

# Functional Characterization of the Extraclassical Receptive Field in Macaque V1: Contrast, Orientation, and Temporal Dynamics

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Neurons in primary visual cortex, V1, very often have extraclassical receptive fields (eCRFs). The eCRF is defined as the region of visual space where stimuli cannot elicit a spiking response but can modulate the response of a stimulus in the classical receptive field (CRF). We investigated the dependence of the eCRF on stimulus contrast and orientation in macaque V1 cells for which the laminar location was determined. The eCRF was more sensitive to contrast than the CRF across the whole population of V1 cells with the greatest contrast differential in layer 2/3. We confirmed that many V1 cells experience stronger suppression for collinear than orthogonal stimuli in the eCRF. Laminar analysis revealed that the predominant bias for collinear suppression was found in layers 2/3 and 4b. The laminar pattern of contrast and orientation dependence suggests that eCRF suppression may derive from different neural circuits in different layers, and may be comprised of two distinct components: orientation-tuned and untuned suppression. On average tuned suppression was delayed by ~25 ms compared with the onset of untuned suppression. Therefore, response modulation by the eCRF develops dynamically and rapidly in time.

## Introduction

Neurons in primary visual cortex, V1, very often have extraclassical receptive fields (eCRFs). The eCRF is defined as the region of visual space where stimuli cannot elicit a spiking response but can modulate the response of a stimulus in the classical receptive field (CRF). Determining the properties of the eCRF is important for understanding V1 activity under natural viewing conditions, where stimuli are rarely spatially restricted and the local image statistics (orientation, contrast) can vary across the scene. Response modulation of the CRF by stimulation of the surrounding eCRF of V1 neurons has been implicated in a variety of perceptual phenomena (Blakemore and Tobin, 1972; Allman et al., 1985; DeAngelis et al., 1994). Among these are figure-ground segregation (Lamme, 1995), contour integration (Li et al., 2006), signaling of object junctions (Sillito et al., 1995; Yazdanbakhsh and Livingstone, 2006), and motion contrast (Baker and Graf, 2010). The effects of eCRF modulation when the CRF is stimulated with different contrast levels depend on the stimulus conditions; facilitation, suppression, or some combination of the two has been reported (Levitt and Lund, 1997; Sengpiel et al., 1997; Somers

et al., 1998; Kapadia et al., 1999, 2000; Sceniak et al., 1999, 2001; Cavanaugh et al., 2002a,b; Sadakane et al., 2006; Ichida et al., 2007; Schwabe et al., 2010). We have investigated single-cell responses in V1 under conditions of moderate to strong CRF drive and stimuli covering large regions of the eCRF, when the eCRF modulation is predominantly suppressive (Sceniak et al., 1999, 2001; Levitt and Lund, 2002).

A principal aim of our research was to characterize the contrast sensitivity of the eCRF signal and relate it to the contrast sensitivity of the CRF. We found that the contrast for half-maximal response,  $C_{50}$ , was lower for eCRF suppression than for CRF excitation. However, the higher contrast sensitivity of the eCRF compared with the CRF was not the same in all cortical layers: the difference in  $C_{50}$  between eCRF and CRF was greatest in the corticocortical output layers 2/3.

Studies of orientation selectivity indicate that there are two components of suppression: one that is untuned for orientation and local to the CRF and another that is orientation selective and extending into the eCRF (Xing et al., 2005, 2011). In our study of the eCRF, we found that tuned suppression was most significant in layers 2/3 and 4b, while untuned suppression was observed in all layers. Response dynamics measurements revealed that the onset time of tuned suppression was delayed by ~25 ms with respect to the untuned component.

## Materials and Methods

### Preparation and recording

Adult male Old World monkeys (*Macaca fascicularis*) were used in acute experiments in compliance with National Institutes of Health and New York University Animal Use Committee regulations. The animal preparation and recording were performed as described in detail previously (Hawken et al., 1996; Ringach et al., 2002; Xing et al., 2005). Anesthesia

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was induced with ketamine (5–20 mg/kg, i.m.) and initially maintained with isoflurane (1–3%) for venous cannulation and intubation. Then anesthesia was continued with sufentanil citrate (6–18  $\mu\text{g}/\text{kg}/\text{h}$ , i.v.) for the remainder of the surgery and recording. Once surgery was completed, muscle paralysis was induced and maintained with vecuronium bromide (Norcuron; 0.1 mg/kg/h, i.v.). Heart rate, electrocardiogram, blood pressure, expired  $\text{CO}_2$ , and electroencephalogram were continuously monitored to ensure the maintenance of anesthesia. Ophthalmic atropine sulfate (1%) was administered to the eyes to dilate the pupils at the start of the experiment. For the duration of the experiment, the eyes were protected by clear, gas-permeable contact lenses and application of gentamicin sulfate (3%), a topical antibiotic solution. Fixation rings (Duckworth and Kent) were used to minimize eye movements in most experiments and, when used, an ophthalmic anti-inflammatory agent (TobraDex) was also applied.

Foveae were mapped on to a tangent screen using a reversing ophthalmoscope and the receptive fields of isolated neurons were mapped in relation to the foveae. Extracellular activity was recorded using glass-coated tungsten microelectrodes (Merrill and Ainsworth, 1972) that were advanced through a craniotomy over occipital cortex using a motorized stepping microdrive (Narishige). Individual spikes were discriminated via custom software running on a Silicon Graphics O2 and were time stamped with 0.1 ms resolution. Single unit activity was identified by a fixed action potential shape and an absolute refractory period between individual spikes.

After the completion of each electrode penetration, 3–5 small electrolytic lesions (3  $\mu\text{A}$  for 3 s) were made at separate locations along the electrode track. At the end of the experiments, the animals were deeply anesthetized with sodium pentobarbital (60 mg/kg, i.v.) and transcardially exsanguinated with heparinized lactated Ringer's solution, followed by 4 L of chilled fresh 4% paraformaldehyde in 0.1 M phosphate buffer, pH 7.4. The electrolytic lesions were located in the fixed tissue and electrode tracks were reconstructed to assign the recorded neurons to cortical layers as described previously (Hawken et al., 1988).

### Characterization

Each single neuron was stimulated monocularly through the dominant eye (with the nondominant eye occluded). The steady-state response to drifting gratings was determined to provide an initial characterization. The initial measurements were orientation tuning, spatial and temporal frequency tuning, contrast response with achromatic gratings, and color sensitivity followed by area summation and annulus summation curves. Receptive fields were located at eccentricities between 1 and 6°. Stimuli were displayed at a screen resolution of 1024  $\times$  768 pixels and a refresh rate of 100 Hz. The stimuli were presented on either a Sony Trinitron GDM-F520 CRT monitor or an Iiyama HM204DT-A CRT monitor with mean luminances of 90–100  $\text{cd}/\text{m}^2$  and 60  $\text{cd}/\text{m}^2$ , respectively. The monitors' luminance was calibrated using a spectroradiometer (Photo Research PR-650) and linearized via a lookup table in custom software. Each eye was optimally refracted for the 115 cm monitor viewing distance using external lenses.

### Stimuli

**Determination of the CRF and eCRF.** Before quantitative measurements of the response, the receptive field center for each neuron was determined by hand mapping the minimum response field using the smallest patch of optimal grating that produced a response from the cell (typically  $\sim 0.1^\circ$  radius). The size of the optimal stimulus patch was determined by measuring the response as a function of the radius of a circular patch (area summation) that windowed the optimal grating (see Fig. 1B). The border of the eCRF region was determined in a second experiment using an expanding annulus, where the response was measured as a function of the radius of the mean gray region in the center of a square patch of grating that was  $8^\circ$  on a side (Fig. 1C). As the central gray patch's radius increased then the width of the outer grating region decreased correspondingly. This experiment was used to determine the size of the inner diameter of the eCRF region, the region where no response was elicited from the surround region when the center region was masked by a gray screen as described previously (Cavanaugh et al., 2002a), marked by the

vertical arrow in Figure 1C. Beyond this region was the eCRF. We used the data collected from these two area summation experiments to determine the size of the stimuli in the subsequent experiments. The radius of the stimulus for the CRF was chosen to be the radius that evoked the peak response in the area summation experiment, shown by the vertical arrow at a radius of  $0.75^\circ$  for the example neuron in Figure 1B. The eCRF stimulus was an annular region with an  $8 \times 8^\circ$  square outer extent and an inner radius derived from the annular summation experiment, shown by the vertical arrow at a radius of  $1.0^\circ$  in Figure 1C. The outer diameter of the CRF stimulus region was always smaller than the inner diameter of the eCRF stimulus region. The annular region between these two stimulus areas was mean gray.

**Contrast response function.** A contrast response function for the CRF was obtained for a range of contrasts (Fig. 1A) with an optimal stimulus in the CRF alone, surrounded by uniform gray (see Fig. 2A). Contrast ranged from 2 to 99% in 0.5 octave steps. Presentation was in an ascending order of contrast to minimize adaptation and hysteresis effects (Bonds, 1991); a blank period, equal in duration to the stimulus presentation duration, was interleaved between each stimulus presentation.

