

Intranasal Prophylaxis with CpG Oligodeoxynucleotide Can Protect against *Yersinia pestis* Infection

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Immunomodulatory agents potentially represent a new class of broad-spectrum antimicrobials. Here, we demonstrate that prophylaxis with immunomodulatory cytosine-phosphate-guanidine (CpG) oligodeoxynucleotide (ODN), a toll-like receptor 9 (TLR9) agonist, confers protection against *Yersinia pestis*, the etiologic agent of plague. The data establish that intranasal administration of CpG ODN 1 day prior to lethal pulmonary exposure to *Y. pestis* strain KIM D27 significantly improves survival of C57BL/6 mice and reduces bacterial growth in hepatic tissue, despite paradoxically increasing bacterial growth in the lung. All of these CpG ODN-mediated impacts, including the increased pulmonary burden, are TLR9 dependent, as they are not observed in TLR9-deficient mice. The capacity of prophylactic intranasal CpG ODN to enhance survival does not require adaptive immunity, as it is evident in mice lacking B and/or T cells; however, the presence of T cells improves long-term survival. The prophylactic regimen also improves survival and reduces hepatic bacterial burden in mice challenged intraperitoneally with KIM D27, indicating that intranasal delivery of CpG ODN has systemic impacts. Indeed, intranasal prophylaxis with CpG ODN provides significant protection against subcutaneous challenge with *Y. pestis* strain CO92 even though it fails to protect mice from intranasal challenge with that fully virulent strain.

Yersinia pestis, the etiologic agent of plague, is a Gram-negative facultative intracellular bacterium that is endemic in rodent populations and can be spread to humans by the bite of an infected flea. Transmission by fleabite commonly results in bacterial growth in the draining lymph node, which manifests as bubonic plague (1–3). In some instances, fleabite infections progress directly to septicemic plague, in which case the bacteria disseminate systemically (4, 5). Septicemic plague can also result from bubonic or pneumonic infections. Pneumonic plague, which may occur either as a primary infection through inhalation of *Y. pestis* bacteria or as a secondary consequence of septicemic plague, can be transmitted from person to person via infectious droplets produced by coughing, perhaps making this form of plague the greatest threat to public health (4, 6, 7).

Y. pestis has caused three major pandemics throughout recorded history and has been responsible for hundreds of millions of human deaths (1, 2). Although cases of plague are less frequent today due to advances in treatments and improvements in public health surveillance and sanitation, isolated *Y. pestis* infections and small outbreaks still occur globally, especially in developing countries (3, 8–10). Antibiotics can be used to treat plague but fail to prevent lethality unless treatment begins within a short time after symptoms appear (4, 6). In addition to naturally acquired infections, a major current concern is the potential use of *Y. pestis* as a bioweapon (6, 8, 9).

Despite substantial efforts over the past century, there is no safe and effective vaccine for plague (reviewed in references 8 and 11). Subunit vaccines based upon the F1 and LcrV antigens of *Y. pestis* show promise (reviewed in references 12 and 13) but fail to induce adequate protection in every nonhuman primate model of plague (14). The cynomolgus macaque and African green monkey, for example, both produce antibodies in response to vaccination; however, the African green monkey is less effectively protected from pneumonic plague challenge. The reason(s) for the discrepant results in these two animals models is currently unclear (8, 14).

Due to the lack of an approved vaccine and the small window of opportunity afforded to infected individuals for treatment with antibiotics, researchers continue to search for new plague countermeasures.

Y. pestis is known to suppress and evade innate immunity using a variety of mechanisms, including secretion of immunomodulatory proteins that reduce cytokine production, prevent phagocytosis, and deplete cell populations that are key to mounting an effective innate immune response (reviewed in references 9 and 15–17). *Y. pestis* also produces a tetra-acylated form of lipopolysaccharide (LPS) that poorly stimulates Toll-like receptor 4 (TLR4) (18–20). *Y. pestis* strains engineered to constitutively express a more inflammatory, TLR4-activating, hexa-acylated form of LPS display greatly reduced virulence, and their inoculation induces protective immunity against challenge with the virulent parental strains (18, 21).

