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## Mechanically Untying a Protein Slipknot: Multiple Pathways Revealed by Force Spectroscopy and Steered Molecular Dynamics Simulations

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### Abstract

Protein structure is highly diverse when considering a wide range of protein types, helping to give rise to the multitude of functions that proteins perform. In particular, certain proteins are known to adopt a knotted or slipknotted fold. How such proteins undergo mechanical unfolding was investigated utilizing a combination of single molecule atomic force microscopy (AFM), protein engineering and steered molecular dynamics (SMD) simulations to show the mechanical unfolding mechanism of the slipknotted protein AFV3-109. Our results reveal that the mechanical unfolding of AFV3-109 can proceed via multiple parallel unfolding pathways that all cause the protein slipknot to untie, and the polypeptide chain to completely extend. These distinct unfolding pathways proceed either via a two-state or three-state unfolding process involving the formation of a well-defined, stable intermediate state. SMD simulations predict the same contour length increments for different unfolding pathways as single molecule AFM results, thus providing a plausible molecular mechanism for the mechanical unfolding of AFV3-109. These SMD simulations also reveal that two-state unfolding is initiated from both the N- and C-termini, while three-state unfolding is initiated only from the C-terminus. In both pathways, the protein slipknot was untied during unfolding, and no tightened slipknot conformation observed. Detailed analysis revealed that interactions between key structural elements lock the knotting loop in place, preventing it from shrinking and the formation of a tightened slipknot conformation. Our results demonstrate the bifurcation of the mechanical unfolding pathway of AFV3-109, and point to the generality of a kinetic partitioning mechanism for protein folding/unfolding.

### Introduction

Over the last two decades, theoretical and experimental work has significantly advanced our understanding of how proteins fold<sup>1-6</sup>. Proteins navigate themselves on a high dimensional funnel-shaped energy landscape to achieve robust and fast folding. Most proteins do not possess complex topology, where unfolding most proteins from its N- and C-termini will simply result in a simple linear polypeptide chain<sup>7</sup>. However, a small proportion of proteins have been observed to exhibit complex topologies involving the formation of knots and slipknots<sup>8</sup>. Upon being stretched from N- and C-termini, such proteins would form a tightened knot instead of a linear polypeptide chain. Despite their complex topology, these

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Supporting Information. Force-extension curves of (GB1-AFV3-109)<sub>n</sub>; A typical unfolding trajectory without a clear initial unfolding force peak; Non-specific interactions can lead to extra unfolding force peaks in SMD simulations; Comparison between the tightened slipknot of AFV3-109 and tightened figure-eight knot in Phytochrome; Unfolded AFV3-109 can refold back to its native state as well as unfolding intermediate state prior to its complete folding. This material is available free of charge via the Internet at <http://pubs.acs.org>.

knotted or slipknotted proteins can spontaneously fold into their native conformations in order to carry out their designated biological functions<sup>9</sup>. Understanding how a linear polypeptide chain is able to overcome the topological difficulty inherent within these proteins to fold into such complex topologies has attracted considerable research interest over the last few years. Both experimental and theoretical work has been used to shed light on the folding mechanism of such proteins<sup>9-19</sup>; in particular, computational studies have provided certain mechanistic insights into the folding mechanisms of these proteins. For example, the formation of a slipknot conformation was suggested as a key intermediate state to reduce the topological complexity during the spontaneous folding of a knotted protein<sup>9,19</sup>, revealing the importance of the slipknot conformation in the folding of knotted proteins.

Technically, a slipknot is not a real knot. In a slipknot, the end of the polypeptide chain is threaded back after being threaded through the knotting loop, forming a threaded loop akin to a shoelace (Fig. 1). In this case, pulling on the N- and C-termini of a slipknotted protein will untie the slipknot and lead to a fully extended linear polypeptide chain. Due to its structural importance and its role in the folding of knotted proteins, we combined single molecule force spectroscopy and steered molecular dynamics (SMD) simulations to investigate the unfolding mechanism of the slipknotted protein AFV3-109. AFV3-109 is a protein from *Acidianus Filamentous Virus 3* with unknown biological function, although structural analysis of AFV3-109 suggests that it could interact with nucleic acids<sup>20</sup>. Among the known slipknotted proteins, AFV3-109 has a relatively simple structure. As shown in Fig. 1, the core of AFV3-109 is a  $\beta$ -sheet with five  $\beta$ -strands connected by helices and loops<sup>20</sup>. A clear slipknot conformation is present in AFV3-109 with a knotting loop (colored in yellow, Fig. 1) near the N- terminus and a threaded loop (colored in red, Fig. 1) near the C- terminus. As the “reverse” process of folding, the unfolding of slipknotted proteins will involve untying the slipknot. Understanding this molecular mechanism of untying a slipknot will help to understand how the protein folded in the first place. Applying a mechanical stretching force to the slipknotted protein, either through experimental single molecule force spectroscopy experiments or SMD simulations, is an ideal approach to investigating this intricate process.

Single-molecule force spectroscopy has evolved into a powerful tool to probe the mechanical unfolding and folding dynamics of proteins at the single molecule level<sup>2,21-26</sup>. SMD simulations complement single molecular force spectroscopy experiments and provide invaluable insights into describing atomic level molecular events that occur during the mechanical unfolding process, while helping to guide new experimental designs<sup>24-30</sup>. Both single molecule AFM and simulation have been used to stretch knotted proteins in order to study their mechanical unfolding mechanism. These simulations suggest that the presence of a knot and slipknot in protein structures stabilizes the protein, and that the stretching of slipknotted proteins may involve the formation of an intermediate state comprising a temporarily tightened slipknot during the mechanical unfolding process<sup>16</sup>. Single molecule AFM experiments showed that the mechanical stretching of phytochrome, a protein with a figure-eight knot, leads to a tightened knot that involves 17 residues<sup>15</sup>. Here we apply a stretching force to the N- and C-termini of the slipknotted protein AFV3-109 in order to investigate its mechanical unfolding and folding mechanism. Due to its slipknot structure, we believe that AFV3-109 can be unfolded and untied just as a shoelace is untied.