To measure contrast effectiveness of the eCRF, an optimal stimulus, at a contrast eliciting 90% of the neuron's maximum response ( $C_{90}$ ), was presented to the CRF simultaneously with gratings confined to the eCRF. For some neurons additional experiments were also run with a contrast eliciting 50% of the maximal response for the CRF stimulus ( $C_{50}$ ). Gratings in the eCRF had the same spatial and temporal frequency as the grating in the CRF and were presented at collinear and orthogonal orientations with respect to the central stimulus patch, across ranges from 5 to 80% luminance contrast in octave steps. Stimuli for eCRF experiments were presented for 0.5–1 s. Analysis of temporal dynamics was restricted to the first 0.5 s of stimulus presentation. Contrast response functions for eCRF suppression were obtained by converting observed firing rates for each stimulus condition to percentage response suppression, relative to the control condition of a 0% contrast stimulus in the eCRF. Thus, the suppression index (SI) at a given eCRF stimulus contrast  $C$  was defined as follows:  $SI(C) = 100 * [R_0 - R_C] / R_0$ .

### Data analysis

Responses were the mean firing rate ( $F_0$ ) taken over the duration of the stimulus presentation for complex cells and the amplitude of the first harmonic response ( $F_1$ ) for simple cells.

**Contrast response function comparison.** To compare the contrast sensitivities of the CRF and eCRF we compared estimates of the parameters of the Naka–Rushton function (Naka and Rushton, 1966) for half-maximal sensitivity ( $C_{50}$ ) and exponent ( $N$ ) using the following equation:

$$R(C) = R_{\max} \times \left[ \frac{C^N}{(C^N + C_{50}^N)} \right] + M$$

where  $R_{\max}$  is the maximal response,  $M$  is the spontaneous response rate, and  $C$  is the stimulus contrast. For individual cells, parameter estimation was achieved by a large number of repeated fits to bootstrapped samples of the data. Specifically, for each stimulus condition a new response was generated for each contrast by randomly drawing the same number of samples as in the original experiment from the data, with replacement. This procedure was done for each contrast and parameters were determined through Naka–Rushton fits to each generated dataset (least-squares fit using the MATLAB function `lsqcurvefit`). The distribution of these parameter estimates in individual neurons was measured by repeating this process a large number of times ( $10^5$ ).

Significance testing of differences in parameters between the individual CRF and eCRF contrast response functions was conducted by repeated comparisons of draws from the two distributions a large number of times ( $10^5$ ). A comparison is reported as significant where one parameter is greater at least 95% of the time ( $p < 0.05$ ).

**Response latency.** Analysis of the temporal dynamics of modulation from the eCRF was conducted on a population of 68 complex cells. Simple cells modulate their responses in time to presentation of a drifting sinewave grating, and the phase of the modulation could potentially obscure the dynamics we were attempting to measure from the eCRF

such as response onset; thus, analysis was confined to a subpopulation of complex cells. This population was selected to be complex cells with sufficient peak firing rates ( $>10$  spikes/s) and at least 15% response suppression (for the most effective eCRF stimulus).

Responses to all stimulus conditions were aligned to each neuron's CRF response onset latency, the time of response onset to an optimal grating in the CRF. To estimate CRF response onset latency, we first measured the background firing rate (mean and SD) in the first 25 ms after stimulus onset, before signals were likely to have reached the primary visual cortex. We estimated the neuron's response onset latency to be the earliest time point in the CRF response at which the instantaneous firing rate increased to 2 SDs above the background mean. Results were similar when we used a different measure of response onset latency: the first time at which the response exceeded 10% of each neuron's maximum instantaneous firing rate.

To determine the onset latency of response suppression from a stimulus in the eCRF, we compared the cumulative spike counts over the stimulus presentation for two conditions: one in which an isolated stimulus was in the CRF and the other in which CRF and eCRF were costimulated. Cumulative spike count distributions at each time point for each stimulus condition were generated by repeated bootstrap resampling (with replacement) of the spike trains to repeated presentations of the stimuli. Suppression onset latency to a stimulus in the eCRF was determined to be the earliest time at which the cumulative spike count for the eCRF condition was significantly lower than the CRF alone condition ( $p < 0.05$ ) and remained lower for the following 20 ms. Results were similar when an alternate method of estimating suppression onset latency was used (the first time point at which the eCRF produced 5% of the total suppression observed over the entire stimulus presentation).

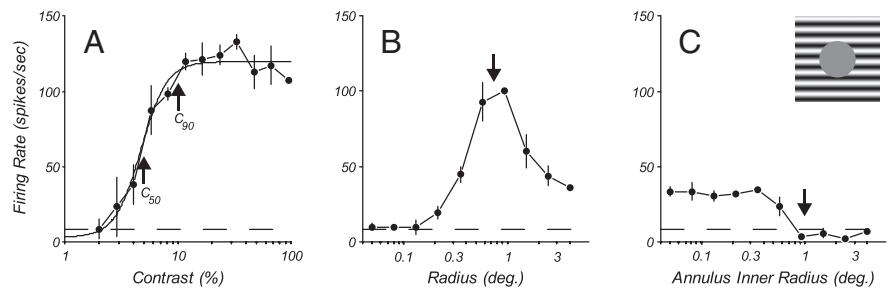
## Results

The activity of 114 neurons was recorded to investigate eCRF modulation. Here we report on three factors that are important for understanding how suppression may derive from different neural circuits in different layers. First we report on the contrast and orientation dependence of eCRF suppression across the whole population of V1 neurons. Next we show the laminar variation of suppression and determine the relationship between contrast sensitivity of the eCRF and CRF across layers. Third the interrelationship between eCRF stimulus properties and temporal response dynamics is investigated to provide further links to cortical circuits.

### Contrast and orientation dependence of eCRF suppression

#### CRF visual characteristics

First we determined the visual properties of the CRF of each neuron. Measurements were made in the CRF of the orientation tuning, spatial and temporal frequency tuning, contrast response (Fig. 1A) and color sensitivity, as well as area summation using an expanding circular patch (Fig. 1B) and an expanding blank disk on a full field grating background (Fig. 1C). The contrast that evoked  $\sim 90\%$  of the maximal response ( $C_{90}$ ; Fig. 1A, right arrow) was chosen for subsequent experiments where stimulus-driven spike responses in the CRF were modulated by the eCRF under different conditions of eCRF stimulation. Some additional experiments also used the contrast evoking 50% of the maximal response in the CRF ( $C_{50}$ ; Fig. 1A, left arrow). For this example



**Figure 1.** Contrast response and size tuning for a complex cell. **A**, The response as a function of contrast for a grating of the optimal orientation, spatial and temporal frequency, and drift direction presented in a circular window that was the optimal size for the CRF. Closed circles and error bars indicate average firing rate (mean  $\pm$  1 SEM). The solid line is the best-fitting Naka–Rushton function. The arrows show the contrast eliciting 50 ( $C_{50}$ ) and 90% ( $C_{90}$ ) of the maximum response of the neuron, which were the contrasts used for subsequent contextual experiments. The dashed line indicates the spontaneous firing rate. **B**, The response as a function of the radius of the circular window containing an optimal grating as described in **A**. The arrow shows the smallest window radius eliciting the peak response of the neuron, which is the size of the central patch used in subsequent contextual experiments. **C**, A full-field optimal grating was presented that included a central gray circular region, where the radius of the central region varied from 0 to 4°, in 1/2 octave steps. The arrow shows the smallest radius of central blank gray region that elicited no response from the neuron. This size was used as the inner radius of stimuli presented to the eCRF and the outer radius of the gray annulus separating stimuli in the CRF from the eCRF (see the configuration in Fig. 2B, C).

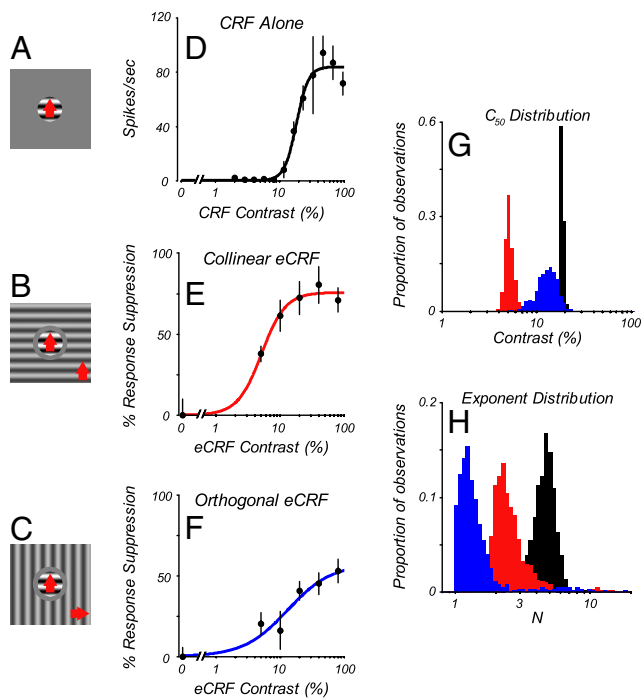
neuron, the stimulus patch presented to the CRF had a radius of 0.75° and the inner radius for the eCRF stimulus was 1.0°, as determined from area and annular summation measurements (Fig. 1B, C). We confined all of our eCRF probe stimuli to the region of the visual field between this inner radius and the outer border of an  $8 \times 8^\circ$  square.