The fact that engineering *Y. pestis* to activate TLR4 results in attenuation (18, 21) suggests that stimulation of the innate immune system via an exogenous source prior to or during the early stages of infection may promote survival of *Y. pestis*-infected individuals. Indeed, treating mice with mimetics of the inflammatory forms of LPS lipid A protect against pulmonary *Y. pestis* challenge in a TLR4-dependent manner (22). Bacterial DNA can also

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be immunostimulatory due to the presence of unmethylated cytosine-phosphate-guanidine (CpG) repeats, which stimulate TLR9-dependent cytokine production and lymphocyte activation (23, 24). Synthetic oligodeoxynucleotides (ODN) containing these CpG motifs are immunomodulatory as well and have been used both as adjuvants and as stimulators of innate immunity in animal infection/vaccination models (25–33). Treatment with CpG ODN can protect mice against lethal infections caused by Gram-negative *Francisella tularensis*, *Klebsiella pneumoniae*, and *Burkholderia pseudomallei* (25, 28, 30–33) but also can exacerbate infections with *Salmonella enterica* (34).

In this report, we investigate the capacity of CpG ODN to protect mice against *Y. pestis* infection. Pigmentation locus (pgm)-negative *Y. pestis* strains, such as KIM D27, retain substantial virulence when mice are inoculated intranasally or intraperitoneally, but they are highly attenuated when inoculated subcutaneously. In contrast, pgm-positive strains, such as CO92, display high virulence when inoculated intranasally, intraperitoneally, or subcutaneously. The cause of mortality in mice infected intranasally with pgm-negative and -positive strains also differs, with pgm-negative strains causing bacteremia and sepsis while pgm-positive strains cause fulminant pneumonia, in addition to bacteremia and sepsis (35, 36). We demonstrate that intranasal prophylaxis with CpG ODN confers protection against intranasal or intraperitoneal infection with KIM D27. We also demonstrate that CpG ODN prophylaxis fails to protect against intranasal infection with fully virulent *Y. pestis* strain CO92, even though it does protect against subcutaneous infection with that fully virulent strain.

MATERIALS AND METHODS

Mice. Wild-type C57BL/6 mice, B-cell-deficient μ MT mice (B6.129S2-Igh-6^{tm1Cgn}), T-cell-deficient TCR β -deficient mice (B6.129P2-Tcrb^{tm1Mom} Tcrd^{tm1Mom}), RAG2-deficient mice, and TLR9-deficient mice on the C57BL/6 background were purchased from The Jackson Laboratory (Bar Harbor, ME) and then bred in the specific-pathogen-free Trudeau Institute Animal Breeding Facility. All mice used in these experiments were between 6 and 9 weeks old and were age and sex matched within each individual experiment. Unless otherwise indicated, experiments were conducted with male mice. Mice were cared for according to the Trudeau Institute Animal Care and Use Committee guidelines.

Bacteria. For studies using conditionally attenuated pigmentation-negative *Y. pestis* strain KIM D27 (37), bacteria from frozen glycerol stocks were grown overnight at 26°C with continuous shaking in Bacto heart infusion broth (Becton, Dickinson and Company) supplemented with 2.5 mM CaCl₂. After dilution to an optical density at 620 nm (OD₆₂₀) of 0.1 to 0.2, they were regrown for 3 h at 26°C and then washed with saline before inoculating mice. The median lethal dose (MLD) of strain KIM D27 for intranasal and intraperitoneal infection is approximately 1×10^4 and 1×10^3 CFU, respectively (38). For intranasal infections, mice lightly anesthetized with isoflurane received approximately 2×10^5 CFU (20 MLD) applied to the nares in a volume of 30 μ l saline. For intraperitoneal infections, mice were inoculated with approximately 2×10^4 CFU (20 MLD) in a volume of 100 or 200 μ l saline.

The fully virulent pigmentation-positive *Y. pestis* strain CO92 NR-641 was obtained through the NIH Biodefense and Emerging Infections Research Resources Repository, NIAID. Bacteria from frozen glycerol stocks were grown overnight at 26°C with continuous shaking in Bacto heart infusion broth, diluted to an OD₆₂₀ of approximately 0.1, and regrown for 2.5 to 3 h at 26°C. Preliminary studies established that the MLD of strain CO92 for subcutaneous and intranasal infection is approximately 3 CFU and 1×10^3 CFU, respectively, when grown as described above. For subcutaneous infections, isoflurane-anesthetized mice received approximately 10 CFU in a volume of 100 μ l saline, which was injected into the

scruff of the neck. For intranasal infections, mice lightly anesthetized with isoflurane received approximately 1×10^4 CFU (10 MLD) applied to the nares in a volume of 25 μ l saline.

CpG ODN. Unless indicated otherwise in the figure legend, mice were treated intranasally with 50 μ g CpG ODN 1826 (39). The ODN were administered in a volume of 25 μ l to the nares of mice lightly anesthetized with isoflurane. Control mice were left untreated or received sham treatments of phosphate-buffered saline (PBS), as indicated in the figure legends. The ODN were supplied by IDT (Coralville, IA).

