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## Heart – Brain Signaling in PFO Related Stroke: Differential Plasma Proteomic Expression Patterns Revealed with a Two-Pass LC-MS/MS Discovery Workflow

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### Abstract

Patent foramen ovale (PFO) is highly prevalent and associated with more than 150,000 strokes per year. Traditionally, it is thought that PFOs facilitate strokes by allowing venous clots to travel directly to the brain. However, only a small portion of PFO stroke patients have a known tendency to form blood clots, and the best treatment for this multi-organ disease is unclear. Therefore, mapping the changes in systemic circulation of PFO-related stroke is crucial in understanding the pathophysiology in order to individualize the best clinical treatment for each patient. We initiated a study using a novel quantitative, Two-Pass discovery workflow using high-resolution LC-MS/MS coupled with label-free analysis to track protein expression in PFO patients before and after endovascular closure of the PFO. Using this approach, we were able to demonstrate quantitative differences in protein expression between both PFO-related and non PFO-related ischemic stroke groups as well as before and after PFO closure. As an initial step in understanding the molecular landscape of PFO-related physiology, our methods have yielded biologically relevant information on the synergistic and functional redundancy of various cell-signaling molecules with respect to PFO circulatory physiology. The resulting protein expression patterns were related to canonical pathways including prothrombin activation, atherosclerosis signaling, acute phase response, LXR/RXR activation and coagulation system.

In particular, post PFO closure, numerous proteins demonstrated reduced expression in stroke-related canonical pathways such as acute inflammatory response and coagulation signaling. These findings demonstrate the feasibility and robustness of using a proteomic approach for biomarker discovery to help gauge therapeutic efficacy in stroke.

### Keywords

proteomics; biomarker; discovery; stroke; cerebrovascular disease; ischemic stroke; patent foramen ovale; PFO; mass spectrometry

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## Introduction

Patent foramen ovale (PFO) is an independent stroke risk factor, but its best treatment is not clear (Figure 1) (1, 2). Highly prevalent (25-30% of the general population), PFO is often discovered only after a stroke and is associated with more than 150,000 strokes per year (3-25). Traditionally, it is thought that PFOs facilitate paradoxical embolism by allowing venous clots to travel directly to the brain. However, there is a significant disconnect between this simple mechanism and clinical data, as less than approximately 15% of PFO stroke patients have a known tendency to form venous clots (1, 2). Clinical trials to investigate treatment options are ongoing, but since individual risks vary and preferred treatment is likely not one-size-fits-all, controversies regarding PFO remain unresolved (26-35). In part, this is due to a poor understanding of the molecular landscape of PFO-related neurovascular injury (2, 36).

Since PFO is a complex, multi-organ disease involving the brain, heart and circulation, we initiated a study using mass spectrometry (MS) to follow blood protein expression in PFO patients before and after endovascular closure of the PFO. Clinical endovascular closure of PFO provides a rare bedside model in which to study the effects of a specific mechanical intervention on circulatory protein signaling, both immediately and over time (37).