One of the goals of the experiments was to compare the contrast dependence of the response in the CRF with the contrast dependences of eCRF suppression. We first measured the contrast response of the CRF alone (Fig. 2A). Estimates of the contrast where the response reaches its half-maximum ( $C_{50}$ ), the exponent  $N$ , and maximal response ( $R_{max}$ ) were obtained by fitting a Naka–Rushton function (Naka and Rushton, 1966) to the data (Fig. 2D, black curve).

#### eCRF contrast response

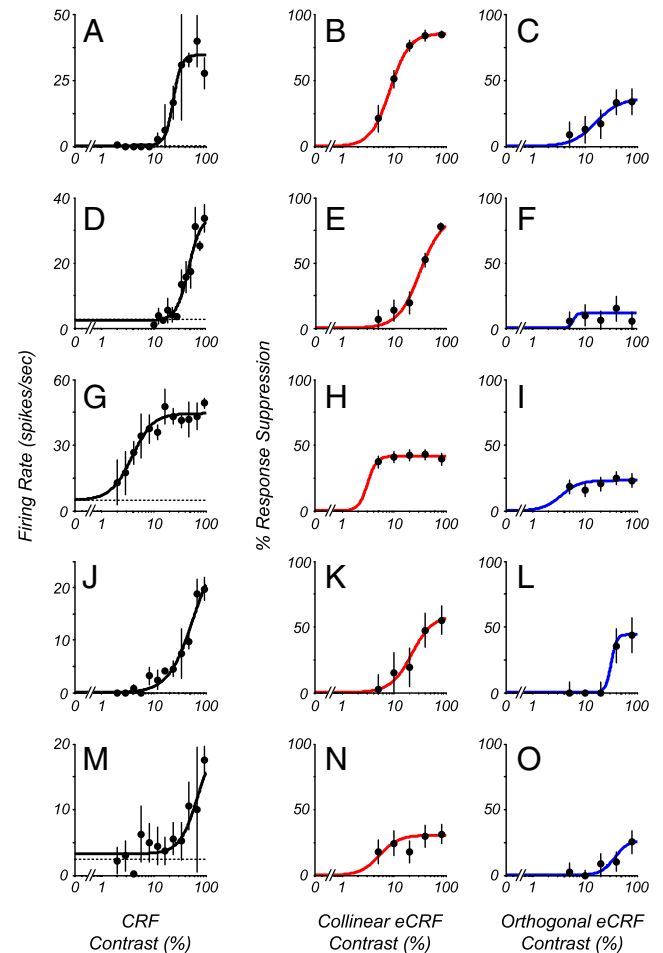
The contrast dependence of the eCRF modulation was determined by measuring the response suppression of CRF stimulation as a function of contrast presented in the eCRF. For an example neuron, as contrast of the collinear stimulus in the eCRF was increased, the strength of suppression increased, from  $\sim 40\%$  response suppression for 5% contrast in the eCRF to 80% response suppression for 20–80% contrast (Fig. 2E). The strength of suppression for an orthogonal stimulus in the eCRF (Fig. 2F) was usually approximately half of the suppressive strength of the collinear stimulus (compare Fig. 2E, F). Nonetheless it is clear that the contrasts where suppression was first evident were lower than the contrasts required to evoke a response from the CRF (Fig. 2D).

The Naka–Rushton function also was used to fit the data for suppression strength as a function of contrast in the eCRF (Fig. 2E, F). Estimates of the parameters ( $C_{50}$ , exponent  $N$ , and maximum percentage suppression— $R_{max}$ ) that described the best-fitting function for each condition were used to compare the contrast dependences of the CRF and the eCRF for each neuron as previously described (Sadakane et al., 2006). We restricted our comparative analysis of fitted eCRF contrast response functions to those neurons and stimulus orientations that exhibited significant eCRF suppression of at least 15% (94/114 collinear stimulus, 70/114 orthogonal stimulus). The reliability of the estimate of each fitted parameter was obtained from repeated fits to data



**Figure 2.** CRF and eCRF contrast response function comparison. **A**, Stimulus configuration for CRF alone, where a central patch of grating is optimized in orientation, spatiotemporal frequency, drift direction (red arrow), and size for the individual neuron. **B**, Stimulus configuration for CRF in the presence of a collinear eCRF, a central patch of grating as in **A**, simultaneously presented with a grating in the eCRF at the same spatiotemporal frequency and orientation. There was a small annular gray region that separated the center patch and the grating in the eCRF. The radius of the center patch was the optimal size for the CRF. The outer radius of the gray annulus was the size chosen from the expanding gray disk experiment where there was no response marked by the arrow in Figure 1C. **C**, Stimulus configuration for CRF in the presence of an eCRF stimulus oriented orthogonally to the central grating. For **B** and **C**, the gratings in the eCRF were presented at a range of contrasts, from 5 to 80%, in octave steps. **D**, The contrast response function for the CRF. **E**, Contrast-suppression function from the eCRF in response to collinear eCRF stimuli. **F**, Contrast-suppression function for orthogonal eCRF stimuli. **D–F**, The closed circles and error bars indicate mean  $\pm$  1 SD. Lines are best fits of the Naka–Rushton function to the data. For comparison of parameters between conditions, estimates of parameter distributions were achieved by repeated fitting to bootstrap samples of the data. **G**, Distributions of  $C_{50}$ . **H**, Distributions of exponent parameter, for CRF (black), collinear eCRF (red), and orthogonal eCRF (blue).

samples drawn at random from the recorded response. The bootstrapped estimates of the fits were compared for each contrast response function fitted to responses from each neuron. The individual histograms shown in Figure 2, **G** and **H**, are the parameter distributions from the fits to the single neuron's contrast response or contrast suppression functions shown in Figure 2**D–F** (see Materials and Methods for more details of bootstrapping and significance testing). The estimate of  $C_{50}$  for the CRF (Fig. 2**G**, black histogram) is  $18.4 \pm 1.0\%$  (mean  $\pm$  1 SD) while the  $C_{50}$  estimate for suppression from a collinear eCRF stimulus (Fig. 2**G**, red histogram) was  $5.2 \pm 0.5\%$ . These values are significantly different ( $p < 0.001$ ). The exponent  $N$  for the CRF was  $4.7 \pm 0.9$  (Fig. 2**H**, black histogram) compared with  $2.6 \pm 1.2$  (Fig. 2**H**, red histogram) for the collinear eCRF suppression. The exponents were also significantly different ( $p < 0.05$ ). The estimates of  $C_{50}$  and exponent for the orthogonal eCRF stimulus were  $13.1 \pm 3.1\%$  and  $1.6 \pm 1.3$  (Fig. 2**G,H**, blue histograms), respectively. There was less overlap in the estimates of the  $C_{50}$ s for the three conditions (Fig. 2**G**) than for the exponents (Fig. 2**H**). It should be noted that in some cases, where there was a measurable suppression at the lowest contrast in the eCRF stimulus, the ex-



**Figure 3.** Comparison of responses and suppression as a function of contrast in five neurons that show a range of CRF contrast-response functions and suppression strengths from the eCRF. Each row represents a different neuron. The first column (**A**, **D**, **G**, **J**, **M**) shows contrast responses from the CRF for the example cells (mean  $\pm$  1 SD). Second (**B**, **E**, **H**, **K**, **N**) and third (**C**, **F**, **I**, **L**, **O**) columns show the percentage suppression as a function of contrast from collinear- and orthogonal-oriented stimuli in the eCRF, respectively (mean  $\pm$  1 SD). Lines are best fits of the Naka–Rushton function to the data. Collinear suppression was generally stronger than orthogonal suppression, and  $C_{50}$ s from eCRF were generally equal to or lower than  $C_{50}$ s from CRF.

ponent was constrained at the lowest contrast because there is by definition no suppression at 0% eCRF contrast. If measurements had been made at lower eCRF contrasts the exponent, in some cases, might have been somewhat larger.

Across the population studied there was a range of relationships between the contrast dependence of the response from the CRF and the suppression to a collinear stimulus in the eCRF (Fig. 3). For the majority of neurons, the collinear eCRF was either equally sensitive or more sensitive to contrast than the CRF; compare the first and second columns of the examples in Figure 3. The contrast where suppression was first evident was lower for the eCRF than for the contrast that first evoked a response from the CRF for the neurons in Figure 3, **A**, **B**, **D**, **E**, and **M** and **N**, even though the maximum level of suppression was different across neurons. The second trend was that eCRF suppression was generally weaker for orthogonal stimuli compared with collinear stimuli (Levitt and Lund, 1997; Cavanaugh et al., 2002b; Shushruth et al., 2012, 2013) but still was evident in most cases (Fig. 3, compare the second and third columns). These two trends, higher eCRF than CRF contrast sensitivity and stronger



collinear than orthogonal suppression, were dominant across the population, as shown next.