Our investigations using single molecule AFM clearly show that the mechanical unfolding of AFV3-109 unties the slipknot structure, leading to a fully extended polypeptide chain. The mechanical untying of the slipknot can occur through three distinct mechanical unfolding pathways: one in a simple two-state fashion, and the other two in a three-state fashion that involves the formation of a well-defined intermediate state. SMD simulations of the mechanical unfolding of AFV3-109 reveals a similar bifurcation phenomenon, providing

a plausible molecular explanation of the mechanical unfolding process observed in single molecule AFM experiments. These simulations show that the two-state unfolding process initiates simultaneously from the N- and C-termini, while the three-state unfolding process initiates only from the C-terminus. In the three-state unfolding mechanism, the formation of the unfolding intermediate results from the unraveling of the C-terminal threaded  $\beta$ -hairpin loop, while final unfolding corresponds to a complete unraveling of the intermediate state. The agreement between single molecule AFM and SMD simulations results provides a detailed molecular picture of how the slipknotted protein AFV3-109 unfolds; these results contribute towards a clearer understanding of how slipknotted and knotted proteins unfold and fold.

## Materials and Methods

### Protein Engineering

The protein constructs were built according to recombinant strategies described previously<sup>31</sup>. The plasmid containing the gene encoding AFV3-109 and restriction sites (5' BamHI and 3' KpnI and BglIII) was purchased from GeneScript. We used two alternating digestion and ligation steps to construct the gene encoding (GB1)<sub>4</sub>-AFV3-109-(GB1)<sub>4</sub>. By digesting the pUC57/AFV3-109 plasmid with the restriction enzymes BamHI and KpnI, we obtained the AFV3-109 insert containing “sticky ends”. The AFV3-109 insert was subsequently ligated by T4 DNA Ligase to the corresponding “sticky ends” of the pQE80L/(GB1)<sub>4</sub> vector, which was obtained by digesting the pQE80L/(GB1)<sub>4</sub> plasmid with BglIII and KpnI. From this ligation, we obtained the pQE80L/(GB1)<sub>4</sub>-AFV3-109 plasmid, which was digested with BglIII and KpnI to get the pQE80L/(GB1)<sub>4</sub>-AFV3-109 vector. By digesting the pQE80L/(GB1)<sub>4</sub> plasmid with BamHI and KpnI, we obtained a (GB1)<sub>4</sub> insert, which was ligated to the pQE80L/(GB1)<sub>4</sub>-AFV3-109 vector in order to construct the final pQE80L/(GB1)<sub>4</sub>-AFV3-109-(GB1)<sub>4</sub> plasmid. To get the pQE80L/Cys-GB1-AFV3-109-Cys plasmid, we digested the pQE80L/Cys-GB1 plasmid with BglIII and KpnI to obtain the pQE80L/Cys-GB1 vector, to which the AFV3-109 insert with a cysteine at the C-terminus was ligated.

Proteins were over-expressed in a DH5 $\alpha$  strain, purified by using Co<sup>2+</sup> affinity chromatography and stored at 4°C in a phosphate-buffered saline (PBS) solution at a protein concentration of ~1.0 mg/mL. Cysteines at both the N- and C-termini of the heterodimer protein Cys-GB1-AFV3-109-Cys were introduced to react with the intermolecular cross-linker 1,8-Bis-Maleimidotriethyleneglycol, referred as BM(PEO)<sub>3</sub>. By forming a thioether bond between thiol groups in the heterodimer protein and maleimide groups in BM(PEO)<sub>3</sub>, polyproteins (GB1-AFV3-109)<sub>n</sub> were formed<sup>32</sup>.

### Single Molecule Force Spectroscopy

Single-molecule force spectroscopy experiments were carried out on a custom-built AFM<sup>31</sup>. Before each experiment, we calibrated the spring constant (which ranged from 50 to 80 pN/nm) of each individual AFM cantilever (Si<sub>3</sub>N<sub>4</sub> cantilevers from Veeco) using the equipartition theorem<sup>33</sup>. In a typical AFM experiment, we deposited ~1.0  $\mu$ l of protein solution in PBS (1.0  $\mu$ g/ml) onto a clean glass coverslip covered by PBS buffer (~50  $\mu$ l) and allowed the protein to adsorb onto the substrate for ~10 min before the force spectroscopy experiment.

### SMD Simulations

Both constant velocity and constant force SMD simulations of mechanical unfolding of AFV3-109 were carried out. The coordination file for the AFV3-109 slipknot protein was obtained from the PDB (pdb code: 2J6B) and a protein structure file (psf) created using the

modeling software Visual Molecular Dynamics (VMD)<sup>34</sup> and the psfgen plug-in. The protein was solvated in an explicit solvent environment. The CHARMM<sup>35</sup> force field topology parameters were used for the protein and water was considered using the TIP3P model<sup>36</sup>. A total of three protein-water systems were created containing 45589, 82903, 136947 atoms. Solvent boxes with length, width and height dimensions of (100Å, 67Å, 72Å), (240Å, 60Å, 60Å) and (405Å, 60Å, 60Å) were utilized. The system was energy minimized and equilibrated, and the resulting coordinates and velocities used as starting point for constant velocity and constant force SMD simulations. The simulations were performed with periodic boundary conditions in the isobaric-isothermic (NPT) ensemble; electrostatic interactions were computed by the PME (Particle Mesh Ewald) method<sup>37</sup>, non-bonded interactions were treated with a cutoff using a switching function beginning at 10Å and reaching zero at 14Å, and the uniform dielectric constant was set to 1. Pulling velocities used for the constant velocity simulations ranged from 0.0025 Å/ps to 0.5 Å/ps and applied forces in the constant force simulations were 500 pN and 1 nN. The spring constant used in SMD simulations was 7 kcal\*mol<sup>-1</sup>\*Å<sup>-2</sup> (or 486 pN/Å). The simulation program was NAMD<sup>38</sup>. Data extraction and analysis were performed in VMD and MATLAB.

## Results

### The Slipknot in AFV3-109 can be Mechanically Untied

To investigate the mechanical unfolding of AFV3-109 using single molecule AFM, we constructed two different AFV3-109-containing polyprotein chimeras (GB1)<sub>4</sub>-AFV3-109-(GB1)<sub>4</sub> and (GB1-AFV3-109)<sub>n</sub>. In both polyprotein chimeras, mechanically well characterized GB1 domains are used as fingerprint domains to facilitate the identification of single molecule stretching events and discerning the mechanical unfolding signatures of AFV3-109, as GB1 unfolds at a characteristic force of ~180 pN with a contour length increment ( $\Delta L_C$ ) of 18 nm at a pulling speed of 400 nm/s<sup>31</sup>.

