

Real-World Effectiveness of Systemic Agents Approved for Advanced Non-Small Cell Lung Cancer: A SEER–Medicare Analysis

TAOFEEK K. OWONIKOKO,^{a,d} CAMILLE RAGIN,^b ZHENGJIA CHEN,^{c,d} SUNGJIN KIM,^d MADHUSMITA BEHERA,^a JOHANN C. BRANDES,^{a,d} NABIL F. SABA,^{a,d} REBECCA PENTZ,^{a,d} SURESH S. RAMALINGAM,^{a,d} FADLO R. KHURI^{a,d}

^aDepartment of Hematology and Medical Oncology, Emory University, Atlanta, Georgia, USA; ^bDepartment of Cancer Prevention and Control, Fox Chase Cancer Center, Philadelphia, Pennsylvania, USA; ^cDepartment of Biostatistics and Bioinformatics, Emory University, Atlanta, Georgia, USA; ^dWinship Cancer Institute, Emory University, Atlanta, Georgia, USA

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ABSTRACT

Objectives. Disparity exists between patients with lung cancer enrolled in clinical trials and patients treated in the community setting. This study assessed the real-world effectiveness of cytotoxic agents that became available for the treatment of non-small cell lung cancer (NSCLC) in the last 2 decades.

Methods. We employed the linked Surveillance, Epidemiology, and End Results (SEER)–Medicare database for patients diagnosed with stage IIIB/IV NSCLC between 1988 and 2005 to assess the effectiveness of newly approved agents. Effectiveness of specific agents was assessed at time periods immediately following the approval of the agent for NSCLC: baseline, 1988–1994; platinum, 1995–1999; docetaxel, 1999–2003; pemetrexed and bevacizumab, 2004–2005. Significant associations between specific drug treatment and survival improvement were determined using the Kaplan–Meier method, Cox proportional hazard model, and propensity score analyses. Significant differences were established by log-rank test.

Results. This analysis employed data from 143,548 patients by sex (58% male, 42% female), cancer stage (35% stage IIIB, 65%

stage IV), and age (12% 20–64 years, 22% 65–69 years, 45% 70–79 years, 22% 80 years and older). There was temporal improvement in survival for patients treated with newly approved chemotherapy (1-year survival rates: 32.41% in 1988–1994, 32.95% in 1995–1998, 37.40% in 1999–2003, and 39.55% in 2004–2005). Patients treated with a newly approved drug during the relevant treatment era had a significant reduction in the risk of death when compared with patients treated with chemotherapy other than the newly approved agent (hazard ratios [95% confidence interval] were 0.76 [0.71–0.81] for platinum, 0.73 [0.70–0.75] for docetaxel, 0.40 [0.37–0.44] for pemetrexed, and 0.33 [0.27–0.40] for bevacizumab; $p < .001$). Propensity score adjustment did not significantly alter these results.

Conclusions. Currently approved drugs for the treatment of advanced NSCLC are associated with improved survival in the U.S. Medicare patient population. Our findings support the effectiveness of these agents in the real-world oncology practice. *The Oncologist* 2013;18:600–610

Implications for Practice: The U.S. Food and Drug Administration has approved several new drugs for the treatment of lung cancer in the last 15 years, based mainly on the results of clinical trials conducted in small groups of patients. The current study used the Surveillance, Epidemiology, and End Results (SEER)–Medicare diagnosis and treatment record to assess whether drugs approved through this approach benefit regular patients treated in the community. Our results show that the majority (approximately 70%) of patients with advanced lung cancer do not receive treatment with these approved drugs. However, treatment with an approved drug was associated with a greater likelihood of the patient living longer when compared to patients not treated with any chemotherapy or to those treated with chemotherapy drug other than an approved drug. Our results support the use of clinical trials to evaluate new drugs prior to regulatory approval and also highlight the need for oncologists to consider the use of these drugs for appropriate patients.

INTRODUCTION

Lung cancer affects approximately 220,000 new patients annually in the U.S. and causes the most cancer deaths worldwide [1, 2]. Newly approved drugs for the treatment of advanced lung cancer in the last 15 years have led to a greater number of therapeutic options, thereby improving the outlook for non-small cell

lung cancer (NSCLC) [3–8]. Regulatory approval for new treatment agents for NSCLC by the U.S. Food and Drug Administration (FDA) is based primarily on the efficacy demonstrated by clinical trials conducted in carefully selected patient populations. However, such clinical trials are more likely to enroll relatively younger

Correspondence: Taofeek K. Owonikoko, Ph.D., Emory University School of Medicine, 1365 C Clifton Road NE, Room C3080, Atlanta, Georgia 30322, USA. Telephone: 404-778-5575; Fax: 404-778-5520; E-Mail: towonik@emory.edu Received December 25, 2012; accepted for publication March 5, 2013; first published online in *The Oncologist Express* on May 1, 2013. ©AlphaMed Press 1083-7159/2013/\$20.00/0 <http://dx.doi.org/10.1634/theoncologist.2012-0480>

patients with limited comorbidities, whereas the majority of lung cancer patients are diagnosed at an advanced age [9–11].

A recent analysis of data submitted to the FDA in support of new drug applications or biologics license applications for new therapeutic agents for NSCLC in the decade extending from 2000 to 2010 greatly underscored the potential negative consequences of the disparity between clinical trial populations and patients treated with the FDA-approved agents in the community setting [12]. A comparison of the 8,795 patients enrolled in these pivotal NSCLC trials to the Surveillance, Epidemiology, and End Results (SEER) registry data for newly diagnosed NSCLC during the same period showed significant disparity in age, sex, and ethnicity of the clinical trial patients relative to the general U.S. patient population expected to be treated with the approved agents [12]. This disparity raised the concern that efficacy from clinical trials may not translate to real-world effectiveness in the general patient population. Indeed, a study conducted in a U.S. academic medical center showed that more than 50% of the patients with lung cancer who were treated at the institution would not qualify for an FDA-approved treatment regimen if treatment selection was based strictly on the eligibility criteria employed for the pivotal trial [13]. We therefore employed the linked SEER-Medicare database to assess the effectiveness of systemic therapy options that became available for advanced NSCLC over the last 2 decades for patients treated outside the controlled clinical trial setting.

