/anti-inflammatory and neuroprotective activities, 4) the desirable redox coupling of heme iron with the nitroxide is promoted in the stoichiometry, and 5) further redox coupling of the nitroxide/heme iron complex with endogenous plasma anti-oxidants such as ascorbate provides additional anti-oxidant activities (19). These added intra-vascular and extra-vascular coupled redox reactions that attenuate vasoconstriction and the vascular inflammatory effects of ischemia and reperfusion are the new anti-oxidative stress therapeutic activities of this unique PNPB. *Thus this approach represents a paradigm shift in blood substitute development, away from the traditional focus on oxygen delivery and NO supplementation and toward a new focus on safely correcting inadequate blood flow and oxidative stress.*

To support this paradigm shift, we review published and preliminary data on a PNPB formulation that is efficacious in oxidative stress models of traumatic brain injury (TBI) compounded by hemorrhagic shock (HS), stroke, and sickle cell disease (SCD). The data presented below support the following therapeutic functions:

1. PNPB normalizes blood flow, which is at least as important as or even more important than its ability to deliver oxygen. The new molecular design of PNPB greatly decreases the natural tendency of heme iron to auto oxidize and generate superoxide, thus preventing vasoconstriction and correcting inadequate blood flow.
2. PNPB has the ability to catalytically reduce oxidative stress through the redox coupling of the nitroxide moieties with the heme iron resulting in increased SOD- and catalase-mimetic activities, instead of the natural pro-oxidant activities of the heme iron, and thus converts PNPB to a potent neurovascular protectant.
3. PNPB has the ability to further redox couple with plasma ascorbate resulting in augmentation of SOD- and catalase-mimetic activities in the form of a novel peroxidase activity (19).
4. The PNPB structure of multiple (up to 14) nitroxides covalently labeled onto the core Hb which is shielded by a hydrated polyethylene glycol shell is what uniquely allows it to function as neurovascular protective multi-functional therapeutic in the vascular space.

Recent studies with controlled inhalation of CO and infusion of carboxy pegylated Hbs (PegHbs) have indicated that CO can have beneficial anti-inflammatory activity (20). In fact, both Sangart Inc. and Prolong Pharmaceuticals Inc. are now developing their respective PegHbs as CO formulations. Both companies are targeting approvals as orphan drugs for

SCD therapy. This confirms the benefits of the CO formulation of polynitroxylated dextran conjugated Hb used in an earlier report (21).

## Experimental Evaluation of PNPB

The multifunctional therapeutic effects of PNPB prepared from bovine PegHb is indicated by its efficacy in three indications: 1) TBI+HS, 2) stroke and 3) SCD.

### 1) PNPB for TBI+HS

A full paper has been published (22) which describes the study of PNPB as an efficacious, novel, anti-oxidative hyper-colloid neuroprotective small volume resuscitative fluid for TBI +HS when compared to standard therapy in a model simulating the pre-hospital setting. In this paper we present data, which demonstrate that the pathology and neurological deficits from TBI can be minimized with PNPB as a pre-hospital treatment and the exacerbation of edema caused by the large volume resuscitation of current standard care can be avoided. PNPB requires the least volume to restore and maintain mean arterial blood pressure when compared to Lactated Ringer's (LR), standard civilian therapy, or Hextend (HEX), standard military therapy, and confers neuroprotection in a relevant mouse model of TBI+HS. Mice resuscitated with PNPB had fewer Fluoro-Jade C+ identified dying neurons in CA1 vs. HEX and LR. PNPB was also shown not only to be non-toxic but also to be neuroprotective against injury (glutamate/glycine exposure and neuronal stretch) in rat primary cortical neuron cultures ( $p < 0.01$ ). PNPB also maintained cerebral oxygenation better than LR and HEX as measured by implanted direct oxygen electrodes. In addition, recent data suggest a substantive attenuation of the development of intracranial hypertension during resuscitation compared to conventional therapy in TBI plus severe HS in mice. PNPB as a therapeutic for TBI represents a major improvement over current HBOCs suggesting that the improvement is due to the combined refinements of polynitroxylation and pegylation technologies.

