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# The Molecular Basis of NOD2 Susceptibility Mutations in Crohn's Disease

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### Abstract

Nod2 is a member of the NLR family of proteins that initiate inflammatory responses when exposed to ligands derived from bacterial components that gain access to the intra-cellular milieu. It is thus somewhat paradoxical that polymorphisms in the gene that encode NOD2 (CARD15) that lead to impaired NOD2 function, are susceptibility factors in Crohn's disease, a condition marked by excessive inflammatory responses to normal bacterial flora. In a series of studies conducted in our laboratory to better define NOD2 function and to resolve this paradox we showed first that NOD2 activation by its ligand, muramyl dipeptide (MDP), ordinarily down-regulates responses to TLR stimulation and thus cells lacking NOD2 mount increased responses to such stimulation. This fits with the fact that mice bearing a NOD2 transgene and thus having cells with increased NOD2 function display decreased responses to TLR stimulation and are resistant to experimental colitis induction. In further studies to analyze these negative effecrts we showed that pre-stimulation of cells with NOD2 ligand renders them unresponsive to TLR stimulation because such prestimulation results in the elaboration of IRF4, an inhibitor of TLR-induced inflammatory pathways. Furthermore, administration of MDP to normal mice induces IRF4 and prevents experimental colitis. These studies strongly suggest that NOD2 polymorphisms are associated with Crohn's disease because they lead to a decrease in the negative regulation of TLR responses occuring in the normal gut and thus a pathologic increase in responses to the normal flora. The finding that MDP administration prevents experimental colitis opens the door to the possibility that such treatment might quell Crohn's disease relapses in patients without NOD2 abnormalities.

#### Keywords

Crohn's disease; colitis; NOD2; inflammatory bowel disease; toll-like receptor

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Disclosure

Ivan J. Fuss is a patent holder for the use of anti-IL-12 mAb in the treatment of Crohn's disease. The remaining authors declare no financial interest.

### Introduction

The discovery a half-decade ago that some 15% of patients with Crohn's disease bear a homozygous or compound heterozygous mutation in the gene that encodes NOD2 (the *CARD15* gene) has opened a new and unquestionably important window on the pathogenesis of this disease<sup>1-3</sup>. If we can understand how this mutation creates susceptibility in some patients with Crohn's disease we can establish an invaluable paradigm for the causation of disease in all patients.

NOD2 is an intra-cytoplasmic member of the family of proteins now known as the NLR proteins<sup>1-4</sup>. These proteins are usually composed of a central NOD domain (nucleotide oligomerization domain) flanked on its C-terminal side by a LRR domain (leucine-rich repeat domain) and on its N-terminal side by a CARD or pyrin domain. The LRR domain recognizes microbial components and the CARD or pyrin domain interacts with downstream molecules to bring about effector function. NOD2 has been shown to recognize muramyl dipeptide (MDP), a component of peptidoglycan (PGN), the latter itself a component of the bacterial wall of virtually all bacteria.

Upon interaction with its ligand, MDP, NOD2 undergoes a conformational change that allows it to interact with a downstream adaptor molecule known as RICK (RIP2). RICK, in turn, induces the polyubiquitination of NEMO ( $I\kappa\kappa\gamma$ ) the key scaffolding protein of NF- $\kappa$ B and thus initiates NF- $\kappa$ B activation and its down-stream panoply of inflammatory cytokines, including IL-12<sup>4</sup>. On the one hand, the ability of NOD2 to recognize a more or less ubiquitous bacterial component positions this molecule to mediate an inflammatory response and thus play a role in the induction of Crohn's inflammation. On the other hand, if a mutation in NOD2 results in loss of the ability of NOD2 to activate NF- $\kappa$ B, (as has indeed been shown) then the mutation would be expected to lead to decreased NF- $\kappa$ B activation and decreased inflammation rather **than** increased NF- $\kappa$ B activation and inflammation that in fact characterizes Crohn's disease.

### **NOD2 Regulatory Function**

A possible solution to this conundrum was provided several years ago with our demonstration that antigen-presenting cells (APCs) from NOD2-deficient mice exhibit increased IL-12p70 synthesis when stimulated by the molecule that give rise to MDP, peptidoglycan, a well-established TLR2 ligand. Furthermore, we showed that addition of MDP to cultures of APCs from NOD2-intact mice led to decreased IL-12p70 responses<sup>5</sup>. The logical conclusion from these findings was that NOD2 activation by MDP ordinarily results in down-modulation of responses to TLR2 ligands. Finally, we tied this finding to the NOD2 mutation in Crohn's disease by studies showing that transfection of NOD2-deficient APCs with a wild-type NOD2 plasmid led to correction of the IL-12p70 response whereas transfection of the same cells with a mutated NOD2 plasmid did not lead to such correction.