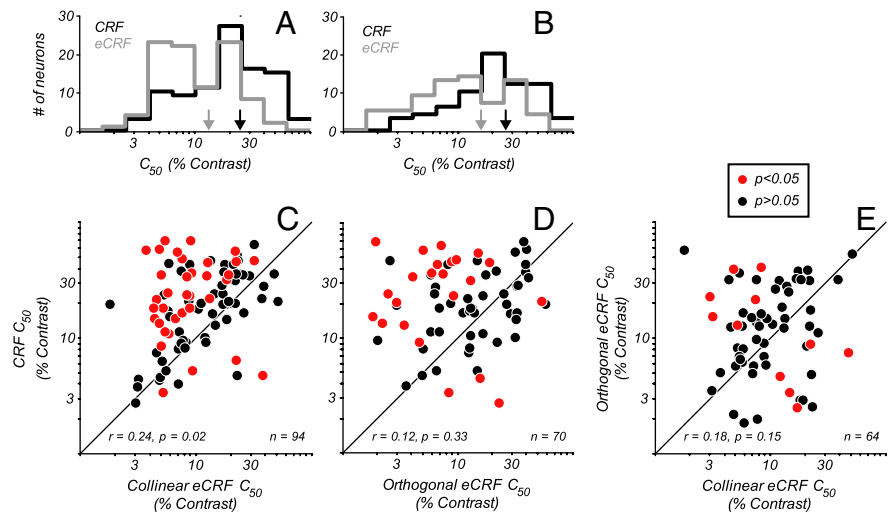
### Population comparisons of CRF and eCRF

The semisaturation contrast  $C_{50}$  from the Naka–Rushton function fit to the CRF and eCRF was used to provide a measure of the contrast sensitivity of each receptive field mechanism. Similarly  $R_{\max}$  was used to compare suppression strength. In addition, the exponent ( $N$ ) of the fitted function was compared between conditions.

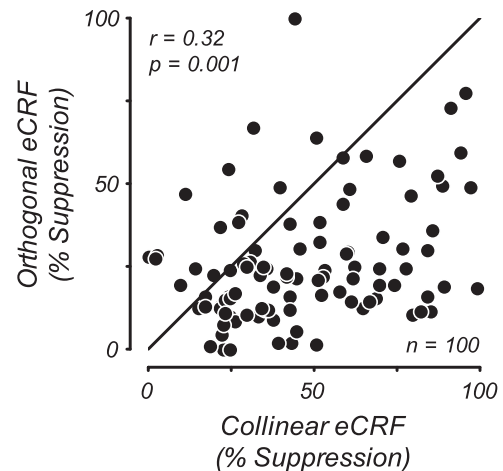
For all neurons the parameters ( $C_{50}$ ,  $R_{\max}$ , and exponent  $N$ ) of the fits of the Naka–Rushton function to the contrast responses in the CRF and contrast-dependent suppression in the eCRF, to both collinearly and orthogonally oriented stimuli, were tested for significance using a bootstrap procedure (see Materials and Methods). For the population the  $C_{50}$  distribution for the eCRF had a lower mean than that of the CRF for both collinear (Fig. 4A) and orthogonal (Fig. 4B) orientations in the eCRF. The distribution of  $C_{50}$ s for the eCRF collinear stimulus appears to be bimodal with one peak  $\sim$ 6% contrast and another between 20 and 30% contrast (Fig. 4A). The  $C_{50}$  distribution of the CRF has a single peak at  $\sim$ 20% contrast. The CRF distribution's single peak is consistent with the distribution of  $C_{50}$  for the CRF of a larger population of cells recorded in our lab (see data in Clatworthy et al., 2003, their Figure 6B).

For  $\sim$ 76% (71/94) of the neurons tested, the eCRF  $C_{50}$  for collinear suppression was lower than the CRF  $C_{50}$  and for 33% (31/94) of neurons the  $C_{50}$  was significantly lower ( $p < 0.05$ ; Fig. 4, red points above the equality line). Only 24% (23/94) of the neurons had eCRF  $C_{50}$ s higher than the  $C_{50}$  of the CRF and 4% (4/94) were significantly higher ( $p < 0.05$ ; Fig. 4C, red points below the equality line). With an orthogonally oriented stimulus in the eCRF the main effect also was for a lower  $C_{50}$  for the eCRF than the CRF (Fig. 4D), with 27% (19/70) of neurons showing a significantly lower eCRF  $C_{50}$  compared with 6% (4/70) of neurons where the eCRF  $C_{50}$  was higher. While the  $C_{50}$ s for both collinear and orthogonal eCRF suppression had similarly low values and contrast ranges, there was no significant correlation between the two measures ( $r = 0.18$ ,  $p = 0.15$ ; Fig. 4E). Overall the population comparison suggests that the eCRF was more sensitive to contrast than the CRF.

The magnitude of eCRF suppression of the center's response depends on the orientation of the stimulus in the eCRF (Levitt and Lund, 1997; Cavanaugh et al., 2002b; Shushruth et al., 2012, 2013). For the population of neurons we studied, the  $R_{\max}$  for collinear suppression was consistently greater than for orthogonal suppression (Fig. 5). Nonetheless there was little difference on average in the eCRF  $C_{50}$  as a function of orientation. These results suggest that the contrast sensitivity of the suppressive component is not strongly dependent on suppression-strength or stimulus orientation in the eCRF. There was no discernible systematic relationship between the exponent of the contrast response function for the CRF and the exponent of the contrast dependency of



**Figure 4.** Comparison of  $C_{50}$  values from CRF with eCRF. **A**, Distribution of  $C_{50}$  values from the Naka–Rushton function fitted to the contrast response of the CRF (black histogram) and contrast-dependent suppression from the collinear orientation in the eCRF (gray histogram). The arrows on the abscissa indicate the median values of each distribution. On average, eCRF  $C_{50}$  values were lower than CRF  $C_{50}$  values. **B**, Histogram of CRF  $C_{50}$  and orthogonal eCRF  $C_{50}$ . **C**, Scatter plot of CRF and collinear eCRF  $C_{50}$  values; points in red indicate a significant ( $p < 0.05$ ) difference in the parameters. The majority of points lie above the unity line, indicating that within individual neurons eCRF  $C_{50}$  values were significantly lower than CRF  $C_{50}$  values. **D**, Scatter plot of CRF and orthogonal eCRF  $C_{50}$  values. Again, the majority of points lie above the unity line. **E**, Scatter plot of  $C_{50}$  values for both collinear and orthogonal eCRFs. For both orientations the eCRF  $C_{50}$  distributions were centered around very low contrasts; however, there was no significant correlation between the two measures.



**Figure 5.** Orientation-dependent eCRF suppression strength. Scatter plot of the maximum strength of suppression from collinear and orthogonal stimuli in the eCRF. Suppression strength was defined as the percentage suppression of CRF responses and was estimated as the  $R_{\max}$  parameter from contrast response function fits to eCRF suppression. There was a significant correlation between the strength of eCRF suppression for collinear and orthogonal stimuli ( $r = 0.32$ ,  $p = 0.001$ ). Nearly all points lie below the unity line, indicating that there was consistently stronger suppression from the collinear eCRF stimulus.

suppression in the eCRF for either a collinear or orthogonal stimulus in the eCRF (data not shown).

### Mechanisms of CRF/eCRF contrast interactions

A further series of experiments was undertaken to probe the mechanisms of the substantial difference in half-maximal contrast,  $C_{50}$ , between the CRF and eCRF. Spatially segregated stimuli are often used in an attempt to drive the CRF and eCRF separately. However, the underlying CRF and eCRF are not necessarily entirely separate in space and may indeed be partially or largely overlapping as modeled by (Sceniak et al., 2001;

Cavanaugh et al., 2002a; Schwabe et al., 2006). Thus, while an optimally sized central stimulus engages no observable suppression in extracellular recordings, it may potentially provide sub-threshold drive to eCRF mechanisms. Therefore one potential explanation of the suppression from low-contrast stimuli presented to the eCRF is that they activate a suppressive mechanism that has been brought near to its threshold by the CRF stimulus. The near threshold assumption predicts that lowering the contrast of a stimulus in the CRF should decrease the amount of suppression produced by a given stimulus in the eCRF. The model of Schwabe et al. (2010) predicts an increase in suppression for the mid-range of CRF contrasts but is consistent with the near threshold predictions at low CRF contrasts where it predicts a decrease in suppression strength for a given eCRF stimulus.