Although mass spectrometry has been applied to biomarker discovery for at least a decade, one of the most difficult problems has been the interpretation and ranking of putative biomarkers derived from differential expression LC-MS/MS experiments (38). Limitations of the classical approaches that depend on data-dependent MS acquisition from complex peptide mixtures include lack of rigorous quantification and independent parameters for evaluating the “usefulness” of a particular biomarker. In addition, the high dynamic range of clinical samples, such as plasma and serum, effectively limits protein identification of low-abundance proteins when data-dependent MS acquisition methods are used, since only the highest abundance proteins are identified repeatedly (39). Previous approaches have incorporated physical fractionation of protein samples to dig deeper into the proteome (40). However, albumin depletion and offline fractionation (such as cation exchange) often result in protein losses, greatly increased instrument run time and expense (41). In addition, rigorous quantification becomes increasingly difficult when sample preparation is so complex. As an improvement to these approaches, we have developed a Two-Pass, quantitative discovery workflow that includes application of expression trend ratios and ROC analyses for the efficient evaluation and scoring of putative biomarkers (Figure 2) (42). The Two-Pass approach couples very accurate, full-scan quantification with MS/MS acquisition driven by an inclusion list generated from analysis of the full scan data. Application of the inclusion list for MS/MS acquisition essentially uses the mass spectrometer to “fractionate” the sample, and results in the increased identification of lower abundance and clinically useful biomarkers. In addition, because multiple physical fractions do not need to be analyzed by MS, more clinical samples can be analyzed in the same amount of time, allowing for better statistics and evaluation of biological variability. Using this approach, we were able to demonstrate clear, quantitative differences in protein expression across the various sample groups and relate the expression patterns to relevant biological pathways. Here we utilize this Two-Pass, quantitative discovery workflow to explore circulatory protein expression in stroke patients before and after endovascular closure of the PFO.

## Materials and Methods

### Clinical serum samples

A total of 64 plasma samples were obtained from stroke patients and healthy controls with similar risk factors from the Cardio-Neurology Clinic of Massachusetts General Hospital in accordance with IRB approval. PFO-related “cryptogenic ischemic strokes” were identified by two vascular board certified neurologists. Rigorous inclusion/exclusion criteria were applied to ensure proper diagnosis in each group, and all patients underwent the following testing to rule out other etiology related to ischemic embolic infarct: 1) physical exam by a vascular neurologist to document clinical syndrome consistent with ischemic infarct; 2) MRI/MRA and CTA to document ischemic infarct and to rule out other reasons of infarct such as intracranial stenosis, large vessel occlusion, dissection; 3) transthoracic and/or transesophageal echocardiogram to assess and document presence of PFO and rule out atrial/ventricular thrombus or any valvular lesions that may be related to embolism; 4) extended cardiac monitoring to rule out atrial fibrillation or other cardiac arrhythmia. In addition other ischemic stroke subtypes such as lacunar infarct related to hypertension, vasculitis, endocarditis, or venous infarction were excluded. Also excluded were all patients with active infection, active pregnancy, or renal/liver failure, as these conditions may alter protein signaling profiles. Moreover, patients without baseline imaging such as CT or MRI needed to confirm clinical stroke syndromes, were excluded. Controls were recruited from subjects with similar baseline risk factors to match the study population. Subject demographics (N=14 PFO-related ischemic stroke, N=7 non PFO-related cryptogenic ischemic stroke and N= 18 control) are listed in Table 1. Patients were consecutively, prospectively enrolled post ischemic stroke in accordance with the approval of institutional IRB.

Standard operating procedures were strictly observed for all samples. All personnel were trained with SOP to process samples in the same fashion, as follows: blood from venous or atrial source was collected into EDTA-coated tubes and immediately processed (within 5 min) to obtain plasma by centrifugation at 3400 RPM for 15minutes at 20°C (to avoid platelet activation), removing the plasma supernatant without disturbing the clot, aliquoted immediately, and frozen at –80° C to ensure minimal protein degradation.

Samples were carefully transported frozen to be processed at the same time, in random order, by investigators blinded to the clinical data to avoid bias and batch variations.

### Trypsin digestion, Reduction/Alkylation and Desalting

Plasma samples (25uL) were thawed on ice and processed as previously described (43).

### High resolution LC-MS/MS

**Liquid Chromatography and High-resolution Mass Spectrometry**—As shown in Figure 1, the Two-Pass workflow strategy consists of the separate optimization of MS parameters and configuration for protein quantification and identification.