### A Model of Colitis Caused by NOD2 Deficiency: The "Two-Hit Theory"

To buttress the concept that NOD2 has regulatory function with respect to TLR2 responses and thus defective NOD2 function leads to excessive TLR2 responses, we first determined if

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NOD2-deficient mice manifest increased susceptibility to induction of "ECOVA colitis." "ECOVA colitis" is a colitis caused in mice having T cells that recognize and mount an inflammation-inducing response to OVA peptide upon exposure to recombinant *E.coli* organisms expressing OVA (ECOVA organisms)<sup>6</sup>. Using this model we showed that NOD2-deficient mice but not NOD2-intact mice administered T cells that react to OVA peptide develop a transient but intense IL-12-driven colitis when subjected to intra-rectal administration of ECOVA. In addition, we showed that mice deficient in both NOD2 and TLR2 are no longer susceptible to ECOVA colitis. Overall, the results obtained from the use of the ECOVA model led to the conclusion that NOD2 mutations lead to colitis because they establish a milieu characterized by an over-exuberant "innate" (TLR-2-mediated) IL-12p70 response. However, since inflammation does not occur in this model unless a second defect is present, i.e., the presence of T cells that react to an antigen associated with the intestinal microflora (in the case ovalbumin) the data also suggests that colitis due to a NOD2 abnormality requires the presence of a "second hit" to cause disease.

## Regulation of TLR Responses in Mice that Over-Express Intact and Mutated NOD2

The concept that a major function of NOD2 is a negative regulatory function has not been universally accepted, in part because this concept must be reconciled with other studies showing that NOD2 has positive effects on cytokine/chemokine synthesis in under some activation conditions. To throw fresh light on this controversy we turned to the study of mice expressing increased amounts of NOD2, reasoning that if the negative regulatory function of NOD2 exists, it should be intensified in such mice<sup>7</sup>.

In an initial set of studies we focused on mice that bear a NOD2 transgene under a MHC class II promoter so that within the hematopoietic cell compartment its expression is limited to APCs. We found that APCs from mice bearing the transgene and therefore over-expressing NOD2, but not **litter-mate** control mice, mount greatly reduced IL-12p70 responses when stimulated by peptidoglycan. Furthermore, APC responses of the transgenic mice to Pam<sub>3</sub>CysK<sub>4</sub>, a TLR2 ligand that does not contain MDP, was equivalent to that in litter-mate control mice, but such normal responses were subject to much more intense down-regulation by addition of MDP to the culture than seen in control mice cultures. Taking these findings to an *in vivo* arena we then went on to show that mice bearing a NOD2 transgene were almost totally resistant to the induction of peptidoglycan-induced colitis, an intense and usually fatal colitis occurring in certain mouse strains upon intrarectal instillation of peptidoglycan. Similarly, the transgenic mice developed far less severe TNBS-colitis than their normal litter-mates when subject to intra-rectal instillation of TNBS. Thus, the reduced APC IL-12p70 response to peptidoglycan *in vitro* was translated into an increased resistance to induced colitis wholly or partially driven by peptidoglycan.

In further studies we evaluated mice expressing increased NOD2 as a result of *in vivo* administration of plasmids encapsulated in a viral envelope that ensures excellent entry into cells in live mice. In this case, the NOD2 plasmids delivered in this manner gave rise to either a normal (unmutated NOD2) or a NOD2 bearing a mutation like that in Crohn's disease. The striking finding here was that the administration of the plasmid encoding intact

These studies offer striking confirmation of the original view that holds that NOD2 has negative regulatory function. In addition, they show for the first time that provision of NOD2 can protect a mouse from the development of colitis and may therefore be a novel way of treating Crohn's disease.