PATIENTS AND METHODS

We employed the linked SEER-Medicare database containing treatment information from the Medicare insurance program and diagnostic information from the population-based cancer registry program SEER [14]. The SEER database is a quality-assured national cancer registry that covers approximately 25% of the whole U.S. population and contains complete data set on treatment information for approximately 93% of all eligible patients [15–18]. This study was approved by the SEER program managers and the institutional review board of Emory University.

Overall survival data were available through December 31, 2007, whereas Medicare claims data were available through 2005. Patients with lung cancer who were treated with chemotherapy were identified by merging the SEER data set of eligible patients with chemotherapy procedure and administration data set from each Medicare claims file (MEDPAR, DME, HHA, HSPS, NCH, and OUTSAF) for every year from 1988 to 2005. We employed the International Statistical Classification of Diseases and Related Health Problems (ICD)-09-CM codes V58.1, V58.11, V66.2, V67.2, 99.25 and Healthcare Common Procedure Coding System codes 96400–96499, 96500–96599, 99555, J8510, J8520, J8521, J8530–J8999, J9000–J9999, C9415, G0355–G0363, S9329–S9331, S9379, Q0083–Q0085, C8953, C8954, and C8955. Patients treated with the drugs of interest were identified using the following drug-specific codes: vinorelbine, J9390; pemetrexed, J9305; docetaxel, J9170; bevacizumab, J9035; paclitaxel, J9265; cisplatin, J9060, J9062, and C9418; carboplatin, J9045; gemcitabine, J9201. Patients were coded as having received chemotherapy (yes/no) through the chemotherapy procedure or administration codes and as having received (yes/no) for each specific drug code using the claim files.

cedure or administration codes and as having received (yes/no) for each specific drug code using the claim files.

Study Population

Patients were eligible if diagnosed with advanced NSCLC (stage IIIB/IV) between 1988 and 2005 (inclusive), excluding those with additional diagnosis of cancer arising outside the lung. Tumor stage was classified according to the third and sixth editions of the American Joint Commission on Cancer manual for patients diagnosed between 1988 and 2003 and between 2004 and 2005, respectively. Both classifications were merged into a single variable.

Analytic Approach

Age was categorized as 20–64 years, 65–69 years, 70–79 years, and 80+ years. Race was classified as white, black, Asian, Hispanic, Native American, and unknown as reported by the patients. Histology was categorized as squamous cell carcinoma, adenocarcinoma, or others.

Temporal Analysis (Indirect Evidence)

Survival for each patient was calculated from the time of initial diagnosis. We used survival data for the period extending from 1988 to 1994 when the efficacy of systemic therapy for advanced lung cancer had not been established as a baseline. We assessed temporal survival changes across different eras which approximated the period extending from the initial year of FDA approval of an agent until the year of approval of another therapeutic agent for advanced NSCLC: platinum agents (1995–1998), docetaxel (1999–2003), and pemetrexed and bevacizumab (2004–2005). We also assessed temporal changes in survival for patients who did not receive any chemotherapy to establish whether other factors such as improved supportive care and stage migration could account for our findings.

Analysis Limited to Defined Era of Treatment (Direct Evidence)

To assess the survival impact of a specific drug, we first compared the survival of patients treated with any chemotherapy to that of patients not treated with any chemotherapy during the same period. Subsequently, we compared the survival of patients treated with the newly approved drug to the survival of patients treated with other chemotherapy agents during the same period. Significant association of survival benefit with a newly approved drug was assessed at several levels: a temporal survival improvement during the period of interest over the preceding periods, superior survival in patients treated with chemotherapy compared with patients not treated with chemotherapy during a defined period, and superior survival in patients treated with the specific chemotherapy of interest over patients treated with other types of chemotherapy during the defined period. Additional analysis for survival impact of newly approved agents was performed within clinically relevant subgroups defined by tumor stage (III vs. IV) and tumor histologies (for pemetrexed and bevacizumab).

Statistics

Differences in ethnicity, sex, age, stage, defined treatment era, radiation, histology, and Medicare status between patients treated and not treated with chemotherapy were assessed by χ^2 test. The Kaplan-Meier method was used to

Table 1. Clinical and demographic characteristics of patients, including treatment received and Medicare eligibility status

Covariate	No chemotherapy	Chemotherapy	<i>p</i> value
No. of patients	101,780 (71)	41,768 (29)	
Ethnicity			
White	82,742 (70.39)	34,798 (29.61)	<.001
Black	10,534 (72.89)	3,917 (27.11)	
Other	3,684 (77.02)	1,099 (22.98)	
Asian	2,735 (68.56)	1,254 (31.44)	
Hispanic	1,198 (72.92)	445 (27.08)	
Native American	190 (68.59)	87 (31.41)	
Sex			
Male	58,585 (70.32)	24,732 (29.68)	<.001
Female	43,195 (71.72)	17,036 (28.28)	
Stage			
IIIB	34,805 (69.83)	15,036 (30.17)	<.001
IV	66,975 (71.47)	26,732 (28.53)	
Age group (yr)			
20–64	12,391 (70.42)	5,206 (29.58)	<.001
65–69	19,306 (62.54)	11,562 (37.46)	
70–79	44,087 (68.79)	20,005 (31.21)	
80+	25,996 (83.88)	4,995 (16.12)	
Drug approval era			
1988–1994	24,289 (79.47)	6,275 (20.53)	<.001
1995–1998	16,778 (73.31)	6,108 (26.69)	
1999–2003	41,631 (67.94)	19,642 (32.06)	
2004–2005	19,082 (66.2)	9,743 (33.8)	
Radiation			
No radiation	58,014 (75.13)	19,202 (24.87)	<.001
Radiation	41,727 (65.85)	21,640 (34.15)	
Histology			
Adenocarcinoma	36,794 (67.1)	18,043 (32.9)	<.001
Squamous cell carcinoma	21,860 (70.67)	9,072 (29.33)	
Other	43,126 (74.64)	14,653 (25.36)	
Medicare status code			
Aged	92,553 (70.98)	37,835 (29.02)	<.001
Aged with ESRD	448 (86.15)	72 (13.85)	
Disabled	8,566 (69.31)	3,793 (30.69)	
Disabled with ESRD	76 (71.7)	30 (28.3)	
ESRD only	101 (75.94)	32 (24.06)	