Pass 1. Plasma samples (500 ng of human samples), were prepared as described above and injected onto a Thermo Scientific Easy nLC system configured with a 10 cm × 100 um trap column and a 25 cm × 100 um ID resolving column. The sample load was optimized for optimum quantification (*i.e.* full scan data). Buffer A was 98% water, 2% methanol, and 0.2% formic acid. Buffer B was 10% water, 10% isopropanol, 80% acetonitrile, and 0.2% formic acid. Samples were loaded at 4 uL/min for 10 min, and a gradient from 0-45% B at 375 nL/min was run over 130 min, for a total run time of 150 min (including regeneration and sample loading). The Thermo Scientific LTQ Orbitrap Velos mass spectrometer was run

in a standard Top-10 data-dependent configuration, except that a higher trigger-threshold (20K) was used to ensure that the MS2 did not interfere with the full-scan duty cycle. This ensured optimal full-scan data for quantification. MS2 fragmentation and analysis were performed in the ion trap mass analyzer.

### Data analysis and Pass 2

Proteomics data analysis was performed using Thermo Scientific SIEVE™ software version 2.0 that features chromatographic alignment, framing, differential ROC, ratio and trend analyses. Both Top-10 data-dependent scans and full-scan data were analyzed with the SIEVE™ software using chromatographic alignment followed by feature extraction using unsupervised statistical techniques including isotope deconvolution. Based upon various criteria including ROC AUC, low ratios, high ratios, high abundance, low abundance or trend ratios, an inclusion list was created for the best differentially expressed candidates. This inclusion list was used exclusively for MS2 acquisition in Pass 2. A larger sample load was used in the Pass 2 runs (600 ng to 800 ng), allowing for higher quality MS2 spectra. Because these full-scan spectra would not be used for quantification, peak shape and intensity reproducibility were not crucial. Fragmentation scans from Pass 2 were analyzed using SEQUEST™ and FDR analysis to make identifications. The fragmentation search results from Pass 2, and the quantitative information obtained from Pass 1, were combined and further analyzed using the SIEVE software.

### Ingenuity Pathways (IPA) Data analysis

The differential expression results were uploaded and analyzed using IPA [http://www.ingenuity.com/products/pathways\\_analysis.html](http://www.ingenuity.com/products/pathways_analysis.html), according to the manufacturer's instructions.

## Results

### Two Pass Workflow

Figure 2 shows a graphical representation of the workflow that was applied to the clinical samples. This approach was recently developed in our laboratory to improve quantification by de-coupling it from protein/peptide identification(42). During Pass 1, parameters are optimized for acquisition of full-scan data with an emphasis on chromatographic reproducibility and robust spray. MS2 spectra are only acquired in a “Top 5 or 10” data-dependent manner so full scan measurements are not compromised. This approach makes high-quality relative quantification possible, even in complex samples such as plasma, but also provides a limited list of MS2 spectra for identification of high-abundance proteins. The full scan data are analyzed and differentially expressed features fulfilling a desired pattern filter are compiled into a target inclusion list for acquisition in Pass 2. Since the mass spectrometer only acquires data from masses that are on the target list (as opposed to default data-dependent acquisition), less intense (and therefore less abundant) masses may be triggered to produce fragmentation spectra for identification. Using this approach, no fractionation or depletion was necessary to identify proteins at intensities in the picomolar range (42). Figure 3 shows the distribution of protein IDs in Pass 1 and Pass 2 data that passed the filter criteria. As is evident in the figure, the majority of proteins, 95%, were uniquely identified in Pass 2 with 0.4% uniquely identified in Pass 1 data. Only one protein fulfilling the filtering criteria was identified in both Pass1 and Pass 2 data.