Stretching the polyprotein (GB1)<sub>4</sub>-AFV3-109-(GB1)<sub>4</sub> yielded force-extension curves with a characteristic sawtooth-like pattern, where the force peaks correspond to the mechanical unfolding of individual domains in the polyprotein chain, and the last peak generally corresponds to the stretching and subsequent detachment of the unfolded polypeptide chain. Fig. 2A shows representative curves from the unfolding of the (GB1)<sub>4</sub>-AFV3-109-(GB1)<sub>4</sub> polyprotein. The unfolding of GB1 fingerprint domains (colored in blue) can be readily identified by their unique unfolding signatures: unfolding force of ~180 pN and  $\Delta L_C$  of ~18 nm measured by fitting the Worm-like Chain model of polymer elasticity to consecutive unfolding force peaks<sup>39,40</sup>. As AFV3-109 is flanked by two (GB1)<sub>4</sub> at both N- and C-termini, the observation of five or more GB1 unfolding events in a force-extension curve ensures that the unfolding signatures of AFV3-109 are included within the force-extension curve. Therefore, unfolding force peak(s) occurring before GB1 domain unfolding can be attributed to the mechanical unfolding of the AFV3-109 domain within the polyprotein chain.

In curve a) (Fig. 2A), the unfolding of AFV3-109 occurs in an apparent two-state fashion with a  $\Delta L_C$  of ~38 nm (colored in orange). As there are 109 residues in AFV3-109, the contour length of the unfolded and fully extended AFV3-109 is 39.2 nm when there is no knot or tightened slipknot. The distance between the N- and C- termini of AFV3-109 in the native state,  $L_0$  is 1.2 nm (PDB ID code 2j6b). Therefore, the complete unfolding of AFV3-109, from its slipknotted structure to a fully extended polypeptide chain, would result in a  $\Delta L_C$  of ~38 nm, which is in excellent agreement with the experimentally measured  $\Delta L_C$ . This result suggests that upon stretching, AFV3-109 can be mechanically unraveled and the slipknot structure is fully untied in a manner akin to how a shoelace is untied.

## Untying the Slipknot in Multiple Ways: Bifurcation of the Mechanical Unfolding Pathways of AFV3-109

In addition to two-state unfolding, we also observed that the mechanical unfolding of AFV3-109 can proceed in different pathways. Curve b) (Fig. 2A) shows an example in which the mechanical unfolding of AFV3-109 proceeds in a three-state fashion, with the formation of an intermediate state that gives rise to two unfolding force peaks for one AFV3-109 domain. The first unfolding force peak of the three-state unfolding occurs at  $\sim 90$  pN with a  $\Delta L_{C(N \rightarrow I)}$  of  $\sim 12$  nm, corresponding to the transition from the native state to a unfolding intermediate state (N $\rightarrow$ I) (colored in red). The second step occurs at  $\sim 100$  pN with  $\Delta L_{C(I \rightarrow U)}$  of  $\sim 27$  nm, corresponding to unfolding of the intermediate state (I $\rightarrow$ U) (colored in green). The sum of the two  $\Delta L_C$  is 39 nm, which is in agreement with the predicted  $\Delta L_C$  of the complete unfolding of AFV3-109. This result again suggests that AFV3-109 has been completely unraveled and the slipknot has been untied. It is of note that the unfolding intermediate state is mechanically stable and unfolds at  $\sim 100$  pN.

In addition to two-state and three-state unfolding, we also observed AFV3-109 unfolding events that show a  $\Delta L_{C(I \rightarrow U)}$  of only  $\sim 27$  nm (curves c and d), suggesting that the unfolding of AFV3-109 in this pathway begins from the same unfolding intermediate state as in the three-state unfolding pathway. It is likely that this unfolding scenario is a special case of the three-state unfolding pathway, in which part of AFV3-109 in the native state is unfolded prior to stretching, or is unfolded at forces that are below our 20 pN detection limit.

The  $\Delta L_C$ -histogram of all mechanical AFV3-109 unfolding events displays three well-defined peaks (Fig. 2B). Fitting this data using a Gaussian fit shows three  $\Delta L_C$  of  $12.0 \pm 1.2$  nm ( $n = 298$ ),  $27.3 \pm 1.3$  nm ( $n = 790$ ), and  $37.9 \pm 1.1$  nm ( $n = 521$ ), corresponding to the contour length increments deriving from the N $\rightarrow$ I, I $\rightarrow$ U and N $\rightarrow$ U unfolding steps.

These differences in unfolding behavior clearly indicate that the mechanical unfolding of AFV3-109 can proceed through multiple unfolding pathways, specifically, that such pathways are bifurcated. Since AFV3-109 is completely unfolded in all three pathways, our results suggest that there are multiple ways to untie the slipknot and fully extend AFV3-109. The three distinct unfolding pathways occur roughly at a frequency of 20 (N $\rightarrow$ I $\rightarrow$ U):40 (I $\rightarrow$ U):40 (N $\rightarrow$ U) (Fig. 2B), revealing the kinetic partitioning that occurs as AFV3-109 is mechanically unfolded.

### The Mechanical Unfolding of AFV3-109 Does Not Involve the Formation of a Tightened Slipknot

Coarse grained MD simulations<sup>16</sup> on slipknot protein thymidine kinase suggested that during the mechanical unfolding of a slipknot protein, a high stretching force could result in molecular jamming and lead to the formation of a tightened slipknot as an unfolding intermediate state. This possibility raises the question whether the unfolding intermediate state (with a  $\Delta L_{C(I \rightarrow U)}$  of 27 nm) observed in our AFM experiments on AFV3-109 could correspond to a tightened slipknot conformation.

