

Gold Nanoparticles Disrupt Zebrafish Eye Development and Pigmentation

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Systematic toxicological study is still required to fully understand the hazard potentials of gold nanoparticles (AuNPs). Because their biomedical applications are rapidly evolving, we investigated developmental toxicity of AuNPs in an *in vivo* embryonic zebrafish model at exposure concentration ranges from 0.08 to 50 mg/l. Exposure of zebrafish embryos to 1.3 nm AuNPs functionalized with a cationic ligand, N,N,N-trimethylammoniummethanethiol (TMAT-AuNPs), resulted in smaller malpigmented eyes. We determined that TMAT-AuNPs caused a significant increase of cell death in the eye, which was correlated with an increase in gene expression of *p53* and *bax*. Expression patterns of key transcription factors regulating eye development (*pax6a*, *pax6b*, *otx2*, and *rx1*) and pigmentation (*sox10*) were both repressed in a concentration-dependent manner in embryos exposed to TMAT-AuNPs. Reduced spatial localization of *pax6a*, *rx1*, *sox10*, and *mitfa* was observed in embryos by whole-mount *in situ* hybridization. The swimming behavior of embryos exposed to sublethal concentrations of TMAT-AuNPs showed hypoactivity, and embryos exhibited axonal growth inhibition. Overall, these results demonstrated that TMAT-AuNPs disrupt the progression of eye development and pigmentation that continues to behavioral and neuronal damage in the developing zebrafish.

Key Words: eye defect; pigmentation; gold nanoparticles; behavior; neuronal toxicity; zebrafish.

Gold nanoparticles (AuNPs) exhibit unique optical and electrical properties which are of great interest for drug delivery, cellular imaging, diagnostics, and therapeutic agents (Giljohann *et al.*, 2010; Murphy *et al.*, 2008). The size, shape, and surface chemistry of AuNPs can be widely and systematically tuned for various biomedical applications. There have been concerns about the potential for human health hazard from AuNPs because of their ability to penetrate and translocate in biological compartments. As with any new pharmaceutical or other health technology, it is imperative to understand the full scope of AuNP biocompatibility and to ensure that

hazard potential is minimized (Giljohann *et al.*, 2010; Murphy *et al.*, 2008).

Studies *in vitro* have demonstrated that AuNPs induce cellular toxicity through oxidative stress (Pan *et al.*, 2009), disruption to cell membranes (Lin *et al.*, 2010), inflammation (Bartneck *et al.*, 2010), and DNA damage (Schaeublin *et al.*, 2011), all of which depend upon size, shape, and surface chemistry of the AuNPs. Surface chemistry plays a crucial role in determining toxicity of AuNPs (Goodman *et al.*, 2004; Hauck *et al.*, 2008; Lin *et al.*, 2010). The negative charge of the cell membrane appears to facilitate internalization, and possibly the toxicity, of positively charged AuNPs over negatively and neutrally charged AuNPs (Goodman *et al.*, 2004; Lin *et al.*, 2010). But, uptake may be less dependent on surface charge in long-term exposure (Bartneck *et al.*, 2010) where the uptake of positively charged AuNPs can be less than that of negatively charged AuNPs (Schaeublin *et al.*, 2011). Though little has emerged from *in vitro* studies in the way of predictive structure-toxicity profiles for AuNPs, knowledge of toxicity mechanisms and targets has been gained. Compared with the accumulating *in vitro* toxicity data available, relatively few *in vivo* toxicological studies have been conducted on AuNPs. And, although both approaches are necessary for a comprehensive AuNP safety assessment, we believe that *in vivo* model observations will ultimately be more predictive of hazard potential for humans.

The developing zebrafish (*Danio rerio*) is an established model for *in vivo* toxicity testing, offering the efficiency to identify toxicity mechanisms (Hill *et al.*, 2005). Zebrafish are small and highly fecund, and they develop quickly. An added benefit is that development is entirely external, and the developing embryos are transparent. Chemically induced malformations in the brain, jaw, eye, heart, yolk sac, notochord, trunk, and tail are directly observable under a dissecting microscope. Only small quantities of test material are required for developmental exposure of zebrafish, a big advantage for compounds under development, for which sample quantity available for testing

may be limited to only a few milligrams. Developmental toxicity assays in zebrafish have also been successfully automated for high throughput (Mandrell *et al.*, 2012). As a consequence of these advantages, toxicity mechanisms of nanoparticles (NPs) and other chemicals can be pursued more rapidly in zebrafish than any other vertebrate model. Research investigating how NPs and other new chemicals affect zebrafish development is both efficient in the zebrafish model and more translatable to human biology (Parmg, 2005) than *in vitro* approaches.

In a previous study, we demonstrated the suitability of the embryonic zebrafish as a rapid model to probe the effects of multivariate physicochemical properties of AuNPs on biological responses (Harper *et al.*, 2011). In that study, we examined the role of surface charge and size on toxicity and found that the surface functionalization dictated toxicity outcomes with embryos. Specifically, we found that AuNPs (0.8–1.6 nm) functionalized with a monolayer of the cationic ligand, N,N,N-trimethylammoniummethanethiol (TMAT), were the most developmentally toxic, causing embryo lethality and numerous morphological effects. We observed a distinctive malformation in which embryos exposed to TMAT-AuNPs consistently developed eyes that were pale gray, rather than black, and smaller than normal. The objective of this study was to explore the mechanism underlying this difference in eye development. We evaluated apoptotic patterns and the expressions of putative transcription factors involved in apoptosis, eye embryogenesis, and pigmentation. We also tested simple photomotor behavior responses of larvae exposed to TMAT-AuNPs to determine whether the central nervous system (CNS) was affected, and axon morphology was examined for TMAT-AuNP-induced damage.

MATERIALS AND METHODS

Chemicals. Hydrogen tetrachloroaurate ($\text{HAuCl}_4 \cdot \text{H}_2\text{O}$) was purchased from Strem (Newburyport, MA) and was used as received. Dichloromethane was purchased from Mallinckrodt Chemicals (St Louis, MO). Thiocholine (TMAT) trifluoroacetate was synthesized according to known procedures with some modifications (Supplementary data) (Warner and Hutchison, 2003). All other compounds were purchased from Sigma-Aldrich (St Louis, MO) and used as received. Nanopure water (18.2 M Ω -cm resistivity) was prepared with a Barnstead Nanopure filtration system and used for all aqueous samples. Polyethersulfone diafiltration membranes Omega $^{\text{T}}10\text{K}$ were obtained from Pall Life Sciences (Port Washington, NY). Carboxylic acid-functionalized SMART grids for transmission electron microscopy (TEM) imaging were purchased from Dune Sciences.

TMAT-AuNP synthesis and characterization. Water-soluble, TMAT-stabilized AuNPs were prepared through interfacial ligand exchange reaction between triphenylphosphine-stabilized AuNPs ($\text{Au}_{101}(\text{PPh})_{21}\text{Cl}_3$) dissolved in dichloromethane with thiocholine trifluoroacetate in water using a published procedure (Woehrle *et al.*, 2005). Briefly, a solution of 60 mg of $\text{Au}_{101}(\text{PPh})_{21}\text{Cl}_3$ in 20 ml of dichloromethane was added to a solution of 35 mg of thiocholine trifluoroacetate in 25 ml water. Prior to use, the thiocholine trifluoroacetate solution was passed through a poly(4-vinylpyridine) column to remove any residual acid contaminants. The biphasic reaction mixture was stirred rapidly at room temperature (RT) for 23 h. The reaction was complete when dark-colored NPs were transferred from the organic to aqueous phase. The layers were then separated, and organic impurities were removed by

washing the aqueous layer with dichloromethane (3×30 ml). Traces of organic solvents were removed under reduced pressure at RT, and the crude material was purified by diafiltration using 10 kDa membrane with 80 volumes of nanopure water. After lyophilization, the powdered material was obtained and thoroughly characterized.

