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# Notch signaling pathway targeted therapy suppresses tumor progression and metastatic spread in pancreatic cancer

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### Abstract

Pancreatic ductal adenocarcinoma (PDA) remains a lethal human malignancy with historically limited success in treatment. The role of aberrant Notch signaling, which requires the constitutive activation of  $\gamma$ -secretase, in the initiation and progression of PDA is well defined and inhibitors of this pathway are currently in clinical trials. Here we investigated the *in vivo* therapeutic effect of PF-03084014, a selective  $\gamma$ -secretase inhibitor, alone and in combination with gemcitabine in pancreatic cancer xenografts. PF-03084014 treatment inhibited the cleavage of nuclear Notch 1 intracellular domain and Notch targets Hes-1 and Hey-1. Gemcitabine treatment showed good response but not capable of inducing tumor regressions and targeting the tumor-resident cancer stem cells (CD24<sup>+</sup>CD44<sup>+</sup> and ALDH<sup>+</sup> tumor cells). A combination of PF-03084014 and gemcitabine treatment resulted tumor regression in 3 of 4 subcutaneously implanted xenograft models. PF-03084014, and in combination with gemcitabine reduced putative cancer stem cells, indicating that PF-03084014 target the especially dangerous and resilient cancer stem cells within pancreatic tumors. Tumor re-growth curves plotted after drug treatments demonstrated that the effect of the combination therapy was sustainable than that of gemcitabine. Notably, in a highly aggressive orthotopic model, PF-03084014 and gemcitabine combination was effective in inducing apoptosis, inhibition of tumor cell proliferation and angiogenesis, resulting in the attenuation of primary tumor growth as well as controlling metastatic dissemination, compared to gemcitabine treatment. In summary, our preclinical data suggest that PF-03084014 has greater anti-tumor activity in combination with gemcitabine in PDA and provides rationale for the further investigation of this combination in PDA.

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Conflict of Interest Statement

None

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Notch; gamma-secretase inhibitor; PF-03084014; pancreatic cancer

#### 1. Introduction

Pancreatic ductal adenocarcinoma (PDA) affects more than quarter a million people worldwide and most of the patients die within the first year of diagnosis [1]. This poor prognosis is largely due to intense therapeutic resistance and tumor recurrence after surgical removal [2]. Although, novel therapeutic approaches are actively sought to improve outcomes of patients with PDA, there is no therapy that can offer significant survival advantage to patients with this deadly disease [3]. Therefore, there is an urgent need to develop novel and effective treatment strategies that can be rapidly introduced into the clinic.

Activating mutations in the *KRAS* proto-oncogene occur almost ubiquitously in PDA and in its putative precursor lesions, pancreatic intraepithelial neoplasia (PanIN) [4]. Notch-1 is a key downstream mediator of Kras and sustained Notch1 signaling was shown to be essential in maintaining the transformed phenotype of Ras mutant cells. Moreover, Notch signaling has been reported to synergize with Kras, promoting PanIN initiation and progression [5]. Recent studies have suggested that the ability of Kras to transform cells also depends on cooperation with the Notch signaling pathway [6]. To date, attempts to develop Kras targeted agents to specifically kill cancer cells have been disappointing [7]. Notch signaling inhibition in the setting of PDA treatment would be particularly attractive because this pathway does not appear to play a major role in the normal adult pancreas homeostasis. Notch signaling is implicated in tumor angiogenesis and metastasis [8]. Indeed, downregulation of Notch receptors using specific siRNA or treatment with  $\gamma$ -secretase inhibitor is correlated with reduced proliferative rates, increased apoptosis, decreased anchoragedependent growth and deceased invasion properties of pancreatic cancer cells [9; 10]. Hypoxic conditions, which is prevalent in PDA, has been known to stimulate the Notch signaling pathway, and it has been recently shown that pancreatic cancer cells can be sensitized by Notch inhibition [11].

Proteolytic release of the Notch intracellular domain (NICD) by  $\gamma$ -secretase plays a key role in Notch-dependent nuclear signaling. NICD translocates to the nucleus where it activates the transcription of Notch target genes. The strategy of blocking Notch signaling using  $\gamma$ secretase inhibition has been reported to be effective in targeting tumor cells, cancer stem cells (CSCs), and tumor endothelial cells [12; 13]. PF-03084014 is a non-competitive, and selective small molecule inhibitor of  $\gamma$ -secretase developed by Pfizer and is currently in phase I/II clinical trials against different malignancies [14]. PF-03084014 binds to  $\gamma$ secretase, blocking proteolytic activation of Notch receptors; as a consequence, Notch signaling is inhibited, leading to apoptosis induction in tumor cells [15; 16].

