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# Quantitative Measurement of Cancer Metabolism using Stimulated Echo Hyperpolarized Carbon-13 MRS

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## Abstract

**Purpose**—Magnetic resonance spectroscopy (MRS) of hyperpolarized substrates allows for the observation of label exchange catalyzed by enzymes providing a powerful tool to investigate tissue metabolism and potentially kinetics *in vivo*. However, the accuracy of current methods to calculate kinetic parameters have been limited by  $T_1$  relaxation effects, extracellular signal contributions, and reduced precision at lower SNR.

**Theory and Methods**—To address these challenges, we investigated a new modeling technique using Metabolic Activity Decomposition-Stimulated Echo Acquisition Mode (MAD-STEAM). The MAD-STEAM technique separates exchanging from non-exchanging metabolites providing twice the information as conventional techniques.

**Results**—This allowed for accurate measurements of rates of conversion and of multiple  $T_1$  values simultaneously using a single acquisition.

**Conclusion**—The additional measurement of  $T_1$  values for the reaction metabolites provides further biological information about the cellular environment of the metabolites. The new technique was investigated through simulations and *in vivo* studies of transgenic mouse models of cancer demonstrating improved assessments of kinetic rate constants and new  $T_1$  relaxation value measurements for hyperpolarized <sup>13</sup>C-pyruvate, <sup>13</sup>C-lactate and <sup>13</sup>C -alanine.

#### Keywords

cancer; hyperpolarized Carbon-13 MRS; metabolism; stimulated-echo; STEAM; kinetics

### Introduction

Magnetic resonance spectroscopy (MRS) of hyperpolarized substrates shows great promise in the development of new clinically relevant diagnostic indicators of disease [1–3]. Hyperpolarized (HP) MRS is advantageous over conventional radionuclide imaging in that it detects not only the injected substrate, but also the products of its biochemical reactions

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providing additional biologically important information [3, 4]. Prior to the introduction of HP MRS, noninvasive measures of flux through specific enzyme-catalyzed reactions have been limited by low SNR. Measurement of these fluxes could profoundly aid in the understanding of physiology and clinical medicine [4]. The 10,000 fold sensitivity enhancement gained by hyperpolarization of <sup>13</sup>C nuclei offers the possibility to non-invasively measure fluxes through individual enzyme-catalyzed reactions *in vivo* and in real-time [4, 5].

Recently, time-resolved methods have been used to observe kinetics providing improved sensitivity to altered metabolism [6–16]. In *ex vivo* experiments, hyperpolarized <sup>13</sup>C substrates have been used to measure flux through single enzyme-catalyzed steps [4]. *In vivo*, however, the acquired signal is affected by flow, perfusion, diffusion, and membrane transport, in addition to metabolism [1, 6–8]. To address these confounding factors, the stimulated echo acquisition mode (STEAM) approach included a diffusion preparation scheme, which can suppress signals from the vasculature and improve contrast for tissue metabolism [16,17].

Even with isolation of the signal to stationary tissue, robustly quantifying metabolism with kinetic parameters using HP MRS *in vivo* is difficult due to the lack of complexity of the dynamic curves and the number of free parameters that need to be estimated [9]. This problem arises from spin-relaxation occurring concurrently with exchange, making it difficult to differentiate metabolic conversion from relaxation [9]. This allows for linear dependency between parameters, which can contribute to poor conditioning for least squares minimization (LSM), and ultimately leading to reduced accuracy in estimation of rates of conversion and spin-relaxation.

Furthermore, the precision and accuracy of the quantitative analysis deteriorate as the signalto-noise ratio (SNR) decreases [18]. This is important even in the field of hyperpolarized MRS, in which spatial resolution and time constraints *in vivo* may lead to lower SNR. Recently, saturation or inversion magnetization transfer (MT) [9,10], has been applied to hyperpolarized Carbon-13 MR to improve accuracy in the measurement of rates of conversion and observe real-time conversion. MT adds more linearly independent equations, requiring at least one extra excitation. Here, we applied a new modeling technique using Metabolic Activity Decomposition for reconstruction and a STEAM sequence for acquisition (MAD-STEAM). MAD-STEAM can be used to directly observe real-time conversion and separate exchanging from non-exchanging metabolites within a single acquisition [19]. The additional information from the MAD-STEAM experiment has the potential to improve the accuracy and precision of kinetic measurements even with low SNR by adding more linearly independent equations. The goal of this study was to investigate this new approach in both simulations and *in vivo* animal studies with quantitative comparisons to prior methods.

Hyperpolarized [1-<sup>13</sup>C]-pyruvate, in particular, has shown great promise as a potential probe for the presence of disease and its response to treatment [20–23]. Pyruvate is an important product of the glycolytic pathway, playing a central role in cellular metabolism. In most normal tissues under aerobic conditions, pyruvate dehydrogenase catalyzes the decarboxylation of a significant fraction of pyruvate to produce acetyl-coenzyme A, which is then used in the tricarboxylic acid cycle (TCA). Alternatively, pyruvate can be reduced to lactate in the reaction catalyzed by lactate dehydrogenase (LDH). In the presence of disease, however, the relative fluxes through these enzymes can be significantly altered [3]. For instance, even under aerobic conditions, the glycolytic flux in tumors is often upregulated, a phenomenon known as the Warburg effect, which leads to higher concentrations of lactate and an acidic tumor microenvironment [24–26]. This increased LDH flux is thought to be

responsible for the increased labeling of lactate observed in tumors, as compared to the normal surrounding tissue, following injection of hyperpolarized  $[1-^{13}C]$ -pyruvate [1-3]. Recently, it has been shown that there is a reduction in HP lactate detection in tumors responding to therapy [20–23]. It is widely accepted that these changes observed in lactate labeling are due to flux and exchange through the LDH enzyme [3]. To accurately quantify these changes in metabolism, a new modeling method was developed utilizing the extra information available with MAD-STEAM.