If a tightened slipknot could form in the mechanical unfolding of AFV3-109,  $\Delta L_{C(I \rightarrow U)}$  of 27 nm would correspond to the length increment due to untying the tightened slipknot, which should be the sum of the length of the polypeptide chain trapped in the tightened slipknot and the size of the tightened slipknot itself. The polypeptide chain trapped in the tightened slipknot would include residues 73 to 106 ( $\beta_4$ ,  $\alpha_3$  and part of  $\beta_5$ ) and would be  $\sim 12$  nm long. Thus, the size of the tightened slipknot would be  $\sim 15$  nm (27 nm - 12 nm). Considering the complexity of this tightened slipknot and tightened figure-eight knot (Fig. S4), the size of a tightened slipknot should be no bigger than a tightened figure-eight knot,

which has been measured to be 6.2 nm using single molecule AFM and SMD simulations<sup>15</sup>. Therefore, 15 nm is unphysically large for a tightened slipknot conformation. This result strongly suggests that the unfolding intermediate state of AFV3-109 observed in our single molecule AFM experiments does not correspond to the tightened slipknot and the unfolding of AFV3-109 does not involve the formation of a tightened slipknot conformation.

### The Fusion of (GB1)<sub>4</sub> to the C-Terminus of AFV3-109 Does Not Impede the Folding of AFV3-109

In the slipknot structure of AFV3-109, the threaded loop is at the C-terminus. Since the (GB1)<sub>4</sub>-AFV3-109-(GB1)<sub>4</sub> polyprotein is expressed as a continuous polypeptide chain, it is unknown whether the fusion of (GB1)<sub>4</sub> at the C-terminus of AFV3-109 interferes with the intrinsic folding of AFV3-109. To ensure that the fusion of (GB1)<sub>4</sub> to the C-terminus of AFV3-109 does not adversely affect the intrinsic folding of AFV3-109, we constructed the polyprotein (GB1-AFV3-109)<sub>n</sub> based on the polymerization of Cys-GB1-AFV3-109-Cys using thiol-maleimide coupling chemistry (see Materials and Methods)<sup>32</sup>. In this construct, the C-terminus of AFV3-109 is free, and the folding of AFV3-109 should not be affected as the polyprotein (GB1-AFV3-109)<sub>n</sub> is formed only after AFV3-109 has folded properly.

Force-extension curves of (GB1-AFV3-109)<sub>n</sub> are shown in Fig. S1 (Supporting Information). We observed that the unfolding signatures exhibited by AFV3-109 in (GB1-AFV3-109)<sub>n</sub> are identical to those observed in the original (GB1)<sub>4</sub>-AFV3-109-(GB1)<sub>4</sub> polyprotein; specifically, that multiple unfolding pathways, the same average unfolding force and contour length increment are all demonstrated. In addition, we noticed that in force-extension curves containing more than one unfolding event of AFV3-109, an N→I unfolding event is not necessarily followed by an I→U unfolding event. The N→I unfolding of a different AFV3-109 domain can occur between the N→I and I→U events of the preceding AFV3-109 domain, indicating that the mechanical stability of the intermediate state is comparable to that of the native state. This result strongly suggests that the C-terminal fusion protein containing (GB1)<sub>4</sub> does not adversely influence the formation of the slipknot structure in AFV3-109, and that the folding of AFV3-109 does not involve complex chain crossing.

### Signatures of the Mechanical Unfolding of AFV3-109

Having identified the mechanical unfolding pathways and mechanical unfolding intermediate state, we can now characterize these unfolding pathways in detail. The average unfolding force is  $113 \pm 44$  pN for N→U (n=521),  $90 \pm 28$  pN for N→I (n=298) and  $104 \pm 38$  pN for I→U (n=790) at a pulling speed of 400 nm/s (Fig. 3). It is evident that the three unfolding steps have similar mechanical resistance. To characterize the underlying energy profiles of these distinct unfolding pathways, we carried out pulling experiments at different pulling velocities (Fig. 3). It is evident that the pulling speed dependence of the unfolding force is similar for N→U and I→U, but that the N→I transition differs significantly, which may be suggestive of the large difference in transition states between in these unfolding steps. We carried out Monte Carlo simulations, to simulate the unfolding behaviors of AFV3-109 in order to estimate the distance to the transition state,  $\Delta x_u$ , and the spontaneous unfolding rate constant at zero force,  $\alpha_0$ , for each individual unfolding step (Fig. 3). These results are shown in Table 1. It is worth noting that  $\Delta x_u$  for N→U (0.27 nm) is significantly smaller than that of N→I (0.59 nm), suggesting that the N→U pathway is fundamentally different from that of N→I, eliminating the possibility that the N→U pathway is a special case of the N→I→U pathway, where the intermediate state was missed due to insufficient time resolution.

## SMD Simulations Uncover the Molecular Mechanism Behind Bifurcation of Mechanical Unfolding Pathways of AFV3-109

To understand the molecular mechanism underlying the bifurcation of mechanical unfolding pathways and the nature of the mechanical unfolding intermediate state of AFV3-109, we carried out all-atom SMD simulations to simulate the mechanical unfolding of AFV3-109. In total, 23 simulations were carried out with a total duration of 0.387  $\mu$ s.

The first event that occurs when steering force is applied is the unzipping of antiparallel strands  $\beta$ 4 $\beta$ 5, where the five-strand- $\beta$  sheet is separated into two parts consisting of  $\beta$ 3 $\beta$ 1 $\beta$ 4 strands and  $\beta$ 2 $\beta$ 5 strands respectively, forming a quasi-native state (Fig. 4). The extension gain from unzipping is approximately 30 Å (where the unzipping of  $\beta$ 4 $\beta$ 5 is completed when a total N-to-C extension of 45 Å is reached). Upon continued application of stretching force, the unfolding of AFV3-109 exhibits two distinct types of pathways for all unfolding trajectories: one with an unfolding intermediate state and one without. These results are summarized in Fig. 4 and a description of these pathways is included below.

In the first pathway, unfolding initiates from the C-terminus. The applied steering force leads to unzipping of antiparallel strands  $\beta$ 2 $\beta$ 5, as well as the sliding of  $\alpha$ 3 through the loop formed by  $\beta$ 2 and  $\alpha$ 1 (snapshot 1 in Fig. 4). This causes the  $\beta$ 5 $\alpha$ 3 $\beta$ 4 arm to become fully extended, fully exposing the  $\beta$ 1 $\beta$ 4 parallel strands to steering force. During this process, the threaded loop is pulled out of the knotting loop, leading to the untying of the slipknot in AFV3-109. The resultant state is mechanically resistant, and serves as a well-defined intermediate state (shown as I in Fig. 4).