Here, we investigated the *in vivo* efficacy of PF-03084014 alone and in combination with GEM in patient derived pancreatic cancer xenografts. Mice bearing engrafted tumors were treated with vehicle, PF-03084014, GEM or a combination of both agents. By impairing Notch signaling, a combination of PF-03084014 and GEM effectively suppressed tumor cell proliferation, induces apoptosis, curb CSCs, inhibited tumor growth, delayed tumor recurrence and prevents metastatic spread in clinically relevant models established from the tumors resected from PDA patients. These results suggest that GEM plus  $\gamma$ -secretase inhibitor combination may be a promising strategy worth to investigate further in pancreatic cancer.

### 2. Materials and methods

### 2.1. Drugs

PF-03084014 ((S)-s-((S)-5,7-difluoro-1,2,3,4,--tetrahydro-naphthalen-3-ylamino)-N-(1-(2-methyl-1-1(neopentylamino) propan-2-yl)-1H-imidazol-4-yl) pentanamide) was synthesized at Pfizer, Groton, CT. GEM (Eli Lilly) was purchased from the Johns Hopkins Hospital pharmacy. Chemical structures of PF-03084014 and GEM were reported elsewhere [17; 18].

## 2.2. Efficacy of PF-03084014, GEM and the combination of PF-03084014 and GEM in subcutaneously (s.c) implanted PDA xenografts

All experiments using mice were approved by the Johns Hopkins University Animal Care and Use Committee. Mice were maintained in accordance with the guidelines of the Association for Assessment and Accreditation of Laboratory Animal Care International. Pancreatic cancer xenografts from the Johns Hopkins PancXenoBank collection were used for the study. These xenografts were generated from freshly resected pancreatic tumor specimens from patients at the time of surgery, and are propagated subcutaneously (s.c.) on the flanks of athymic mice. We used 4 different pancreatic cancer xenografts (Panc215, Panc266, Panc354 and Panc265) for the experiments. Freshly collected tumors from these xenografts were cut into cubes of 1-2 mm<sup>3</sup> pieces, and the pieces were s.c implanted on both flanks of 6-week-old female *nu/nu* athymic mice (Harlan). When cohorts of tumors reached ~200 mm<sup>3</sup>, mice (5 mice/group) were randomly assigned to control and three treatment groups, with 8-10 tumors in each arm: 1) control; 2) PF-03084014 (150 mg/kg, twice daily, p.o, 7-days-on/7-days-off schedule for 4 weeks); 3) GEM (25 mg/kg, i.p, twice a week for 4 weeks) and 4) GEM + PF-03084014 in the above mentioned dose and frequency for 4 weeks. PF-03084014 and GEM dose were selected based on previously published reports [14; 19]. Tumors were measured twice per week using a digital caliper allowing to discriminate size modifications >0.1 mm. Individual tumor volumes were calculated using the formula: tumor volume  $V = a \times b^2/2$ , a being the largest dimension of the tumor, b the smallest. In order to check the effect of the treatment on tumor re-growth, animals from Panc266 was kept for another 2 months post 4 weeks drug treatment and tumor size was monitored.

#### 2.3. Efficacy of PF-03084014, GEM and the combination of PF-03084014 with GEM in orthotopically implanted PDA xenograft model

Panc265 xenograft, a highly aggressive tumor in orthotopic setting, was selected for the study. Briefly, s.c. grown tumors were harvested and cut into cubes of  $1-2 \text{ mm}^3$ . Mice were anesthetized using volatile gas anesthesia. The abdomen was sterilized and opened via a subcostal left incision of 1 cm. After visualization of the spleen and adherent pancreas, a small pocket was prepared inside the pancreas using microscissors, into which one piece of the tumor was implanted. Orthotopic tumor growth was accessed by ultrasound. When tumors reached a volume of ~200 mm<sup>3</sup>, mice were randomized (9–10 mice in each group) into 1) control; 2) PF-03084014 (2 cycles of 150 mg/kg, twice daily, p.o, 7-days-on/7-daysoff schedule); 3) GEM (25 mg/kg, i.p., twice a week for 3 weeks) and 4) GEM + PF-03084014 in the above mentioned dose and frequency for 3 weeks. Animal body weights were taken twice weekly. On day 21, mice were euthanized; spleen, liver, kidneys, lungs and lymph nodes were inspected using a 10x lens for gross visible metastases and the tissues were preserved in 10% formalin solution. In addition to macroscopic inspection, suspected lesions and random histological sections of the liver, spleen, kidneys, lungs and lymph nodes were examined microscopically for the presence or absence of micro-metastases in each animal.