### **TLR Responses in the Presence of Increased MDP Stimulation**

The studies described above showing that over-expression of NOD2 in mice could lead to increased NOD2 negative regulation of the TLR2 response and protection from colitis led us to consider the possibility that a similar effect could be achieved by increased stimulation of endogenous NOD2 by increased exposure to MDP. In initial studies to explore this possibility we administered MDP (by IP injection) to mice undergoing induction of DSS-and TNBS-colitis and then monitored the colitis by various parameters<sup>8</sup>. The result was dramatic in that MDP administration prevented both forms of experimental colitis in mice with intact NOD2 and in the case of DSS-colitis had no effect on colitis induced in NOD2 deficient mice. The prevention of colitis was evident both at the clinical and histologic level as well as at the level of inflammatory cytokine production. In addition, the MDP-treated mice manifested a reduced NF-kB response and accompanying that the cells exhibited reduced responses when stimulated *in vitro* with multiple TLR ligands. Thus, for the first time, NOD2 signaling was affected not only the TLR2 as in the NOD2 over-expressions studies, but TLR responses in general.

These *in vivo* studies suggested that NOD2 signaling might be associated with the generation of one or more factors that affect (down-regulate) all TLR responses. We therefore conducted in vitro studies in which we pre-stimulated cells with MDP prior to stimulation with TLR ligands, reasoning that pre-stimulation would allow more time for a putative inhibitory factor to accumulate and make itself evident. Accordingly, we precultured human monocyte-derived dendritic cells with MDP for 24 hours before we cultured the cells with a broad range of TLR ligands<sup>8</sup>. The result was quite clear-cut: pre-incubation with MDP led to lower IL-12p40, IL-10 and IL-6 responses to all of the TLR ligands as compared to the response without pre-incubation. Of interest, in the case of TLR2 stimulation by PGN, such pre-incubation enhanced the inhibiting effect seen with simultaneous incubation with MDP and, even more strikingly, in the case of TLR4 stimulation by LPS or other TLR stimulations such pre-incubation reversed enhanced response seen with simultaneous incubation with MDP. In parallel studies of mouse bone marrow-derived dendritic cells we again observed that pre-incubation with MDP led to across-the board reduction in responses as compared to TLR responses alone; however, in this case no such reduction was observed with cells from mice deficient in NOD2, indicating that the reduced responses were in fact NOD2-specific. Finally, we compared the effect of pre-incubation with MDP to pre-incubation with TLR ligands such as LPS. The latter also led to decreased responses although the pattern and degree of decrease differed somewhat from that obtained with MDP. Thus, the suppressive effect of MDP was part of a general

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effect of TLR and perhaps NLR stimulation and therefore may represent a general mechanism of limiting such responses.

The above *in vitro* studies led the way to a search for the factor that was responsible for the MDP inhibitory effect. Our approach here was based on the fact that MDP pre-incubation of cells caused reduced TLR-induced NF-KB responses, and thus the inhibitory factor was likely to be related to this inflammatory pathway. We therefore performed extensive Western blot analyses of whole cell extracts of cells incubated with MDP to obtain a semiquantitative estimate of the expression of components of the NF-kB pathway in the stimulated cells<sup>8</sup>. This approach proved successful in that we found that a factor known as IRF4 that had previously been shown to inhibit TLR signaling, was elevated in preincubated cells. To verify that this factor was in fact the inhibitory principle in MDP preincubated cells we performed gene-silencing studies in which we determined the effects of MDP pre-incubation in cells transfected with siRNA specific for IRF4 (as well as control siRNA). We found that, indeed, cells transfected with IRF4-specific siRNA had a greatly reduced capacity to be inhibited by MDP pre-incubation. In addition, we showed that a cell line that does not express IRF4 (THP-1 cells) is not subject to inhibition by MDP preincubation unless it is transfected with an IRF4-expressing plasmid. Finally, we performed studies to elucidate the molecular mechanism through which IRF4 inhibits TLR responses after MDP-preincubation. These studies are as yet incomplete but at the moment they indicate that IRF4 forms a complex with the NOD2 signaling molecule RICK and this complex then has a negative impact on NF- $\kappa$ B activation.

At this point we returned to our *in vivo* models of colitis to determine if IRF4 underlies the ability of MDP to inhibit colitis *in vivo*. In studies of TNBS-colitis we administered MDP to mice at the time of colitis induction as before, but in this case treated the mice with siRNA-specific for IRF4 to down-regulate this factor *in vivo*. These studies were facilitated by the delivery of the siRNA encapsulated in a viral envelope (HVJ-E) that allows efficient *in vivo* intra-cellular RNA delivery<sup>8</sup>. We found that the IRF4-siRNA did down-regulate IRF4 expression in the inflamed colon and did prevent the inhibitory effect of MDP administration on TNBS-colitis. In a parallel study, we determined the ability of IRF4 deficient mice to respond to MDP administration, in this case to DSS-colitis induction<sup>8</sup>. We found that while IRF4 deficient mice are normally capable of supporting DSS-colitis, MDP administration does not prevent this colitis (Figure 1). Taken together, these studies provide strong verification of the *in vitro* studies described above demonstrating that MDP inhibition of TLR responses is mediated by IRF4.

