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Is There Anything Really Novel on the Antidepressant Horizon?

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Abstract

Major depression represents one of the most disabling illnesses and current treatments are only partially effective. All antidepressant agents modulate the monoamine system, which likely accounts for the similar efficacy profile of available treatments. Herein we will summarize the current state of depression therapeutics and assess the antidepressant development pipeline. Antidepressant response rates in controlled trials are estimated at ~54% and real-world effectiveness data suggests a somewhat lower rate. Response rates are lower still in patients who have not responded to previous treatment attempts and meaningful advancements will likely come only from identification of mechanistically novel agents. Monoaminergic agents largely dominate the antidepressant development pipeline, however the glutamate neurotransmitter system represents a bright spot on the antidepressant horizon. We review in detail findings regarding the antidepressant effects of the glutamate N-methyl-d-aspartate receptor antagonist ketamine in order to highlight the promise of novel agents as future treatments for major depression.

Keywords

Major depressive disorder; MDD; Treatment-resistant depression; TRD; Antidepressant; Drug discovery; Psychopharmacology; Glutamate; Ketamine; N-methyl-d-aspartate receptor; NMDAR; Mood disorders; Psychiatry

Introduction

Major depressive disorder (MDD) represents one of the most disabling medical illnesses worldwide. According to the World Health Organization (WHO), unipolar depressive disorders account for 65.5 million disability-adjusted life years (DALYs) and rank third

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among leading causes of global disease burden (1). Among all mental, neurological and substance-use disorders, unipolar depressive disorders rank first, followed by alcohol-use disorders (23.7 DALYs) and schizophrenia (16.8 DALYs) (2). Identifying more effective treatments for MDD represents a critical component required to meet this large public health challenge; increasing access to care and identifying risk and protective factors for depressive disorders represent additional essential components.

Current antidepressant therapeutics target aspects of the monoamine neurotransmitter systems in the brain and are often only partially effective for MDD. A major goal of current neuropharmacology research is to identify safe and more effective treatments for depression by targeting neural systems and chemical messengers outside of the monoamine system (3–6). In the current review we will analyze the current state of depression therapeutics and summarize the efficacy and effectiveness of available antidepressants. We will then examine the pharmaceutical antidepressant development pipeline and ask the question, "Is there anything really novel on the antidepressant horizon?"

We suggest that the glutamate system represents a promising avenue for antidepressant drug development and highlight this bright spot on the antidepressant horizon. We will review in detail current evidence for the antidepressant action of the glutamate N-methyl-d-aspartate (NMDA) receptor (NMDAR) antagonist ketamine as a touchstone for glutamatergic antidepressant agents. We will briefly review molecular mechanisms hypothesized to underlie ketamine's antidepressant effect and conclude with a discussion of future research directions.

Depression Therapeutics: Current State of the Art

The discovery of drugs in the 1950's that had a selective mitigating effect on core symptoms of mood disorders represents a landmark event in modern psychiatry and the advent of psychopharmacology for major depression has led to symptom relief for untold numbers of patients. Despite more than 60 years of clinical and basic science research related to depression and antidepressant agents, however, there are critical limitations among current treatment options. All antidepressant agents currently approved by the U.S. Food and Drug Administration (FDA) for the treatment of MDD primarily act to modulate components of the monoamine neurotransmitter system in the brain and generally increase the intrasynaptic availability of serotonin, norepinephrine or dopamine. Below we review the efficacy and effectiveness data for current antidepressants.