### Differential protein expression in PFO-related stroke samples versus non-PFO-related stroke samples

When the Two-Pass workflow was applied to the PFO-related stroke, non-PFO-related stroke and normal (non stroke) samples in Table 1 in a trend analysis, a striking differential expression pattern was evident (Figure 4). In order to identify which proteins were differentially expressed between PFO-related and Non-PFO-related stroke, we applied the following expression filter to the complete data set at the protein level [Normalized ratio PFO-related/Normal>1.2 AND Normalized ratio PFO-related/Normal<0.6]. The resulting data were further analyzed and only proteins with at least 2 peptides and the 1%FDR and P-value<0.01 stringency parameters were retained. The resulting collection of 57 proteins, shown in Figure 4, provides an initial list of biomarker candidates differentiating the PFO-related and non-PFO-related sample groups. The proteins with the highest expression ratios in PFO-related vs non-PFO-related samples, (ratio > 2.0) were alpha2 macroglobulin, serum albumin, immunoglobulin lambda, and complement C3 precursor. Conversely, the proteins with the highest expression ratios in Non PFO-related vs PFO-related samples were serine/threonine protein kinase and Src homology 3 domain containing guanine nucleotide exchange factor. We further analyzed this protein dataset with Ingenuity Pathways Analysis (IPA) to determine canonical pathways that were significantly associated with the dataset. Figure 5 shows that several pathways including extrinsic and intrinsic prothrombin activation, atherosclerosis signaling, aldosterone signaling, renin-angiotensin signaling, cardiac beta-adrenergic signaling and thrombin signaling pathways had highly significant (P-value <0.001) overlap with the PFO-related and non-PFO-related putative marker dataset. The highest overlap was with extrinsic prothrombin activation where 2 of 20 proteins had decreased and 4 of 20 proteins had increased expression *versus* the experimental dataset (Figure 5 and Supplementary Table 1).

### Differential protein expression before, during and after PFO endovascular closure in PFO-related stroke samples

In order to investigate the protein expression pattern related to endovascular closure of PFO in stroke, we analyzed the complete set of longitudinal samples from 14 patients described in Table 2. The matched samples were obtained from patient baseline pre-endovascularlar PFO closure venous blood (Preop), from the left (PRLA) and right (PRRA) atria immediately before endovascular closure, from the left (PSLA) and right (PSRA) atria immediately after endovascular closure and venous blood at 3 months follow up. We applied the following filter to the protein data obtained from the SIEVE trend analysis:

Ratio of 3 Month Follow up/Preop > 1.5 OR < 0.6.

Only proteins represented by at least two peptides were allowed. The resulting protein expression pattern is shown in Figure 6. There was considerable variability in P-value across the matched samples but several proteins were significantly (P-value <0.05) differentially expressed, including beta globin, serum albumin, alpha 2 macroglobulin, serotransferrin, similar to immunoglobulin lambda like polypeptide 1, apolipoprotein AI and alpha 1 antitrypsin precursor. When we applied IPA canonical pathway analysis to the PFO closure dataset, several pathways were correlated with high significance (P-value < 0.0001) (Fig 7).The significant pathways included acute phase response signaling, LXR/RXR activation and extrinsic and intrinsic prothrombin activation pathways. Contrasting with the results from the previous (PFO-related stroke vs non-PFO-related stroke) IPA analysis, numerous proteins in the PFO closure dataset demonstrated reduced expression post-PFO closure with respect to the canonical pathways such as acute inflammatory response, cholesterol and coagulation signaling (Supplementary Table 2).



## Discussion

As an initial step in understanding the molecular landscape of PFO-related physiology, our methods have yielded biologically relevant information on the synergistic and functional redundancy of various cell-signaling molecules with respect to PFO circulatory physiology. In particular, post-PFO closure, numerous proteins demonstrated reduced expression in stroke-related canonical pathways such as acute inflammatory response, LXR/RXR, and coagulation signaling. These findings demonstrate the feasibility and robustness of using the Two-Pass approach for biomarker discovery in a clinical model with patients as their own controls to gauge therapeutic efficacy of PFO endovascular closure.