We used proton nuclear magnetic resonance (^1H NMR), UV-vis, TEM, and small-angle x-ray scattering (SAXS) to characterize TMAT-AuNPs synthesized. The presence of free ligand, unwanted byproduct, and excess reactants was tested using ^1H NMR. Spectra were collected at 25°C on a Varian Unity Inova 300 MHz instrument in D_2O , and chemical shifts were reported in δ units (ppm) with residual solvent peak (D_2O $\delta 4.65$) as the internal standard. UV-vis spectra were used to monitor the stability of TMAT-AuNPs in water and embryo medium (EM) over 5 days of toxicity test. UV-vis spectra were obtained on a Hewlett-Packard 8453 diode array instrument with a fixed slit width of 1 nm using 1-cm quartz cuvettes. The NP core sizes were determined by TEM and SAXS. TEM images were collected at 300 kV with an FEI Titan using Cs aberration corrector. The NP samples were prepared on carboxylic acid-functionalized SMART grids by soaking the grid in a dilute NP solution (0.2 mg/ml) and then rinsing by soaking in nanopure water for 2 min each. The grid was then air dried. Particle size analysis of TEM images was performed using ImageJ software from the NIH Website. The NP sizes in solution were determined by SAXS. Details were provided in our recent study (McKenzie *et al.*, 2010). Briefly, NP samples were prepared at about 250–500 mg/l and exposed to monochromated x-rays from a long fine focal spot-sealed x-ray tube (Cu 1.54 Å) powered by a generator at 2 kW focused by multilayer optics, measured with a Roper CCD in a Kratky camera. The Anton Paar SAXSess, in line-collimation mode, was set to average 50 scans of 50 s for particles greater than 1 nm and less than 3 nm in diameter. For particles less than 1 nm, 100 scans of 100 s exposure were used. The corresponding dark current and background scans were subtracted from the data before desmearing using the beam profile in Anton Paar SAXSQuant software. Upon importing into IGOR Pro, the desmeared data were reduced to 200 points (with a 5-data point boxcar average) matching the number of bins to be fit. The size distribution of the sample was then determined using the size distribution macro in the IRENA package (Ilavsky and Jemian, 2009). The SAXS patterns were fit using the non-negative least squares method, assuming spherical particles, to yield a histogram of volume distribution binned by diameter. For each sample, polydispersity and average core size were determined by fitting a Gaussian function to the histogram distribution.

Zebrafish maintenance and embryo toxicity test. The wild-type 5D line of zebrafish (*D. rerio*) was housed at standard laboratory conditions in Sinnhuber Aquatic Research Laboratory (SARL) at Oregon State University: 28°C and a pH of 7.0 with a 14:10 light:dark photocycle in reverse osmosis water supplemented with 0.6% Instant Ocean. Embryos were staged accordingly as previously described (Kimmel *et al.*, 1995) and collected by hand for all experiments. The chorion was enzymatically removed using pronase (Sigma-Aldrich) at 4 h postfertilization (hpf) in the automated dechorionation system to obviate any potential for it to act as a barrier to bioavailability (Mandrell *et al.*, 2012). Dechorionated embryos at 6 hpf were individually exposed to 100 μl of nominal concentrations of TMAT-AuNPs (0.08, 0.4, 2, 10, 20, 30, 40, and 50 mg/l) in 96-well plates. The desired concentrations of TMAT-AuNPs were prepared in EM: 15 mM NaCl, 0.5 mM KCl, 1 mM CaCl_2 , 1 mM MgSO_4 , 0.15 mM KH_2PO_4 , 0.05 mM Na_2HPO_4 , and 0.7 mM NaHCO_3 (Westerfield, 2000). Nonrenewal exposures were continued in sealed well plates until 120 hpf. Mortality, developmental progression, and spontaneous tail flexion were recorded at 24 hpf. Incidence of mortality and a battery of morphological endpoints were recorded daily in a binary manner until 120 hpf. The percent incidence of morbidity and mortality was graphed for at least four replicates, each using eight embryos.

In vivo cellular death. The apoptosis of embryos was evaluated using acridine orange (AO) staining and a terminal deoxynucleotidyl transferase-mediated dUTP nick-end labeling (TUNEL) assay at 24 and 72 hpf, respectively. AO is a nucleic acid-selective metachromatic stain. For AO staining, embryos exposed to 1, 10, and 30 mg/l TMAT-AuNPs at 24 hpf were pooled and washed

with fish water (FW) three times, followed by incubation in the AO solution (5 µg/ml in FW) for at least 1 h at RT. The embryos were anesthetized with tricaine (4 mg/ml in FW) for imaging with an inverted Zeiss microscope (Carl Zeiss, NY).

A TUNEL assay using a peroxidase (POD) (Roche Diagnostics GmbH, Mannheim, Germany), *in situ* cell death detection kit, was modified as previously described (Shi *et al.*, 2008). Embryos exposed to 1, 10, and 30 mg/l TMAT-AuNPs were fixed at 72 hpf in 4% paraformaldehyde (PFA) overnight at 4°C. After washing twice with PBS, larvae were incubated in 3% hydrogen peroxide in methanol for 15 min at RT, washed with PBS again, and incubated in a TUNEL Label and Enzyme mixture provided by manufacturer for 60 min at 37°C. Larvae were then rinsed with PBS and incubated in converter POD solution for 30 min at 37°C. Following another wash with PBS, the apoptotic cells were treated with prewarmed diaminobenzidine substrate and were imaged for brown discoloration with a microscope.

Flow cytometry analysis was employed to assess the apoptosis of embryos exposed to 1 and 30 mg/l TMAT-AuNPs through DNA content analysis of single cells. Flow cytometry methods were modified as previously described (Shi *et al.*, 2008). One hundred 24 hpf embryos from each treatment were pooled and washed with PBS twice. Embryos were incubated in trypsin solution (0.25 mg/ml trypsin in PBS) for 30 min and disintegrated with 3.2-mm stainless steel beads (Next advance, Averill Park, NY) for about 5 s at RT in a bullet blender (Next advance). The completed digestion was confirmed under a dissecting microscope. The homogenates were centrifuged at 1000 × g for 7 min at 4°C. After removing the supernatant, the cell pellet was resuspended with 100 µl of PBS, followed by fixation with 1 ml of cold 70% ethanol on ice for 30 min. Another centrifugation was carried out at 1000 × g for 7 min at 4°C. Following resuspension of the cell pellet in 800 µl of PBS, 100 µl of 400 µg/ml propidium iodide (PI) solution (Sigma-Aldrich) and 100 µl of RNase (1 mg/ml) (Sigma-Aldrich) were added. Finally, the suspensions were incubated at 28°C for 30 min. Cell suspensions were then filtered using a 70-µm nylon mesh (BD Biosciences, San Jose, CA) to remove the clumps that would interfere with the flow cytometric analysis. Approximately 1 × 10⁴ cells were used in a Cytomix FC500 analyzer (Beckman-Coulter). The quantitative measurements for sub-G1 populations were made postacquisition using CXP software (Beckman-Coulter) to analyze listmode data files. Cell cycle analysis was determined using MultiCycle software (Phoenix Flow Systems).

Gene expression. Total RNA was extracted from 20 zebrafish larvae per each treatment at 96 hpf using Trizol Reagent (Invitrogen, Carlsbad, CA). Pooled larvae exposed to 1, 10, and 30 mg/l TMAT-AuNPs were homogenized in a bullet blender using a small scoop of 1.0-mm glass beads (Next advance) in a lock-top microcentrifuge tube. A 200-µl aliquot of chloroform (Mallinckrodt Chemicals) was added to homogenates and manually mixed. The homogenates were then placed for 2 min at RT before centrifuging at 12,000 × g for 15 min at 4°C. After transferring the supernatant to a fresh tube, 500 µl of isopropanol (J.T. Baker, Phillipsburg, NJ) was added and gently mixed for 10 min at RT. Centrifugation was carried out at 12,000 × g for 10 min at 4°C to pellet the RNA. The RNA pellet was washed twice with 1 ml of 75% ethanol, with a centrifugation following each wash at 7600 × g for 5 min at 4°C. The air-dried pellet was suspended with 30 µl of water, followed by RNA quality measurement (260/280 ≥ 1.8) using a microplate reader (Synergy 2, Bio Tek, Winooski, VT).