Survival endpoints and bacterial burden. In all survival studies, recumbent animals were considered moribund and were euthanized. For measurement of bacterial burden, mice were euthanized by carbon dioxide narcosis at the indicated day after initiating infection. CFU were determined by homogenizing liver or lung tissues in saline and plating serial dilutions on blood agar plates, which were incubated at 26°C for 48 h (38, 40). Bronchial alveolar lavage (BAL) fluid was collected from euthanized mice in 1 ml of PBS, and bacterial burden was determined by plating serial dilutions on blood agar plates.

Flow cytometry. Lungs were perfused with saline containing heparin, minced, and then digested with collagenase and DNase (38). Red blood cells were lysed with red blood cell lysing buffer (Sigma), and viable cells were counted using trypan blue exclusion. Cells were treated with 2.4G2 monoclonal antibody (Bio X cell) to block Fc receptors and then stained with anti-CD11b-fluorescein isothiocyanate (FITC) (clone M1/70), anti-F4/80-phycoerythrin (PE) (clone BM8), anti-CD11c-allophycocyanin (APC) (clone HL3), and anti-Ly6G-Pacific Blue (clone 1A8). Data were collected on a Becton Dickinson FACSCanto II and analyzed using FlowJo software (version 8.8; Tree Star). In all experiments, forward- and side-scatter gating was used to exclude dead cells and debris, and forward-scatter area of the peak versus forward-scatter height of the peak were used to exclude doublets. Cell subsets were classified using modified versions of gating strategies described by Hall et al. and Judy et al. (30, 41). Anti-F4/80-PE was purchased from eBioscience (San Diego, CA), anti-Ly6G-Pacific Blue was purchased from Biolegend (San Diego, CA), and anti-CD11b-FITC and CD11c-APC were purchased from BD Pharmingen (San Diego, CA).

Quantification of intracellular bacteria in lungs. Gentamicin protection assays were based on published procedures (42), with modification for use with nonadherent cells. Briefly, whole lung cell suspensions were prepared from collagenase/DNase-digested lungs of infected mice as described above (excluding red blood cell lysis) and treated with 40 μ g/ml gentamicin in Dulbecco's modified Eagle medium (DMEM) for 2 h at 37°C. Following the incubation, cells were pelleted using gentle centrifugation (550 \times g), rinsed twice with PBS, and lysed with 200 μ l of 0.1% Triton X-100 in PBS. Bacterial CFU were enumerated by plating the lysates onto blood agar.

Cytospins and immunofluorescence. Cytospin smears of 100- μ l aliquots of BAL fluid were fixed in 75% acetone–25% ethanol for 15 min, air dried, and then rehydrated in 0.1% Triton X-100 in PBS. After blocking for 30 min in 5% normal mouse serum in PBS, the slides were stained with anti-F1-488 (*Y. pestis*), anti-F4/80-Alexa Fluor647 (AbD Serotech), and Hoechst. The anti-F1 antibody was conjugated to Dylight 488 using the Dylight 488 microscale antibody labeling kit from Thermo Fisher and used at a 1:200 dilution. Anti-F4/80-Alexa Fluor 647 was used at a 1:75 dilution. Cytospin smears were imaged using a Leica TCS SP5 confocal microscope and analyzed using LAS AF software, version 2.2.1.

Statistics. Survival data were analyzed by log-rank tests, CFU data were analyzed by Mann-Whitney tests or by analysis of variance (ANOVA) when comparing more than two groups, and flow cytometry data were analyzed by Student's *t* tests (Prism 4.0; GraphPad Software). For graphical presentation and for assessments of statistical significance, CFU measurements that fell below the limit of our assays were assigned a value lower than the detection limit.