# 2.4. Measurement putative pancreatic cancer stem cells (CD44<sup>+</sup>CD24<sup>+</sup> and ALDH<sup>+</sup> cancer cells)

In PDA, both CD44<sup>+</sup>CD24<sup>+</sup> and ALDH<sup>+</sup> tumor cells display functional properties of CSCs [20; 21]. Upon implantation, these phenotypically distinct cells were equally tumorigenic in NOD/SCID and NSG mice [20; 22]. We assessed the presence of CSCs in the four individual patient-derived xenografts used in the present study. Fresh tumors (s.c. grown) harvested from untreated mice of Panc 215, Panc265, Panc354 and Panc265 xenografts were used for the study. CD44<sup>+</sup>CD24<sup>+</sup> and ALDH<sup>+</sup> cancer cells were determined by FACS analysis as previously reported procedures [23]. Briefly, single-cell suspensions were generated from the fresh tumors by mincing tumors using sterile razors, followed by incubation in dispase and collagenase type IV at 37°C for 2 hours with agitation. The cell suspension was filtered using a 70-µm filter (BD Biosciences) and further purified by density centrifugation using Ficoll-Paque Plus (GE Healthcare). Both mouse-derived cells and non-viable cells were excluded based on anti-mouse PerCP and propidium iodide (PI) staining. Viable tumor cells were washed and stained with ALDEFLUOR reagent and antibodies against human CD44, CD24 and analyzed using a FACSAria flow cytometer (BD Biosciences), as previously described [23]. Gates were created on the basis of cellular staining with isotype control antibodies.

Treatment effect of PF-03084014 on CD44+CD24+ in Panc215 xenograft was assed by FACS analysis. Tumor samples harvested after 4 weeks of treatments were used for this study. ALDH expression was analyzed by immuno-histochemistry as previously reported procedure [20]. Paraffin embedded tumor sections prepared from Panc215 treatment arms were used for the ALDH immuno-histochemical analysis. Two separate tumors each from treatment arms were analyzed. Slides were deparaffinized and rehydrated by standard procedures. Antigen retrieval was performed by incubating the slides in boiling sodium citrate buffer (10 mM, pH 6.0) for 30 minutes. Endogenous peroxidases were quenched by incubating the slides in 3% hydrogen peroxide in methanol for 10 minutes at room temperature. The slides were incubated with a mouse monoclonal antibody against human ALDH1 (clone 44; BD Biosciences) diluted 1:50 at room temperature for 1 hour followed by antibody detection with the use of a Vectastain Elite developer kit (Vector Labs) and 3,3'-diamniobenzidine, as recommended by the manufacturer. Positive staining was defined as intense 3,3'-diamniobenzidine signal in tumor cells. For quantitation, average number of cells stained positive for ALDH was counted in 5 random fields each from the tumors of 2 separate animals under at 40x magnification.

### 2.5. Protein extraction and Immuno-blotting

In order to investigate the pharmacodynamic effect of PF-03084014, we conducted immunobloting using post-treatment primary tumors harvested from orthotopically implanted Panc265 model. Protein extracts were prepared from tumors in cell lysis buffer as previously described [24]. Protein lysates (25  $\mu$ g) were boiled in Laemmli sample buffer, resolved by electrophoresis on 4% to 12% Bis-Tris precast gels (Bio-Rad Laboratories), and transferred to Immobilon-P membranes (Millipore). Membranes were blocked at room temperature with 5% nonfat milk (Pierce) for 1 hour. Membranes were incubated overnight with primary antibodies against rabbit N1ICD (Val1744), p MEK1/2 Ser217/221, total MEK, c-PARP (all from Cell Signaling Technology, Inc.), Hes-1 and Hey-1 (both from Abcam). Membranes were probed with secondary HRP-conjugated antibody (GE Healthcare) and bound antibodies were detected by SuperSignal West Pico/Femto chemiluminescent substrate (Thermo Scientific). Equal loading was verified with  $\beta$ -actin antibody.

# 2.6. Hematoxylin and eosin (H&E), Terminal deoxynucleotidyl transferase-mediated dUTP nick end labeling (TUNEL) and Ki-67 staining

Excised tumors or organs from the vehicle and treatment groups were fixed in 10% neutral buffered formalin and processed into paraffin blocks. Tumors harvested from three mice each per treatment group were analyzed. Sections were deparaffinized in xylene and rehydrated in graded-alcohol washes. H&E staining was performed using standard procedure. Ki-67 staining was performed using an anti- MIB-1 (Ki-67) antibody (Ventana Medical Systems; clone K2, 1:100 dilutions) as previously described [18]. Terminal deoxynucleotidyl transferase-mediated dUTP nick end labeling (TUNEL) staining was done by a commercial apoptosis detection kit (DeadEndTM Fluorometric TUNEL System, Promega), according to the recommendations of the manufacturer [18]. Sections were examined microscopically and representative field were photographed under 10 or 40x magnifications. Average number of cells stained positive for Ki-67 and TUNEL were counted in 5 random fields each from the tumors of 3 separate animals under at 40x magnification.