The complementary DNA (cDNA) was synthesized from 2 µg total RNA using Superscript III First-Strand Synthesis System (Invitrogen) according to the manufacturer's instructions. Quantitative real-time PCR (qRT-PCR) was performed on an ABI Step One Plus (Applied Biosystems, Carlsbad, CA) using the 2 × SYBR Green PCR master mix (Applied Biosystems). Cycling conditions were as follows: (1) 95°C for 10 min and (2) 40 cycles of 95°C for 15 s, the optimized temperature for each target gene for 30 s, 72°C for 30 s, 95°C for 15 s, 72°C for 1 min, and 95°C for 15 s. We selected several putative transcription factors that play critical roles in apoptosis (*p53* and *bax*), eye embryogenesis (paired-box transcription factors; *pax6*, orthodenticle-related homeobox; *otx2* and retinal homeobox; and *rx1*), and pigmentation (SRY box containing transcription factor; *sox10*, microphthalmia-associated transcription factor;

mitfa and tyrosinase; and *tyrp*). The primer sequences, genebank accession numbers, and optimized temperature for PCR of each target gene are shown in [Supplementary table S1](#). The fold changes of gene expression were calculated using the 2^{-ΔΔC_t} method based on the threshold cycle (C_t) number. At least three biological replicates were used, and the relative expression was normalized to β-actin expression.

Whole-mount *in situ* hybridization. Whole-mount *in situ* hybridization (WISH) was carried out for the detection of localized gene transcripts during embryonic development in both unexposed embryos and the embryos at 24 and 48 hpf. The detailed procedures were optimized in our laboratory by modifying the protocols of Barthel and Raymond (2000) and Thisse and Thisse (2008). Digoxigenin (DIG)-labeled antisense cRNA probes were transcribed with T7 RNA polymerase (Promega). Some cRNA probes were provided by Dr. H. Kim (University of Utah). Primers were purified using QIAquick PCR purification kit (Qiagen, Valencia, CA). The hybridized probes were visualized in a blue precipitate using anti-DIG with substrate of tetrazolium salt/5-bromo-4-chloro-3 idolyl-phosphate (NBT/BCIP). WISH with Fast red was also employed to increase the sensitivity and reduce noise background. For Fast red staining, the same procedures as with NBT/BCIP were done until day 2. At day 3, embryos were split into two plates for both NBT/BCIP and Fast red staining. Briefly, embryos were rinsed two times with Fast red buffer and stained with Fast red Tablet (Sigma-Aldrich) dissolved in Fast red buffer. The red precipitates in embryos were fluorescently visualized by Z-stack imaging with a rhodamine filter set.

Behavioral activity assessment. Behavioral responses of larvae exposed to 0.1, 1, and 10 mg/l TMAT-AuNPs showing no mortality were measured at 5 days postfertilization (dpf) in a Viewpoint Zebbox (software version 3.0, Viewpoint Life Sciences, Lyon, France) using the tracking setting. Larvae were acclimated to the light for 5 min and recorded for 5 min in the light, 10 min in the dark, and 5 min in the light. This photo cycle, modified as previously described (MacPhail *et al.*, 2009), has been extensively used in our laboratory. We confirmed no effect of modified photocycle on behavior activity of zebrafish in the previous study (Truong *et al.*, 2012). The behavioral profile was based on the distances moved between the light and dark periods. A custom perl script was used to calculate the total distance moved per minute. Behavioral assessment was run in triplicate for each of 24 larvae of the three exposure concentrations of TMAT-AuNPs and EM for controls.

Immunohistochemistry. Whole-mount immunohistochemistry (IHC) was carried out to visualize specific neurons and axons as previously described (Svoboda *et al.*, 2002). Briefly, embryos exposed to 1, 10, and 30 mg/l TMAT-AuNPs from 6 to 48 hpf stored in PBS after fixing in 4% PFA overnight at -4°C were washed with PBST (PBS containing 0.1% Tween 20). Embryos were washed with acetone at -20°C for 20 min and incubated in collagenase (0.001 g collagenase/1 ml PBST) for 30 min, followed by two washes with PBST and treatment with blocking solution (10% normal goat serum in PBST) for 2 h. Embryos were then incubated in primary antibody of znp-1 (Developmental Studies Hybridoma Bank, University of Iowa) at 1:500, Zn-5 (Developmental Studies Hybridoma Bank) at 1:250, and antiacetylated tubulin (α-AT) at 1:4000 in blocking solution overnight on the rocker at 4°C. The following day, after embryos were washed with PBST twice for 90 min, they were incubated for another 90 min in goat antimouse IgG secondary antiserum conjugated to Alexa Fluor 555 (Molecular Probe, Eugene, OR) at 1:1000 dilution in PBST. Before imaging, embryos were rinsed in PBST three times and rested for at least 30 min. Twenty embryos per each treatment were threshold scored for IHC.

Statistical analysis. All statistical analyses were carried out using SigmaStat/Plot 11 (SPSS Inc, Chicago, IL). The significant differences in gene expression were determined by one-way ANOVA (*p* < 0.05), followed by Tukey's *post hoc* test. The gene expression data were expressed as the mean ± SE. The significant differences in larval swimming activities in each of the three light cycles were determined by two-way ANOVA and Tukey's *post hoc* test. The behavioral activity data were expressed as the mean ± SD.

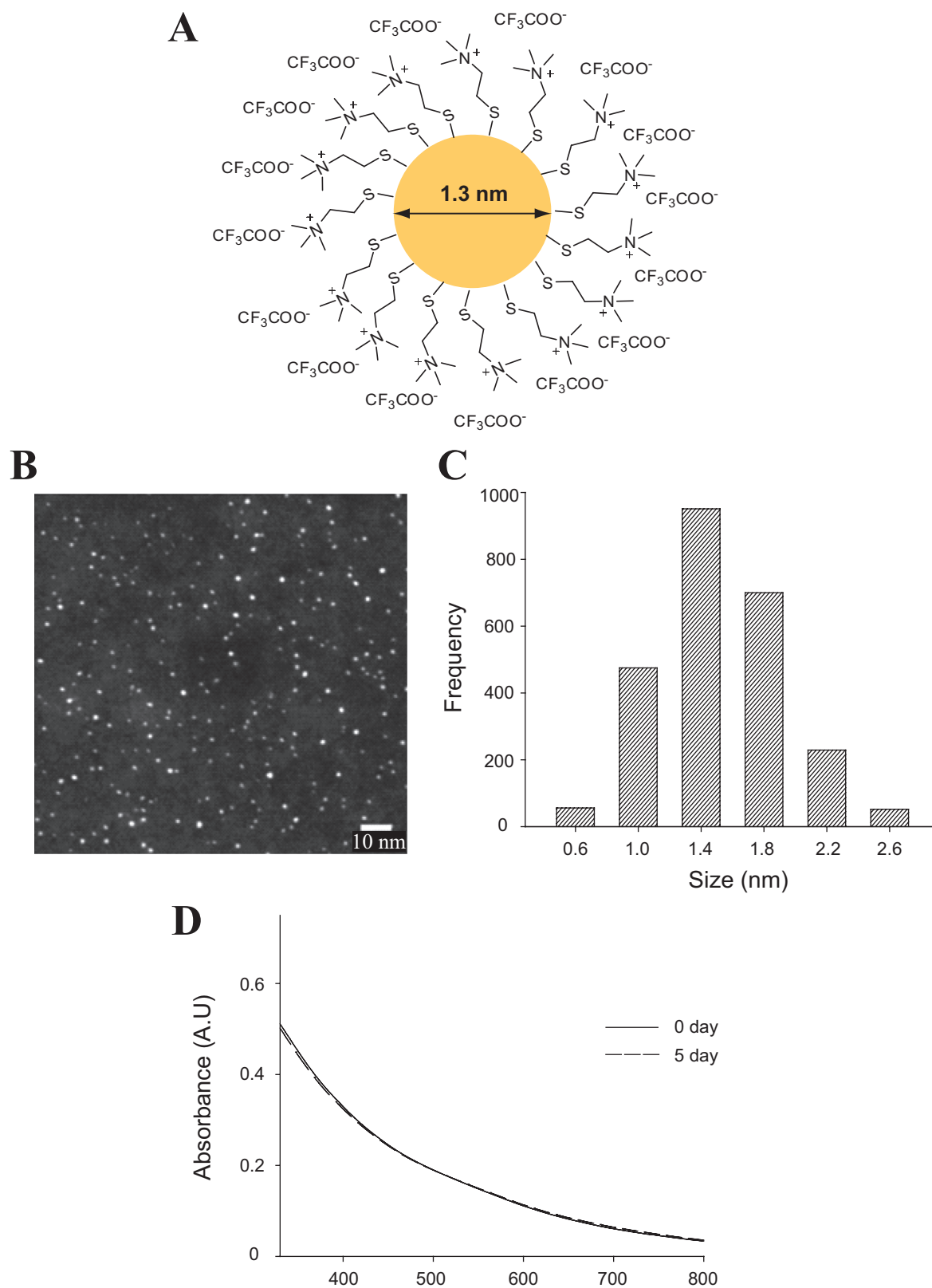


FIG. 1. Structure and characteristics of TMAT-AuNPs. (A) Schematic diagram of TMAT-AuNPs. (B) Dark-field TEM images of TMAT-AuNPs. (C) A histogram illustrating the size distribution of TMAT-AuNPs determined by TEM. (D) UV-vis spectra that demonstrate the stability of TMAT-AuNPs in embryo medium over 5 days.