In recent years there has been considerable debate regarding the efficacy and effectiveness of antidepressant medications (7–9). A recent meta-analysis including more than one hundred randomized, placebo-controlled trials (RCTs) of antidepressant agents and 27,127 patients with MDD yielded an average response rate of 54% for FDA-approved antidepressants, compared to 37% for placebo (9). The pooled drug-placebo relative response rate was 1.42 (1.38–1.48) with a number needed to treat (NNT) of 8. These results are similar to a previously published meta-analysis of antidepressant efficacy trials including 44,240 patients with MDD, which yielded average response rates of 54.3% and 37.9% for active drug and placebo, respectively (10). These and other study studies have generally not found meaningful differences in efficacy between different classes of antidepressants or between individual agents (11). The meta-analysis by Undurraga did find a small but statistically significant advantage of older agents - include the tricyclic antidepressants (TCAs) – over newer agents (9). The recently published psychopharmacology update on the treatment of depressive disorders by the Canadian Network for Mood and Anxiety Treatments (CANMAT) found that all second-generation antidepressants have Level 1 evidence to support efficacy and tolerability and found a lack of meaningful difference

between treatment options (12). The findings of these large meta-analyses support the conclusion that currently antidepressants are significantly but only modestly efficacious in the treatment of MDD.

Important factors to consider when interpreting outcomes from antidepressant RCTs include the potential role of publication biases (7) and the influence of baseline depression severity on observed efficacy (8). Turner et al found a mean weighted effect size for antidepressants of 0.41 (Hedges' g) based on published RCTs compared to a mean effect size of 0.31 when unpublished data from the FDA was included, representing a 32% difference (7). Regarding the influence of baseline depression severity on apparent efficacy, Fournier at al found a mean effect size of 0.47 (Cohen's d) – corresponding to a NNT of 4 – among patients with depression severity that would be classified as very severe, compared to a much lower effect size of 0.11 – corresponding to a NNT of 16 – among patients characterized as having mild or moderate severity (8).

The factors reviewed above, among others, serve to limit the estimation of the true effectiveness of antidepressants for patients in real world settings. The most informative data regarding antidepressant effectiveness come from the large Sequenced Treatment Alternatives to Relieve Depression (STAR*D) study, which enrolled 4,041 adult outpatients with MDD (13,14). Following up to 12 weeks of treatment with the serotonin-selective reuptake inhibitor (SSRI) citalopram, the response and remission rates were 48.6% and 36.8%, respectively (14). Subsequent treatment steps involved several augmentation or switching strategies for patients who did not remit at the previous step and yielded response rates of 28.5%, 16.8% and 16.3% at the second, third and fourth treatment steps, respectively (14). Unfortunately no single treatment strategy appeared to possess a meaningful advantage over other options for patients who did not remit at a previous step (15,16).

In a follow up to the STAR*D study, the Combining Medications to Enhance Depression Outcomes (CO-MED) study was designed to compare 12-week response and remission rates between monotherapy with an SSRI and two antidepressant combinations (17). In this single-blind study, 665 outpatients with chronic or recurrent MDD were randomized to receive (1) escitalopram plus placebo, (2) bupropion SR plus escitalopram, or (3) venlafaxine XR plus mirtazapine. The authors found that response rates at 12 weeks varied between 51.6% and 52.4% and rates did not differ significantly between treatment groups. Remission rates were not different and ranged from 37.7%–38.9%.

Taken together, the body of evidence reviewed above indicates that currently available treatments for depression are modestly effective for many patients and are unfortunately not effective for a substantial proportion of patients. There are no clear guidelines to help clinicians select among first-line agents or to guide next-step treatment decisions for patients who do not response to an initial treatment trial.

The Antidepressant Pharmaceutical Pipeline: What's New?

The limited effectiveness of current antidepressants – and their mechanistic similarity – underscores the urgent public health need for novel, more effective treatment for MDD. Are there new, innovative treatments for MDD on the horizon? Table 1 summarizes 22 compounds currently in development for MDD. These data were obtained from public sources, including the PhRMA Medicines in Development for Mental Illness 2012 Report (18). As expected, the majority of agents in development can be classified broadly as monoaminergic as their primary mechanism of action and include the so-called "triple-reuptake inhibitors." Triple-reuptake inhibitors (TRIs) bind to and inhibit all three primary monoamine synaptic reuptake proteins: the serotonin transporter (SERT), the norepinephrine