In addition to the high conversion to  $[1^{-13}C]$ -lactate observed in many tumors, increased  $[1^{-13}C]$ -alanine has been observed during tumor formation in a Myc-driven liver cancer model [27]. While it is well known that most cancers depend on a high rate of aerobic glycolysis for survival and proliferation [11, 12], surprisingly some cancers display glutamine addiction, having high glutamine uptake into the cell despite the fact that glutamine can be produced from glucose [28]. The conversion of  $[1^{-13}C]$ -pyruvate to  $[1^{-13}C]$ -alanine via alanine transaminase (ALT) is affected by the availability of the glutamate cofactor, produced from conversion of glutamine via glutaminase inside the cell. Using the new information available with MAD-STEAM, we sought to investigate the rate of conversion of pyruvate-to-alanine for the first time during disease progression in a Myc-driven model, in which both the Warburg effect and glutamine addiction have been reported [24,27–29].

This project was designed to increase specificity and accuracy in the measurement of spinrelaxation and multiple conversion pathways by applying MAD-STEAM to kinetic modeling and then evaluate the new information gained in transgenic models of cancer. A key benefit of measuring kinetics with the additional information from MAD-STEAM is that spin-relaxation and multiple rates of conversion can be measured simultaneously within a single acquisition. The additional parameters provided, such as the T<sub>1</sub> spin-relaxation for the reaction metabolites, may yield further information about the cellular environment experienced by the metabolites. This new technique is also desirable since it improves accuracy by reducing sensitivity to background noise and moving spins within the vasculature. In this study, we applied our new modeling technique to measure real-time metabolic conversion and T<sub>1</sub> spin-relaxation as kinetic markers of malignancy *in vivo* in transgenic mouse cancer models.

#### Theory

#### The MAD-STEAM Experiment

Recently Larson et al. showed that STEAM in the presence of metabolic conversion creates a phase shift that depends on the resonance frequency shift and echo time (TE),  $\Delta \phi = 2\pi\Delta f$ (*TE* / 2)[19]. By choosing  $\Delta \phi = \pm \pi / 2$ , the metabolic conversion and exchange during a mixing time (TM) can be directly detected within a single acquisition [19]. The pulse sequence is shown in Figure 1. MAD-STEAM, which only uses a single acquisition, is advantageous for detecting hyperpolarized signals *in vivo* because magnetization cannot be renewed making it difficult to acquire multiple encoding steps. Ultrafast 2D NMR [30–32] could also be used to acquire similar information, however spatial inhomogeneity *in vivo may cause* distortion of the data [19].

The MAD-STEAM experiment can be viewed as an extension of a 2D Exchange Spectroscopy (EXSY) NMR experiment [19,33]. The EXSY experiment also uses a stimulated-echo from three 90° pulses, in which the time between the first two 90° pulses,  $t_1$ , is incremented to sample a entire 2D spectrum [19]. Instead of acquiring multiple acquisitions of incremented  $t_1$  durations, the MAD-STEAM experiment only samples  $t_1 = TE / 2$  such that the phase shift between the resonances of interest is  $\pm \pi / 2$  [19]. In other

words, MAD-STEAM acquires the projection of a 2D EXSY spectrum storing cross peak information in phase. The MAD-STEAM approach is similar to 1D EXSY experiment except that it stores cross peak information in phase rather than interpreting spectra after an inversion [34]. A spin is "phase tagged" when there is an exchange reaction available and the acquired phase after exchange is  $\Delta \phi = \pm \pi / 2$ .

Here we used the "phase tagging" information encoded through the MAD-STEAM experiment to separate the total signal of a metabolite,  $M_x(t)$ , into the signal contributions from spins that have not gone through exchange,  $Orig\{M_x(t)\}$ , and spins that have gone through exchange,  $New\{M_x(t)\}$ , to improve kinetic measurements for the first time. Recently Chen et al. and Larson et al. showed that a stimulated echo based MRS approaches could also be used to isolate signal in stationary tissue from spins within the vasculature [16,17,19], providing improved observation of active cellular metabolic conversion for hyperpolarized carbon-13 MR *in vivo*.

#### **Kinetic Models**

Previously, it has been shown that the lactate and pyruvate peak intensities can be fit to a simple two-site exchange model to give apparent rates of conversion [4, 7, 10, 11, 14],

$$\frac{d}{dt} \begin{bmatrix} |M_{Pyr}(t)| \\ |M_{Lac}(t)| \end{bmatrix} = \begin{bmatrix} -\rho_{Pyr} - K_{Pyr \to Lac} & +K_{Lac \to Pyr} \\ +K_{Pyr \to Lac} & -\rho_{Lac} - K_{Lac \to Pyr} \end{bmatrix} \begin{bmatrix} |M_{Pyr}(t)| \\ |M_{Lac}(t)| \end{bmatrix}$$
(1)

where  $M_{Pyr}$  and  $M_{Lac}$  denote the pyruvate and lactate peak integrals, *t* is time,  $\rho_{Pyr}$  and  $\rho_{Lac}$  are pyruvate and lactate spin-relaxation rates,  $1/T_{1,x}$ .  $K_{Pyr\to Lac}$  and  $K_{Lac\to Pyr}$  are the pyruvate and lactate apparent rates of conversion. Using MAD-STEAM the two-site exchange system can be described by the following equation:

$$\frac{d}{dt} \begin{bmatrix} Orig\{M_{Pyr}(t)\}\\ New\{M_{Pyr}(t)\}\\ Orig\{M_{Lac}(t)\}\\ New\{M_{Lac}(t)\} \end{bmatrix} = \begin{bmatrix} -\rho_{Pyr} - K_{Pyr \to Lac} & 0 & 0 & 0\\ 0 & -\rho_{Pyr} & +K_{Lac \to Pyr} & 0\\ 0 & 0 & -\rho_{Lac} - K_{Lac \to Pyr} & 0\\ +K_{Pyr \to Lac} & 0 & 0 & -\rho_{Lac} \end{bmatrix} \begin{bmatrix} Orig\{M_{Pyr}(t)\}\\ New\{M_{Pyr}(t)\}\\ Orig\{M_{Lac}(t)\}\\ New\{M_{Lac}(t)\} \end{bmatrix}, \quad (2)$$

where  $Orig\{M_{Pyr}(t)\}$  and  $Orig\{M_{Lac}(t)\}$  denote the pyruvate and lactate peak integrals with no phase shift  $\Delta \phi = 0$ .  $New\{M_{Pyr}(t)\}$  and  $New\{M_{Lac}(t)\}$  denote the pyruvate and lactate peak integrals of z-magnetizations with phase shifts  $\Delta \phi = -\pi/2$  and  $\Delta \phi = +\pi/2$ , respectively. The  $\pm \pi/2$  phase shift will put the  $Orig\{M_{Pyr}(t)\}$  and  $Orig\{M_{Lac}(t)\}$  in the real channel and the  $New\{M_{Lac}(t)\}$  in the imaginary channel, such that they can easily be separated during reconstruction. For all models we assume that pyruvate and lactate are at steady state such that there is no significant influx into the slab. This is a valid assumption because the vascular component was suppressed by the STEAM sequence [16, 17], and the acquisition was started after the arrival of pyruvate into the tissue.

This technique can be expanded to a three-site exchange system, such as the conversion of pyruvate to either lactate or alanine. Using the total signal from the peak areas for each metabolite, the following equations can be used to describe the system:

$$\frac{d}{dt} \begin{bmatrix} |M_{Pyr}(t)| \\ |M_{Lac}(t)| \\ |M_{Ala}(t)| \end{bmatrix} = \begin{bmatrix} -\rho_{Pyr} - K_{Pyr \to Lac} - K_{Pyr \to Ala} & 0 & 0 \\ + K_{Pyr \to Lac} & -\rho_{Lac} & 0 \\ + K_{Pyr \to Ala} & 0 & -\rho_{Ala} \end{bmatrix} \begin{bmatrix} |M_{Pyr}(t)| \\ |M_{Lac}(t)| \\ |M_{Ala}(t)| \end{bmatrix}.$$
(3)

Because pyruvate generated from lactate and pyruvate generated from alanine are in small amounts in the liver relative to the forward reactions, they were assumed to be negligible,

and thus  $New{M_{Pyr}(t)}$  is not part of the model. This constrains the number of free parameters to the number of linearly independent equations per time point to a 1:1 ratio. Using MAD-STEAM, the three-site exchange system can be described by the following system of equations:

$$\frac{d}{dt} \begin{bmatrix} Orig\{M_{Pyr}(t)\}\\Orig\{M_{Lac}(t)\}\\New\{M_{Lac}(t)\}\\Orig\{M_{Ala}(t)\}\\New\{M_{Ala}(t)\}\end{bmatrix} = \begin{bmatrix} -\rho_{Pyr} - K_{Pyr \to Lac} - K_{Pyr \to Ala} & 0 & 0 & 0 & 0\\ 0 & -\rho_{Lac} & 0 & 0 & 0\\ +K_{Pyr \to Lac} & 0 & -\rho_{Lac} & 0 & 0\\ 0 & 0 & 0 & -\rho_{Ala} & 0\\ +K_{Pyr \to Ala} & 0 & 0 & 0 & -\rho_{Ala} \end{bmatrix} \begin{bmatrix} Orig\{M_{Pyr}(t)\}\\Orig\{M_{Lac}(t)\}\\New\{M_{Lac}(t)\}\\Orig\{M_{Ala}(t)\}\\New\{M_{Ala}(t)\}\end{bmatrix} .$$
(4)

The  $Orig\{M_{Ala}(t)\}$  and  $New\{M_{Ala}(t)\}$  were calculated based on the complex alanine peak integral and separated using the phase shift,  $\Delta \phi_{Pyr \rightarrow Ala} = -\pi / 2.21$  at 3T and TE=14ms, using the equations below:

$$Orig\{M_{Ala}\} = \operatorname{Re}\{M_{Ala}\} + \frac{\operatorname{Im}\{M_{Ala}\}}{\tan(\Delta\varphi_{Pyr \to Ala})}$$
(5)

and

$$New\{M_{Ala}\} = -\frac{\operatorname{Im}\{M_{Ala}\}}{\sin(\Delta\varphi_{Pyr\to Ala})}.$$
 (6)

#### **Parameter Estimation**

Common methods, such as Gauss-Newton algorithm (GNA), Levenberg-Marquardt algorithm (LMA), the Newton method and Generalized Gradient Descent, to estimate parameters from non-linear systems use the Jacobian Matrix, J, iteratively to linearize the least squares problem Jx = b, where x is the matrix of parameters to be solved and b is the

data. When the condition number,  $\kappa = \left(\frac{\|J^{-1}e\|}{\|e\|}\right) \cdot \left(\frac{\|b\|}{\|J^{-1}e\|}\right) = \|J\| \cdot \|J^{-1}\|$ , is large (*e* is error), even a small error in *b* (noise) may cause a large error in the solution, *x*. Thus, if the Jacobian becomes ill-conditioned, the least squares estimate amplifies the noise by a factor of  $\kappa$ , and results may be grossly inaccurate [35,36].