RESULTS

TMAT-AuNP Synthesis and Characterization

Spherical 1.3-nm AuNPs were synthesized and functionalized with positively charged TMAT ligands that are covalently bound to the NP surface through sulfur (TMAT-AuNP, Fig. 1A). X-ray photoelectron spectra confirm that the counterions are trifluoroacetate. (A small amount, less than 5%, of iodide was also detected.) The proton NMR spectra indicated the absence of free ligands after purification (Supplementary fig. S1). Before conducting the toxicity assessment, we completed a full characterization of TMAT-AuNPs in order to confirm their stability and ensure a reproducible toxicity study. Figure 1 and Table 1 illustrate the physicochemical properties of the TMAT-AuNPs prepared. From dark-field TEM images, the average core size of TMAT-AuNPs was determined to be 1.3 ± 0.4 nm (Figs. 1B and C). We employed SAXS to measure the diameter of TMAT-AuNPs in solution (Table 1 and Supplementary fig. S2). We reported that SAXS exhibited high instrumental resolution for measuring the primary diameter of single nanosized AuNPs in solution in real time (McKenzie *et al.*, 2010). The average diameter of TMAT-AuNPs determined from SAXS is 1.3 ± 0.4 nm in both nanopure water and EM. These measurements indicate that TMAT-AuNPs remain stable without significant agglomeration in standard zebrafish EM. There was no decrease or shift of UV-vis absorbance spectra and no plasmon at 520 nm generated by particles greater than 2 nm, either in nanopure water or in EM over 5 days (Fig. 1D). These characteristics demonstrated that the prepared TMAT-AuNPs were highly stable over the entire duration of embryonic toxicity assessment.

Developmental Toxicity of TMAT-AuNPs

Exposure to TMAT-AuNPs induced embryonic mortality and malformations. Exposure to TMAT ligand alone or bare AuNPs resulted in no toxicity (data not shown), suggesting that covalent addition of the positively charged ligand to the AuNPs surface dramatically increased the developmental toxicity. Figure 2A illustrates the mortality over 5 days of exposure; the LC_{50} (the concentration showing 50% mortality) was

determined to be 30 mg/l at 120 hpf. Living larvae exposed to concentrations of 30 mg/l TMAT-AuNPs and higher (i.e., 40 and 50 mg/l) were immobile with low heart rate and strikingly sluggish blood flow at 120 hpf. The incidence of malformation at 30 mg/l was 80% among living organisms at 120 hpf (Fig. 2B). Typically, screened malformations were bent spine; pericardial and yolk sac edema; and jaw and eye abnormalities.

We noted that smaller than normal and pale gray eyes were consistently observed in embryos exposed to TMAT-AuNPs (Fig. 2C). At concentrations below 10 mg/l, where no mortality had occurred, smaller and hypopigmented eyes were not observed. At concentrations higher than 30 mg/l, the incidence of eye defects was 100% in living embryos at 120 hpf. Eye defects were observed at 48 hpf (Fig. 2D) where 80 and 100% of larvae exposed to 30 and 50 mg/l TMAT-AuNPs, respectively, displayed small and pale gray eyes. The absence of pigment was apparent at 48 hpf in the neural retina and the lens area, but some pigmentation developed in the boundary between the neural retina and the lens remained distinguishable (Fig. 2C).

In Vivo Cellular Death

We first investigated the occurrence of ectopic apoptosis in embryos exposed to TMAT-AuNPs using AO staining, the TUNEL assay, and flow cytometric analysis. Figure 3A shows increased apoptotic cells expressed as bright dots under AO staining, especially in the eye region, when exposure concentrations increased from 1 to 30 mg/l. More apoptotic cells were detected in the lens rather than in the neural retinal region, consistent with the eye pigmentation pattern elicited by TMAT-AuNPs. Apoptosis in the eye was obvious at 10 mg/l TMAT-AuNPs, but no eye defects were visually distinguishable at this concentration. We conducted TUNEL assay to see if the apoptosis occurred in other parts at the later developmental stage. An increase of apoptotic cells in the body trunk was similarly observed by TUNEL assay (Fig. 3B). Flow cytometric analysis exhibited a concentration-dependent increase of the percentage of apoptotic cells, from 0.9% in the control to 2.4 and 5.0% in embryos exposed to 1 and 30 mg/l of TMAT-AuNPs, respectively (Fig. 3C). TMAT-AuNPs induced apoptosis and DNA damage in the eye region of zebrafish embryos, which appeared to be associated with developmental eye defects.

Gene Expression for Eye Embryogenesis and Pigmentation

Expression changes were measured by qRT-PCR after exposure to 1, 10, and 30 mg/l TMAT-AuNPs until 96 hpf. Apoptosis related to *p53* and *bax* was significantly upregulated in response to TMAT-AuNPs exposure compared with the control (Fig. 4A). Significant repression of transcription factors controlling eye development (*pax6a*, *pax6b*, *otx2*, and *rx1*) was observed after exposure to TMAT-AuNPs compared with the control (Fig. 4B). Expression of pigmentation transcription factor *sox10* was significantly decreased in embryos exposed to 30 mg/l TMAT-AuNPs, whereas *mitfa*

TABLE 1
Characteristics of TMAT-AuNPs

TEM	Primary size (nm) ^a		ϵ at 400 nm (ml/mg/cm) ^b	
	SAXS		Nanopure	EM
	Nanopure	EM		
1.3 ± 0.4	1.3 ± 0.4	1.3 ± 0.4	5.8	6.0

^aPrimary core size was obtained by TEM deposited on SMART grids. Core size in solution was determined by SAXS in nanopure water (Nanopure) and standard zebrafish embryo medium (EM).

^bExtinction coefficients were determined for 50 mg/l TMAT-AuNPs dispersed in Nanopure and EM at 400 nm.