#### 2.7. N1ICD and CD-31 Immuno-histochemistry

Immuno-histochemical staining of nuclear anti-activated Notch1 (Abcam; ab8925, 1:250 dilutions) and anti-murine CD-31 (Dianova GmbH, Germany; Clone SZ31, 1:30 dilutions) were conducted on formalin-fixed paraffin sections as per the manufactures protocol and previously published procedures [18; 25]. Tumors harvested from three mice each per treatment group of Panc215 and Panc354 were analyzed. All sections were treated with horseradish peroxidase (HRP)-conjugate for one hour and then developed with 3,3<sup>'</sup> diaminobenzidine. Finally, the slides were counterstained with haematoxylin and mounted. Sections were examined in Nikon Eclipse microscope for CD-31 positive cells and N1ICD stained nuclei. Representative fields were photographed under 20 or 40x magnifications.

#### 2.8. Statistical analysis

The statistical significance between groups was analyzed by one-way ANOVA and Bonferroni multiple comparison tests using GraphPad Prism. The difference between experimental groups was considered significant at *P* value <0.05. Line graphs show mean  $\pm$  SEM.

### 3. Results

# 3.1. Combination treatment of PF-03084014 and GEM inhibits the tumor growth in s.c implanted PDA xenografts

When tested as a single agent, PF-03084014 monotherapy failed to impart antitumor activity in pancreatic cancer xenografts. Tumor growth curves of Panc215, Panc265, Panc354 and Panc266 were not statistically significant when compared to vehicle treated animals (Fig. 1). Although, GEM treatment alone caused significant tumor growth inhibition, the combined treatment of GEM with PF-03084014 produced further significant inhibition of tumor growth when compared to GEM in 3 of 4 xenografts (Fig. 1). Additionally, while GEM treatment failed to induce tumor regression, the combination treatment resulted in tumor regression in 3 of 4 xenografts (Fig. 1). In Panc266 xenografts, which were maintained without therapy for follow-up, tumor growth resumed within 2 weeks after the cessation of treatment, indicating that GEM treatment imparts only transient growth inhibitory effects (Fig. 1) as observed in the clinic. In contrast, the GEM with PF-03084014 combination significantly suppressed the tumor growth and the effect was maintained till 92 days, the last day of follow-up (Fig. 1). This suggests that the antitumor effects were sustained after dosing was completed and indicates that combining PF-03084014 to GEM might be efficacious in preventing tumor recurrence.

#### 3.2. PF-03084014 targets putative cancer stem cells

We assessed the baseline CD44<sup>+</sup>CD24<sup>+</sup> and ALDH<sup>+</sup> tumor cells in the four individual patient-derived xenografts used in this study by flow cytometry. In agreement with our previous data in seven individual patient-derived xenografts [23], the results of the present study showed that percentage of CSCs varied widely from xenografts to xenografts (Table 1).

We examined the treatment effect of PF-03084014 on CD44<sup>+</sup>CD24<sup>+</sup> and ALDH<sup>+</sup> tumor cells, Flow cytometry of tumor cells sorted from Panc215 (4 weeks treatment) showed that GEM treatment was not capable of diminishing the CD44<sup>+</sup>CD24<sup>+</sup> tumor cells and in fact resulted in the enrichment of CD44<sup>+</sup>CD24<sup>+</sup> tumor cells compared to vehicle treated mice (Fig. 2A). However, PF-03084014 and combination of GEM with PF-03084014 resulted in a 3.72 and 3.04-fold decrease in CD24<sup>+</sup>CD44<sup>+</sup> tumor cells compared with GEM treatment, respectively (Fig. 2A). We have recently reported that ALDH expression in PDA was associated with worse overall survival in patients [20]. Both PF-03084014 and combination of PF-03084014 and GEM are effective in reducing ALDH<sup>+</sup> tumor cells (Fig. 2B). PF-03084014 alone, and combination of PF-03084014 and GEM decreased in ALDH<sup>+</sup> tumor cells compared with GEM treatment (3.4 and 3.7-fold, respectively).

# 3.3. Efficacy of PF-03084014, GEM and combination of GEM and PF-03084014 in highly aggressive orthotopic PDA model

We next examined the effects of PF-03084014 in a spontaneously metastatic orthotopic xenografts model. We used fresh tumors harvested from Panc265 which were surgically implanted into the pancreas of athymic nude mice. In this model absence of treatment leads to metastatic spread of pancreatic cancels to visceral organs and lymph nodes (Fig. 2 and Table 2). Body weights at the end of the experiments were not significantly different compared to vehicle treated animals (Fig. 3A). PF-03084014 alone (p<0.05), GEM (p<0.01) and the combination of PF-03084014 and GEM treatment (p<0.01) significantly reduced the primary tumor volume compared to the tumors in the vehicle treated mice (Fig. 3B). However, combination of PF-03084014 and GEM treatment significantly reduced the primary tumor volume compared to GEM treatment alone (p<0.05; Fig. 3B).