Data are *n* (%). *p* value was calculated by χ^2 test. There were significant differences in patient distribution across the various categories. Abbreviation: ESRD, end-stage renal disease.

estimate survival functions for overall survival (OS) rates and calculate the 2- and 5-year survival rates. The log-rank test was used to assess the difference in OS rates between different groups. Multivariable Cox proportional hazards models were employed to estimate the adjusted effect on OS rates of chemotherapy, the era of therapy, and specific chemotherapy agents after adjusting for age, sex, ethnicity, stage, histology, and Medicare status. To explore how much of the observed survival improvement over time is directly attributable to specific chemotherapy versus improvement or changes in other factors such as supportive care or stage migration, we calculated the relative ratio of the HR (current era HR divided by the

HR for each of the preceding eras). We subsequently performed a *p* trend analysis to test the statistical significance of the relative ratios.

To better estimate the true association between specific treatment and survival improvement, propensity score analysis was employed to adjust for potential imbalances between treatment groups so as to minimize any “healthy cohort” effect that could have influenced the decision to select specific patients for treatment. Multivariable logistic regressions were used to calculate the propensity score of receiving chemotherapy and the specific chemotherapy agent: platinum, docetaxel, pemetrexed, and bevacizumab during the defined

Table 2. Survival analysis for the baseline population and for patients treated with chemotherapy based on the era of drug approval

Period (yr) and treatment status	No. of patients	1-yr OS (%)	Median OS, mos. (95% CI)	HR (95% CI)	p value
Overall survival analysis associated with available chemotherapy in the period 1988–1994 ^a					
Chemotherapy	6,275	32.41	8 (NA)	0.66 (0.64–0.68)	<.001
No chemotherapy	24,289	16.81	4 (NA)	1 (Ref.)	
All patients not treated with chemotherapy ^b					
1988–1994	24,289	16.81	4 (NA)	1 (Ref)	
1995–1998	16,778	17.25	3 (3–4)	0.996 (0.975–1.018)	.728
1999–2003	41,631	19.75	4 (NA)	0.931 (0.915–0.947)	<.001
2004–2005	19,082	20.43	3 (3–4)	0.875 (0.857–0.895)	<.001
Stage IIIB patients not treated with chemotherapy ^c					
1988–1994	8,772	25.41	5 (5–6)	1 (Ref)	
1995–1998	6,105	25.13	5 (NA)	0.990 (0.956–1.026)	.586
1999–2003	14,684	28.99	6 (5–6)	0.884 (0.859–0.911)	<.001
2004–2005	5,244	31.27	6 (NA)	0.826 (0.794–0.860)	<.001
Stage IV patients not treated with chemotherapy ^c					
1988–1994	15,517	11.87	3 (NA)	1 (Ref)	
1995–1998	10,673	12.69	3 (NA)	0.999 (0.973–1.026)	.934
1999–2003	26,947	14.67	3 (NA)	0.954 (0.934–0.975)	<.001
2004–2005	13,838	16.25	3 (NA)	0.901 (0.878–0.924)	<.001
All patients treated with chemotherapy ^b					
1988–1994	6,275	32.41	8 (NA)	1 (Ref)	
1995–1998	6,108	32.95	8 (NA)	0.98 (0.94–1.02)	.240
1999–2003	19,642	37.40	9 (NA)	0.89 (0.86–0.92)	<.001
2004–2005	9,743	39.55	9 (9–10)	0.80 (0.77–0.82)	<.001
Stage IIIB patients treated with chemotherapy ^c					
1988–1994	2,346	43.91	11 (10–11)	1 (Ref)	
1995–1998	2,334	42.86	10 (10–11)	0.97 (0.92–1.03)	.343
1999–2003	7,348	48.35	12 (NA)	0.88 (0.84–0.93)	<.001
2004–2005	3,008	51.91	13 (13–14)	0.77 (0.73–0.82)	<.001
Stage IV patients treated with chemotherapy ^c					
1988–1994	3,929	25.54	7 (6–7)	1 (Ref)	
1995–1998	3,774	26.82	7 (6–7)	0.98 (0.94–1.03)	.432
1999–2003	12,294	30.83	8 (7–8)	0.89 (0.86–0.92)	<.001
2004–2005	6,735	34.02	8 (NA)	0.80 (0.77–0.84)	<.001

Median and 1-year survival rates for patients treated with and without chemotherapy according to the defined drug approval eras.

^aHR was calculated by the multivariable Cox regression model with chemotherapy, after adjusting for age, sex, race, stage, histology, and Medicare status.

^bHR was calculated by the multivariable Cox regression model with drug era, after adjusting for age, sex, race, stage, histology, and Medicare status.

^cHR was calculated by the multivariable Cox regression model with drug era, after adjusting for age, sex, race, histology, and Medicare status.

Abbreviations: CI, confidence interval; HR, hazard ratio; NA, not available; OS, overall survival; Ref, reference.

period based on the covariates: age, sex, race, stage, histology (histology excluded for bevacizumab), and Medicare status, respectively. An adjusted Cox proportional hazards model that included propensity score as a covariate was then employed to reassess the effect of each treatment of interest.