Linearization has been used to simplify calculations in exchange systems [15]. Linearization could also be used along with MAD-STEAM to simplify calculations. In these comparisons, linearization was not used to avoid error in sum of squares minimization. Because the error on the linearized data is different than the error on the actual data, the linear least squares solution to linearized models minimizes the sum of squares of residuals for the nonlinear form of the dependent variables rather than the actual dependent variable.

The number of parameters a system can estimate reproducibly and accurately is related to the number of unique eigenvalues of the matrix K from the general equation,

 $\frac{dM(t)}{dt} = KM(t)$ . The following matrix was obtained with using only the total peak area of the data (Eq 1),

$$\begin{bmatrix} -\rho_{Pyr} - K_{Pyr \to Lac} & +K_{Lac \to Pyr} \\ +K_{Pyr \to Lac} & -\rho_{Lac} - K_{Lac \to Pyr} \end{bmatrix} .$$
(7)

This matrix has two distinct real eigenvalues for the following range of parameters:  $K_{Pyr\rightarrow Lac} = 0.05 - 0.5s^{-1}$ ,  $K_{Pyr\rightarrow Lac} = 0.001 - 0.05s^{-1}$ ,  $T_{1,Pyr} = 10 - 50s$ , and  $T_{1,Lac} = 10 - 50s$ . Meanwhile, the following matrix obtained from using Metabolic Activity Decomposition (Eq. 2) has four distinct real eigenvalues:

$$\begin{bmatrix} -\rho_{Pyr} - K_{Pyr \to Lac} & 0 & 0 & 0 \\ 0 & -\rho_{Pyr} & +K_{Lac \to Pyr} & 0 \\ 0 & 0 & -\rho_{Lac} - K_{Lac \to Pyr} & 0 \\ +K_{Pyr \to Lac} & 0 & 0 & -\rho_{Lac} \end{bmatrix} .$$
(8)

This matrix should be much more reliable for the prediction of more parameters because it has more unique eigenvalues.

#### Methods

#### **Data Fitting**

To solve the non-linear inverse problem, an iterative LMA was used in Matlab (The MathWorks Inc., Natick, MA), which interpolates between the method of gradient descent and the GNA [37–39]. This algorithm uses a trust region such that a reasonable approximation is always produced. Reasonable starting parameters, such as  $T_{1,x} = 20s$ ,  $K_{Pyr\rightarrow Lac} = 0.05s^{-1}$ , and  $K_{Lac\rightarrow Pyr} = 0.01s^{-1}$ , were used to speed up computation and improve convergence. A single simulation and fit are shown in Figure 2. All dynamic curves were normalized to the total carbon during the experiment not including <sup>13</sup>C-Urea.

#### Simulated Data

Data were simulated for a 20s experiment over a range of parameters:  $K_{Pyr\to Lac} = 0.01 - 0.1s^{-1}$ ,  $K_{Lac\to Pyr} = 0.002 - 0.02s^{-1}$ ,  $K_{Pyr\to Ala} = 0.005 - 0.1s^{-1}$ ,  $T_{1,Pyr} = 10 - 40s$ ,  $T_{1,Pyr} = 10 - 40s$ , and  $T_{1,Ala} = 10 - 40s$ . Each combination was simulated over a range of SNRs (25–250 with five iterations each). The SNR was determined using the average signal of pyruvate at the first time point and dividing by the noise root mean square (rms) for both simulated data and *in vivo* data.

### Hyperpolarization of [1-<sup>13</sup>C ]-Pyruvate and <sup>13</sup>C -Urea

[1-<sup>13</sup>C]-Pyruvate mixed with the trityl radical OX063 (Tris[8-carboxyl-2,2,6,6tetra[2-(1hydroxyethyl)]-benzo(1,2-d:4,5-d)bis(1,3)dithiole-4-yl]methyl sodium salt, Oxford Instruments, Abingdon UK) and <sup>13</sup>C-urea mixed with the trityl radical OX063 were simultaneously hyperpolarized [40] for phase correction [19] using conventional dynamic nuclear polarization (DNP) methods and a HyperSense DNP polarizer (Oxford Instruments, Abingdon, UK) operating at 3.3T and a temperature of 1.3K. This yields more than 40,000 fold increases in the pyruvate and urea signals [5]. The sample was dissolved to produce solutions with 80mM pyruvate and 80mM urea and a biologically appropriate pH (~7.4) with TRIS/NaOH/EDTA dissolution media.

#### Acquisition and Reconstruction

All data were acquired with a STEAM sequence slab selection, TE = 14ms, TMs starting at 50ms, 20 acquisitions, 1s temporal resolution, 256 spectral points, 2.5 kHz spectral bandwidth, a progressive flip angle scheme, and an adiabatic double spin echo [41]. A symmetrically sampled full echo was acquired to preserve phase information [19]. Copolarized <sup>13</sup>C-urea was used as a phase reference to correct for phase shifts caused by homogeneous, bulk motion such as respiration, which would affect all metabolites [19].

For animal experiments, a 3T MRI system (GE Healthcare, Waukesha, WI, USA) was used with a dual-tuned mouse birdcage coil based on a design used previously [2, 27, 42]. Given the main field of 3T, the echo time TE=14ms was chosen. With TE=14ms, the phase of pyruvate to lactate conversion will be  $\Delta \phi_{Pyr \rightarrow Lac} = +\pi / 2$  such that the new and original lactate are in quadrature. Since new and original alanine are not exactly in quadrature, the magnitude was broken into its components (Equation 5 and 6) based on  $\Delta \phi_{Pyr \rightarrow Ala} = -\pi / 2.21$  in order to separate original and new alanine. This information was used for modeling three-site exchange in the liver cancer model, in which there is known high alanine production.