# 3.4. Combination of PF-03084014 and GEM prevented the development of metastasis in the lungs and liver

In order to examine the effect of treatment on metastasis formation in Panc265 xenografts, we carefully examined the organs using 10x lens and H&E stained sections microscopically. Both vehicle and GEM treated animals showed metastatic spread in spleen, liver, lungs, kidneys and lymph nodes (Table 2). While 20% of mice in the GEM treatment showed metastasis in the lungs and liver, combination of PF-03084014 and GEM effectively prevented the development of metastasis in the lungs and liver (Fig. 4 and Table 2), which are the common metastatic site of PDA patients. While 100% mice in the GEM treatment arm developed splenic metastasis (Table 2).

### 3.5. Effect of therapy on Notch targets

We investigated the effect of treatment on Notch targets, cell proliferation, apoptosis, tumor angiogenesis and intratumoral necrosis using post-treatment tumors harvested from xenografts. Formation of N1ICD protein following Notch receptor cleavage by  $\gamma$ -secretase is a crucial step in Notch signaling. N1ICD moves to the nucleus, becoming part of a larger transcriptional complex regulating the expression of well-known Notch-regulated transcription factors *Hes-1* and *Hey-1*. Immunohistochemical staining of tumors from

Panc215 and Panc354 clearly demonstrated that PF-03084014 is highly effective in blocking the cleavage of N1ICD (Fig. 5A).

Westernblot analysis of lysates from Panc265 orthotopic experiment showed that PF-03084014 could suppress the clevage of N1ICD as well as the protein expression of Notch targets Hes-1 and Hey-1 (Fig. 5B). Kras mediates its effect in part through activation of downstream pathways such as MEK [26]. PF-03084014 treatment could inhibit the phosphorylation of MEK. Our results are in agreement with a recent report that  $\gamma$ -secretase inhibitor treatment resulted in inhibition of MEK signaling in cancer cells [27].

# 3.6. Effect of therapy on cell proliferation, apoptosis, tumor angiogenesis and intratumoral necrosis

When compared to vehicle treated tumors, apoptosis induction was not evident in PF-03084014 alone treatment (Fig. 6). However, the treatment was effective in suppressing tumor cell proliferation compared to the vehicle treated tumors (Fig. 6). Combination of PF-03084014 and GEM was significantly effective in suppressing tumor cell proliferation and apoptosis induction compared to GEM (Fig. 6).

It has been reported that tumor endothelial cells use Notch signaling in building the tumor vasculature [8]. Tumor sections were stained for CD-31, an endothelial cell-specific surface marker and the vessel areas were counted. Treatment with PF-03084014 and PF-03084014 plus GEM combination showed significant reduction in endothelial-specific antigen CD-31, when compared with vehicle-treated tumors of Panc215 (Fig. 7). In both Panc215 and Panc354, the combination treatment could greatly reduce the vessel density compared to GEM treatment (p<0.001; Fig. 7). Although this class of inhibitors has been shown to induce intratumoral necrosis [11; 18], we failed to observe any preferential exacerbation of this phenomenon with PF-03084014 compared to GEM alone in xenografts used for the study (Only Panc354 is shown, Suppl. Fig. 1).

#### 4. Discussion

PDA is a devastating disease with an extremely poor life expectancy and no effective treatment [3]. GEM is widely used as first-line chemotherapy for patients with PDA, but the treatment offers modest advantage in survival [28]. Our current study showed that a combination of PF-03084014 and GEM is highly effective in producing durable tumor regression compared to GEM in pancreatic cancer xenografts (Fig. 1). The efficacy of the combination therapy was sustained in the absence of further dosing and was not associated with body weight loss in mice (Fig 1 and 3). PF-03084014 alone and in combination with GEM was effective in reducing putative CSCs (Fig. 2). Further, in a highly aggressive orthotopic model, PF-03084014 and GEM combination was significantly effective in controlling the primary tumor growth as well as preventing metastatic dissemination to the lungs and liver compared to GEM treatment (Fig. 3 and 5). A simplified schematic diagram illustrating the mechanisms responsible for the enhanced anti-tumor and anti-metastatic properties of PF-03084014 plus GEM combination in pancreatic cancer xenografts is shown in Fig. 8.