PFO-related neurovascular injury certainly has a major impact on public health, and the high prevalence of PFO emphasizes the importance of proper prevention, treatment and management in at-risk individuals (1, 5-9, 11, 12, 20-25, 36, 44). In addition to paradoxical embolic strokes, PFOs are present in more than 60% of all migraine-with-aura patients, and 20-30% of the general population (45-51). But who should be screened to prevent future strokes? What therapeutic targets and options are best for stroke and migraine patients? This is likely a multi-organ disease dependent on interaction between the brain, heart and lungs – but with potential connection through circulatory signaling in blood. For example, we found that Serotonin (5-HT), a vasoactive prothrombotic substance that induces cardiac oxidative stress and a neurotransmitter carefully regulated and inactivated by the lung, is decreased post percutaneous closure of PFO – supporting the hypothesis that chronic right-to-left shunting may allow harmful vasoactive mediators to escape deactivation by the pulmonary vasculature (37, 52, 53). This increase in the brain vasculature's direct exposure to various vasoactive mediators may elevate the risk of cerebrovascular injury (37). Results of the current study support our earlier findings. In this study, the plasma signal change pre and post PFO closure suggest that PFO with large-venous-to arterial shunting may allow for harmful circulatory factors to travel directly from the venous (right atrial, deoxygenated) to the arterial (left atrial, oxygenated) side, bypassing important deactivation by the lung – potentially triggering a pro-inflammatory, procoagulant state. Conversely, obliterating this shunting by PFO closure seems to alter circulatory phenotype into a less procoagulant and proinflammatory state.

These data are hypothesis-generating and further studies in larger cohorts are needed to investigate and confirm individual factors. While this is a very small sample of patients, we made every effort to include patients with similar age, risk factor, and co-morbidities. PFO-related stroke patients are known to have fewer conventional vascular risk factors, which make them ideal for proteomic studies. The study design of obtaining pre and post procedure samples helps to minimize confounders by utilizing each individual patient's pre-op baseline as their own control. In addition, all patients in this cohort are on the same dose of 325mg of aspirin throughout the study. And all baseline blood and endovascular closure samples were remote from the time of stroke (>3 months), so that the changes we found in acute inflammatory factors over time were not related to decrease in acute inflammatory response over time. Migraine patients were excluded from this study so that the molecular mechanism of migraine would not confound findings in this study for PFO-related stroke. However, we are actively investigating this subpopulation, since migraine subtypes in specific patient populations are related to PFO and stroke. There were also no changes in any other medications throughout the longitudinal followup, such that no new confounders were introduced except for the PFO closure procedure itself. However, different treatment options, such as anticoagulation and antiplatelets, are needed to compare therapeutic efficacy in the future.

Proteomic profiling studies with respect to long term clinical outcome, such as recurrent TIA or stroke, comparison of various antiplatelet and anticoagulation treatments, and of patients with concurrent migraines are important and under way. In summary, we find that proteomic exploration can help to identify targets of PFO-related neurovascular disease in the context of a largely unexplored territory of circulatory signaling with the potential to triage and monitor therapeutic efficacy.

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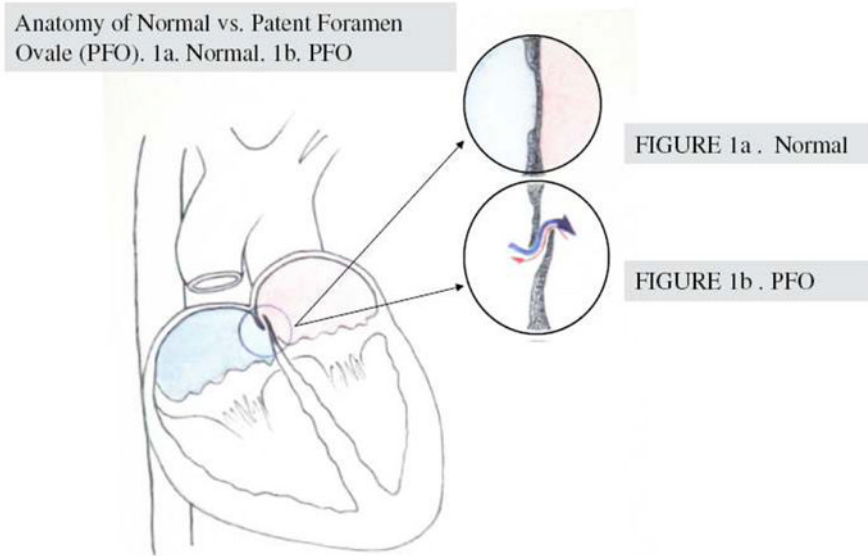