#### Animal Experiments

All animal studies were performed under a protocol approved by the UCSF Institutional Animal Care and Utilization Committee. Mice were anesthetized with 1-1.5% isoflurane and placed on a pad heated to 37°C during the MR experiment. Transgenic prostate cancer (TRAMP) mouse models at different stages of progression (n=5) and normal mice (n=5)were imaged for the two-site exchange study [2]. Data were acquired from a slab containing predominately the tumor or from a 20mm slab of the abdomen for normal mice. In the reproducibility study, data were acquired from a slab containing the liver of a normal mouse. For the three-site exchange study, a switchable transgenic liver cancer model was used (n=5). Following a baseline scan and hyperpolarized <sup>13</sup>C study, doxycycline was removed from the diet to allow induction of expression of the human oncogenes Myc [43, 44] or Myc and Ras transgenes [45] and initiation of tumorigenesis. Data were acquired from a slab containing the entire liver but excluding the lungs and abdomen. During each study, 350 µL of the hyperpolarized [1-<sup>13</sup>C]-pyruvate solution was injected into the mouse over a 12s period, followed by a 0.15 mL normal saline flush. To reduce artifacts from the inflow of pyruvate, data acquisition began 25-30s following the start of injection of pyruvate. Axial, coronal, and sagittal T<sub>2</sub>-weighted fast spin-echo (FSE) images were acquired as anatomical references.

#### **Enzyme Assays**

LDH activity was measured in the transgenic liver cancer model (n=5) by observing the decrease in absorbance of reduced nicotinamide adenine dinucleotide (NADH) at 340 nm using a spectrophotometrical microplate reader (Saphire<sup>2</sup>, Tecan, Maennesorf, Switzerland). Multiple samples from tumor and adjacent tissue were frozen in liquid nitrogen and homogenized in modified RIPA buffer (50 mM Tris-HCl pH 8.0, 150 mM NaCl, 0.5 % Nadeoxycholate, 1% Triton X 100, 0.1 % SDS, 2mM EDTA). Protein determination was performed using a BCA Protein Assay Kit (Pierce, Rockford, IL, USA). For determination of LDH activity, a standard curve was acquired using L-LDH from bovine heart (1000 units  $\times mL^{-1}$ , Sigma-Aldrich, St. Louis, MO, USA). Activity was measured in the presence of 0.15 mM NADH (Sigma-Aldrich) and 0.8 mM pyruvate (Sigma-Aldrich) in 50 mM Tris-HCl, pH 7.4 for 5 min.

#### Results

#### Simulations

Conventional two-site exchange yields four unknowns but only two equations at each time point, causing unstable conditioning of the Jacobian matrix (Figure 3). When using conventional modeling, the condition number of the Jacobian matrix dramatically worsened when both the forward and backward rates are slow and the relaxation rates are similar, suggesting linear dependency between parameters. Intuitively, in these parameter ranges, conventional modeling is unable to distinguish forward from backward conversion and relaxation. Simulated data, shown in Figure 3 and Table 1, demonstrated that using only the

total peak areas for a two-site exchange and three-site exchange model results in a miscalculation of the rate of conversion and is less accurate in calculating multiple effective  $T_I$ s even when the model fits the data well (coefficients of correlation >0.8). For these nonlinear systems, goodness of fit does not necessarily correlate with accuracy.

To improve the fit, the number of free parameters can be constrained by assuming  $T_{1,Pyr} = T_{1,Lac}$  and  $K_{Lac \rightarrow Pyr} = 0$  [10,20]. However, in the case of low SNR, this approach can often underestimate the conversion rate  $K_{Pyr \rightarrow Lac}$  compensating with inaccurate relaxation rates which do not describe the actual kinetics of the system, as shown in Table 1. At SNRs values typically seen *in vivo* [6] using Metabolic Activity Decomposition, the precision of quantitative analysis is high as shown in Table 1. Even at very low SNRs, the error measured was less than 20%.

#### **Two-Site Exchange In Vivo**

Using Metabolic Activity Decomposition, higher production of lactate in the tumor can be observed in the time series data, as shown in Figure 4. We observed high rates of conversion of pyruvate to lactate in a prostate tumor model  $(0.110\pm0.014s^{-1})$  versus the abdomen of a normal mouse  $(0.033\pm0.008s^{-1})$ . *In vivo*, calculations with MAD-STEAM yielded apparent  $K_{Pyr\rightarrow Lac}$  values with a larger effect size compared to conventional modeling (Table 2). Decreased apparent  $K_{Lac\rightarrow Pyr}$  rates of conversion were also observed in tumors suggesting an increased unlabeled lactate pool-size and a high availability of NADH. Not only were the rates of conversion different in tumor tissue, but also, the effective  $T_{1,Lac} s$  were different in tumors as shown in Table 2.

Multiple MAD-STEAM experiments were acquired in a single normal mouse liver using two-site exchange. This data showed reduced intrasubject variance in estimating  $K_{Pyr\rightarrow Lac}$  using Metabolic Activity Decomposition (Mean = 0.079,  $\sigma$  = 0.012, Percent Error = 16.7%) versus using the magnitude of the spectra (Mean = 0.077,  $\sigma$  = 0.039, Percent Error = 50.2%) or the magnitude of the spectra with assumptions (Mean = 0.051,  $\sigma$  = 0.024, Percent Error = 46.9%). Large percent errors in parameter estimation in the three modeling techniques were attributed to the low SNR of lactate in normal tissue. Even with the lower SNR, Metabolic Activity Decomposition demonstrated improved reproducibility.