The significance levels were set at $p \leq .05$ for all tests. The SAS statistical package V9.3 (SAS Institute, Inc., Cary, NC, <http://www.sas.com>) was used for data analyses.

RESULTS

We identified 146,159 patients with stage IIIB/IV NSCLC from the SEER record. In all, 143,548 were eligible, whereas 2,611 were ex-

cluded because of other cancer diagnoses. Eligible patients were mostly white (82.4%) and aged 65 years or older (87.7%). Age (91%) and disability (9%) were the most frequent qualifying events for Medicare enrolment. Only 29% of the patients received systemic therapy, with a higher rate in the more recent treatment eras: 20.5% in 1988–1994, 26.7% in 1995–1998, 32.1% in 1999–2003, and 33.8% in 2004–2005 ($p < .001$).

There was a significant difference in the proportion of treated patients based on Medicare qualifying events, with 29% for patients enrolled based on age, 14% for age with end-stage renal disease (ESRD), 31% for disability, 28% for disabil-

Table 3. Relative hazard ratio calculated across different eras of drug approvals

Period (yr)	No. of patients	Hazard ratio ^a		Ratio ^b		Ratio ^c		Ratio ^d	
		HR (95% CI)	<i>p</i> value	HR (95% CI)	<i>p</i> value	HR (95% CI)	<i>p</i> value	HR (95% CI)	<i>p</i> value
1988–1994	30,564	0.663 (0.644–0.683)	<.001	1 (Ref)					
1995–1998	22,886	0.658 (0.638–0.678)	<.001	0.992 (0.971–1.013)	.441	1 (Ref)			
1999–2003	61,273	0.647 (0.635–0.658)	<.001	0.975 (0.960–0.990)	.001	0.983 (0.968–0.999)	.034	1 (Ref)	
2004–2005	28,825	0.584 (0.567–0.601)	<.001	0.881 (0.863–0.899)	<.001	0.888 (0.869–0.907)	<.001	0.903 (0.889–0.917)	<.001

Data show progressively decreasing risk of death over time as new agents become available for lung cancer treatment.

^aHR was calculated by the multivariable Cox proportional hazards model with chemotherapy (compared to no chemotherapy) after adjusting for age, sex, race, stage, histology, and Medicare status. Trend test for $p < .001$ examined if HR decreased over time.

^bRatio of the HR for chemotherapy (compared with no chemotherapy) for the drug approval eras 1995–1998, 1999–2003, or 2004–2005 relative to the HR for chemotherapy (compared with no chemotherapy) for the baseline era 1988–1994.

^cRatio of the HR for chemotherapy (compared with no chemotherapy) for the drug approval era 1999–2003 or 2004–2005 relative to the HR for chemotherapy (compared with no chemotherapy) for the drug approval era 1995–1998.

^dRatio of the HR for chemotherapy (compared with no chemotherapy) for the period 2004–2005 relative to the HR for chemotherapy (compared with no chemotherapy) for the preceding era 1999–2003.

Abbreviations: CI, confidence interval; HR, hazard ratio; Ref, reference.

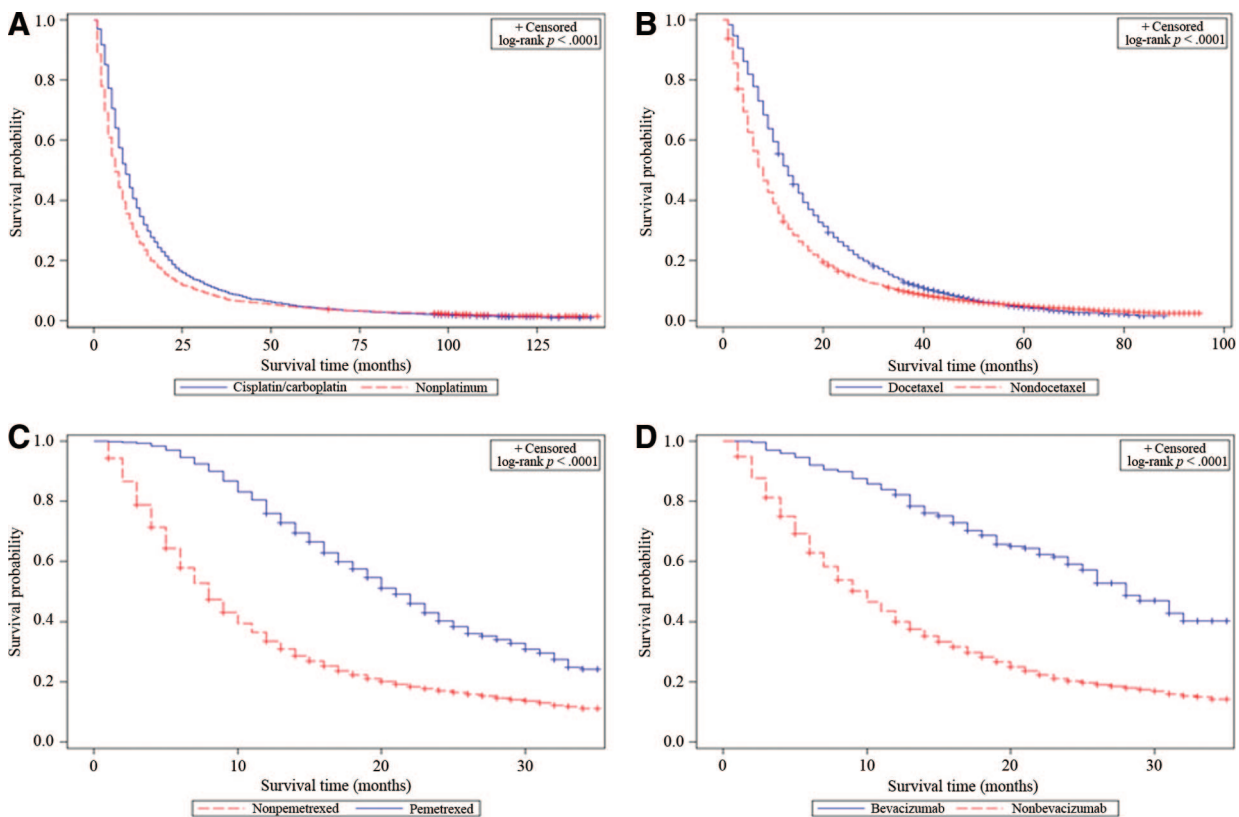


Figure 1. Kaplan-Meier overall survival curves for patients treated with platinum (A), docetaxel (B), pemetrexed (C), and bevacizumab (D) compared with patients treated with other types of chemotherapy within their respective eras.