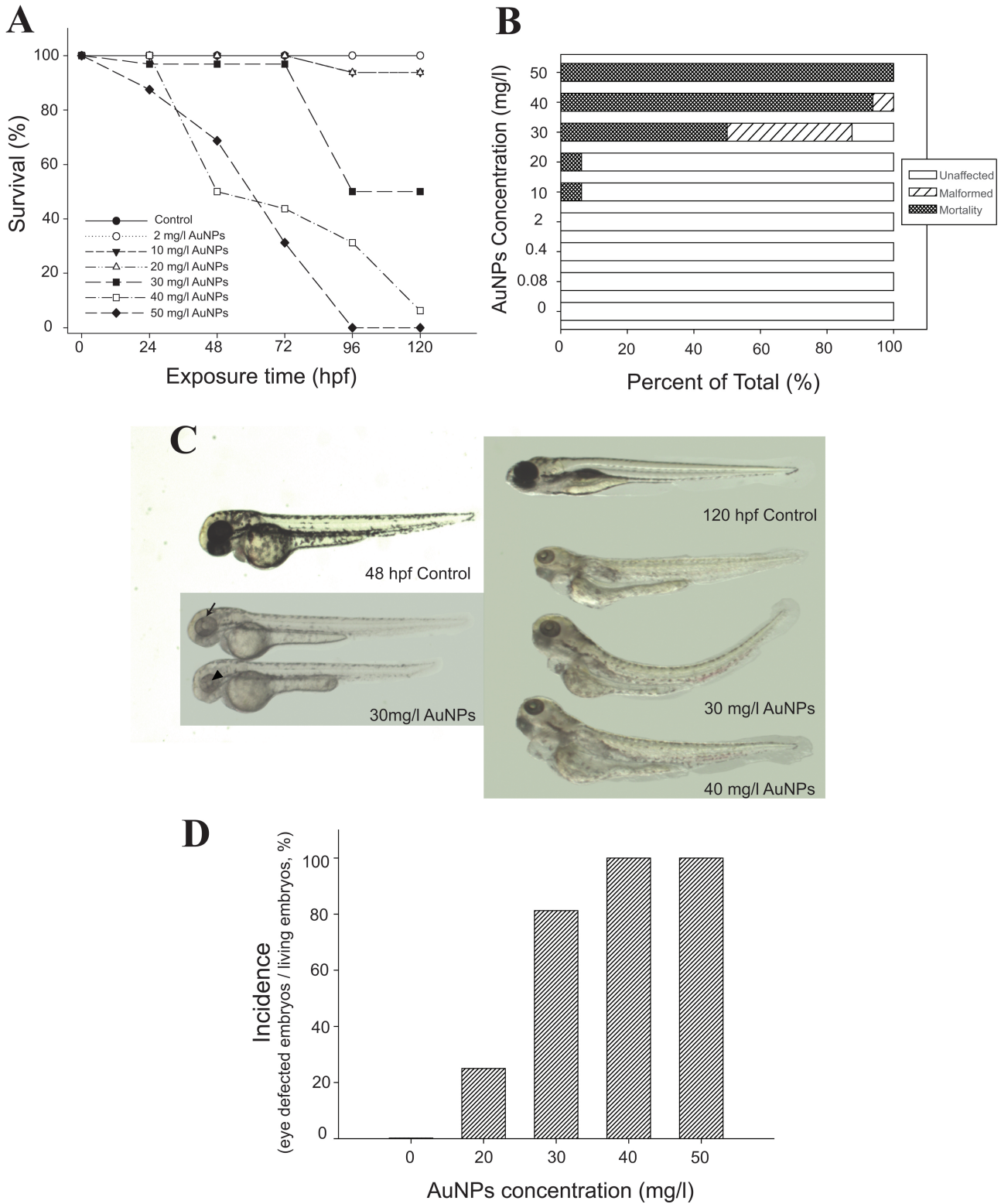


FIG. 2. (A) Concentration-response relationship ($n = 32$). (B) The percentage of unaffected, malformed, and mortality at 120 hpf ($n = 32$). (C) Examples of malformations at 30 and 40 mg/l at 48 and 120 hpf. Eye defects were observed from 48 hpf, and other malformations such as pericardial edema, yolk sac edema, and bent spine were observed at 120 hpf. Black arrow and black arrowhead indicate the boundary of neural retina and the lens, respectively. (D) The percentage of incidence of eye defects over various concentrations of TMAT-AuNPs at 48 hpf ($n = 100$).

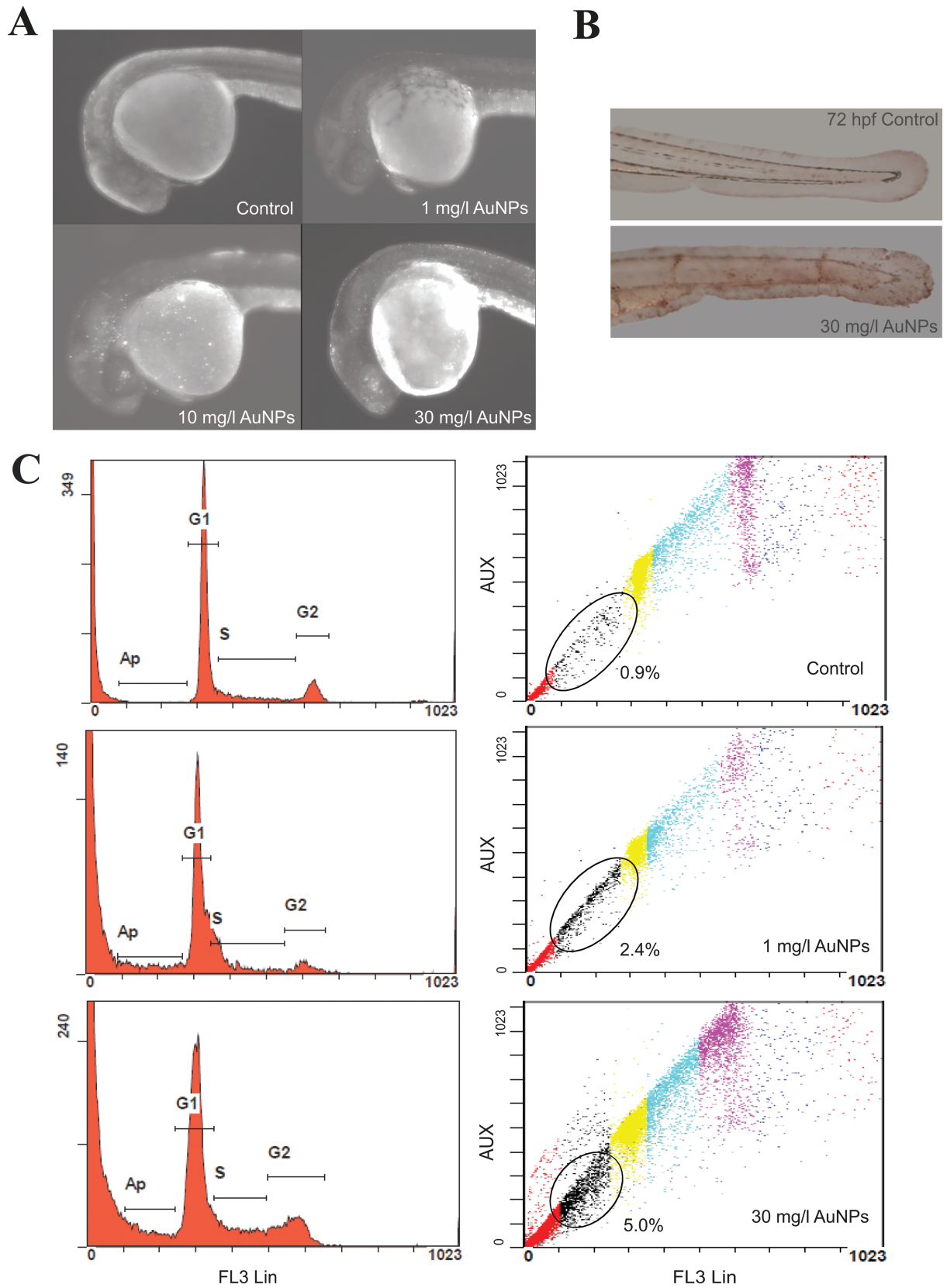


FIG. 3. *In vivo* cellular death. (A) AO staining at 24 hpf. (B) TUNEL assay at 72 hpf. (C) Flow cytometry at 24 hpf. Left column: the histograms of DNA content. Right column: DNA content stained by PI. The percentage of apoptosis is analyzed by width and area of FL3 signal.