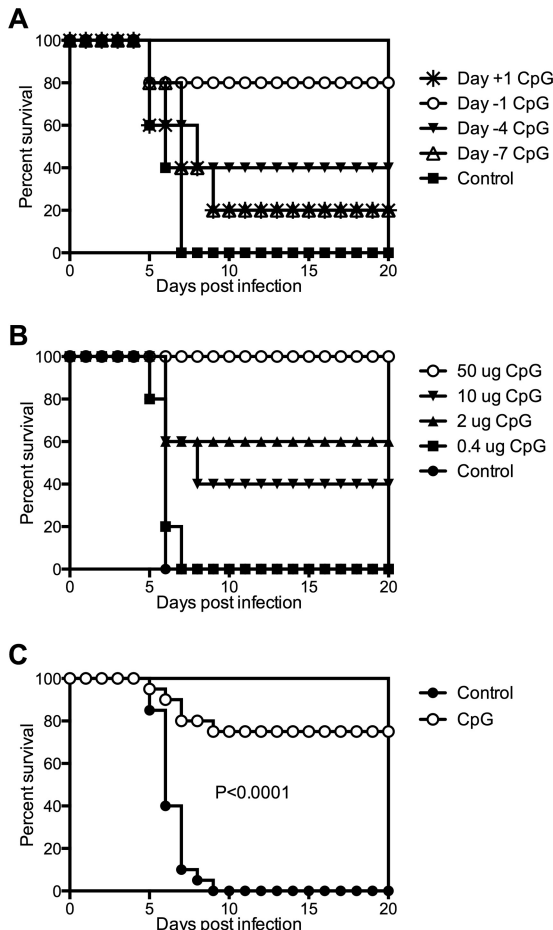


FIG 1 Intranasal treatment of mice with CpG ODN can protect against *Y. pestis* challenge. (A) Survival of wild-type C57BL/6 mice treated intranasally with 50 μ g CpG ODN at day -7, -4, -1, or +1 relative to the time of intranasal infection with 20 MLD *Y. pestis* KIM D27 (day 0; $n = 4$ to 5 mice per group). (B) Survival of wild-type C57BL/6 mice treated intranasally with the indicated doses of CpG ODN 1 day prior to infection with 20 MLD *Y. pestis* KIM D27 ($n = 5$ mice per group). (C) Intranasal administration of 50 μ g CpG ODN 1 day prior to infection protects mice from intranasal *Y. pestis* challenge. Survival data pooled from 4 independent experiments ($n = 20$ mice per group).

RESULTS

Intranasal prophylaxis with CpG ODN protects against lethal pulmonary challenge with *Y. pestis* strain KIM D27. To determine whether immunomodulatory ODN could protect C57BL/6 mice from *Y. pestis* challenge, 50 μ g of CpG ODN was administered intranasally to mice at different time points relative to intranasal infection with 20 MLD *Y. pestis* strain KIM D27. Markedly improved survival was observed when the ODN were administered 24 h prior to infection, in which case 80% of the infected mice survived (Fig. 1A). The degree of protection was more modest when CpG ODN were administered 4 days prior to infection and absent when administered 7 days prior to infection or 24 h postinfection. These data indicate that the protective effects of CpG ODN treatment are prophylactic and not therapeutic.

Experiments were next conducted to determine whether protection from *Y. pestis* challenge could be induced using decreased amounts of CpG ODN. While some degree of protection was ob-

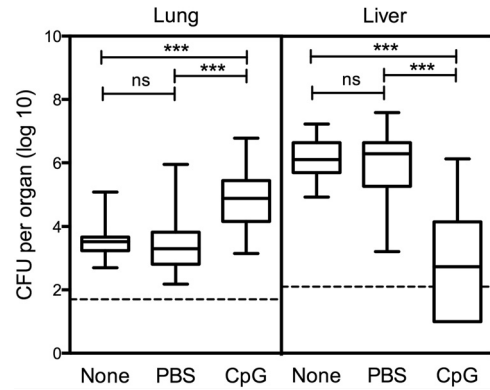


FIG 2 Intranasal prophylaxis with CpG ODN reduces the hepatic bacterial burden despite increasing the pulmonary burden. Wild-type C57BL/6 mice were infected with 20 MLD *Y. pestis* KIM D27. CpG indicates mice treated intranasally with 50 μ g CpG ODN 1 day prior to infection ($n = 35$). None indicates mice that received no treatment prior to infection ($n = 20$). PBS indicates mice that were treated with PBS diluent prior to infection ($n = 15$). The data were pooled from 7 independent experiments and expressed as box-and-whisker plots depicting the maximum, minimum, and median as well as 25th and 75th percentiles. ***, P value below 0.001; ns, the difference was not significant. The dashed line indicates the limit of detection.

served with either 10 or 2 μ g of CpG ODN, the greatest improvement in survival was observed with 50 μ g (Fig. 1B). The compiled data from four independent studies confirm that treatment with 50 μ g CpG ODN 1 day prior to infection confers highly significant protection ($P < 0.0001$) (Fig. 1C). This regimen was used for the remainder of the experiments described in this report.