ity with ESRD, and 24% for ESRD only ($p < .001$). There was also a lower rate for black and Hispanic patients (27.1%) compared with white (29.6%) and Asian patients (31.4%; $p < .001$). Chemotherapy administration was lower with increasing age: 29.6% for patients aged 20–64 years, 37.5% for 65–69 years, 31.2% for 70–79 years, and 16.1% for patients ≥ 80 years ($p < .001$). There was a higher rate of radiation use in patients treated with chemotherapy (53% vs. 42%; $p < .001$; Table 1).

Survival Analysis: Temporal Analysis

Patients diagnosed during the baseline period had 1-, 2-, and 5-year overall survival rates of 20.28%, 9.22%, and 3.09%, respectively. Higher survival rates were found for patients

treated with chemotherapy (overall survival rates: 1-year, 32.41% vs. 16.81%; 2-year, 14.54% vs. 7.69%, and 5-year, 4.26% vs. 2.76%, respectively). The median survival was also higher for patients treated with chemotherapy during this period compared with patients who did not receive chemotherapy (8 vs. 4 months; hazard ratio [HR]: 0.66; 95% confidence interval [CI]: 0.64–0.68; $p < .001$; Table 2).

Increased survival over the baseline period was recorded across all the defined treatment eras, with a higher magnitude of improvement observed in the more recent era as new treatment agents received FDA approval. Although the median survival for all categories of untreated patients remained low

Table 4. Comparisons between patients treated or not treated with a platinum agent and between various platinum combination regimens and single-agent platinum therapy

Treatment	No. of patients	1-yr OS (%)	Median OS, mos. (95% CI)	HR (95% CI)	p value
Overall population ^a					
Chemotherapy	6,108	32.95	8 (NA)	0.66 (0.64–0.68)	<.001
No chemotherapy	16,778	17.25	3 (3–4)	1 (Ref)	
Chemotherapy-treated patients ^a					
Cisplatin/carboplatin	3,181	37.47	9 (9–10)	0.78 (0.74–0.83)	<.001
Nonplatinum	2,927	27.89	6 (6–7)	1 (Ref)	
Stage IIIB chemotherapy-treated patients ^b					
Cisplatin/carboplatin	1,254	47.29	12 (11–13)	0.82 (0.75–0.89)	<.001
Nonplatinum	1,080	37.55	9 (8–9)	1 (Ref)	
Stage IV chemotherapy-treated patients ^b					
Cisplatin/carboplatin	1,927	31.06	8 (7–8)	0.76 (0.71–0.81)	<.001
Nonplatinum	1,847	22.27	5 (5–6)	1 (Ref)	
Partner chemotherapy ^a					
Platinum + docetaxel	20	40.00	8 (4–20)	0.690 (0.44–1.09)	.113
Platinum + vinorelbine	220	31.82	8 (7–9)	0.890 (0.77–1.04)	.130
Platinum + gemcitabine	50	52.00	13 (8–19)	0.589 (0.44–0.79)	<.001
Platinum + paclitaxel/docetaxel/vinorelbine/gemcitabine	699	63.52	17 (16–19)	0.514 (0.46–0.57)	<.001
Platinum + paclitaxel	1,341	30.54	8 (7–8)	0.894 (0.82–0.98)	.012
Platinum only	851	27.50	7 (6–7)	1 (Ref)	

^aHR was calculated by the multivariable Cox regression model with each variable of interest after adjusting for age, sex, race, stage, histology, and Medicare status.

^bHR was calculated by the multivariable Cox regression model with each variable of interest after adjusting for age, sex, race, histology, and Medicare status.

Abbreviations: CI, confidence interval; HR, hazard ratio; NA, not available; OS, overall survival; Ref, reference.

(3–6 months) over the entire period covered by this study, there was incremental survival improvement associated with a new drug gaining approval. The 1-year survival rates for untreated patients showed only a modest improvement over time (16.81% in 1988–1994, 17.25% in 1995–1998, 19.75% in 1999–2003, and 20.43% in 2004–2005) compared with the 1-year survival rates in chemotherapy-treated patients, which increased from 32.41% at baseline (1988–1994) to 32.95% in 1995–1998, 37.40% in 1999–2003, and 39.55% in 2004–2005. The improved survival trend was observed for both stage IIIB and IV patients (Table 2). The *p* trend analysis of the relative ratios of the HR for patients treated with chemotherapy over those not treated with chemotherapy across the defined treatment was significant ($p < .001$; Table 3).

Survival and Specific Chemotherapy Agents

Platinum Chemotherapy (1995–1998)

Treatment with cisplatin or carboplatin was associated with higher survival rates than treatment with nonplatinum chemotherapy (1-, 2-, and 5-year OS rates of 37.47% vs. 27.89%, 16.59% vs. 12.43%, and 4.57% vs. 4.4%, respectively; HR: 0.78; 95% CI: 0.74–0.83; $p < .001$; Fig. 1). The benefit associated with platinum therapy was observed for both stage IIIB and stage IV disease, whereas platinum doublet therapy appeared superior to single-agent platinum therapy (Table 4).