In a separate publication studying TBI with HS, in vivo measurement of hemodynamic response, cerebral perfusion and intracranial pressure (ICP) demonstrated a beneficial synergistic effect of breathing 100% oxygen and PNPB resuscitation, which included reduced ICP (23).

The covalently labeled nitroxides on PNPB also appear to also have potent anti-oxidative stress activities in the reduced state. Recently published results show that plasma ascorbate participates in the redox cycling of the covalently labeled nitroxides on PNPB through an unusual redox-catalytic mechanism whereby reduction of  $H_2O_2$  is achieved at the expense of reducing equivalents of ascorbate converted into reduced nitroxides (19).

### 2) PNPB for stroke

PNPB was studied as a first treatment for neuroprotection in ischemic stroke. PNPB reduced infarct volume by 58%, similar to that published for PegHb at 55% (24, 25). Further studies are planned to exploit the neurovascular protective activities of PNPB to extend the therapeutic window of tPA treatment.

Several factors contribute to the rationale for the use of PNPB in stroke. First, PNPB has the ability to deliver  $O_2$  to ischemic tissue through collateral arteries and thereby prolong viability until full reperfusion can be achieved. By increasing the amount of  $O_2$  carried in the plasma, PNPB with a  $P_{50}$  of 10 mmHg (intermediate between red blood cell (RBC) Hb and ischemic tissue  $PO_2$ ) will facilitate  $O_2$  delivery to the endothelial wall. The plasma-based  $O_2$  carrier will also deliver  $O_2$  to capillaries that are narrowed or partially obstructed and poorly perfused by RBCs during ischemia. Second, PNPB possesses antioxidant properties by virtue of its SOD, catalase and peroxidase mimetic activities. These properties

are likely to stabilize the vasculature during ischemia and reduce hemorrhagic transformation arising from delayed reperfusion. Third, PNPB is synthesized and stored with the heme in the carboxy state. When transfused, the carbon monoxide (CO) is released within a matter of minutes and over 97% of the Hb in the RBC and the plasma is capable of carrying O<sub>2</sub> soon after a 10 ml/kg transfusion. Thus, PNPB also acts as a CO donor that is rapidly converted into an O<sub>2</sub> carrier in the circulation. Because CO donors are known to possess vasodilatory, anti-inflammatory and anti-apoptotic properties, PNPB may limit reperfusion injury through multiple mechanisms. With decreases in NO production in the endothelium during middle cerebral artery occlusion (MCAo), collateral blood flow is not maximized and the platelet inhibitory role of endothelial-derived NO is lost. CO derived from PNPB can act to increase cGMP and supplant the loss of NO. Fourth, PNPB has been observed to directly protect cultured neurons from NMDA. Since activation of NMDA receptors is well known to contribute to ischemic injury and to injury from tPA, PNPB may also act to directly protect neurons from ischemia and tPA administration in addition to Hb in the case of hemorrhagic stroke.

These therapeutic mechanisms strongly suggest that besides neuroprotection when there is compromised blood brain barrier as in TBI and hemorrhagic stroke, PNPB enhances the blood flow in the penumbra of the ischemic brain and serves as an antioxidant to ameliorate reperfusion injury, thereby leading to infarct reduction.