Further stretching AFV3-109 leads to the unraveling of this intermediate state. The major barrier during this process corresponds to the mechanical unraveling of the  $\beta$ 1 $\beta$ 4 (snapshot 2 in Fig. 4); following the breakage of the  $\beta$ 1 $\beta$ 4 barrier, there is no mechanical barrier and the protein stretches to a fully extended state.

Detailed analysis of trajectories following this type of pathway reveals that the intermediate state I always occurs at around 13 nm. However, the initial peak occurs at a much lower frequency, and in some trajectories this step does not lead to an observable unfolding force peak (Fig. S2 and Fig. S3 in Supporting Information). Comparing Fig. S3 with the contour length figure of the AFM data, we can conclude the two peaks at 3 nm and 13 nm are corresponding to the N $\rightarrow$ I transition and I $\rightarrow$ U transitions, respectively.

Additionally, there are typically more peaks than accounted for by the initial and intermediate peaks (Fig. S3). Fig. S3 shows a typical example a trajectory with three extra peaks at 45 Å, 55 Å, and 70 Å. To further study these peaks, we collected peaks at all trajectories (inset of Fig. S3). We found that these extra peaks are mostly caused by friction that occurs when pulling the threaded loop out of the knotting loop; friction originates from non-specific interactions, which are different in different trajectories and thus occurs at different locations during different unfolding events. In contrast, the initial unfolding force peak (N $\rightarrow$ I) and intermediate peak (I $\rightarrow$ U) were due to specific interactions.

In contrast to this, such additional peaks were not observed within AFM experiments. This is likely due to the pulling speed at which SMD simulations were performed (0.0025 Å/ps to 0.5 Å/ps), which is at least six orders of magnitude faster than the pulling speed used in the AFM experiments; the decreased speed at which AFM experiments are conducted could eliminate or reduce the friction that causes additional peaks.

In the second type of unfolding pathway, unfolding is initiated from both the N- and C-termini, where it seems that there is only one major unfolding barrier to unfolding. From the

native state, the 5-strand  $\beta$  sheet breaks into  $\beta 2\beta 5$  and  $\beta 3\beta 1\beta 4$  beta sheets (Fig. 4). This is immediately followed by breakage of parallel strands  $\beta 3\beta 1\beta 4$ . Continued application of steering force leads to the unzipping of anti parallel strands  $\beta 2\beta 5$  (snapshot 3 in Fig. 4, where  $\beta 2$  and  $\beta 5$  are shown in the process of breaking). Following the continuous application of steering force, the protein unfolds to full extension. The second pathway corresponds to two-state unfolding behaviour.

Unfolding pathways predicted by SMD simulations yield contour length increments  $\Delta Lc$  that are identical to those measured by single molecule AFM experiments, suggesting that the unfolding pathways observed in SMD simulations likely correspond to the ones observed in single molecule AFM experiments. Thus, SMD simulations provide a plausible mechanistic description of the mechanical barrier crossing by AFV3-109 as it is steered along its mechanical unfolding pathways.

For the N $\rightarrow$ I transition, the major barrier corresponds to the breakage of interface between strands  $\beta 4$  and  $\beta 5$  (snapshot 1 in Fig. 4), allowing for an extension of 120Å up to the  $\beta 1\beta 4$  barrier. During this process, the threaded loop unravels and the slipknot structure is effectively untied, leading to a mechanically stable intermediate state. The subsequent breakage of the  $\beta 1\beta 4$   $\beta$  strands results in an additional 280 Å extension, corresponding to the I $\rightarrow$ U transition. For the N $\rightarrow$ U pathway, the major barrier is the unzipping of  $\beta 4\beta 5$  and the concurrent breakage of  $\beta 1\beta 4$ .

In both pathways, it seems that the unzipping of  $\beta 4\beta 5$  is a crucial event in the mechanical unfolding of AFV3-109. After this event, the unfolding pathways bifurcate into two macroscopically and microscopically distinct pathways. It is important to note that in both pathways, the threaded loop is pulled out of the knotting loop, and the tightened knot intermediate, which was predicted for a different slipknot protein thymidine kinase in coarse grain MD simulations<sup>16</sup>, is not observed. This result is in good agreement with our single molecule AFM results, and thus SMD simulations provide a complimentary and plausible molecular mechanism for the mechanical unfolding of AFV3-109.

## Discussion

### A Kinetic Partitioning Mechanism for the Mechanical Unfolding of AFV3-109

A kinetic partitioning mechanism has been predicted as a general mechanism that governs protein folding and unfolding dynamics<sup>5,6,43,44</sup>. Kinetic traps on the folding/unfolding energy landscape lead to the formation of intermediate states and the bifurcation of folding and unfolding pathways. A growing number of proteins have shown clear evidence that kinetic partitioning mechanism defining their folding/unfolding dynamics<sup>45-48</sup>. Here, we combine single molecule AFM and SMD simulations to investigate the unfolding mechanism of AFV3-109 in detail. Our results revealed that AFV3-109 unfolds via three distinct unfolding pathways. If the unfolding is initiated at the C-terminus of AFV3-109, a stretching force pulls the threaded loop out of the knotting loop and an intermediate state forms, where  $\beta 1$  and  $\beta 4$  constitute a shear topology that provides mechanical stability; if the unfolding is initiated from both termini, the potential intermediate state is avoided and the protein unfolds in a two-state fashion. In the latter case, the threaded loop must be pulled out of the knotting loop before the threaded loop is tightened. This requires that the unraveling of  $\beta 4\beta 5$  and  $\beta 2\beta 5$  to be faster than the unraveling of  $\beta 1$  and  $\beta 4$ . These results demonstrate that multiple parallel pathways are available as AFV3-109 unfolds and unties the slipknot under mechanical force, providing a clear example of how the kinetic partitioning mechanism determines protein folding/unfolding mechanisms in general. Since the folding of a slipknotted protein from a completely unfolded polypeptide chain involves complex



chain movement, it is likely that more kinetic traps will form along the folding pathway. Thus, kinetic partitioning may be more pronounced in the folding pathway.