transporter (NET) and the dopamine transporter (DAT). Molecular entities in this category farthest along in development are EB-1010 by Euthymics Bioscience and BMS-820836 by Bristol-Myers Squibb. TRIs can be seen as the next logical step in an effort to broaden engagement of the monoamine systems, following the development of serotonin norepinephrine reuptake inhibitors (SNRIs). It was initially hoped that SNRIs would possess enhanced efficacy for depression compared to SSRIs, although there is unfortunately a paucity of data to support this conclusion (see above discussion). Whether or not the addition of dopaminergic modulation in the pharmacodynamic profile of this next generation of antidepressants confers enhanced efficacy compared to SSRI or SNRI agents remains to be seen. Other monoaminergic agents in Phase III development include the dual reuptake inhibitor (SERT, NET) levomilnacipran (Forest Laboratories), the selective NET inhibitor LY2216684 (Eli Lilly) and a D2-receptor partial agonist (OPC-34712, Lundbeck).

Although the majority of agents in development for depression do not represent marked departures from existing therapies, there are several notable exceptions. We identified four compounds that act on components of the glutamate system currently in Phase I or II development (Table 1). Two compounds directly target the glutamate NMDAR: AZD6765 (AstraZeneca) is an NMDAR antagonist and GLYX-13 (Naurex) is an NMDAR glycine-site partial agonist. Two compounds modulate glutamate metabotropic receptors (mGluR): RG7090 (Roche) is an mGluR5 antagonist and BCI-632 (BrainCells) is an mGluR2/3R antagonist. See (19) for a detailed discussion of glutamate receptor subtypes and their potential role in depression pathophysiology. In addition to glutamatergic agents, other examples of potential innovation in pharmaceutical development for depression include molecular entities targeting the hypothalamic–pituitary–adrenal (HPA) axis, the galanin neuropeptide system, the melatonin system, the inflammatory system and even hippocampal neurogenesis (Table 1). Here we focus on the glutamate system as a candidate for novel drug discovery.

Why target the glutamate system as a strategy for antidepressant development? As limitations of the monoamine theory of depression have become clearer (4,20,21), research focus has shifted to the glutamate system – among others – as an important component of the pathophysiology of depression (5,19,22,23). Glutamate is the ubiquitous excitatory neurotransmitter in the brain and is a critical mediator of neuroplasticity and learning and memory (24). Cortical and limbic brain circuits crucial for cognitive and emotion regulation largely utilize glutamate as the primary neurotransmitter and maladaptive alterations in synaptic structure and function observed in animal models of stress and depression are seen within glutamatergic pathways (25,26). The extensive plasticity of the glutamate synapse in response to environmental influences suggests a key physiological substrate for the wellknown link between environmental stress and depression and the neurotoxic effects of abnormally high levels of glutamate – which may result from stress – is a candidate mechanism for regional reductions in brain volume observed in MDD (27). Perhaps most compelling from a clinical perspective is the observation that the glutamate NMDAR antagonist ketamine results in a rapid antidepressant effect in some patients - findings that we review in detail below. These and other data point towards the glutamate system as a principal candidate to target for therapeutic modulation in MDD.

In summary, the antidepressant development pipeline contains many so-called "me too" agents that primarily target the monoamine system and at the same time there are encouraging signs of innovation, particularly in the realm of the glutamate system. Monoaminergic drugs in development can be expected to have efficacy and tolerability profiles similar to currently available agents; in contrast, mechanistically novel molecular entities have the potential to offer much needed, more effective treatments for patients who do not have a favorable response to monoamine-based treatments. In the following section

we will highlight the promise of the glutamate system as a novel target for drug development by describing research related to ketamine in depression.