To examine these predictions under our experimental conditions, we measured how the strength of suppression produced by given stimuli in the eCRF changed when the contrast of a stimulus in the CRF was lowered from  $C_{90}$  to  $C_{50}$ . Suppression indices (SI) were significantly correlated between conditions with  $C_{50}$  and  $C_{90}$  contrasts in the CRF, for both collinear and orthogonal orientations in the eCRF ( $r = 0.74, p < 0.00001$ , and  $r = 0.46, p < 0.001$ , respectively). With collinear stimuli, the SI was significantly greater with a  $C_{50}$  than with a  $C_{90}$  stimulus in the CRF for both moderate to strong suppression, ( $SI > 20\%$ ,  $p < 0.00001$ ) and weak suppression ( $SI < 20\%$ ,  $p < 0.0003$ , Wilcoxon signed-rank test). With orthogonal eCRF stimuli that had an  $SI > 20\%$  at center contrast  $C_{90}$ , there was no significant change in SI when the CRF contrast was lowered from  $C_{90}$  to  $C_{50}$  ( $p = 0.11$ ). However, for orthogonal  $SI < 20\%$  when the center contrast was  $C_{90}$ , there was significantly stronger suppression when the contrast in the CRF was  $C_{50}$  ( $p = 0.004$ ). The results indicate that the strength of suppression increased somewhat when the contrast of a stimulus in the CRF was lowered to  $C_{50}$ . This result is consistent with the results of some previous studies (Levitt and Lund, 1997; Cavanaugh et al., 2002b; Schwabe et al., 2010).

As suppression from collinear eCRF stimuli was typically stronger than that from orthogonal eCRF stimuli, we also asked whether this difference in suppression strength was altered by a change in the contrast of the stimulus that drove neurons' CRFs. Measures of the amount of orientation-tuned suppression (calculated as the difference in SIs between collinear and orthogonal eCRF stimuli) were made for all recorded neurons and all eCRF contrasts tested. Suppression to collinear stimuli remained strongest, whether or not the stimulus in the CRF was of relatively higher ( $C_{90}$ ) or lower ( $C_{50}$ ) contrast. Furthermore, there was a significant correlation between tuned suppression at  $C_{50}$  and at  $C_{90}$  ( $r = 0.41, p < 0.0001$ ), demonstrating that the orientation tuning of eCRF suppression persisted when the CRF was driven with a lower contrast. The strength of tuned suppression was significantly greater when the CRF was driven with  $C_{50}$  contrast ( $p = 0.034$ , Wilcoxon signed-rank test). Thus, both suppression from individual eCRF stimuli as well as orientation-selective suppression (measured across multiple stimuli) maintain or increase their efficacy when neurons' CRFs are driven by stimuli of lowered luminance contrast, at least for the ranges of contrast examined in this study.

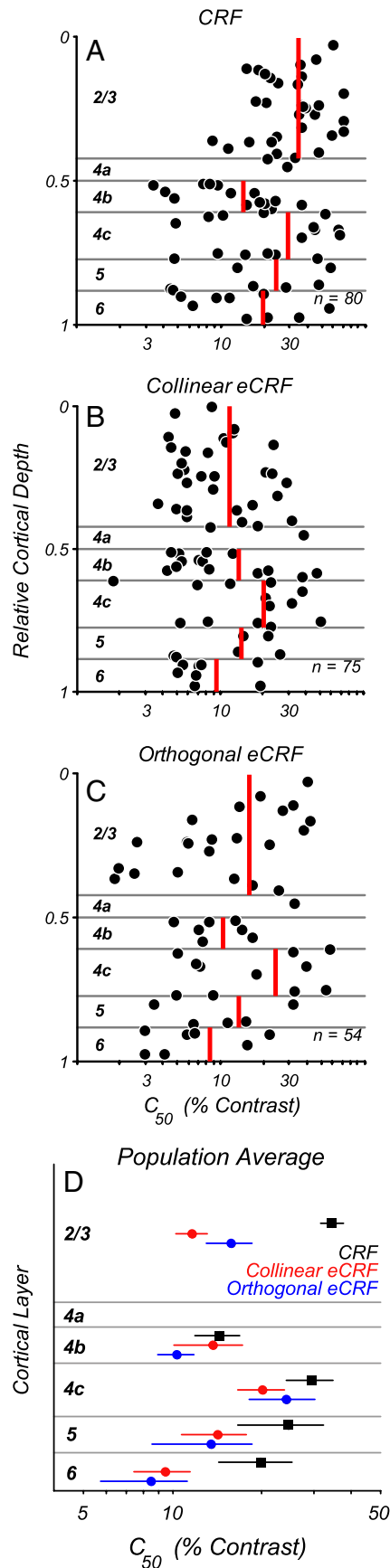
### Laminar organization of eCRF suppression

Neurons in V1 show a diversity of modulation from their eCRFs; some are entirely suppressed by spatially extended stimuli and others summate over large areas without showing suppression. To understand how eCRF properties are generated in V1, it is important to know whether the variance in the population is

partially due to differences in eCRF properties across cortical layers. Initially we determined how  $C_{50}$  (of both CRF excitation and eCRF suppression) was distributed across cortical layers (Fig. 6). As there were no obvious trends within layers in our dataset, all statistical analyses were conducted by averaging over neurons within a given layer. However, a larger dataset could potentially reveal differences in contrast sensitivity within layer, such as between  $4c\alpha$  and  $4c\beta$ . In the majority of neurons in layers 2/3, the CRF was relatively insensitive to contrast ( $C_{50}$  of 15% or greater) while approximately half of the neurons in layers 4b, upper  $4c\alpha$ , and 6 had higher contrast sensitivities (Fig. 6A, red vertical lines indicate mean values within each layer). It is readily apparent that the  $C_{50}$  values for the collinear eCRF suppression (Fig. 6B) were much lower than those for CRF excitation in layers 2/3. On average, contrast sensitivity for suppression was high ( $C_{50} \sim 10\%$ ) across layers 2/3, 4b, and 6; layer 4c was an exception. In layers 2/3, on average the eCRF  $C_{50}$ s for collinear and orthogonal stimulus orientations (Fig. 6D, red and blue circles, respectively) were two- to threefold lower than the average  $C_{50}$  for the CRFs (black squares), which were relatively contrast insensitive. The values for CRF and eCRF  $C_{50}$  differed significantly in layers 2/3 ( $p < 0.0001$ , Wilcoxon signed-rank test) but not in other cortical layers ( $p > 0.11$ ). If eCRF properties are generated by intracortical connections, the differential contrast sensitivity between the eCRF and CRF suggests that neurons in cortical layers in which the CRF has higher contrast sensitivity (such as layers 4b and  $4c\alpha$ ) provide a major source of the input to eCRFs of neurons in all cortical layers.

The magnitude of peak suppression in the eCRF was determined by the  $R_{\max}$  parameter of the fitted contrast response functions, at both collinear (Fig. 3B–N) and orthogonal (Fig. 3C–O) orientations, and is shown as a function of cortical depth of the recorded neuron (Fig. 7A). Values near 100% indicate almost complete response suppression to stimuli within the CRF and values near 0% indicate no modulatory effect. Results were similar when the measured suppression strength from the highest eCRF contrast tested was used instead of the  $R_{\max}$  parameter (median difference  $\pm 1$  SD:  $1.9 \pm 6.0\%$ ). The eCRF collinear-stimulus suppression strength (Fig. 7A, red lines: mean values) significantly varied across layers ( $p = 0.004$ , Kruskal–Wallis test) and was greatest in layers 2/3 and 4b, confirming results from previous studies (Sceniak et al., 2001; Shushruth et al., 2009). In addition, a recent study reported strong suppression in layer  $4c\alpha$  as well as layers 3b and 4b (Shushruth et al., 2013). The majority of neurons in layer 6 showed almost no eCRF suppression (Gilbert, 1977; Sceniak et al., 2001). The distribution of suppression strengths for orthogonal stimuli was more uniform across layers (Fig. 7B;  $p = 0.38$ , Kruskal–Wallis test), with only a few layer 2/3 cells showing stronger than average suppression.

To estimate how the orientation tuning of suppression varied across cortical layers we estimated tuned suppression as the difference in suppression strengths (difference between the  $R_{\max}$  values) between collinear and orthogonal eCRF (Fig. 7C). Tuned suppression was strongly dominant in layers 2/3 and 4b ( $24 \pm 4\%$  and  $31 \pm 7\%$  mean  $\pm$  SEM, respectively), but was weaker in the input layer 4c ( $11 \pm 6\%$ ) and in layer 5 ( $11 \pm 4\%$ ). In layer 6 there was little eCRF suppression for either collinear or orthogonal stimuli. Consequently the suppression difference was almost zero ( $5 \pm 5\%$ ). These measures of the strength of tuned suppression varied significantly across layers ( $p = 0.018$ , Kruskal–Wallis test). Thus, an orientation-tuned component of eCRF suppression (Levitt and Lund, 1997; Cavanaugh et al., 2002b) appears to be generated in specific local circuits within V1, and is manifested



**Figure 6.** Contrast evoking half-maximal response ( $C_{50}$ ) of CRF and eCRF across cortical layers. Measures of  $C_{50}$  are plotted for excitation from the CRF and suppression from the eCRF in relation to the cortical depth of recordings. Red vertical lines indicate mean values within each

predominantly in the supragranular cortical layers 2/3 and 4b, which also provide the major direct output from V1 to visual areas V2 and MT.