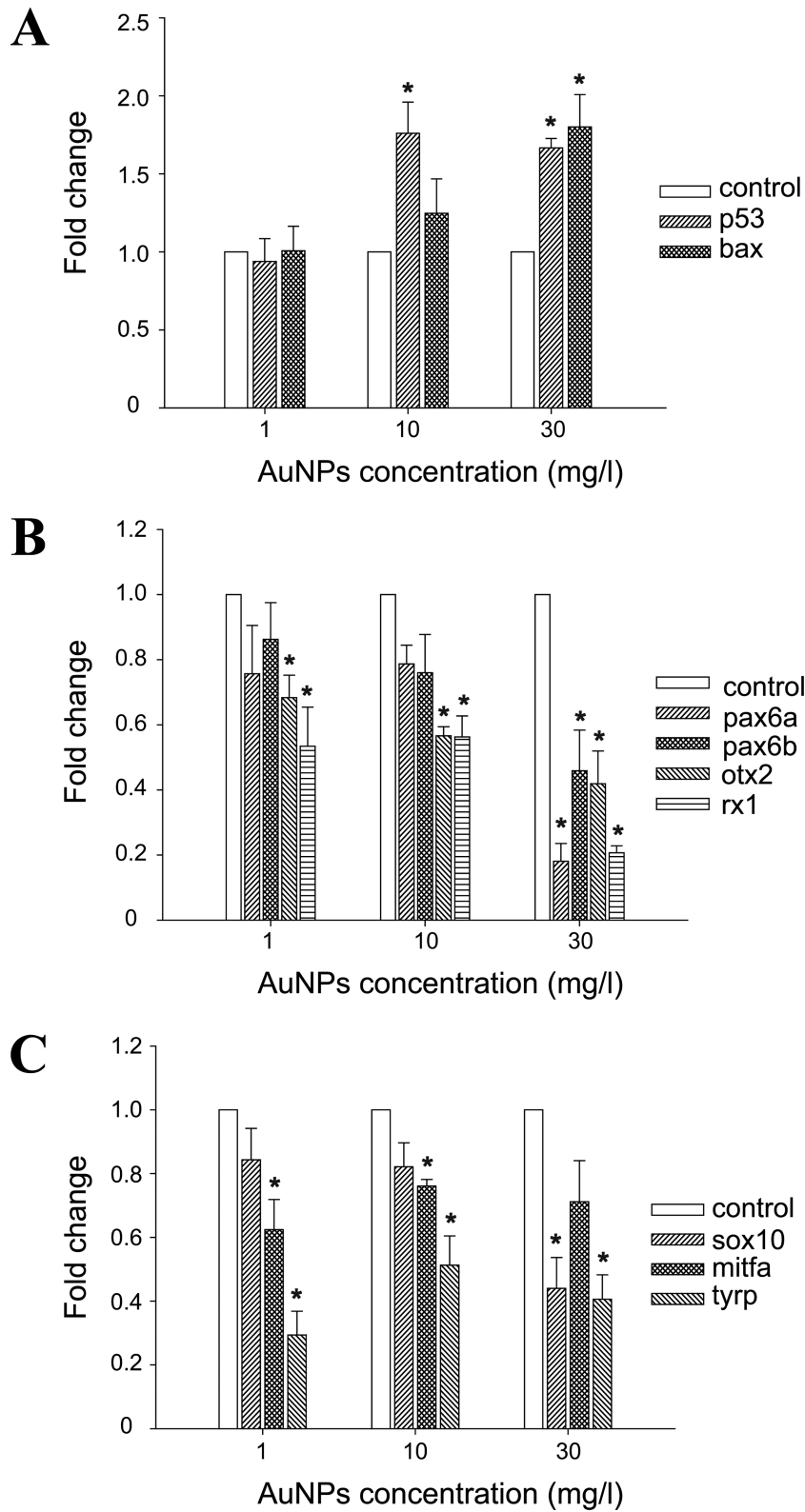


FIG. 4. Real-time quantitative PCR analysis of (A) *p53* and *bax* for apoptosis; (B) *pax6a*, *pax6b*, *otx2*, and *rx1* for eye embryogenesis; and (C) *sox10*, *mitfa*, and *tyrp* for pigmentation at the exposure of 0, 1, 10, and 30 mg/l TMAT-AuNPs at 96 hpf, respectively. Error bars represent as mean \pm SE of at least three replicates. Asterisks represent the significant difference to the control by one-way ANOVA, followed by Tukey's *post hoc* test ($*p < 0.05$).

expression was decreased at 1 and 10 mg/l, and *tyrp* expression was reduced at all three concentrations. There was no significant change in the expression of *mitfa* and *tyrp* with TMAT-AuNPs dose (Fig. 4C).

We investigated the spatial distribution of *pax6a*, *rx1*, *sox10*, and *mitfa* transcripts during development after exposure to 30 mg/l TMAT-AuNPs. Figure 5 shows the results of WISH with Fast red staining at 24 hpf. BCIP/NBT at 24 hpf and Fast red at 48 hpf are shown in Supplementary figures S3 and S4. In control embryos, *pax6a* was expressed in the eye and hind brain; *rx1* was mainly localized in the eye; *sox10* was detected in somatic tissue neighboring the notochord in an orderly segmental fashion; and *mitfa* was present in neural crest cells and along the trunk. In contrast, the transcripts were missing or reduced in embryos exposed to TMAT-AuNPs (Fig. 5). The reduction was obvious for *sox10* and *mitfa*. The WISH results suggest the initiation of eye defects at the earlier developmental stages than the other deformities noted.

Behavior Activity Assessment

We hypothesized that eye defects in embryos exposed to TMAT-AuNPs were linked to CNS damage and that the visual perception of the larvae would be affected. The ability of larvae exposed to TMAT-AuNPs (0.1, 1, and 10 mg/l) to mount a swim response to sudden light-to-dark transition was investigated. The total distance moved during a cycle

of alternating light and dark periods was averaged across a 25-min test conducted after terminating the exposure at 120 hpf (Fig. 6A). Larvae exposed to 1 and 10 mg/l TMAT-AuNPs swam a significantly shorter average distance during the 10- to 15-min dark period than did unexposed larvae (Fig. 6B), and the total distance moved by larvae exposed to 10 mg/l TMAT-AuNPs was significantly lower during all phases of the test (Fig. 6C). The eye defect did appear to alter visual perception or the ability to mount an appropriate swim response, suggesting that the developing CNS may be targeted by TMAT-AuNPs.

Motor Neurons and Neuronal Morphology

We sought the evidence for CNS damage by TMAT-AuNPs in motor neuron axon growth. Embryos were immunolabeled with *znp-1*, *zn-5*, and α -AT at 48 hpf to visualize primary and secondary motor neurons, as well as axon morphology. Among *znp-1*- and *zn-5*-labeled neurons in the larval trunk, there was no obvious difference between control and 30 mg/l TMAT-AuNP treatments (Supplementary fig. S5). TMAT-AuNPs were not associated with striking evidence of abnormal patterning of primary and secondary motor neurons in the trunk region, such as aberrant morphology, projection at aberrant angles, or abnormal branching over all exposure concentrations. But α -AT-labeled neurons exhibited truncation, absence of dorsal and ventral axons, and abnormal innervations of neighboring

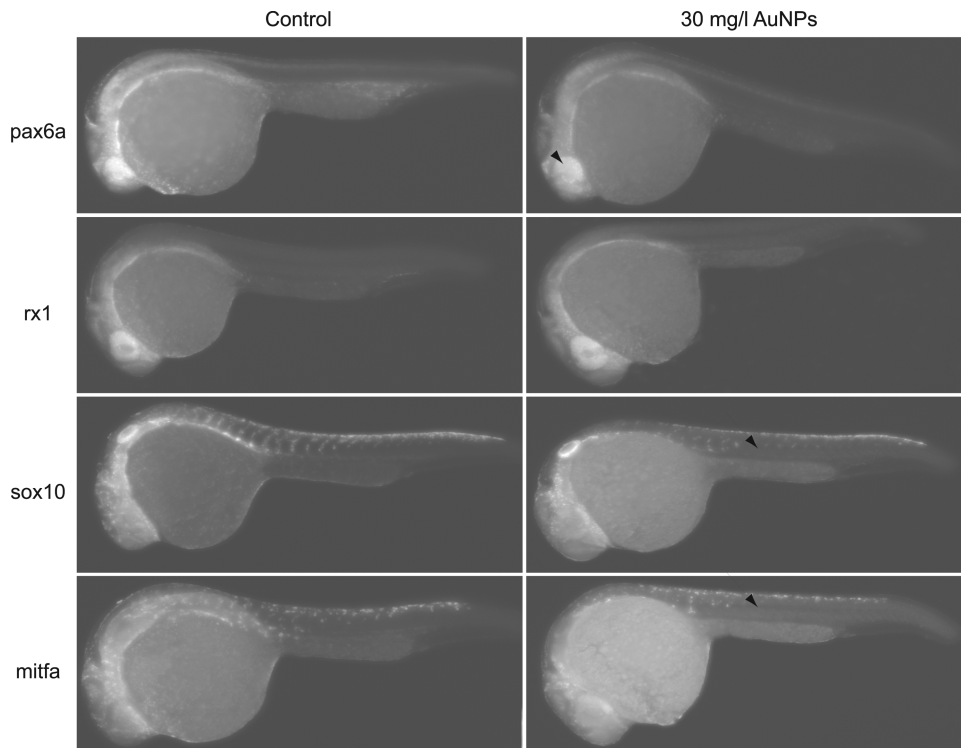


FIG. 5. *In situ* hybridization with Fast red at 24 hpf for *pax6a*, *rx1*, *sox10*, and *mitfa*. Left column: unexposed control; right column: the embryos exposed to 30 mg/l TMAT-AuNPs.