Docetaxel (1999–2003)

Although treatment with any chemotherapy was associated with better survival during this period (HR: 0.65; 95% CI: 0.64–

0.66; $p < .001$), patients treated with docetaxel achieved superior survival compared with patients treated with a nondocetaxel chemotherapy (HR: 0.73, 95% CI: 0.70–0.75, $p < .001$). This was observed for both stage IIIB (HR: 0.80, 95% CI: 0.76–0.85, $p < .001$) and stage IV patients (HR: 0.69, 95% CI: 0.66–0.72, $p < .001$; Table 5).

Pemetrexed (2004–2005)

Treatment with chemotherapy during this period was associated with reduced risk of death (HR: 0.58, 95% CI: 0.57–0.60, $p < .001$). Pemetrexed therapy specifically was associated with superior survival over all other types of chemotherapy (HR: 0.40, 95% CI: 0.37–0.44; $p < .001$; Table 5, Fig. 1). To avoid an unfair comparison with patients potentially receiving docetaxel after failing pemetrexed as the second-line therapy, repeat comparison was conducted after excluding patients treated with both docetaxel and pemetrexed. This repeat analysis showed similar improvement in outcome for pemetrexed therapy (HR: 0.52, 95% CI: 0.47–0.57; $p < .001$; Fig. 2).

Bevacizumab (2004–2005)

Approximately 7% of patients with adenocarcinoma NSCLC received bevacizumab (275 of 4,006 patients). Bevacizumab therapy was associated with superior survival compared with patients not treated with bevacizumab, with a 1-year survival rate of 82.18% vs. 39.90% (HR: 0.33, 95% CI: 0.27–0.40, $p < .001$). This association was observed in both stage IIIB and IV patients (Table 5, Fig. 1).

Table 5. Survival analysis associated with treatment with docetaxel, pemetrexed, and bevacizumab in advanced non-small cell lung cancer

Treatment	No. of patients	1-yr OS (%)	Median OS, mos. (95% CI)	HR (95% CI)	p value
Survival analysis for docetaxel (1999–2003)					
Overall population ^a					
Chemotherapy	19,642	37.40	9 (NA)	0.65 (0.64–0.66)	<.001
No chemotherapy	41,631	19.75	4 (NA)	1 (Ref)	
Chemotherapy-treated patients ^a					
Docetaxel	4,649	51.39	13 (12–13)	0.73 (0.70–0.75)	<.001
Nondocetaxel	14,993	33.01	8 (NA)	1 (Ref)	
Stage IIIB chemotherapy-treated patients ^b					
Docetaxel	1,790	61.96	16 (16–17)	0.80 (0.76–0.85)	<.001
Nondocetaxel	5,558	43.93	11 (10–11)	1 (Ref)	
Stage IV chemotherapy-treated patients ^b					
Docetaxel	2,859	44.76	11 (11–12)	0.69 (0.66–0.72)	<.001
Nondocetaxel	9,435	26.54	7 (6–7)	1 (Ref)	
Survival analysis for pemetrexed (2004–2005)					
Overall population ^a					
Chemotherapy	9,743	39.55	9 (9–10)	0.58 (0.57–0.60)	<.001
No chemotherapy	19,082	20.43	3 (3–4)	1 (Ref)	
Chemotherapy-treated patients ^a					
Pemetrexed	1,373	75.97	21 (20–22)	0.40 (0.37–0.44)	<.001
Nonpemetrexed	8,370	33.50	8 (NA)	1 (Ref)	
Stage IIIB chemotherapy-treated patients ^b					
Pemetrexed	413	83.78	23 (21–23)	0.50 (0.43–0.58)	<.001
Nonpemetrexed	2,595	46.79	11 (11–12)	1 (Ref)	
Stage IV chemotherapy-treated patients ^b					
Pemetrexed	960	72.60	20 (19–22)	0.38 (0.34–0.41)	<.001
Nonpemetrexed	5,775	27.51	7 (NA)	1 (Ref)	
Chemotherapy-treated patients with nonsquamous NSCLC ^c					
Pemetrexed	1,112	77.34	22 (21–23)	0.38 (0.35–0.41)	<.001
Nonpemetrexed	6,659	32.81	8 (NA)	1 (Ref)	
Chemotherapy-treated patients with squamous NSCLC ^c					
Pemetrexed	261	70.11	18 (16–20)	0.51 (0.43–0.59)	<.001
Nonpemetrexed	1,711	36.17	9 (8–9)	1 (Ref)	
Survival analysis in adenocarcinoma patients for bevacizumab (2004–2005)					
Overall population with adenocarcinoma histology ^c					
Chemotherapy	4,006	42.83	10 (10–11)	0.60 (0.57–0.63)	<.001
No chemotherapy	6,308	25.55	4 (NA)	1 (Ref)	
Chemotherapy-treated patients with adenocarcinoma histology ^c					
Bevacizumab	275	82.18	28 (25–NA)	0.33 (0.27–0.40)	<.001
Nonbevacizumab	3,731	39.90	10 (9–10)	1 (Ref)	
Stage IIIB chemotherapy-treated patients with adenocarcinoma histology ^d					
Bevacizumab	68	88.24	29 (25–32)	0.36 (0.24–0.53)	<.001
Nonbevacizumab	1,039	53.16	13 (13–15)	1 (Ref)	
Stage IV chemotherapy-treated patients with adenocarcinoma histology ^d					
Bevacizumab	207	80.19	28 (24–NA)	0.33 (0.26–0.41)	<.001
Nonbevacizumab	2,692	34.79	8 (8–9)	1 (Ref)	

^aHR was calculated by the multivariable Cox regression model with each variable of interest after adjusting for age, sex, race, stage, histology, and Medicare status.

^bHR was calculated by the multivariable Cox regression model with each variable of interest after adjusting for age, sex, race, histology, and Medicare status.

^cHR was calculated by the multivariable Cox regression model with each variable of interest after adjusting for age, sex, race, stage, and Medicare status.