### Temporal dynamics of eCRF modulation: tuned and untuned suppression

To tease apart the circuits underlying eCRF mechanisms, the temporal dynamics of modulation was analyzed. The preceding analyses in this paper focused on steady-state measures of CRF modulation from stimuli in the eCRF: responses averaged over the entire duration of the stimulus presentation. The dynamics of the development of different response components has been used to study cortical mechanisms of selectivity (Ringach et al., 1997, 2002, 2003; Xing et al., 2011). Response timing has also been used to infer intracortical processes involved directly in eCRF processing (Bair et al., 2003; Smith et al., 2006). Here we report on the temporal response properties of the suppression and their dependence on contrast and orientation of stimuli presented to the eCRF. Because the analysis was based on the timing of spike rate, the analysis was applied only to complex cells in the population of V1 cells studied. Since neurons can differ in their response latencies to the presentation of a stimulus, and to better compare temporal response dynamics across neurons, we aligned the responses to all stimulus conditions for each neuron to the time of its CRF response onset to an optimal grating.

We investigated how changes in the visual stimuli used here affected neurons' temporal response profiles. We first measured changes in the timing of responses from the CRF with contrast. Because eCRF modulation is only detectable in the presence of CRF responses and is often measured relative in time to CRF responses, changes in CRF onset latency with contrast could influence the apparent arrival time of eCRF signals. Next, we show that the onset latency of eCRF suppression was also systematically influenced by eCRF stimulus contrast. Finally we demonstrate different response dynamics for the two components of eCRF suppression (orientation-untuned and orientation-tuned). Tuned and untuned suppression from the eCRF were engaged across the entire contrast range. Together, these functional properties and their associated delays will combine to dynamically influence signaling of contextual modulation in V1.

### Onset latencies with contrast

The initial goal was to measure the onset latency for CRF responses and eCRF suppression. First we measured response onset latency as a function of contrast for the optimal spatiotemporal stimulus confined to the CRF. For individual complex cells onset latencies were estimated to be the first time point at which the stimulus-driven cumulative spike counts significantly exceeded the cumulative spike counts for spontaneous activity. Across the

←

layer. **A**, Laminal distribution of the  $C_{50}$  parameter obtained from the best-fitting Naka-Rushton function to the CRF contrast responses for each neuron. On average, the contrast eliciting half-maximal excitation from the CRF was  $\sim 20\%$  for all layers and there was a trend for the lowest  $C_{50}$ s to occur in layers 4b and 6. **B**, Distribution of  $C_{50}$  parameter values obtained for each neuron for eCRF suppression from collinear stimuli. Contrast sensitivity for suppression was high ( $C_{50} \sim 10\%$  contrast) across all layers, even in layers 2 and 3 for which the CRF had a low-contrast sensitivity (**A**). **C**, The distribution of  $C_{50}$  for suppression from orthogonal gratings in the eCRF. Even though orthogonal grating in the eCRF produced relatively weak suppression, the sensitivities were higher on average than those for CRF excitation. **D**, Summary plot of the average values for CRF (black squares) and eCRF  $C_{50}$  (collinear, red circles; orthogonal, blue circles) across cortical layers (mean  $\pm 1$  SD). eCRF  $C_{50}$  values were lower than CRF values across all layers, with the largest differential in layers 2/3.



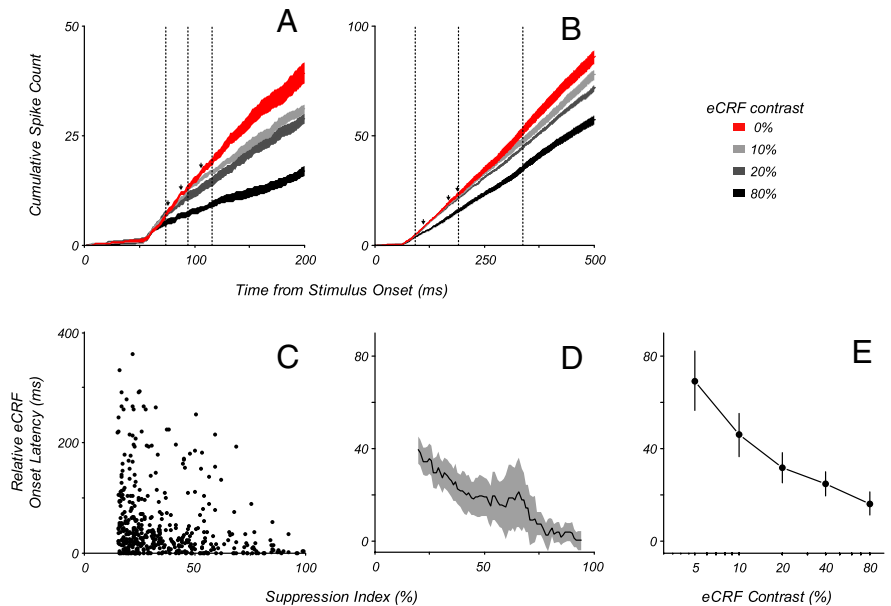


These results have important implications for interpreting earlier studies where there were conflicting results on the timing of eCRF suppression (Bair et al., 2003; Müller et al., 2003; Smith et al., 2006) and hence where and how within the circuit the eCRF effects are generated. We elaborate further upon this matter in the Discussion.

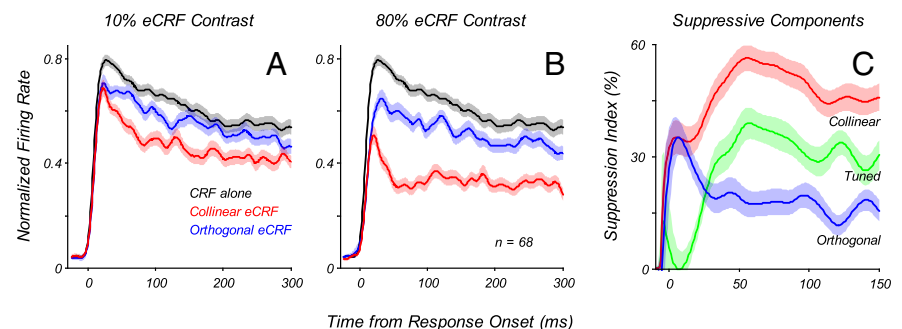
### Component mechanisms of eCRF suppression

In the first section of Results, we reported that there was a difference in steady-state suppression strength with orientation (Fig. 5). To tease apart the components of eCRF suppression we examined how the timing of modulation depended upon the orientation of stimuli presented within the eCRF. The time course of response modulation by collinear and orthogonal stimuli in the eCRF enabled us to estimate the dynamics of tuned and untuned suppression coming from the eCRF. To obtain a population estimate of the effects of different eCRF stimulus conditions, the responses of each complex cell were aligned in time to the onset of the response to the stimulus in the CRF alone, normalized to the peak instantaneous firing rate to that stimulus, and then the responses for all cells were averaged for each eCRF stimulus contrast and orientation combination (Fig. 10, lines, mean values; shaded regions,  $\pm 1$  SEM).