#### Three-Site Exchange In Vivo

Representative data from a MAD-STEAM experiment and three-site exchange using Metabolic Activity Decomposition are shown in Table 2. Using Metabolic Activity Decomposition, real-time alanine conversion can be detected as seen in Figure 5D and 5F. Using Metabolic Activity Decomposition, changes in the rate of conversion of  $[1^{-13}C]$ pyruvate to  $[1^{-13}C]$ -alanine were observed in addition to conversion to  $[1^{-13}C]$ -lactate as shown in Figure 6. In this mouse model experiment, a three fold increase in  $K_{Pyr \rightarrow Ala}$  was observed following oncogene expression in the pre-tumor state compared to baseline and a further three fold increase was detected when the tumor was at a late stage (baseline = 0.046, pre-tumor = 0.131, late tumor = 0.398). There was a 37.3% decrease in the effective  $T_{I,Ala}$ from baseline to the pre-tumor state and a 26.8% increase in the effective  $T_{I,Ala}$  from the pre-tumor to late state as shown in Figure 6. Additionally, there was a 17.3% decrease in the  $T_{L,Iac}$  from baseline to the pre-tumor stage.

As supporting data,  $K_{Pyr\to Lac}$  measurements were compared to enzymatic assays.  $K_{Pyr\to Lac}$  measured with Metabolic Activity Decomposition ( $R^2 = 0.809$ ) demonstrated a higher correlation with LDH activity than modeling with the total signal of each metabolite ( $R^2 = 0.343$ ).  $K_{Pyr\to Lac}$  measured with Metabolic Activity Decomposition also had a higher correlation to LDH activity assays than metabolite ratios such as the lactate-to-total carbon

ratio ( $R^2 = 0.530$ ) excluding <sup>13</sup>C -urea and the lactate-to-pyruvate ratio ( $R^2 = 0.662$ ) over the entire dynamic experiment and the lactate-to-pyruvate ( $R^2 = 0.687$ ) after perfusion of the pyruvate bolus (t=3s after start of acquisition, acquisition started at 30 seconds after start of pyruvate injection).

#### Discussion

Metabolic Activity Decomposition kinetic modeling of hyperpolarized MR data demonstrated improved precision in estimation of both rates of conversion and relaxation rates (Table 1). This improvement is attributed to additional information from the Metabolic Activity Decomposition method, which provides a well-conditioned system and reduces sensitivity to linear dependency from similar parameters (Figure 3). There is some bias in the data for all methods presented due to fitting to the data magnitude. The magnitude was used for fitting *in vivo* data because it is commonly used in the literature [3,8,10], and a main goal of this work was to compare to previous approaches. This bias could be removed by using complex data instead of using the magnitude.

Simulations over a wide range of relaxation and conversion rates demonstrated that this new modeling technique not only more accurately calculated these parameters, but it also was robust to noise with SNRs down to 10:1. Even though all models showed high goodness of fit, a good fit did not necessarily correlate with accurate estimates, suggesting that caution should be taken when evaluating the accuracy of these nonlinear models based on goodness of fit alone [46].

Even with the inherent 50% loss in SNR using a STEAM sequence compared to some other acquisition strategies [16], the accuracy gained from new "phase tagging" information with Metabolic Activity Decomposition far overcame any loss of accuracy from decreased SNR. For instance, at an SNR=100 the average error in estimation of  $K_{Pyr\rightarrow Lac}$  for the two-site exchange was 113.0% with conventional modeling, but only 2.7% using Metabolic Activity Decomposition both with simulated data. Using only the conventional modeling, accuracy was improved to 44.7% error by assuming  $K_{Lac\rightarrow Pyr} = 0$  and  $T_{1,Pyr} = T_{1,Lac}$ . Even at a 50% lower SNR (SNR=50) using Metabolic Activity Decomposition, the average error was far less than all conventional approaches, 5.0%, as shown in Table 1. Similarly, the average error in estimation of  $K_{Pyr\rightarrow Lac}$  for three-site exchange model was 13.21% at an SNR=100 using conventional modeling, but only 1.4% at an SNR=50 using Metabolic Activity Decomposition. Also, alternate preparation schemes have been shown improve SNR for the stimulated echo acquisition [16] and thus could substantially reduce such losses for MADSTEAM experiments as well.

In a comparison of tumor versus normal, using Metabolic Activity Decomposition to solve for rates of conversion and relaxation times had a larger effect size in both conversion and relaxation rates, allowing the new method to better distinguish changes in metabolism and cellular environment. In tumors, the measured  $K_{Pyr\rightarrow Lac}$  values were significantly higher than normal, which agrees with prior findings that cancerous tissues have higher LDH-A expression [47]. Interestingly, effective  $T_{I,Lac}$  was shorter in tumors compared to normal tissues suggesting an altered cellular environment for lactate in the tumors. Because the bvalue increases during the experiment, the effective  $T_{1s}$  measured in these experiments are a combination of both diffusion weighting as well as the longitudinal relaxation.

Improved reproducibility was also observed. The  $K_{Pyr \rightarrow Lac}$  measured using Metabolic Activity Decomposition had an improved correlation with the measured enzyme activity compared to conventional modeling. This suggests that the new technique more accurately reflects enzymatic activity. Even with isolation of the detected signal to stationary tissue and

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"phase tagging," other factors such as membrane transport were not accounted for in this model. Prior studies have isolated intracellular and extracellular metabolites and have shown that membrane transport can play a role in the measured rate of conversion [7]. The combination of this work with these methods would provide even further specificity for enzyme activity. Another future direction is the minimization of the voxel volume, which would allow for improved localization of tissue areas and could enable the study of smaller tumors and smaller regions of normal tissues.