In a final series of studies we sought to relate the above findings to the cause of increased susceptibility to Crohn's disease in patients with NOD2 abnormalities. In these somewhat complex studies we reconstituted NOD2 deficient mice with plasmids expressing intact NOD2 or a NOD2 bearing a frameshift mutation found in Crohn's disease using the same technique briefly described above, namely administration of plasmid wrapped in the HVJ-E delivery system. We then induced DSS-colitis in these mice in the presence and absence of MDP administration<sup>8</sup>. We found that intact NOD2 plasmid administration endowed the recipient NOD2 deficient mice resistant to DSS-colitis upon MDP administration, whereas frameshift NOD2 had a greatly reduced ability to confer such protection: these mice were

poorly protected by MDP administration (Figure 2). If we extrapolate this finding to humans with Crohn's disease we can conclude that NOD2 mutations lead to disease susceptibility because they prevent NOD2 from generating the inhibitory factor (IRF4) necessary to shut off undue inflammatory responses in the gastrointestinal tract.

### Summary

The studies above provide a body of evidence that a major outcome of NOD2 signaling is the suppression of responses by TLR ligands and thus NOD2 takes its place as an innate immunity responder system with a builtin homeostatic mechanism. However, given the fact that peptidoglycan and its breakdown product MDP is a major constituent of the normal flora in the gut lumen, this homeostatic mechanism assumes great importance as a mediator of gut inflammatory responses and explains the fact that its breakdown in patients with NOD2 mutations leads to susceptibility to Crohn's disease.

### Abbreviations

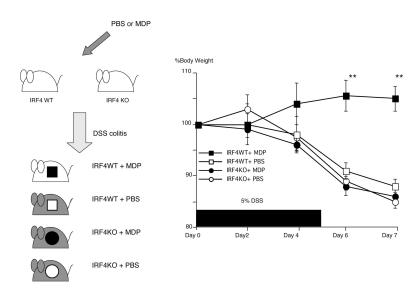
APC	antigen presenting cell
DSS	dextran sodium sulfate
ECOVA	recombinant E. coli that express OVA peptide
HVJ	hemagglutinating virus of Japan
IP	intra-peritoneal
IRF	interferon regulatory factor
LRR	leucine rich repeat
MDP	muramyl dipeptide
NOD	nucleotide-binding oligomerization domain
NLR	nucleotide-binding oligomerization domain-like receptor
TNBS	trinitrobenzene sulphonic acid
TLR	toll-like receptor

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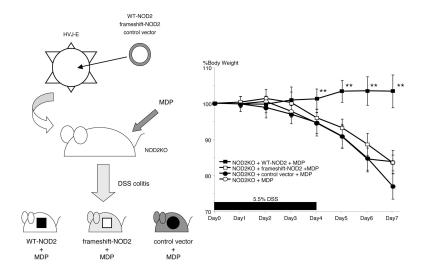
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### Figure 1. IRF4 signaling is necessary for the suppression induced by MDP

IRF4-intact (IRF4<sup>+/+</sup>) and IRF4-deficient (IRF4<sup>-/-</sup>) mice were treated with 5% DSS in the drinking water for six days (day 0-5). On days 0, 1,and 2, mice were administered MDP or PBS (IP). Body weight changes of IRF4<sup>-/-</sup> or IRF<sup>+/+</sup> mice administered MDP or PBS are shown. \*\* *P* <0.01 when compared with PBS-injected IRF4<sup>+/+</sup> mice.

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### Figure 2. MDP treated NOD2-deficient mice reconstituted with intact-NOD2 are protected from colitis

NOD2-deficient (NOD2<sup>-/-</sup>) mice were treated with drinking water containing 5.5% DSS for six days (day 0-5). On days 0, 1, and 2, mice were administered MDP and HVJ-encapsulated plasmid (**control empty vector, intact- or frameshift-NOD2**). Changes of body weight in MDP administered NOD2-deficient mice reconstituted with intact-NOD2, frameshift-NOD2 or control empty vector are shown. Body weight changes of MDP administered NOD2-deficient mice given DSS are shown as a control. \*\* P < 0.01 intact-NOD2 reconstituted mice compared with control empty vector reconstituted mice.