The Example of Ketamine as a Novel, Rapidly Acting Antidepressant

Ketamine is a high-affinity, non-competitive NMDAR antagonist that is currently FDAapproved as an anesthetic agent and is used off-label in the management of chronic pain (28). The potential antidepressant properties of ketamine were initially highlighted by a series of two small studies in which inpatients in a current depressive episode received a single low-dose of ketamine (0.5 mg/kg) intravenously (IV) or saline one week apart in a crossover design (29,30). In the first study, Berman et al reported a mean decrease of 14 points on the 25-item Hamilton Depression Rating Scale in the ketamine condition compared to a mean decrease of zero in the placebo condition at 72 hours post-treatment (29); the response rate was 25% at 24 hours and 50% at 72 hours (31). In the second study including 17 patients with treatment-resistant major depression (TRD), Zarate et al reported a large effect size for the drug-placebo difference (Cohen's d = 1.46) and a response rate of 71% at 24 hours and 35% at one week (30). See Fig. 1 for a summary of acute antidepressant effects of ketamine in MDD.

These initial studies suggested that ketamine could rapidly reduce core symptoms of depression within 24–72 hours of a single treatment. Two additional studies using a similar crossover design found support for a rapid antidepressant effect of ketamine in bipolar depression (32,33). Ketamine appears to be effective at reducing the range of depressive symptoms, including sadness, anhedonia, low energy, impaired concentration, negative cognitions and suicidal ideation (34). The rapid amelioration of suicidal ideation by ketamine may be particularly important since current antidepressants are slow to act and have been reported to potentially worsen suicidal ideation in the short-term (34–37). Ongoing research is investigating the potential anti-suicidal ideation effects of ketamine in patients admitted to an inpatient psychiatric hospital service (ClinicalTrials.gov Identifier: NCT01507181).

If ketamine can be effective in the short term for patients suffering from TRD, how can the response be maintained? Two RCTs have tested the glutamate-release inhibitor riluzole as a relapse prevention strategy following ketamine in TRD (38,39). In first study, 26 antidepressant-free patients with TRD received a single open-label infusion of ketamine and were randomized to riluzole up to 200 mg daily or placebo at 72 hours post-infusion if they met response criteria (38). The response rates were 65% and 54% at 24 and 72 hours, respectively and the responders at 72 hours (n=14) entered a 4-week riluzole-placebo RCT phase. The average time-to-relapse was 22-24.4 days and these values did not differ statistically between the riluzole and placebo groups; the overall cumulative probability of relapse during the 4-week period was 62%. In the second study, 42 subjects with TRD received a single infusion of ketamine followed by randomization on that day to riluzole up to 200 mg daily or placebo for 4 weeks (39). The investigators reported a significant effect of ketamine on depressive symptoms throughout the 28-day assessment period, although no difference between the riluzole and placebo groups. Overall the acute response rate was 62% and the cumulative probability of relapse among responders during the assessment period was 73%.

Another line of research has begun to investigate the effect of repeated administrations of ketamine in TRD (34,40). In an initial report, 9 out of 10 patients responded to a first IV dose of ketamine and proceeded to receive 5 additional doses on a three-times weekly schedule for 2 weeks (40). In that report, ketamine was found to be safe and well tolerated; all 9 participants maintained their response for the duration of the infusion period and for a

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variable time thereafter (40,41). In a follow up report that included the original 10 participants plus an additional 14 participants (total n=24), the response rate at both 24 hours and end of study was 70.8% (34). A rapid response was generally maintained throughout the study and was highly predictive of end of study response such that responder status at 4 hours following the first infusion was 94% sensitive and 71% specific for predicting end of study response. The median time to relapse among responders following the final ketamine infusion was 18 days. These data highlight the potential utility of ketamine in maintaining an antidepressant response, however also underscore the need to identify longer-term strategies to prevent relapse after a course of ketamine is discontinued.