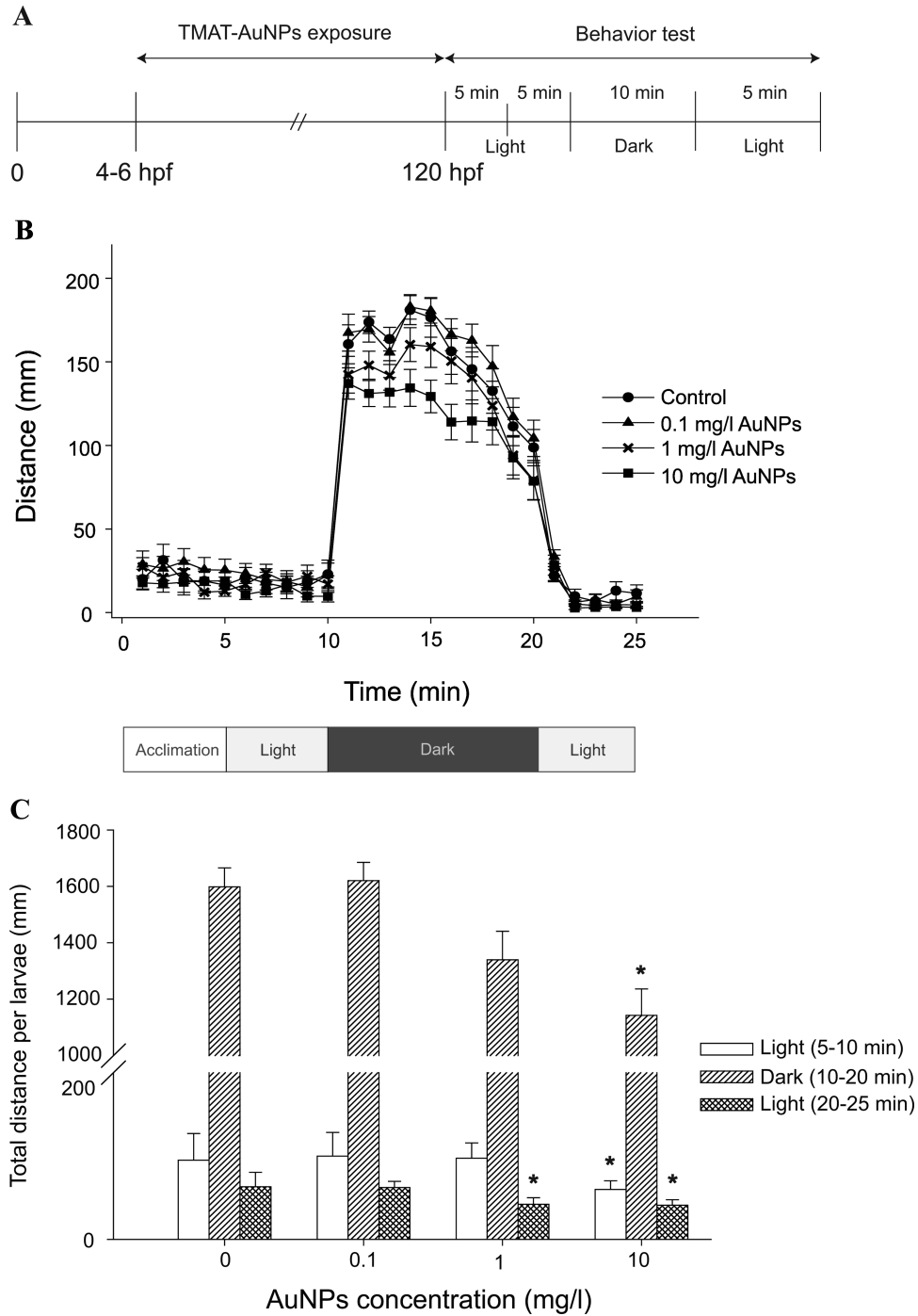


FIG. 6. Behavioral activity of larvae after 120 hpf exposure to TMAT-AuNPs. (A) The overview of behavior test. (B) Distance of larvae exposed to 0.1, 1, and 10 mg/l TMAT-AuNPs in alternating light and dark cycles. (C) Total distance of larvae at each light and dark cycle. Error bars represent as mean \pm SD. Asterisks represent the significant difference to the control by two-way ANOVA, followed by Tukey's *post hoc* test ($*p < 0.05$).

segments (Fig. 7). To quantify the proportion of embryos having axonal growth inhibition, the 11 axons in the trunk region of larvae exposed to 30 mg/l TMAT-AuNPs were threshold scored (Table 2). Axons closer to the caudal fin (i.e., 7–11 axon tract numbers in Table 2) were more likely to exhibit aberrant development than those closer to the head.

DISCUSSION

Spherical AuNPs with 1.3 nm cores were positively functionalized with cationic TMAT ligands covalently bound to their surface. Previous researchers have used cetyltrimethyl-ammoniumbromide (CTAB) (Hauck *et al.*, 2008), polymers (Cho *et al.*,

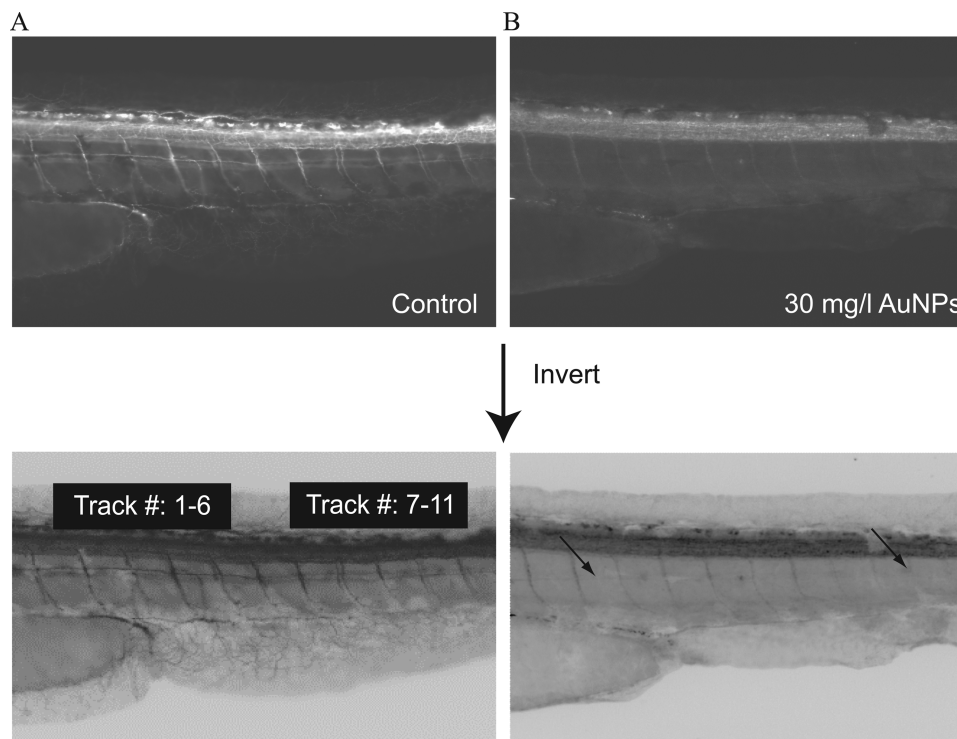


FIG. 7. Antiacetylated tubulin (α -AT) labeling by IHC in unexposed control (A) and the embryo exposed to 30 mg/l TMAT-AuNPs (B) at 48 hpf. Below images are the inverted images from above original images.