SMD simulations provides an accurate description of the molecular events leading to the unfolding of AFV3-109, revealing that the interactions between  $\beta$  strands  $\beta 4$  and  $\beta 5$  are critical for the mechanical integrity of AFV3-109. It is of note that though the disruption of  $\beta 4\beta 5$  is obligatory for AFV3-109 to unfold, the two pathways bifurcate from this point on, leading to very different means of untying the slipknot. That these two unfolding pathways are fundamentally different is shown by the large difference in mechanical unfolding distance between the two pathways (0.59 nm for N-I-U versus 0.29 nm for N-U). It seems that the relative stability of the  $\beta 1\beta 4$  sheet may serve as a “gear box” to steer the mechanical unfolding into one pathway or the other; however, the detailed mechanism in which this “gear box” operates remains to be elucidated.

Although SMD simulations provide a plausible mechanism for the mechanical unfolding of AFV3-109, it is important to note that the pulling speed used in SMD simulations ( $\sim 0.25$  m/s) is orders of magnitude faster than that used in single molecule AFM experiments ( $\sim 400$  nms). Such differences could partially account for the differences between AFM experiments and SMD simulations. For example, the friction seen in SMD simulations is largely absent in AFM results; the relative population of different pathways is quite different between AFM experiments and SMD simulations: in AFM experiments, a large fraction (40%) of AFV3-109 was observed to unfold in a two-state manner, while only a small fraction ( $\sim 10\%$ ) of SMD trajectories correspond to two state unfolding.

### Comparing all-Atom SMD Simulations to Coarse Grain MD Simultaions

The mechanical unfolding of the slipknotted protein thymidine kinase has been previously studied using coarse grain MD simulations<sup>16</sup>. These simulations suggested two different and general mechanical unfolding pathways depending on the relative shrinking rates of the two loops, which would vary depending on the stretching force. Under low stretching forces, the threaded loop shrinks faster than the knotting loop and the slipknot loosens. Under high stretching force, the knotting loop shrinks faster and the stretching force temporarily tightens the slipknot. As a result, a tightened slipknot is formed as the intermediate state in this unfolding pathway<sup>16</sup>. However, in our all-atom SMD simulations on AFV3-109, we did not observe such a “tightened knot” intermediate state.

Instead, we observed that the slipknot loosens and an intermediate formed in which the slipknot has been untied and the protein is in a deformed state, where a shearing geometry provides the necessary mechanical resistance ( $\beta$  strands 1 and 4). Moreover, in both single molecule AFM experiments and SMD simulations, we observed that the pulling velocity did not affect unfolding pathways of AFV3-109 in two vastly different pulling speed regimes (50 nm/s to 4000 nm/s for AFM experiments and 0.0025 Å/ps to 0.5 Å/ps for SMD simulations), as the relative ratio between the two pathways remains roughly the same at different pulling velocities. However, it remains worth investigating whether pathway switching can occur in the relevant pulling speed regime predicted by coarse grained MD simulations.<sup>49</sup> Coarse grained MD and SMD simulations on two different model proteins revealed that different slipknot proteins can unravel following different pathways, suggesting that detailed interactions in different slipknot proteins play important roles in determining their specific unfolding pathways and kinetics.

In principle, AFV3-109 could form a tightened slipknot under a stretching force. The absence of a tightened slipknot intermediate in our experiments suggests that forming a tightened slipknot may not be energetically favorable. The formation of a tightened knot requires the rupture of interactions between  $\beta$ -strands 1 and 4, 1 and 3 as well as interactions

between  $\beta$ -strands 2 and 5. In contrast, unknotting would require the rupture of fewer interactions: only those between  $\beta$ -strands 2 and 5 as well as 4 and 5. Intuitively, unknotting should be favorable. In order to understand why the “tightened knot” did not form in AFV3-109 more thoroughly, we carried out a detailed analysis of our SMD trajectories. We found that in both pathways, interactions (specific or nonspecific) between key structural elements prevent the formation of the “tightened knot” structure. In scenario I (Fig. 4), specific hydrogen bonds between  $\beta$ 1 and  $\beta$ 4 strands are at the two ends of the knotting loop and form a lock to prevent the loop from shrinking (highlighted in red in snapshot 1 in Fig. 4). Thus, no jamming would appear in this case and the  $\beta$ 1- $\beta$ 4 ruptures after the threaded loop is pulled out. In scenario II, although the  $\beta$ 1- $\beta$ 4 breaks earlier after the sliding of the two strands, a non-native (weaker) patch forms which again prevents the knotting loop from shrinking (highlighted in green in snapshot 3 in Fig. 4). These insights reflect the importance of detailed interactions within protein structure in determining the unfolding pathways and unfolding mechanism of knotted or slipknotted proteins.

### Implication for the Folding Mechanism of Slipknot and Knot Proteins

Our results on the unfolding of AFV3-109 has interesting implications concerning the folding mechanism of slipknotted and knotted proteins. Coarse grained MD simulations on the folding of knotted proteins suggested two different mechanisms for the formation of knotted structures during the folding of knotted proteins: where the slipknot forms as an intermediate state or through plug movement of the threaded loop<sup>9</sup>. In the N-I-U pathway of AFV3-109, the formation of the intermediate state involves the unthreading of the threaded loop in the knotting loop. The reverse of this step would be the formation of the slipknot structure. Our preliminary refolding experiments by single molecule AFM showed that the unfolded AFV3-109 can refold back to its native state when it is relaxed to zero force (Fig. S5), suggesting that the folding of AFV3-109 is a spontaneous process. In addition, AFV3-109 can refold into the unfolded intermediate state prior to successfully refolding into the native state (Fig. S5), suggesting that threading the threaded loop in the knotting loop may represent a major barrier for AFV3-109 folding. In this sense, the folding of AFV3-109 can serve as a model system to study the mechanism of slipknot formation in knotted proteins.