How does ketamine achieve a rapid antidepressant effect? A series of recent basic science studies in animal models highlight alterations of synaptic structure and function as essential aspects of ketamine's antidepressant mechanism of action (42-45). Low-dose ketamine appears to increase glutamate signaling in prefrontal cortical regions and initiate a cascade of molecular events resulting in synaptogensis and enhanced synaptic functioning (42,43). Importantly, glutamate signaling through a-amino-3-hydroxy-5-methyl-4isoxazolepropionic acid (AMPA) receptors (AMPARs) may be enhanced by ketamine and several studies have shown that AMPA signaling is essential for the behavioral and molecular changes associated with ketamine (42-44,46). Signaling pathways linked to the action of ketamine include the brain-derived neurotrophic factor (BDNF)-tropomyosinrelated kinase B (TrkB) pathway and the associated downstream phosphatidyl inositol-3 kinase (PI3K)-Akt and the Ras-mitogen-activated protein kinase (MAPK) pathways, glycogen synthase kinase-3 (GSK3) associated pathways and the mammalian target of rapamycin (mTOR) pathway. A recent study in depressed patients using magnetoencephalography found preliminary evidence for increased cortical excitability following ketamine that was specific to antidepressant responders, potentially consistent with synaptic potentiation resulting from AMPAR-mediated glutamatergic neurotransmission (47). A proton magnetic resonance spectroscopy ([1H]-MRS) study measured glutamate, gamma-amino butyric acid (GABA) and glutamine within the occipital cortex in patients with MDD in the context of a single blind one-week crossover design using ketamine and saline did not find an association between ketamine and changes in amino acid transmitters (48). Much more work is needed to establish the antidepressant mechanism of action of ketamine in humans.

Taken together, there is good preliminary data to support the potential utility of ketamine as a novel, rapid-acting antidepressant that may be effective even in cases of TRD. Caution is warranted, however, before a firm conclusion regarding the antidepressant potential of ketamine can be drawn; the largest controlled study of ketamine in MDD published to date included only 17 participants in a crossover design (30). The use of saline as a control condition in ketamine studies is also problematic since the sometimes-prominent acute psychoactive effects of ketamine will compromise the integrity of the study blind. A large National Institute of Health (NIH)-funded parallel-arm RCT of ketamine verse midazolam (a water-soluble benzodiazepine) is currently underway and is expected to provide a more definitive conclusion regarding the antidepressant efficacy of ketamine (ClinicalTrials.gov Identifier: NCT00768430).

Conclusions

Major depression is a primary public health problem and current treatments fall short of what is required to meet the challenge. Response rates may be less than 50% and continue to decrease as the level of treatment-resistance increases. Remission rates are lower still. The antidepressant pipeline continues to be dominated by monoaminergic drugs, which are unlikely to represent a major advance in treatment efficacy. Mechanistically innovative

candidates, however, are in the pipeline with the largest number targeting components of the glutamate system. The glutamatergic agent with the most evidence to date for antidepressant efficacy is the NMDAR ketamine with observed response rates as high as 71% in small controlled studies conducted in treatment-resistant samples. Future studies will be necessary to establish the efficacy of ketamine in a rigorous design using a control condition with psychoactive properties.

Beyond establishing the efficacy of a single administration, future controlled studies will be required to establish the longer-term efficacy of a series of ketamine administrations in TRD and to identify maintenance strategies for patients who respond to a course of ketamine. While riluzole was not found to be superior to placebo in preventing relapse following ketamine, other pharmacotherapeutic strategies have not been systematically tested to date. The recent research implicating GSK3 in the mechanism of response to ketamine (45) might suggest the well-known GSK3 inhibitor lithium as a relapse prevention strategy. A schedule of repeated administrations of ketamine over a longer period of time, for example using a frequency taper schedule similar to ECT, will also be an important avenue for future research. The potential of ketamine to be a drug of abuse and concerns regarding neurotoxic effects of NMDAR antagonists in animals when given at high doses, however, warrants a cautious approach to the development of ketamine for TRD. Apart from ketamine, innovative drugs targeting the glutamate system currently in development hold substantial promise as urgently needed future treatments for MDD.