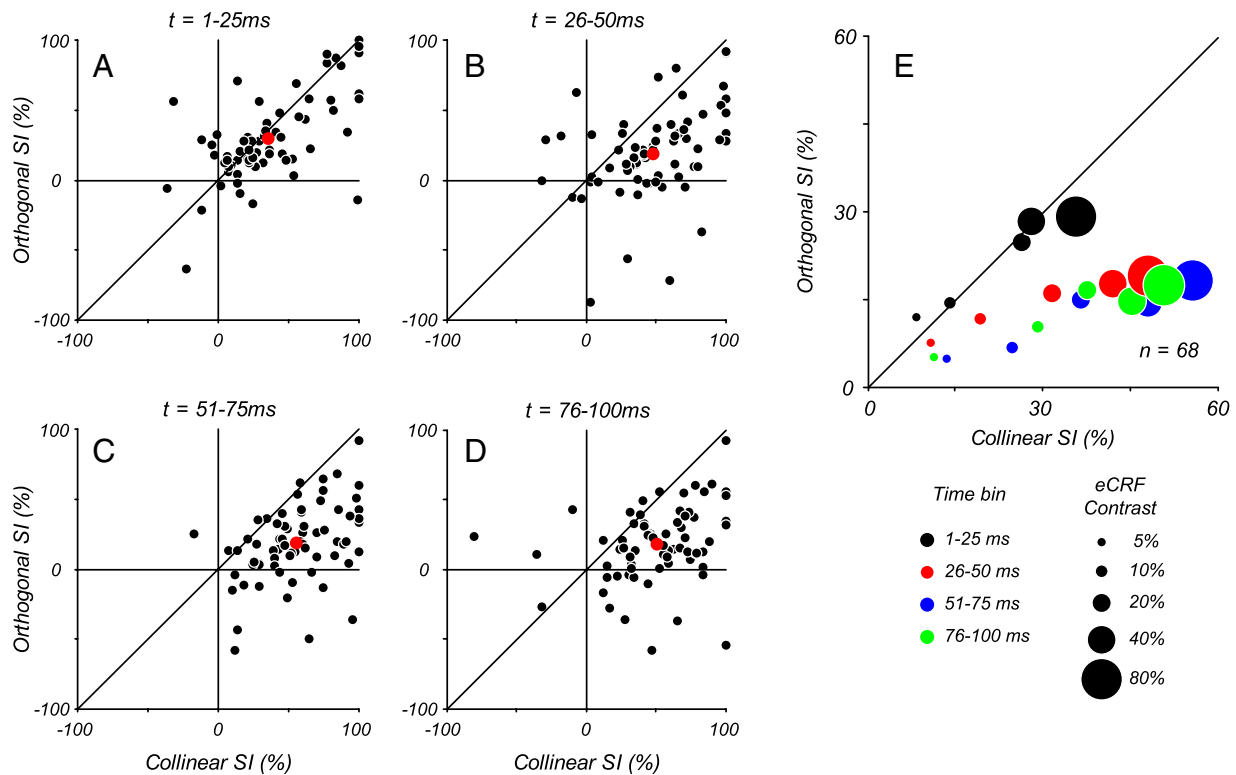
The first significant result was that the initial suppression was independent of stimulus orientation in the eCRF (Fig. 10A; 10% eCRF contrast, compare red and blue curves). However, at later points in time the collinear stimulus in the eCRF exerted stronger suppression than the orthogonal stimulus. At higher eCRF contrasts (Fig. 10B; 80% contrast) a similar pattern held, with the exception that overall eCRF suppression was stronger and arrived earlier. The difference in the strength of suppression between the collinear and orthogonal eCRF conditions showed the time course of the orientation-tuned component of suppression (Fig. 10C, green curve). Based on the average responses, the tuned component of suppression was  $\sim 20$ – $30$  ms delayed relative to the early, untuned component that was similar for both the collinear and the orthogonal eCRF stimulus. We term this early component untuned suppression, the component of suppression from the orthogonal stimulus in the eCRF, and suggest that it shows the same latency and amplitude whether elicited by the collinear or orthogonal stimulus, or any orientations in between. The tuned



**Figure 9.** Change in eCRF suppression onset latency with stimulus suppression strength in the eCRF. **A, B**, Cumulative spike counts are shown for two example neurons for optimal CRF stimuli at a contrast of  $C_{90}$  within the CRF alone (no eCRF contrast, red traces) and with progressively higher contrasts of the collinear stimulus in the eCRF (10, 20, and 80%: gray traces). The width of each trace indicates the mean  $\pm 1$  SD of the cumulative spike count over time. Both neurons showed the earliest suppression onset for eCRF stimuli of 80% contrast. As contrast was lowered, onset latencies for suppression increased by tens of milliseconds for the example cells shown in **A**, and sometimes by as much as 100 ms or more as for the cell shown in **B**. The three vertical lines in **A** and **B** show the latency for the onset of suppression for each of the three contrast levels, as estimated using a statistical criterion (see Materials and Methods). Small arrows indicate suppression onset latency determined by the time at which suppression reaches 5% of its cumulative effect over the 500 ms stimulus presentation. **C**, Time of suppression onset latency (relative to response onset from the CRF) is plotted as a function of the SI (calculated over the entire stimulus presentation) for all eCRF conditions that produced at least 15% suppression of the neuronal response. While many stimuli suppressed the responses immediately around the time of CRF response onset (0 ms), suppression onset was often delayed by up to hundreds of milliseconds. Further, eCRF-induced suppression onset latency was significantly negatively correlated with suppression strength ( $r = -0.24$ ,  $p \leq 0.0001$ ) indicating that weaker suppression tended to arrive later. **D**, Smoothed plot of the data in **C** showing the median onset latency of suppression  $\pm 1$  SEM (gray region) averaged using a boxcar of width 10%. While strong suppression arrived around the time of CRF response onset, weaker suppression tended to be delayed by  $\sim 40$  ms. **E**, The onset latency of suppression increased as stimulus contrast within the eCRF was lowered.



**Figure 10.** Temporal dynamics of eCRF suppression. The average response dynamics to a stimulus in the CRF, with the preferred stimulus parameters and a  $C_{90}$  contrast, when different stimuli are presented to the eCRF. All responses were first normalized to the peak response to the stimulus in the CRF alone (no eCRF modulation), and taken from the time of response onset to the stimulus in the CRF alone. **A**, Response dynamics to a stimulus in the CRF with no eCRF stimulus (black) as well as with collinear (red) and orthogonal (blue) stimuli in the eCRF presented at 10% contrast (lines, mean values; shaded regions,  $\pm 1$  SEM). At the earliest times there was very little suppression from the eCRF stimuli, whereas later in time (30–40 ms) there developed a stronger suppression from the collinear eCRF stimulus. **B**, Similar plots to **A**, except here, stimuli in the eCRF were presented at 80% contrast. At earliest times (0–25 ms) there was some suppression from both eCRF stimuli, with stronger suppression coming from the collinear eCRF stimulus later (25–50 ms). **C**, To better highlight the dynamics, responses from **B** are replotted here as the percentage of response suppression. The suppressive components coming from the collinear and orthogonal eCRF stimuli are shown in red and blue, respectively. Plotted in green is the difference between those two curves, what we term the tuned suppressive component, which did not begin to develop until 25–50 ms.



**Figure 11.** Comparison of suppression to collinear and orthogonal stimuli over time. Suppression indices for 68 complex cells for collinear and orthogonal eCRF stimuli at 80% contrast were calculated as a function of time in 25 ms bins, where  $t$  is time from CRF response onset, and 100% represents complete suppression of the response and 0% represents no suppression; negative SI values indicate response facilitation. **A**, At the earliest times (1–25 ms) the amount of suppression was approximately equal between the two conditions, as shown by points lying along the unity line. The point in red indicates the mean suppression over all neurons. **B**, At later times (26–50 ms), stronger suppression from the collinear eCRF stimulus started to become evident, which persisted at later time points (**C**, **D**). **E**, The average suppression indices are plotted for the population of cells to illustrate how suppression developed across time and across contrasts. The size of the dot indicates the contrast presented in the eCRF, with larger sizes indicating higher contrasts. The color of the dot indicates the time bin over which the suppression indices were measured. At earliest times (black) the suppression between the two stimuli was equal, with higher contrasts inducing greater suppression. At later time points (red, blue, green), for all contrasts, suppression from the collinear stimuli in the eCRF was greater than from orthogonal stimuli.

component of suppression reached its peak at  $\sim 50$ – $60$  ms after the CRF response onset.

It is important to determine how the tuned and untuned components of suppression developed in time with contrast. In the early period (1–25 ms) after response onset, the strengths of suppression for collinear and orthogonal stimuli at the highest contrast tested (80%) were relatively similar (Fig. 11A). In later periods there was a shift in relative strength so that by 50 ms the main component of suppression was associated with the collinear stimulus (Fig. 11B–D). It should be noted that for a very small number of neurons there was some facilitation evident in the selected integration windows, as indicated by suppression indices with negative values. The relationship between the dynamics of eCRF facilitation and suppression will be addressed more thoroughly in a companion study.

To determine the effect of contrast in the eCRF on the time course of the differential development of collinear and orthogonal suppression, we calculated the average suppression, across the 68 neurons tested, for each time interval at each contrast. Time-dependent changes in the ratio of collinear to orthogonal suppression were evident at all stimulus contrasts. In this summary plot (Fig. 11E), the size of the dot indicates eCRF stimulus contrast and the color indicates successive time windows (black, red, blue, and green, respectively). For high-contrast stimuli (80% contrast, large dots) the collinear and orthogonal suppression are approximately equal in the 1–25 ms integration window, but collinear suppression strengthens and orthogonal suppression

weakens at later time points (Fig. 11A–D; data replotted from mean values). The same temporal sequences can be traced for lower contrasts.

Note that in both Figures 10C and 11E, the time course of untuned suppression (i.e., equal strength across eCRF orientations) is not only more rapidly rising than that of tuned suppression, but it also is more transient in time, with a decay in strength from 1–25 to 76–100 ms. The different time course of the tuned and untuned eCRF responses support the idea that tuned and untuned suppression are two distinct mechanisms that contribute to eCRF suppression. The importance of the dynamical properties on understanding of the cortical circuits involved in eCRF modulation is presented in more detail below.