TABLE 2
Incidence of Truncation and Absence of Dorsal Axons and Ventral Axons, and Inhibition of Dorsal Segment Innervations

Number of axon tracts	Truncation and absence of		Inhibition of segment innervations (%) ^a
	Dorsal axons (%) ^a	Ventral axons (%) ^a	
1-6	30	20	75
7-11	70	55	

^aThe incidence was observed among embryos exposed to 30 mg/l TMAT-AuNPs from 6 to 48 hpf. Values represent the % of embryos exhibiting the defects ($n = 20$ per group).

2009), and alkyl thiols (Goodman *et al.*, 2004) to make cationic AuNPs. However, CTAB was proven to be toxic itself (Alkilany *et al.*, 2009); polymers drove agglomeration; and functionalization by thiol exchange was inefficient. Among these, agglomeration in the test medium is an often overlooked but insidious characteristic of NPs that, when not controlled, obfuscate the toxicity of NPs (Albanese and Chan, 2011). We demonstrated by several analytical tools that the prepared TMAT-AuNPs were highly stable over the experimental conditions of zebrafish developmental toxicity testing. TMAT-AuNPs were stably dispersed in other biological media used to assess cytotoxicity (Schaeublin *et al.*, 2011). The use of well-dispersed materials is essential to understand the toxicity of AuNPs in each test medium.

Zebrafish embryos exposed to TMAT-AuNPs developed smaller than normal eyes that were pale gray rather than the normal black. Figure 8 illustrates the schematic diagram of time points of each assay conducted to gain an understanding of the underlying causes of aberrant eye development induced by TMAT-AuNPs. Expression changes in genes governing apoptosis were examined. *p53* and *bax* were sharply upregulated, suggesting that the cell death observed in the abnormally developing eye of embryos exposed to TMAT-AuNPs was via *p53* activation of *bax*, followed by mitochondrial outer membrane permeabilization and initiation of a caspase cascade (Levine, 1997; Shi *et al.*, 2008). Among genes specific to eye development, we observed that TMAT-AuNPs induced downregulation of *pax6a*, *pax6b*, *otx2*, and *rx1*. Studies using gene knockdown approaches identified the endogenous functions of *pax6a*, *otx2*, and *rx1* as necessary to cue proper eye morphogenesis of vertebrates (Kleinjan *et al.*, 2008; Martínez-Morales *et al.*, 2004; Vopalensky and Kozmik, 2009). The homeodomain-containing transcription factor *pax* initiates critical steps in the differentiation of lens and photoreceptor cells (Kleinjan *et al.*, 2008; Vopalensky and Kozmik, 2009). *Otx* is responsible for the terminal differentiation of photoreceptor cells, and it cooperates with *pax* and *rx* in regulating rhabdomic and ciliary photoreceptors (Vopalensky and Kozmik, 2009). The observed downregulation of *sox10*, *mitfa*, and *tyrp* was consistent with the reduced eye pigmentation seen in embryos exposed to TMAT-AuNPs. *Sox10* positively regulates the promoter of *mitfa* in neural crest and, in turn, *tyrp* is a downstream target of *mitfa*,

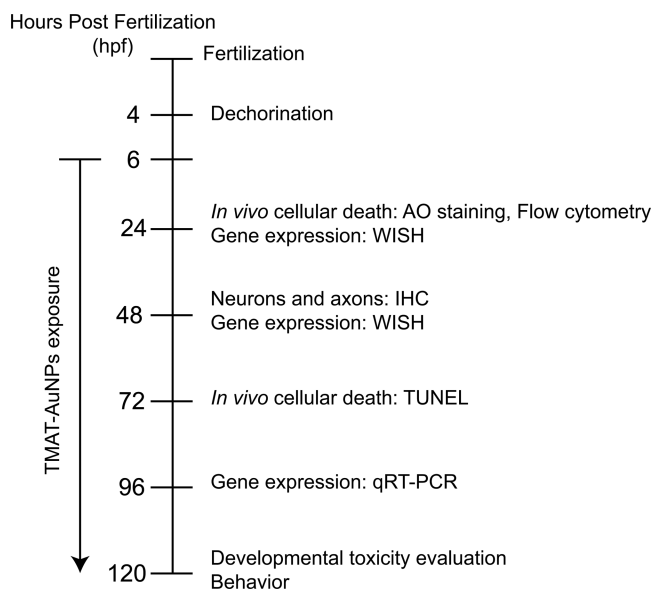


FIG. 8. A schematic diagram for toxicological assays and time points.

a highly conserved transcription factor in melanin production (Martínez-Morales *et al.*, 2004; Silver *et al.*, 2006; Vopalensky and Kozmik, 2009). However, no significant reduction of *mitfa* in embryos exposed to 30 mg/l TMAT-AuNPs as shown in Figure 5C was observed. This variation in gene expression reduction is probably because of the presence of various genes or pathways regulating pigmentation. Among the transcription factors necessary for eye development, *pax* is responsible for pigment cell development, *otx* regulates the pigmentation by binding the promoter of *mitf*, and *rx* is mostly required for phototransduction (Martínez-Morales *et al.*, 2004; Vopalensky and Kozmik, 2009). The results of our gene expression and *in situ* RNA localization queries, though not exhaustive, plausibly suggested that TMAT-AuNPs both spatially and temporally modulated genes consistently with the malformed eye phenotype. Future studies will define the impacts of TMAT-AuNPs specifically in the retinal, photoreceptor, and ganglion cell layers using finer scale histological approaches.

Below the threshold dose for TMAT-AuNP-induced malformations, swimming behavior was significantly affected. At 10 mg/l, TMAT-AuNPs significantly decreased the average swim distance during the dark (most active) period. This is due solely to the likelihood that the eye defects caused visual impairment or that motor neuron development appeared to be damaged. A mutant zebrafish study showed that the disruption of genes mediating visual function resulted in abnormal behavioral output (Muto *et al.*, 2005). To our knowledge, axon developmental damage is a novel effect of TMAT-AuNPs in the developing zebrafish. The disruption of neurons and axons caused by chemical exposure during embryonic development is a critical determinant of neuronal connectivity, which leads to neurobehavioral defects (Sylvain *et al.*, 2010; Yang *et al.*, 2011).

Eye malformations and pigmentation deficits have been reported with growing frequency from test species exposed to silver NPs (AgNPs) because Lee *et al.* (2007) first reported the eyeless phenotype caused by AgNPs in zebrafish. AgNPs have inhibited pigmentation in *Drosophila* (Panacek *et al.*, 2011) and caused hypopigmented gray eyes and microphthalmia in medaka and zebrafish (Asharani *et al.*, 2011; Wu *et al.*, 2010). Oral administration of AgNPs to rats has induced aberrant pigmentation in the liver and intestines (Kim *et al.*, 2010). Negative and neutral AuNPs did not cause eye defects (Harper *et al.*, 2011) possibly because anionic surface functionalization has been generally observed to be less developmentally toxic. A wide range of NPs have been developed as therapeutic agents for the eye. The weight of evidence now indicates the potential for harm to the developing eye and that testing is warranted for a range of well-characterized formulations in pregnant rodent models (Prow, 2010) and in cells (Sanders *et al.*, 2012).

In conclusion, we sought linkage between toxicological outcomes *in vivo* and molecular responses for a deeper understanding of cationic functionalized AuNP toxicology. We demonstrated that cationic functionalized TMAT-AuNPs induced abnormally small and underpigmented eyes in the developing zebrafish. Through molecular and immunohistochemical tools, we linked this phenotypic response to hyperapoptosis in the eye and aberrant expression of transcript factors that regulate eye and pigmentation development. These outcomes were also linked to axon morphology deficits that may have caused the abnormal behavioral activity. For now, our study indicates that TMAT-AuNPs may pose a developmental hazard to mammals.

SUPPLEMENTARY DATA

Supplementary data are available online at <http://toxsci.oxfordjournals.org/>.

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