^dHR was calculated by the multivariable Cox regression model with each variable of interest after adjusting for age, sex, race, and Medicare status. Abbreviations: CI, confidence interval; HR, hazard ratio; NA, not available; NSCLC, non-small cell lung cancer; OS, overall survival; Ref, reference.

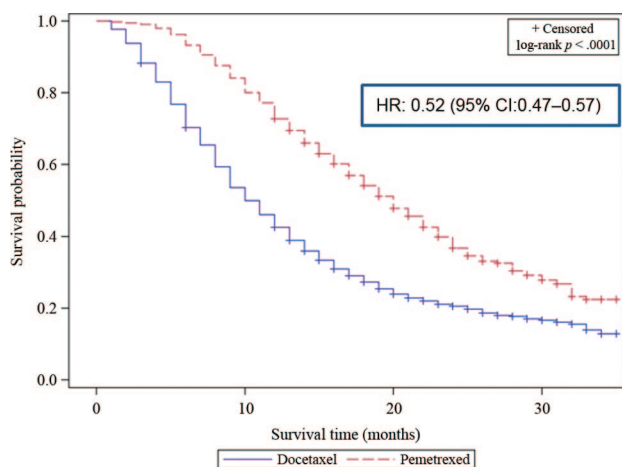


Figure 2. Kaplan-Meier overall survival curves comparing patients treated with pemetrexed versus patients treated with docetaxel after excluding patients treated with both agents.

Abbreviations: CI, confidence interval; HR, hazard ratio.

Propensity Score-Adjusted Analysis

Propensity score-adjusted models confirmed the findings from the multivariable survival analysis. There were significant improvements in overall survival for patients treated with chemotherapy compared with those not treated with chemotherapy in the baseline period of 1988–1994; for all the defined treatment eras over the baseline period among chemotherapy-treated patients; for all chemotherapy-treated patients compared with not-treated patients; and for each specific therapy of interest compared with other types of chemotherapy in each defined treatment era (Table 6).

DISCUSSION

We employed a quality-assured registry database to evaluate the effectiveness of FDA-approved chemotherapy agents for NSCLC in the community setting. This approach enabled us to assess whether treatment efficacy established under a controlled clinical trial setting was also effective in patients treated in the real world. Approximately 88% of our analytic patient population was aged 65 years or older, which is comparable to the general population of patients with lung cancer [11]. We observed that only a third of this elderly Medicare patient population received systemic chemotherapy—a finding that reflects the well-described reluctance of oncologists to offer anticancer therapy to elderly patients out of concern for toxicity [19, 20].

The period from 1988 to 1994 provided a good reference period for our temporal analysis because the benefit of chemotherapy for advanced NSCLC was not clearly established at this time [21, 22]. Nonetheless, the 34% reduction in the risk of death for patients treated with any chemotherapy during this baseline period reproduced the initial evidence that came from meta-analysis of relatively small studies showing a potential benefit of systemic chemotherapy for advanced NSCLC [23, 24]. The incremental improvement in overall survival across the time periods that corresponded to the introduction of newer agents provided indirect evidence for the effectiveness of agents that became available during the specific time period. Survival improvement demonstrated for patients treated with an approved agent over patients not treated with

the approved agent during the specified time period was considered direct evidence supporting the effectiveness of the newer agents. This approach enabled us to exclude improvements in supportive care options or newer diagnostic techniques and stage migration (the so-called Will Rogers phenomenon) as the likely explanation for the survival benefit associated with the use of newer agents [25]. Specifically, we observed a superior survival in patients treated with platinum chemotherapy. Although we did not replicate previous findings of a superior efficacy of cisplatin over carboplatin, [26, 27], we were able to demonstrate the efficacy of various platinum doublet chemotherapy [27–29].

Effectiveness of docetaxel was demonstrated by the 2-year survival rate of 25%, which compared favorably with the 21% rate reported in the pivotal clinical trial [7]. The high survival rate associated with pemetrexed therapy in this elderly Medicare population is consistent with the findings in a subset analysis of the pivotal pemetrexed study in which patients older than 70 years had a longer median OS (9.5 vs. 7.8 months) and PFS (4.6 vs. 3.0 months) [3, 30]. The superior efficacy of pemetrexed for nonsquamous NSCLC in the clinical trial setting was also observed in our data set [31]. Intriguingly, pemetrexed showed a more modest benefit in squamous NSCLC patients, possibly due to pathologic misclassification, which is a well-recognized challenge in lung cancer diagnosis [32]. However, one could speculate that this finding may suggest a real but limited benefit of pemetrexed in squamous NSCLC.

Bevacizumab is a targeted agent approved for the treatment of nonsquamous NSCLC [6]. Several meta-analyses of studies conducted in different parts of the world also demonstrated the survival benefit of bevacizumab when combined with chemotherapy [33, 34]. Our results suggested that the observed efficacy in clinical trials translated into real-world effectiveness of this agent. This is, however, discordant with the findings by Zhu et al., who failed to demonstrate a significant survival benefit for bevacizumab in Medicare patients, despite finding a numerical increase in median OS time to 9.7 months from 8.9 and 8.0 months in the two comparator arms [35]. The discrepancy between their findings and ours may in part reflect differences in methodology and the nonoverlapping patient populations despite employing the same SEER–Medicare database. They compared survival outcome in patients treated with bevacizumab, paclitaxel, and carboplatin between 2006 and 2007 with survival for patients treated with paclitaxel and carboplatin alone between 2002–2005 and 2006–2007. By contrast, we assessed the benefit of bevacizumab irrespective of the partner chemotherapy in patients diagnosed between 2004 and 2005. More importantly, the two cohorts of control patients in the study by Zhu et al. had almost twice as many stage IIIB patients as the bevacizumab-treated patients (29.1% vs. 30.6% vs. 17.6%; $p < .001$). Consistent with historical data, we observed better survival outcome for stage IIIB over stage IV patients whether treated with bevacizumab (1-year OS rate: 88.2% vs. 80.2%) or without bevacizumab (1-year OS rate: 53.2% vs. 34.8%). Because disease stage is one of the strongest prognostic factors in lung cancer, a statistical modeling algorithm with propensity scoring may not have been sufficient to compensate for the stage disparity between the analytic cohorts. Although it is conceiv-