Furthermore, our preliminary results show that the folding process of AFV3-109 is slow and shows large variation from molecule to molecule. For some “efficient” cases, around 10% AFV3-109 could refold back to its native in 15 seconds. For most cases, AFV3-109 does not fold within our instrument observation time window ( $\sim$ 30 s). How nature solves this knotting problem to make slipknot and knot proteins fold efficiently remains an open question. Jackson and coworkers recently discovered that chaperones can accelerate the folding of knotted proteins<sup>50</sup>, raising an interesting question whether chaperones can also facilitate the folding of slipknot proteins in a similar fashion. Future experiments will be needed to examine the molecular mechanism of slipknot formation and whether chaperones can speed up the folding of slipknot proteins.

### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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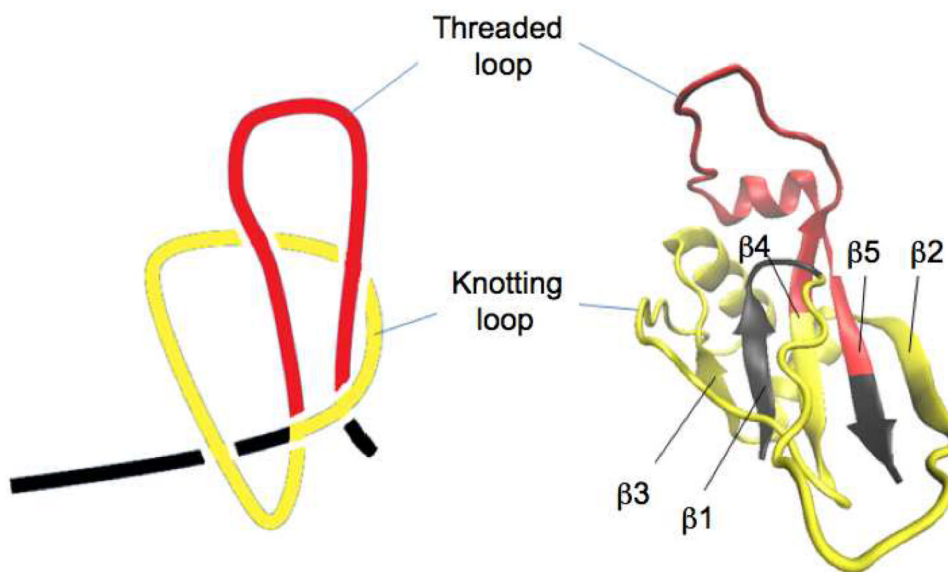
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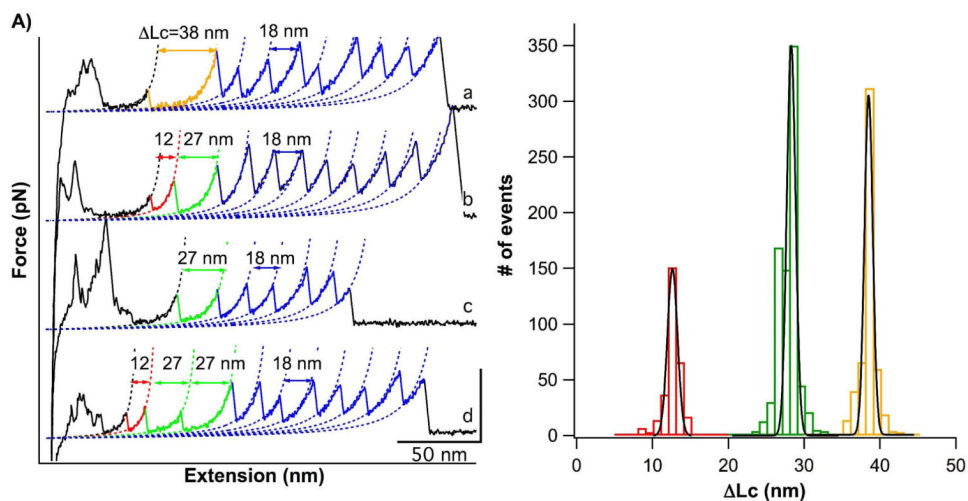
## Abbreviations

<b>AFM</b>	single molecule atomic force microscopy
<b>SMD</b>	steered molecular dynamics



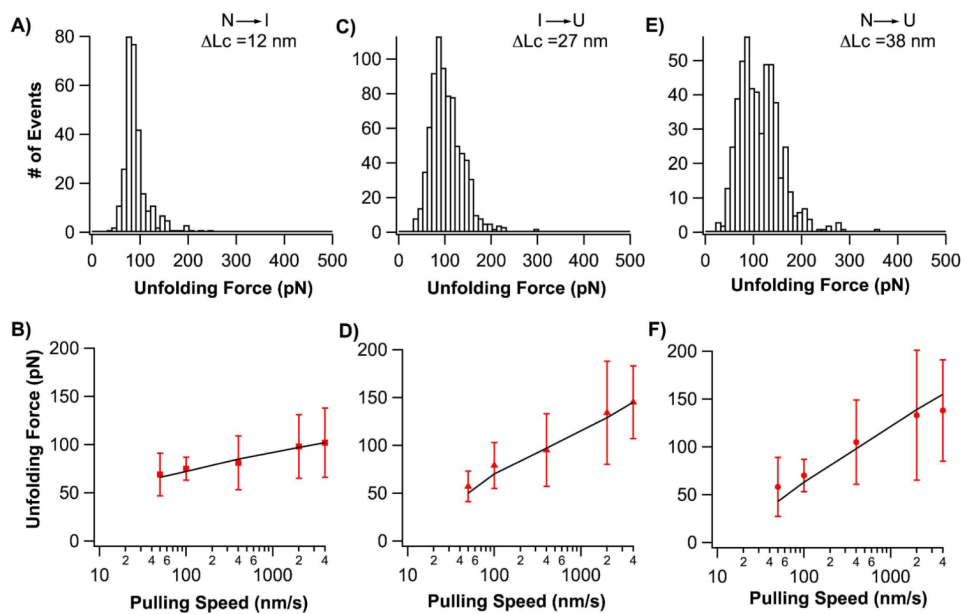
**Figure 1.**

The three-dimensional structure of AFV3-109 (PDB code: 2J6B). AFV3-109 is an  $\alpha/\beta$  protein. A five stranded  $\beta$ -sheet connected by helices and loops forms the core of AFV3-109. The order of the five strands is  $\beta 3\beta 1\beta 4\beta 5\beta 2$ , while  $\beta 5$  is anti-parallel to the others. The knotting loop (colored in yellow) encompasses amino acid residues 8 to 77, including  $\beta 2$ ,  $\alpha 1$ ,  $\beta 3$ ,  $\alpha 2$  and part of  $\beta 4$ . Amino acid residues from 78 to 106, including part of  $\beta 4$ ,  $\alpha 3$  and  $\beta 5$ , are threaded through the knotting loop twice and form a threaded loop (colored in red).