## Discussion

Objects and contours in natural images are often spatially extended beyond a neuron's CRF and scenes contain low or intermediate contrast energy (Tadmor and Tolhurst, 2000). We report robust suppressive eCRF mechanisms in V1 that are engaged at low contrasts and across a wide contrast range. While we did not find strong eCRF facilitation, other studies (Ichida et al., 2007) have shown that facilitation is mainly observed either when stimuli are absent from the region abutting the CRF or when the CRF is weakly or suboptimally stimulated (Polat et al., 1998; Ichida et al., 2007; Shushruth et al., 2012). Given the spatially extended contours present in natural scenes and the suppression we observe from large stimuli across a range of stimulus con-

trasts, we think that eCRF mechanisms we describe in this study are likely to generalize to cortical network engagement under behaviorally relevant conditions.

### Contrast sensitivity and eCRF circuits

Of the 114 neurons studied, 88% showed >15% response suppression from at least one of the stimuli presented to the eCRF. For both collinear and orthogonal eCRF stimuli, the contrast that evoked half-maximal eCRF suppression was often lower than for CRF excitation (Fig. 4C,D). A similar result for collinear stimuli was reported for cat area 17 (Sadakane et al., 2006). For collinear eCRF stimuli, a substantial fraction of our population (~50%) had  $C_{50s} < 10\%$  contrast (Fig. 4A) and thus displayed suppression at very low contrasts.

Strong low-contrast suppression suggests a suppressive contribution from cortical neurons in the magnocellular pathway. This pathway has been associated with low-contrast thresholds (Kaplan and Shapley, 1986; Hawken et al., 1988) and responds best to achromatic stimuli (Wiesel and Hubel, 1966). In V1, eCRF suppression is reported to be predominantly achromatic (Solomon et al., 2004). The possibility that magnocellular-driven signals contribute to the eCRF is of particular interest in superficial layers (layer 2/3) of V1, where the CRF  $C_{50}$  is relatively high and characteristic of parvocellular pathway input but the eCRF  $C_{50}$  is low and indicative of the magnocellular pathway (Fig. 6D). This result suggests that local circuit models of CRF–eCRF suppressive mechanisms (Cavanaugh et al., 2002a; Ayaz and Chance, 2009; Ozeki et al., 2009; Schwabe et al., 2010) should incorporate functional inputs with parvocellular-like signals to the CRF and magnocellular-like signals to the eCRF for the majority of layer 2/3 neurons.

### Orientation selectivity and eCRF circuits

Based on their tuning profiles, the orientation-selective components of suppression (Levitt and Lund, 1997; Cavanaugh et al., 2002b; Webb et al., 2005; Xing et al., 2005) are of presumed cortical origin. Earlier studies on V1 neurons' size tuning reported that the strongest eCRF suppression was in layers 2/3 and 4b (Sceniak et al., 2001; Shushruth et al., 2009, 2013). Strong size tuning could be due either to orientation-independent or orientation-dependent suppression. Our current study showed that superficial-layer neurons, which have the strongest eCRF suppression, also exhibited the strongest orientation-tuned eCRF suppression (Fig. 7C). Neurons in input (4c) and deep layers (5 and 6) showed much weaker tuned suppression, accounting for their weaker eCRF suppression overall. However, recently Shushruth et al. (2013) reported that neurons in layer 4c $\alpha$  also showed strong tuned suppression while the suppression in 4c $\beta$  was somewhat weaker and less tuned. They found that this difference in tuning was most evident from stimulation of the near surround, which is the eCRF region our stimuli are likely to have most strongly engaged. The differences in strength and tuning of eCRF modulation in 4c $\alpha$  and 4c $\beta$  between the two studies needs to be resolved but may be partially due to the relatively small sample sizes from these sublaminae. In our study, we find that the tuning selectivity of the eCRF was preserved across a wide contrast range (Figs. 10, 11E). In summary, tuned eCRF suppression appeared to be generated and amplified within the cortical layers that provide the principal output to extrastriate cortex but it was largely absent from the input layers and infragranular layers of V1. Previously, in studying the dynamics of orientation selectivity Xing et al. (2005) found a significant component of tuned suppression from within and near the CRF in all cortical layers.

The current study focused upon the responses from stimuli confined to the eCRF. Reconciling the results in infragranular layers will require investigation into the precise spatial extent of these suppressive mechanisms.

The origin of eCRF untuned suppression is a matter of debate. Based upon spatial tuning characteristics (broad orientation and spatiotemporal frequency tuning), Webb et al. (2005) suggested that this eCRF component was likely inherited from the lateral geniculate nucleus (LGN), as also suggested by Sadakane et al. (2006). While LGN neurons exhibit eCRF suppression, particularly magnocellular cells in macaque (Sceniak et al., 2006; Alitto and Usrey, 2008) and marmoset (Solomon et al., 2002), these neurons are likely to be maximally suppressed by stimuli at optimal sizes for V1 CRFs as previously described by (Ozeki et al., 2004). Another potential explanation of untuned suppression is that a population of broadly tuned cortical neurons provides eCRF input. V1 has a pool of neurons that are broadly orientation frequency and spatial frequency tuned (Ringach et al., 2002; Xing et al., 2004) that could form the neural substrate for untuned suppression. If the untuned suppression from the eCRF is cortical in origin it still needs to be determined whether it is an extension of the same untuned suppression (Xing et al., 2005) or normalization signal (Smith et al., 2006) that is underlying the CRF or it is a separate mechanism.

### Response dynamics of eCRF suppression

Numerous psychophysical and neurophysiological studies have varied the spatial separation between central and flanking stimuli to determine whether changes in the onset latency of modulation are consistent with the conduction velocities of long-range horizontal axons in V1. While one study of V1 physiology (Bair et al., 2003) found delays to be inconsistent with a horizontal propagation explanation, other psychophysical studies (Cass and Spehar, 2005) argued that eCRF modulation was consistent with horizontal propagation. We showed that stimuli with constant spatial structure exhibited relative increases in eCRF onset latency due to a reduction of stimulus contrast or drive, which is in agreement with the contrast-dependent integration properties of cortical neurons (Gawne et al., 1996). On average, a reduction of eCRF stimulus contrast led to a ~40 ms increase in suppression onset. This delay, if interpreted as coming from V1 horizontal connections with conduction velocities of 0.1 m/s, implies signals propagating from 4 mm lateral in cortex, which is the anatomical extent of horizontal connections. Thus, we caution that contrast-integration delays present a confound to attempts to use the time of modulation onset to link eCRF signals to precise horizontal circuitry in V1 cortex.

Across a wide contrast range, we found two eCRF suppressive components with distinct orientation-tuning profiles: a shorter latency untuned component and a longer latency tuned component that often had a larger response magnitude (Fig. 10). The temporal dynamics of the tuning of eCRF modulation explains a number of conflicting results from earlier studies. Müller et al. (2003) reported that suppression was coincident or earlier in time than CRF response onset whereas Bair et al. (2003) showed that eCRF suppression was often relatively delayed by 10–30 ms. When comparing the CRF response onset with the response to the same stimulus with a high-contrast collinear stimulus in the eCRF, suppression can occur as early as CRF onset (Müller et al., 2003), a result we confirmed (Fig. 9C). However, this early suppression was not orientation-tuned (Figs. 10C, 11E). Conversely, measuring the difference in response profile between two eCRF conditions with different stimulus orientations, as done in Bair et al.



al. (2003) and Smith et al. (2006), is akin to measuring the tuned component of eCRF suppression, which arrives with longer latency. Studies of figure-ground segregation have also used this latter comparison method (Lamme, 1995; Supèr et al., 2001) in which an oriented object covering the CRF is made more or less salient by an orthogonal or collinear background, respectively. Neural activity was reduced for the collinear condition. The difference-signal onset appeared at moderate latency and was reported to be observed only in the cortex of the awake, behaving primate, leading to the conclusion that it was a higher level, behavioral figure-ground signal. Our results on the sufentanil-anesthetized preparation suggest an alternative interpretation of the figure-ground results of Lamme et al. (1995), namely that such results are consistent with the delayed emergence of the orientation-tuned component of eCRF suppression we measured.

### Summary

In summary, the current study showed a clear elaboration of contextual effects from the input (layer 4c) to the corticocortical output layers (layers 2/3 and 4b), where orientation-tuned eCRF suppression was predominant and the contrast that first evoked suppression was relatively low. This high-contrast sensitivity is a signature of the achromatic magnocellular pathway and suggests that the eCRF in many neurons, whether their CRF is dominated by magnocellular or parvocellular signals, will be activated by low to intermediate contrast stimuli in natural scenes (Tadmor and Tolhurst, 2000). In addition we showed that changes in stimulus contrast and orientation systematically influence the temporal evolution of eCRF modulation. Perceptually, the dynamic changes in eCRF properties are likely to be relevant under natural viewing where fixation durations can last a few hundred milliseconds due to saccadic eye movements. Perceptual contextual modulation will be affected by both stimulus properties and viewing duration. For many aspects of visual object recognition, such as figure-ground segregation (Supèr et al., 2010), border-ownership coding (Zhang and von der Heydt, 2010), and natural viewing (Vinje and Gallant, 2002), the response properties of V1 neurons' eCRFs are likely to be influential in the computations required for perception.

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