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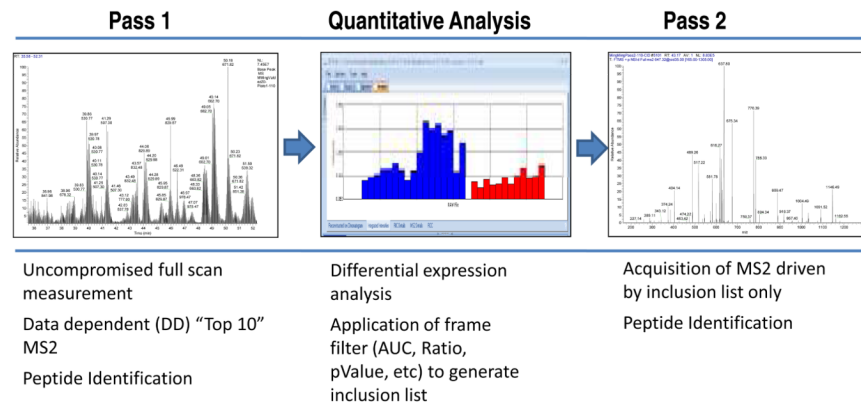
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## Abbreviations

<b>LC</b>	liquid chromatography
<b>MS/MS</b>	tandem mass spectrometry
<b>SPE</b>	solid phase extraction
<b>ESI</b>	electrospray ionization
<b>ACN</b>	acetonitrile
<i>m/z</i>	mass to charge ratio
<b>SRM</b>	selective reaction monitoring
<b>apo</b>	apolipoprotein
<b>LLOD</b>	lower limit of detection
<b>LOQ</b>	limit of quantitation
<b>FPR</b>	false positive rate
<b>ROC</b>	receiver operating characteristic
<b>AUC</b>	area under the curve



**Figure 1.** Anatomy of normal versus PFO. Normally, the septum separates the left and right chambers of the heart. PFO is a congenital condition whereby the opening between the left and right atrial chambers fails to close after birth. 1a. Normal septum; 1b. PFO



**Figure 2.**

Diagram of the Two Pass workflow.

Left panel: full scan MS spectrum.

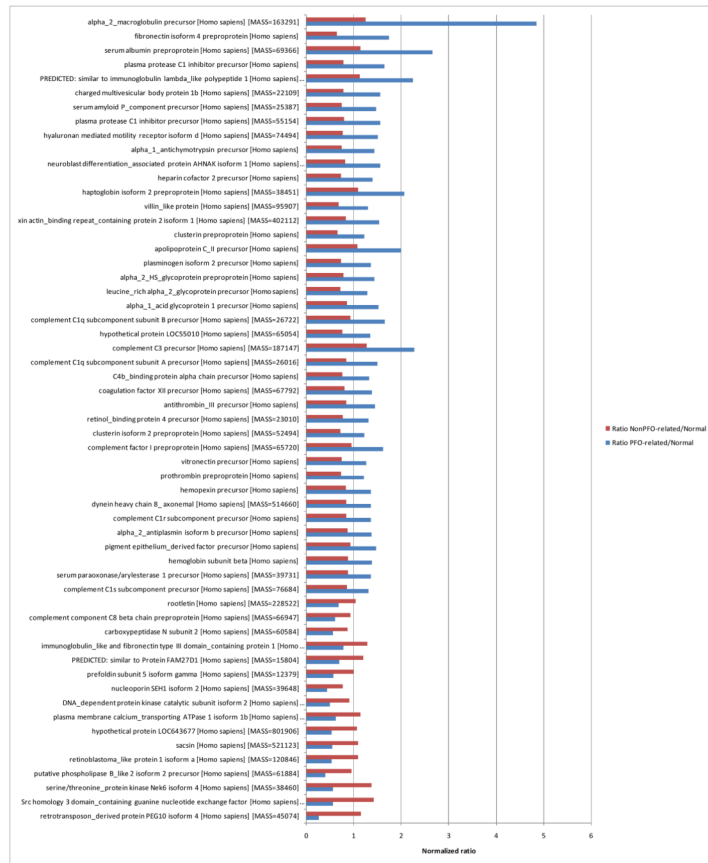
Center panel: Screen capture of SIEVE analysis illustrating differential expression of a frame.