When the modeling technique was used in a liver cancer model known to have high pyruvate conversion to alanine in the pre-tumor stage [13], the two-site exchange model is no longer valid. Here, the conversion rate of  $[1^{-13}C]$ -pyruvate to  $[1^{-13}C]$ -alanine was investigated for the first time during tumor formation. Because the phase shift of  $[1^{-13}C]$ -pyruvate to  $[1^{-13}C]$ -alanine with a 14ms echo time at 3T is approximately  $-\pi / 2$ , Metabolic Activity Decomposition could be used to model the three-site exchange system to obtain improved measurements of  $K_{Pyr\to Ala}$ . In a transgenic oncogene-driven liver cancer model, changes in  $K_{Pyr\to Ala}$  were observed between baseline, two weeks (pre-tumor), and five weeks (late tumor) off doxycycline in addition to changes in  $K_{Pyr\to Lac}$  during progression, as shown in Figure 6.

#### Conclusions

This technique improved accuracy, simultaneously measured conversion rates and effective  $T_I$ s, and suppressed vascular effects, thus providing new quantitative measures of relaxation and conversion rates. The ability to quantify rates of conversion with increased accuracy can improve current hyperpolarized kinetic models and could aid in the understanding of metabolic alterations in diseases including cancer. Meanwhile the T<sub>1</sub> relaxation measurements obtained in this approach provide additional parameters for the investigating the effects of the intracellular environment on the metabolites of interest.

In vivo, the increased accuracy resulted in a larger effect size between tumors and normal tissue.  $K_{Pyr\rightarrow Lac}$  increased in tumors, the back reaction  $K_{Lac\rightarrow Pyr}$  decreased, and  $T_{1,Lac}$  was shorter in tumors suggesting an altered cellular environment in the tumors. Moreover, the ability to detect changes between different stages of progression suggests that  $K_{Pyr\rightarrow Ala}$  may also be valuable in addition to  $K_{Pyr\rightarrow Lac}$  in investigating metabolism alterations with disease, particularly in case of liver cancer. Since it provided better measures of tumor kinetic parameters, this new acquisition and modeling technique demonstrated biomedical potential for monitoring cancer progression and response to therapy.

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Figure 1.

Metabolic Activity Decomposition with Stimulated Echo Acquisition Mode (MAD-STEAM) pulse sequence.



#### Figure 2.

Single simulation data and fitting results with Metabolic Activity Decomposition and simple two-site exchange with and without assumptions. Two-site Exchange with Assumptions assumes that  $K_{Pyr \rightarrow Lac} = 0$  and  $T_{1,Pyr} = T_{1,Lac}$ .



#### Figure 3.

Comparison of stability and condition of (*Left*) two-site exchange with Eq. 1 using the total metabolite signal and two-site exchange with Eq. 2 using Metabolic Activity Decomposition and (*Right*) three-site exchange with Eq. 3 using the total metabolite signal versus three-site exchange with Eq. 4 using Metabolic Activity Decomposition. Comparison is over a wide range of parameters and all combinations in that range:  $T_{1,Pyr} = 10 - 40s$ ,  $T_{1,Lac} = 10 - 40s$ ,  $T_{1,Ala} = 10 - 40s$ ,  $K_{Pyr \rightarrow Lac} = 0.01 - 0.5s^{-1}$ ,  $K_{Pyr \rightarrow Ala} = 0.005 - 0.1s^{-1}$ , and  $K_{Lac \rightarrow Pyr} = 0.01 - 0.1s^{-1}$ . The condition number comes from Jacobian Matrix at the solution **x**.



#### Figure 4.

(a) Sample spectra from tumor and normal locations and their corresponding slab locations.
 (b) Dynamic curves from Metabolic Activity Decomposition showing increased conversion of lactate to pyruvate and faster decay of the original lactate signal in TRAMP tumors versus normal tissue. Data was normalized to total carbon, excluding <sup>13</sup>C-urea (n=5).

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#### Figure 5.

(*a*) T<sub>2</sub>-weighted axial anatomical image within the slab where data was acquired. (*b*) Schematic of the three-site exchange system. Stacked plots of the successively acquired (*c*) magnitude spectra, (*d*) real ( $\Delta \phi = 0$ ) and imaginary ( $\Delta \phi = \pi/2$ ) spectra from a MADSTEAM pulse sequence with TE=14ms. The areas under the curve from (*e*) the magnitude spectra with the corresponding three-site exchange fit Eq. 3 using only the total amount of each metabolite and (*f*) the areas under the curve from the real and imaginary spectra with the corresponding three-site exchange fit to Eq. 4 using original and new metabolite information provided by Metabolic Activity Decomposition.

<u>Baseline</u>	<u>Pre-Tumor</u>	Late Tumor
K <sub>Pyr<b>→</b>Ala</sub> =0.046	<b>↑</b> K <sub>Pyr<b>→</b>Ala</sub> = 0.131	K <sub>Pyr<b>→</b>Ala</sub> =0.132
K <sub>Pyr<b>→</b>Lac</sub> = 0.150	K <sub>Pyr<b>→</b>Lac</sub> = 0.113	<b>↑</b> κ <sub>Pyr→Lac</sub> = 0.398
1/T <sub>1,Pyr,Eff</sub> =0.123	$1/T_{1,Pyr,Eff} = 0.122$	$1/T_{1,Pyr,Eff} = 0.111$
$1/T_{1,LacEff} = 0.115$	1/T <sub>1,Lac,Eff</sub> = 0.139	1/T <sub>1,Lac,Eff</sub> = 0.135
$1/T_{1,Ala,Eff} = 0.116$	1/T <sub>1,Ala,Eff</sub> = 0.185	↓1/T <sub>1,Ala,Eff</sub> = 0.137

Figure 6.