Notch promotes cell survival and treatment resistance in numerous human malignancies [29; 30], while pharmacological inhibition of Notch signaling suppresses tumor growth [31]. Furthermore, in many tumor models including orthotopic and genetically modified models, Notch inhibition demonstrates combinatorial efficacy with standard cytotoxic agents [11; 32; 33].

The strong evidence linking aberrant Notch signaling to tumorigenesis and the maintenance of CSCs makes Notch an appealing therapeutic target [34]. Notch signaling is known to be a survival pathway for CSCs and is the most intensively studied putative therapeutic targets in CSC. Notch may be a particularly powerful target for the tumor CSC populations, which is resistant to standard treatments such as chemotherapy and radiation, but has been demonstrated to be sensitive to inhibition of stem cell maintenance pathways such as Notch [35]. Notch pathway components are enriched in PDA patients resistant to GEM treatment and other molecular targeted agents [36; 37]. Recent reports indicated that GEM treatment enriches the pancreatic CSC population. Challenging the pancreatic cancer cell lines to increasing concentrations of GEM resulted in epithelial-mesenchymal transition (EMT) and increased expression of the stem cell markers CD24, CD44 and epithelial-specific antigen [38]. It has been recently demonstrated that acquisition of EMT phenotype of GEM-resistant pancreatic cancer cells is linked with activation of the Notch signaling pathway, suggesting that the blockade of the Notch signaling by novel strategies could be a potential targeted therapeutic approach for overcoming chemo-resistance in PDA [39].

Recent studies underscore the emergence of  $\gamma$ -secretase class of inhibitors as tractable inhibitors of Notch signaling in solid tumors [14–18]. For example, the  $\gamma$ -secretase inhibitor PF-03084014 used in the current study was also shown to significantly enhance the antitumor activity of docetaxel in multiple xenograft models of triple-negative breast cancer [40]. Similarly, Arcaroli and colleagues recently reported that a combination of PF-03084014 and irinotecan reduced CSCs and slowed tumor recurrence in patient-derived colorectal adenocarcinoma model [41]. Another  $\gamma$ -secretase inhibitor, MRK-003, showed preferential efficacy in pancreatic cancer cell lines when tested in a panel of >400 human solid tumor-derived cell lines [33]. MRK-003 treatment completely inhibited tumor development in a genetically engineered model of invasive pancreatic cancer. Moreover, in the same model, a combination of MRK-003 and GEM resulted in the killing of tumor endothelial cells, causing extensive hypoxic necrosis and prolonging survival [11]. Our recent finding confirms that MRK-003 down-regulates Notch target genes resulting in the inhibition of tumor growth, induction of apoptosis and intra-tumoral necrosis as well as potentiation of GEM sensitivity in patient-derived PDA xenografts [18]. In addition, MRK-003 exposure to pancreatic cancer cell lines leads to the reduction of CSCs [18]. A recent clinical investigation of MK-0752 (clinical version of MK-003) demonstrated good tolerability, evidence of Notch pathway inhibition and clinical benefit in adult patients with advanced solid tumors [42]. Recent reports demonstrated that in vitro treatment with PF-03084014 resulted in G0-G1 cell cycle arrest, apoptosis and strong activity against tumor cell migration and endothelial cell tube formation [16; 17]. A recent clinical investigation of PF-03084014 revealed that the drug was well tolerated in patients with advanced solid tumors, with early evidence of clinical activity and favorable PK characteristics [43].

We have previously reported that GEM treatment was initially effective in blocking PDA progression, but the tumors re-grew following cessation of GEM treatment [23; 44]. Analysis of post-GEM treated tumors revealed that GEM treatment was not capable of diminishing putative CSCs [23]. These findings in clinically relevant human pancreatic cancer xenograft model, which was established from freshly resected tumors from PDA patients, may explain the reason for the high frequency of tumor recurrence in PDA patients administered with GEM as a first-line therapy or in clinical trials that use GEM as an anchor drug together with other agents. Improvement in the clinical outcome of PDA patients is likely to be achieved by using specific agents capable of controlling CSCs.

Our results showed that upon on completion of treatment on day 28, PF-03084014 alone treatment failed to show significant anti-tumor effect when compared to vehicle treated mice in Panc215, Panc354 and Panc265 s.c. implanted models (Fig 1). However, the treatment

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was significantly effective in delaying tumor growth in Panc266 s.c implanted model as well as in Panc265 orthotopic models. While PF-03084014 alone was equally or more effective in suppressing CSCs as compared to the PF-03084014 plus GEM combination in the Panc215 xenograft model, the treatment showed insignificant anti-tumor effect compared to vehicle treated mice when the experiment was terminated on day 28 (Fig. 2).