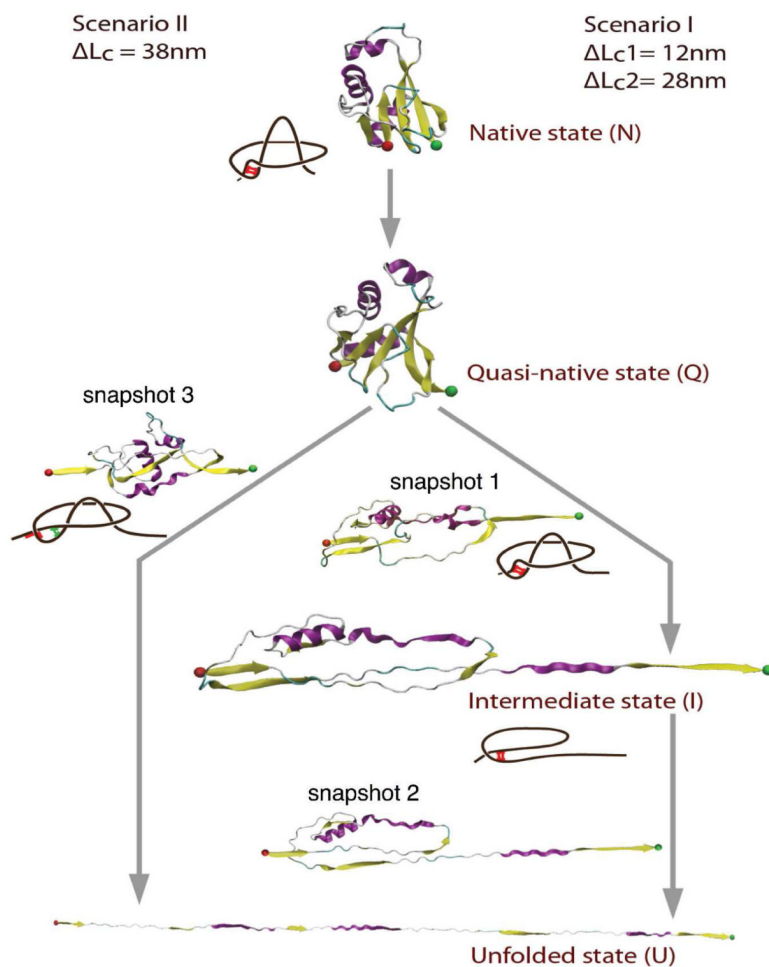


**Figure 2.**

The mechanical unfolding of AFV3-109. A) Force-extension curves of (GB1)<sub>4</sub>-AFV3-109-(GB1)<sub>4</sub>. Dotted lines are WLC fits to the force-extension curves. The unfolding events of the GB1 fingerprint domains are characterized by a  $\Delta L_C$  of  $\sim 18$  nm (colored in blue). The mechanical unfolding of AFV3-109 can proceed through multiple pathways: in curve a), the unfolding of AFV3-109 is an apparent two-state unfolding event with a  $\Delta L_C$  of 38 nm; in curve b), the unfolding of AFV3-109 proceeds in a three-state unfolding pathway involving a stable intermediate state, with a  $\Delta L_C$ (N-I) of 12 nm and a  $\Delta L_C$ (I-U) of 27 nm. In some cases, the N-I unfolding event was not observed, and only the I-U unfolding was observed (curve c). For clarity, the two-state unfolding event is colored in orange, the N-I event is colored in red and the I-U event is colored in green. B)  $\Delta L_C$  histograms for mechanical unfolding events of AFV3-109. Gaussian fits to the experimental data shows a  $\Delta L_C$  of  $12.0 \pm 1.2$  nm ( $n=298$ ),  $27.3 \pm 1.3$  nm ( $n=790$ ), and  $37.9 \pm 1.1$  nm ( $n=521$ ).



**Figure 3.** Signatures of the mechanical unfolding of the slipknot protein AFV3-109. A, C and E) Unfolding force histograms for the unfolding step N→I (A), I→U (B) and N→U (C). B, D and F) Pulling speed dependence of the unfolding force for each individual unfolding step. Solid lines correspond to the Monte Carlo simulation results using the following  $\alpha_0$  and  $\Delta x_u$ : (a) N→I unfolding force; (b) I→U unfolding force; (c) two-state unfolding force. The red bars (right) represent the standard deviation of unfolding forces.



**Figure 4.** SMD simulations reveal two distinct mechanical unfolding pathways of AFV3-109. Scenario I corresponds to unfolding pathways involving an unfolding intermediate state, and scenario II corresponds to the two-state unfolding pathway. Along each step of the unfolding process, snapshots of AFV3-109 are shown (as indicated by snapshots 1, 2 and 3) to indicate the structural changes of AFV3-109 during the unfolding process. In both scenarios, the slipknot structure was untied and no tightened slipknot conformation observed. Interactions (specific or non-specific) between key structural elements are responsible for preventing the formation of the “tightened knot” structure. Such interactions, which are indicated in the schematic drawing of AFV3-109 beside the snapshots, prevent the shrinking of the knotting loop and help untie the slipknot. Red bars indicate specific interactions between strands  $\beta1$  and  $\beta4$ , while green bars indicate the non-native interactions formed after the sliding of strands  $\beta1$  and  $\beta4$ .



**Table 1**  
**Kinetic parameters characterizing the mechanical unfolding of AFV3-109**

	$\Delta L_c$ (nm)	$\alpha_0$ (s <sup>-1</sup> )	$\Delta x_u$ (nm)
N→U pathway	38	1.8	0.24
N→I→U pathway			
N→I	12	0.011	0.59
I→U	27	0.72	0.27
N...I→U pathway			
N→I	N/A	N/A	N/A
I→U	27	0.72	0.27