### 3) PNPB for SCD

Again, several factors contribute to the rationale for the use of PNPB in SCD. The chronic hemolytic anemia of SCD produces NO scavenging by the cell-free Hb causing NO-dependent vascular dysfunction (26), which can be ameliorated by the anti-oxidant and NO-sparing effects of PNPB. The cell-free Hb is also naturally a pro-oxidant and leads to overproduction of superoxide which can also be ameliorated by the multiple anti-oxidant properties of PNPB including its SOD, catalase, and peroxidase mimetic activities. PNPB, as a therapeutic fluid to not only augment oxygen delivery but also correct inadequate blood flow while conferring antioxidant and NO-sparing effects, could also represent a paradigm change in the treatment of vaso-occlusive crisis and acute chest syndrome in SCD. In preliminary collaborative PNPB studies, PNPB was shown to correct NO-dependent vascular dysfunctions induced by NO depletion and overproduction of superoxide in a transgenic sickle mouse model (27). Inhibition of sickling and acute hemolysis by PNPB coupled with its enhancement of NO bioavailability may represent another paradigm shift in transfusion therapy in SCD patients.

## Conclusions

A growing body of preclinical evidence suggests that PNPB represents a paradigm shift in HBOC development toward a new focus on correcting inadequate blood flow due to oxidative stress and attenuating ischemia/reperfusion/inflammation injury. Also, if this holds up in clinical trials, PNPB appears likely to meet the FDA mandate for an HBOC with a meaningful increase in therapeutic index. The biology of PNPB suggests that it will be of therapeutic value in a wide range of clinical indications; current thinking is that TBI, stroke, and SCD are a reasonable first set of indications to prove the benefits of PNPB. With renewed interest and funding by NIH and Dept. of Defense for development of therapeutic Hb, PNPB (and other related high therapeutic index products) could 1) be further studied to better define the contribution to favorable outcome of each of the rich array of potential mechanistic effects of this multifunctional therapeutic and 2) be developed to commercialization and given the opportunity to address major healthcare concerns and unmet medical needs in transfusion and critical care medicine.

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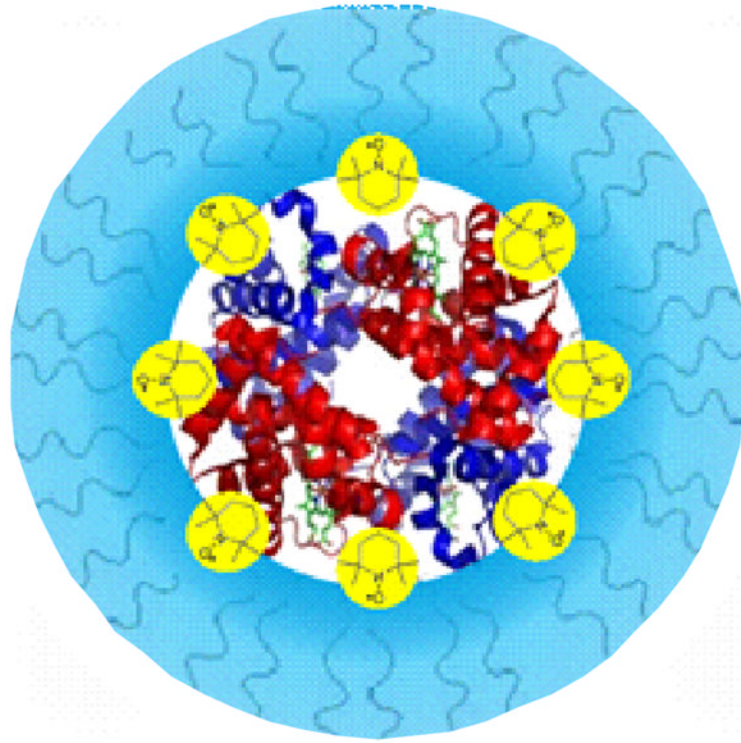
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**Figure 1.** Schematic of PNPH: 8–10 5kD Peg chains (blue) protrude to form an outer shell, which shields the 12–14 nitroxides (yellow) and the hemoglobin (Hb) in the center. PNPH has a  $P_{50}$  of 10–12mmHg and has a molecular radius of approximately 6.8 nm and a hydrodynamic volume corresponding to that of an oligomerized Hb of 256kD.