Table 6. Survival comparison for matched patient populations using propensity score-adjusted Cox proportional hazard model to control for potential confounding factors that influenced treatment decisions for lung cancer patients

Propensity score-adjusted Cox model	No. of patients	HR (95% CI)	p value
Chemotherapy effect in period 1988–1994			
Chemotherapy	6,275	0.70 (0.68–0.72)	<.001
No chemotherapy	24,289	1 (Ref)	
Drug approval era effect for patients treated with chemotherapy			
1995–1998	6,108	0.99 (0.96–1.03)	.740
1999–2003	19,642	0.90 (0.87–0.92)	<.001
2004–2005	9,743	0.83 (0.80–0.86)	<.001
1988–1994	6,275	1 (Ref)	
Platinum effect in period 1995–1998			
Overall population			
Chemotherapy	6,108	0.69 (0.67–0.71)	<.001
No chemotherapy	16,778	1 (Ref)	
Chemotherapy-treated patients			
Cisplatin/carboplatin	3,181	0.82 (0.78–0.86)	<.001
Nonplatinum	2,927	1 (Ref)	
Docetaxel effect in period 1999–2003			
Overall population			
Chemotherapy	19,642	0.69 (0.67–0.70)	<.001
No chemotherapy	41,631	1 (Ref)	
Chemotherapy-treated patients			
Docetaxel	4,649	0.75 (0.73–0.78)	<.001
Nondocetaxel	14,993	1 (Ref)	
Pemetrexed effect in period 2004–2005			
Overall population			
Chemotherapy	9,743	0.61 (0.60–0.63)	<.001
No chemotherapy	19,082	1 (Ref)	
Chemotherapy-treated patients			
Pemetrexed	1,373	0.42 (0.39–0.45)	<.001
Nonpemetrexed	8,370	1 (Ref)	
Bevacizumab effect in period 2004–2005 ^a			
Overall population ^b			
Chemotherapy	4,006	0.63 (0.60–0.66)	<.001
No chemotherapy	6,308	1 (Ref)	
Chemotherapy-treated patients ^b			
Bevacizumab	275	0.34 (0.28–0.42)	<.001
Nonbevacizumab	3,731	1 (Ref)	

The propensity score was estimated using a multivariable logistic regression model including age, sex, race, stage, histology, and Medicare eligibility status for the time period and population noted and included as a covariate in the Cox proportional hazard model.

^aAdenocarcinoma histology.

^bMultivariable logistic regression model did not include histology.

Abbreviations: CI, confidence interval; HR, hazard ratio; Ref, reference.

able that this stage imbalance contributed to their failure to observe a survival benefit in association with bevacizumab therapy, both results should be considered hypothesis-generating given the retrospective design and limited sample size in comparison with the prospective study population.

Pertinent limitations of our study are worthy of careful consideration, including the retrospective design, which limited our ability to fully control for potential confounders and biases in the treatment decision for specific chemotherapy

agent. Also, possible imbalance between comparator groups in clinically relevant factors such as comorbid illnesses, performance status, specific genetic aberrations, and concurrent smoking could have significantly affected treatment outcome. Furthermore, we could not specifically establish when a patient progressed on a specific chemotherapy and when a patient was started on a new agent, thereby limiting our ability to establish whether newly approved agents were used according to the indicated approval guidelines. Moreover, the

use of orally administered agents such as erlotinib and experimental treatment options that were not captured in the Medicare record could not be accounted for. However, we expect the large sample size to balance out these differences across the comparator groups. Reassuringly, repeat analysis with propensity score adjustment for some of these factors did not alter the results, thereby suggesting that any potential confounders were evenly distributed across treatment groups and consequently had minimal impact on the observed differences.

In conclusion, our study demonstrated a significant association between improved survival and treatment with approved cytotoxic and biologic agents that have become standard-of-care options for advanced NSCLC, thus providing evidence in support of the effectiveness of these agents in the community setting. Despite the limitations of observational studies, this approach still remains valuable for bridging the knowledge gap between controlled clinical trials, which are the gold standard for establishing new treatment paradigm, and the real-life effectiveness of such therapies. Because randomized controlled clinical trials are unable to answer every important clinical question, observational studies such as this may bridge the gap and provide answers relevant to routine patient care and testable hypotheses for future prospective trials. We hope that the real-world effectiveness indicated by our study will encourage appropriate and greater use of these approved agents and ultimately result in overall improvement in patient outcome.

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AUTHOR CONTRIBUTIONS

Conception/Design: Taofeek K. Owonikoko, Camille Ragin, Suresh S. Ramalingam, Fadlo R. Khuri

Collection and/or assembly of data: Taofeek K. Owonikoko, Camille Ragin, Zhengjia Chen

Data analysis and interpretation: Taofeek K. Owonikoko, Camille Ragin, Zhengjia Chen, Sungjin Kim, Johann C. Brandes, Nabil F. Saba, Rebecca Pentz, Suresh S. Ramalingam, Fadlo R. Khuri

Manuscript writing: Taofeek K. Owonikoko, Camille Ragin, Zhengjia Chen, Sungjin Kim, Johann C. Brandes, Nabil F. Saba, Rebecca Pentz, Suresh S. Ramalingam, Fadlo R. Khuri

Final approval of manuscript: Taofeek K. Owonikoko, Camille Ragin, Zhengjia Chen, Sungjin Kim, Johann C. Brandes, Nabil F. Saba, Rebecca Pentz, Suresh S. Ramalingam, Fadlo R. Khuri

DISCLOSURES

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