Right panel: Fragmentation spectrum of a targeted mass from inclusion list generated from SIEVE differential analysis.

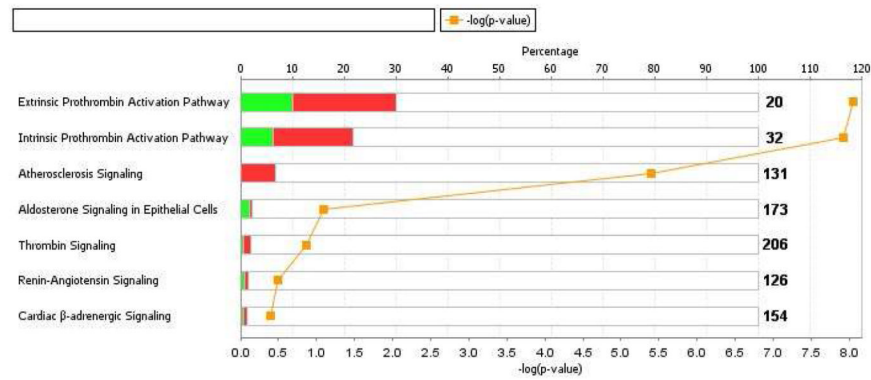


**Figure 3.** Number of identified proteins using the selected frame filter for Pass 2. Filter syntax: Ratio of PFOstroke/Normal > 1.5\* Ratio of NonPFOstroke/Normal AND Ratio of NonPFOstroke/Normal > Ratio of Normal/Normal





**Figure 4.** Differentially expressed proteins (Pvalue <0.01) in PFO stroke samples vs Non PFO. stroke samples. Protein search stringency parameters: FDR <1%, peptides>1.