 $T_2$ -weighted axial and coronal anatomical images showing progression in a switchable oncogene driven model of liver cancer and the corresponding rates of conversion calculated from three-site exchange using MAD-STEAM and Eq. 4 at each time point: baseline, two weeks (pre-tumor) and five weeks (late tumor) off doxycycline. Yellow lines contain the liver where data was acquired.

# Table 1

Accuracy in Estimated Kinetics Parameters obtained using Metabolic Activity Decomposition and other Approaches to Kinetic Modeling.

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	T	wo-Site Exchang	e	Three-Site E	lxchange
SNR = 25	Metabolic Activity Decomposition	Conventional	Conventional with Assumptions <sup>a</sup>	Metabolic Activity Decomposition	Conventiona
% Difference : $K_{Pyr  ightarrow Lac}$	8.7%	338.6%	43.7%	2.9%	30.8%
% Difference : $K_{Lac  ightarrow Pyr}$	21.9%	570.7%		ı	·
% Difference : $K_{Pyr  ightarrow Ala}$		ı		8.1%	65.6%
% Difference : $T_{1,Pyr}$	11.6%	31.7%		4.4%	25.7%
% Difference : $T_{1,Lac}$	15.4%	36.%		3.1%	16.6%
% $Difference: T_{1,Ala}$				4.6%	22.7%
SNR = 50					
% Difference : $K_{Pyr  ightarrow Lac}$	5.0%	258.6%	44.3%	1.4%	19.0%
% Difference : $K_{Lac  ightarrow Pyr}$	11.6%	351.2%		,	ı
% Difference : $K_{Pyr  ightarrow Ala}$		·		4.0%	41.1%
$\%  Difference: T_{1,Pyr}$	7.3%	26.2%		2.4%	15.6%
$\%  Difference: T_{1,Lac}$	8.6%	27.4%		1.5%	11.4%
$\% Difference: T_{1,Ala}$		ı		2.3%	15.0%
SNR = 100					
% Difference : $K_{Pyr  ightarrow Lac}$	2.7 %	113.2%	44.7 %	1.0%	13.2%
% Difference : $K_{Lac  o Pyr}$	6.4 %	$205.6\%^{d}$	ı	I	ı
% Difference : $K_{Pyr  ightarrow Ala}$				2.1%	25.9%
$\%  Difference: T_{1,Pyr}$	4.5%	19.4%	·	1.1%	9.5%
$\% Difference: T_{1,Lac}$	5.3%	26.8%	ı	0.7%	7.2%
$\%  Difference: T_{1,Ala}$			·	1.2%	10.0%

1. Three-site exchange with Metabolic Activity Decomposition used Eq. 3 and conventional modeling used Eq. 4. The average percent difference, was found for n=5 simulation experiments per parameter b Data is reported as average percent difference error between actual value and estimated value. Two-site exchange with Metabolic Activity Decomposition used Eq. 2 and conventional modeling used Eq. combination, and SNR = 25-250 and without noise. <sup>c</sup> Comparison was over a range of physiologically expected parameters and all combinations in that range:  $K_{Pyr \rightarrow Lac} = 0.01 - 0.1s^{-1}$ ,  $K_{Lac} \rightarrow P_{yr} = 0.002 - 0.02s^{-1}$ ,  $K_{Pyr \rightarrow Ala} = 0.005 - 0.1s^{-1}$ , and  $T_{1,x} = 10 - 40s.$ 

 $d_{Even}$  small errors in the back reaction may appear large when the denominator is small: % Difference = ( $|Actual - Estimated| / Actual )^* 100\%$ 

#### Table 2

Comparison of rates on conversion in tumors versus in normal with Metabolic Activity Decomposition and conventional Two-site Exchange.

K <sub>Pyr→Lac</sub>	Tumor	Normal	P-value
Metabolic Activity Decomposition:	$0.110 \pm 0.014$	$0.033 \pm 0.008$	0.005*
Conventional with Assumptions <sup>b</sup> :	$0.068\pm0.011$	$0.054\pm0.008$	0.385
Conventional:	$0.150\pm0.061$	$0.264\pm0.069$	0.164
$K_{Lac \rightarrow Pyr}$			
Metabolic Activity Decomposition:	$0.001 \pm 6.11 \times 10^{-4}$	$0.025\pm0.007$	0.028*
Conventional:	$0.153\pm0.073$	$0.264 \pm 0.145$	0.080
$T_{1,Pyr}$			
Metabolic Activity Decomposition:	$0.120\pm0.020$	$0.198 \pm 0.035$	0.123
Conventional:	$0.197\pm0.033$	$0.169\pm0.020$	0.271
$T_{1,Lac}$			
Metabolic Activity Decomposition:	$0.105\pm0.009$	$6.13{\times}10^{-2}\pm0.007$	0.026*
Conventional:	$0.038 \pm 0.009$	$5.26 \times 10^{-2} \pm 0.027$	0.500
T <sub>1,Both</sub>			
Conventional with Assumptions <sup>b</sup> :	$0.137\pm0.011$	$0.170 \pm 0.027$	0.274

<sup>*a*</sup>Data is reported as the mean  $\pm$  mse with units s<sup>-1</sup>.

<sup>b</sup>Assumes  $K_{Lac} \rightarrow Pyr = 0$  and  $T_{1,Pyr} = T_{1,Lac}$ 

<sup>*c*</sup>Two-sided unpaired students t-test ( $n=5, \alpha=0.05$ ).

\* Denotes significant differences (p-value <  $\alpha$ ).

dComparison of rates on conversion in tumor versus normal with Metabolic Activity Decomposition and conventional Two-site Exchange from slabs in the abdomen of TRAMP mice and normal mice. With this small sample size, significant differences were only detected when using Metabolic Activity Decomposition.