We have recently reported that CSCs isolated from patient-derived pancreatic cancer xenografts have been found to confer greater tumor-forming capability when transplanted into mice than other types of cancer cells from the same tumor [22]. CSCs are particularly aggressive type of tumor cells, resistant to conventional cancer therapy, and thus implicated an underlying cause of tumor recurrence and metastasis. Therapies that target rapidly dividing non-stem cell bulk populations (NSCs) in the tumor may produce rapid tumor shrinkage, but they are unlikely to produce long-term remissions if the CSCs responsible for maintaining the disease are also not targeted [45; 46]. It is postulated that by developing therapeutics that target CSCs can address the problem of cancer recurrence and metastasis. It is important to note that agents that eliminate the CSCs within a tumor may bring little or no immediate reduction in tumor size. However, tumor growth is not sustainable without CSCs to replenish the bulk population and the tumor will eventually degenerate as bulk tumor cells are depleted. Therefore it is paramount importance to develop targeted therapies, which also eliminate CSC, thereby destroying the disease's sole source for new tumor cells.

The Notch pathway has tremendous potential as a new target in cancer therapy [47]. One major side effect that emerged from the clinical trials of a first-line Notch-inhibiting drug was gastrointestinal toxicity [48]. Intermittent dosing schedules of Notch inhibitor as well as corticosteroid administration has been recently reported to largely spare the gut while maintaining anti-tumor efficacy [49]. Early clinical trial reports indicated manageable toxicity and preliminary evidence of efficacy in breast cancer patients undergoing a combination of  $\gamma$ -secretase inhibitor with chemotherapy [50]. Moreover, a decrease in CSCs was observed in tumors of patients undergoing serial biopsies [50]. The intermittent dosing (7 days on/7 days off) of PF-03084014 used for the present study has been recently reported to reduce its gastrointestinal toxicity while efficacious in tumor growth inhibition [14]. We examined distal ileum sections from mice treated with PF-03084014, GEM and GEM + PF-03084014. Our results reveal that there is no evidence of goblet cell metaplasia upon PF-03084014 treatment (Only Panc215 is shown, Suppl. Fig 1B).

In conclusion, the preclinical findings presented here demonstrate that GEM antitumor activity was significantly enhanced when combined with  $\gamma$ -secretase inhibitor, PF-03084014. Our preclinical results justify further evaluation  $\gamma$ -secretase inhibitor and GEM combination in PDA.

### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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#### Figure 1.

A combination of PF-03084014 and gemcitabine (GEM) produces durable tumor growth inhibition in subcutaneously implanted pancreatic cancer xenografts. Tumors from four individual patient-derived pancreatic cancer xenografts were implanted in athymic mice. Animals with established tumors were dosed with vehicle, PF-03084014, GEM or a combination of GEM with PF-03084014 as mentioned in the materials and methods. Tumor size was evaluated twice per week by caliper measurements. After 4 weeks drug treatment, Panc266 xenograft animals were maintained without further therapy. Tumor re-growth curves plotted after drug treatments demonstrate that the effect of the combination therapy was sustainable than that of GEM. Points, mean of tumor volume; bars, SEM (N = 8–10 tumors; \*p<0.05, \*\*p<0.01 compared to Control; #p<0.05, #p<0.01 compared to GEM).

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#### Figure 2.

PF-03084014 monotherapy and combination of PF-03084014 with GEM is highly effective in reducing putative pancreatic cancer stem cells. A) Flow cytometry of tumor cells sorted from Panc215 (after 4 weeks of treatment). GEM treatment was not capable of diminishing the CSC pool as compared to the vehicle treated mice. However, PF-03084014 alone, and combination of PF-03084014 with GEM decreased in CD24<sup>+</sup>CD44<sup>+</sup> tumor cells compared with GEM treatment (3.72 and 3.04-fold, respectively). B) Representative photomicrographs of ALDH staining, indicating that PF-03084014 and combination of PF-03084014 with GEM is highly effective in reducing ALDH<sup>+</sup> cells. Both ALDH<sup>+</sup> and ALDH<sup>-</sup> tumor cells were counted in 5 random high power fields of 2 tumors each from treatment arms and expressed as % ALDH<sup>+</sup> cells. Arrowheads point towards ALDH<sup>+</sup> cells. PF-03084014 alone, and combination of PF-03084014 with GEM decreased in ALDH<sup>+</sup> tumor cells compared with GEM treatment (3.4 and 3.7-fold, respectively). Numbers inside the figures shows the average (%) of positively stained cells.