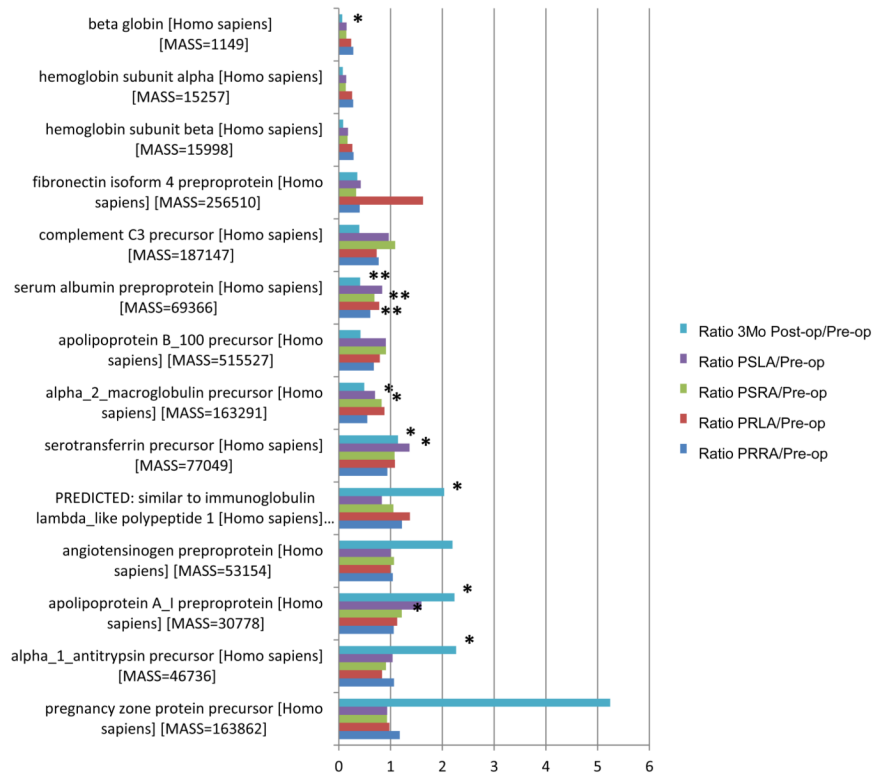


**Figure 5.**

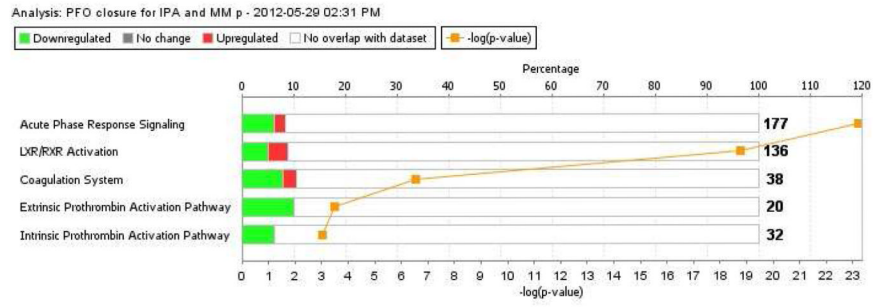
Protein expression patterns before, during and after PFO endovascular closure. As described in the methods, longitudinal blood samples were taken from a cohort of 4 patients upon admission, from left and right chambers immediately before and after closure and at 3 month follow up.

A. Proteins with increased expression levels (ratio >1.2, Pvalue <0.01) in 3 month follow up post-closure venous plasma (relative to pre-closure venous plasma) samples. Corresponding expression levels of PSLA, PSRA, PRLA and PRRA plasma for each patient are also shown.

B. Proteins with decreased expression levels (ratio <0.5, Pvalue <0.01) in 3 month follow up post-closure venous plasma (relative to pre-closure venous plasma) samples. Matched expression levels of PSLA, PSRA, PRLA and PRRA plasma for each patient are also shown.



**Figure 6.** Protein expression pattern before, during and after PFO endovascular closure.  
 \* Pvalue < 0.05  
 \*\* Pvalue < 0.001



**Figure 7.**  
 IPA canonical pathway analysis of PFO closure dataset.  
 P-value <0.0001

**Table 1**  
**Patient Clinical Characteristics and Sample Collection: PFO-related vs Non PFO- related vs Normal experiment**

Characteristic	PFO-related (N=14)	Non PFO-related (N=7)	Normal (N=18)
Age (mean and range)	46 (26-55)	45 (25-53)	44 (22-56)
Gender	50%M	57%M	50%M
Race	100% Caucasian	100% Caucasian	94% Caucasian
Hyperlipidemia	14%	14%	11%
Hypertension	7%	0	5%
Diabetes	0	0	0
Residual right to left shunting post PFO closure on cardiac echo	0	N/A	N/A



**Table 2**  
**Clinical Characteristics and Sample Collection: PFO endovascular closure longitudinal samples (N=14 patients)**

Code	Description
PreOp	Pre closure, venous
PRvenRA	Pre closure, right atrium
PRartLA	Pre closure, left atrium
PSvenRA	Post closure, right atrium
PSartLA	Post closure, left atrium
3MoFU	3 month Follow up, venous