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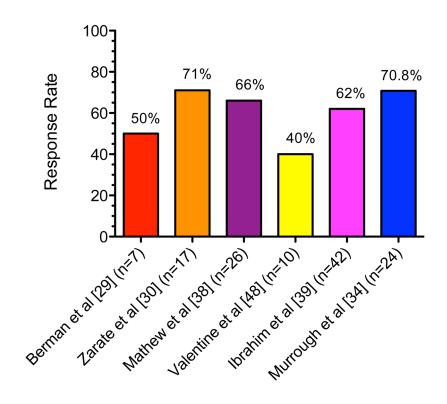
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Published Studies

Fig. 1. Peak Response Rates Following Acute Treatment with Ketamine in Major Depressive Disorder

Figure summarizes acute response rates in major depressive disorder following a single intravenous infusion of ketamine across published studies. See text for details and full citations.

Table 1

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General Mechanism	Molecular Target	Compound	Pharmacodynamic Action	Sponsor	Development Phase
Monoaminergic	SERT, NET	Levomilnacipran	Reuptake Blockade	Forest Laboratories	Phase III
Monoaminergic	NET	LY2216684	Reuptake Blockade	Eli Lilly	Phase III
Monoaminergic	D2 Receptor	OPC-34712	Partial Agonist	Lundbeck	Phase III
Monoaminergic	SERT, Additional 5- HT Targets	Vortioxetine	Reuptake Blockade; Additional 5-HT Modulation	Lundbeck	Phase III
Monoaminergic	SERT, NET, DAT	EB-1010	Reuptake Blockade	Euthymics Bioscience	Phase II/III
Monoaminergic	SERT, NET, DAT	BMS-820836	Reuptake Blockade	Bristol-Myers Squibb	Phase II
Monoaminergic	SERT, Additional 5- HT Targets	TGBA01AD	Reuptake Blockade; Additional 5-HT Modulation	Fabre-Kramer Pharmaceuticals	Phase II
Monoaminergic	MA0-A	CX157	Reversible Enzyme Inhibitor	CeNeRx Pharma	Phase I
Monoaminergic	SERT	DSP-1053	Reuptake Blockade	Sunovion Pharmaceuticals	Phase I
Monoaminergic	SERT, NET, DAT	SEP-228432	Reuptake Blockade	Sunovion Pharmaceuticals	Phase I
Monoaminergic	SERT, NET, DAT	Tedatioxetine	Reuptake Blockade	Lundbeck	Phase I
Cholinergic	Muscarinic M1 Receptor	BCI-224	Partial Agonist	BrainCells	Phase I
Glutamatergic	NMDAR	AZD6765	Antagonist	AstraZeneca	Phase II
Glutamatergic	NMDAR	GLYX-13	Glycine-Site Partial Agonist	Naurex	Phase II
Glutamatergic	mGluR5	RG7090	Antagonist	Roche	Phase II
Glutamatergic	mGluR2/3R	BCI-632	Antagonist	BrainCells	Phase I
HPA Axis Modulation	Glucocorticoid Receptor	Mifepristone	Antagonist	Corcept Therapeutics	Phase III
HPA Axis Modulation	Vasopressin- 1b Receptor	ABT-436	Antagonist	Abbott Laboratories	Phase I
Neuropeptide	Galanin-3R	HT-2157	Antagonist	Dart NeuroScience	Phase I/II
Inflammatory Modulation	Erythropoietin Receptor	ARA290	Agonist	Araim Pharmaceuticals	Phase II
Neurotrophic	Hippocampal Neurogenesis	NSI-189	Unknown/Not Reported	Neuralstem	Phase I
Melatonin Modulation	Melatonin-1, Melatonin-2 Receptors	Tasimelteon	Agonist	Vanda Pharmaceuticals	Phase I/II

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^aExamples of agents in the pharmaceutical development pipeline for major depressive disorder, Table organized by general mechanism and development phase. Information obtained from public sources, including the PhRMA Medicines in Development for Mental Illness Report 2012. DAT, dopamine transporter; HPA, hypothalamic–pituitary-adrenal; NET, norepinephrine transporter; NMDA, N-methyl-d-aspartate; NMDAR, NMDA receptor; MAOI, Monoamine oxidase inhibitor; RIMA, Reversible inhibitor of MAO-A; SERT, serotomin transporter