### Figure 3.

Combination of PF-03084014 with GEM blocks primary tumor progression in orthotopic pancreatic cancer xenograft (Panc265) model. A) Body weights of mice remained relatively stable during treatment period showing that therapies were well-tolerated and did not alter body weight compared to vehicle treated animals. B) Representative photomicrographs of primary tumors resected from control, PF-03084014, GEM and GEM plus PF-03084014 treated mice (upper panel). Primary tumor volumes of mice demonstrating that GEM + PF-03084014 combination is remarkably effective in suppressing primary tumor growth (lower panel). Photographs of excised primary tumors from representative mouse are shown in inset. Points, mean of tumor volume, bars SEM, N = 9–10 mice/group. \*p<0.05 and \*\*p<0.01 vs. control; # p<0.05 vs. GEM.



### Figure 4.

GEM + PF-03084014 combination therapy prevents the metastasis in liver and lungs. Representative H&E stained sections showing that GEM + PF-03084014 combination therapy prevents the metastasis in liver and lungs (10x magnifications). Arrowheads point towards metastatic area.





#### Figure 5.

PF-03084014 treatment blocks the activation of N1ICD and inhibits the expression of Notch targets. A) Representative photo micrographs of Panc215 and Panc354 showing that PF-03084014 and combination of GEM plus PF-03084014 strongly inhibit the nuclear staining of N1ICD. B) Immunobloting of lysates from Panc265 orthotopic experiment demonstrating that PF-03084014 and combination of GEM plus PF-03084014 strongly inhibit N1ICD and the expression of Notch targets Hes-1 and Hey-1. Cleaved-PARP (c-PARP) levels were elevated in GEM and GEM plus PF-03084014 treatment, indicating higher apoptosis compared to Control or PF-03084014 treatment groups. While the treatments could not modulate MEK1/2 protein expression, PF-03084014 and combination of GEM plus PF-03084014 treatment inhibit MEK1/2 phosphorylation. Numbers on the left side of the blots indicate the molecular weight of the proteins in kilodaltons.



#### Figure 6.

Combination PF-03084014 with GEM induces apoptosis, suppresses cell proliferation. Representative photomicrographs of TUNEL and Ki-67 stained tumor sections (40x magnifications). Quantitation of TUNEL+ and Ki-67+ tumor nuclei (bottom). Data represent the mean  $\pm$  SD of TUNEL or Ki-67 positive nuclei in five different high-power fields per xenograft sections from 3 independent tumours of treatment arms. \*p<0.05 and \*\*\*p<0.0001 vs. control; ## p<0.001 vs. GEM.



#### Figure 7.

Representative photo micrograph of CD31 immunostaining (20x) in Panc215 and Panc354. In Panc215, treatment with PF-03084014 or combination of GEM plus PF-03084014 leads to a significant reduction in endothelial-specific antigen CD-31, when compared to vehicle-treated tumors. Arrowheads point towards CD31 stained endothelial cells. \*p<05, \*\*\*\*p<0.0001 vs. control; ##p<0.001 vs. GEM.

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#### Figure 8.

A simplified schematic diagram illustrating the mechanisms responsible for the enhanced anti-tumor and anti-metastatic properties of PF-03084014 plus GEM combination in pancreatic cancer xenografts.

#### Table 1

Cancer stem cell quantification in xenografts by Fluorescence Activated Cell Sorting (FACS)

Pancreatic cancer xenografts	% ALDH <sup>+</sup> cells	% CD44 <sup>+</sup> CD24 <sup>+</sup> cells
Panc215	9.41	3.70
Panc265	0.82	8.47
Panc354	0.75	16.60
Panc266	4.67	0.64

NOTE: Four individual patient-derived pancreatic tumors grown subcutaneously (s.c.) in nude mice were harvested in sterile conditions. Single cell suspensions were generated by mincing tumors, followed by incubation in Dispase and Collagenase IV (Sigma) at 37°C for 2h.

The cell suspension was filtered and further purified by density centrifugation using Ficoll-Paque Plus (GE Healthcare). Cells were labeled using the ALDEFLUOR reagent (Stem Cell Technologies) and then stained with antibodies against mouse CD31 (BD Biosciences), lineage cocktail (Miltenyi Biotec), and H-2Kd (BD Biosciences). Non-mouse cells were separated and stained with antibodies against human CD44, CD24 (BD Biosciences). Cells were analyzed using FACSAria Flow Cytometer (BD Biosciences).

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# Table 2

Incidence of metastasis in treatment groups.

Group	Number (per	centage) of	mice affecte	d with meta	istasis
	Spleen	Liver	Lungs	Kidneys	Lymph nodes
Vehicle	9/10 (90)	3/10 (30)	2/10 (20)	3/10 (30)	7/10 (70)
PF-03084014	(7/9 (78)	2/9 (22)	1/9 (11)	(0) 6/0	4/9 (44)
GEM	10/10 (100)	2/10 (20)	2/10 (20)	2/10 (20)	3/10 (30)
GEM + PF-03084014	6/10 (60)	0/10 (0)	0/10(0)	1/10 (10)	2/10 (20)