Intranasal prophylaxis with CpG ODN decreases levels of *Y. pestis* KIM D27 bacteria in the liver but transiently increases levels of bacteria in the lung. To measure the effect of CpG ODN prophylaxis on bacterial burden, mice were treated intranasally with CpG ODN, infected intranasally with 20 MLD *Y. pestis* KIM D27, and euthanized 3 days later. Untreated control mice and control mice treated with PBS displayed similar bacterial burdens (Fig. 2). Treatment with CpG ODN reduced the number of bacterial CFU in the liver by more than 1,000-fold but increased the number of CFU in the lung by nearly 10-fold compared to levels in control mice (Fig. 2).

In light of the surprising observation that treatment with CpG ODN increased the pulmonary burden at day 3 after challenge, kinetic studies were undertaken to determine the impact of CpG ODN treatment on bacterial burden at earlier and later time points. The levels of bacterial CFU detected in the lungs of CpG ODN-treated and control animals were indistinguishable at 6 h after challenge and at day 1 after challenge (Fig. 3A). A difference in burden became apparent on day 2, when the number of CFU in the lungs of CpG ODN-treated mice reached significantly higher levels than that of control animals. The pulmonary burden in mice treated with CpG ODN remained significantly higher than that of control mice through day 3 but began to decrease by day 4, at which time it no longer differed significantly from control mice.

A different trend was observed in the liver (Fig. 3B). At 6 h and at day 1 after challenge, the bacterial burden was near or below the limit of detection in both CpG ODN-treated and control mice. The median burden of the control mice increased above the detection limit on day 2, peaked at day 3, and remained high at day 4. In striking contrast to the pulmonary burden, the hepatic burden

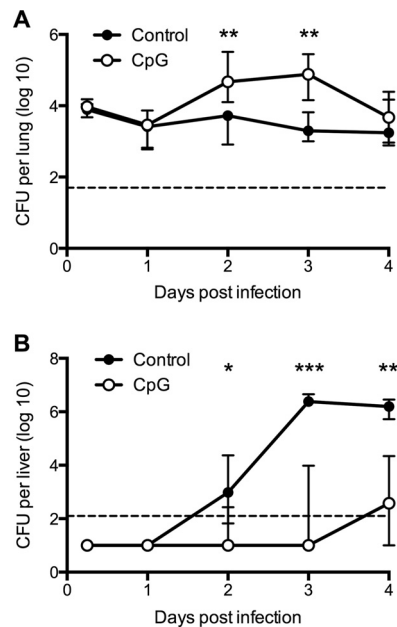


FIG 3 Kinetic analysis of *Y. pestis* bacterial burden in mice treated prophylactically with CpG ODN. CFU data for lung (A) and liver (B) tissue of wild-type C57BL/6 mice treated with 50 μ g CpG ODN 1 day prior to intranasal infection with 20 MLD *Y. pestis* KIM D27. Control mice were left untreated or were treated with PBS. The data were pooled from 3 independent experiments and expressed as the medians and interquartile ranges ($n = 9$ to 15 mice per group per time point). ***, P value below 0.001; **, P value between 0.01 and 0.001; *, P value between 0.05 and 0.01. The dashed line indicates the limit of detection.

in animals treated with CpG ODN was significantly lower than that of control mice at all times.

By day 6 after challenge, many of the control mice had succumbed to plague. While some of the mice treated with CpG ODN also succumbed, the majority of these mice lived until day 14. When examined at this time point, no bacteria were detected in the livers of any of the mice. Although 30% of the day 14 mice still had detectable CFU in their lungs, most had cleared the bacteria by this time (data not shown).

Intranasal prophylaxis with CpG ODN protects against *Y. pestis* KIM D27 challenge in the absence of adaptive immunity. CpG ODN can stimulate both B-cell and T-cell proliferation (24, 43). B cells are indispensable for CpG ODN-induced protection against *F. tularensis* (28), and B cells and antibodies can play important roles in the clearance of *Y. pestis* (44–49). To determine whether the CpG ODN-induced protection during *Y. pestis* infection is B cell dependent, μ MT mice, which lack functional B cells, were treated with CpG ODN and then infected intranasally with 20 MLD *Y. pestis* KIM D27. Pretreatment with ODN significantly increased the survival of μ MT mice ($P = 0.01$) (Fig. 4A), indicating that the protection induced by CpG ODN does not require B cells.

To determine whether the observed protection was dependent on T cells, TCRbd-deficient mice were treated with CpG ODN 1 day prior to infection with KIM D27. Pretreatment with ODN extended the median survival time of infected TCRbd-deficient mice from 6.5 to 16 days ($P = 0.0001$) (Fig. 4B), indicating that T cells were not required for CpG ODN to exert a protective effect.

The findings described above indicate that prophylaxis with

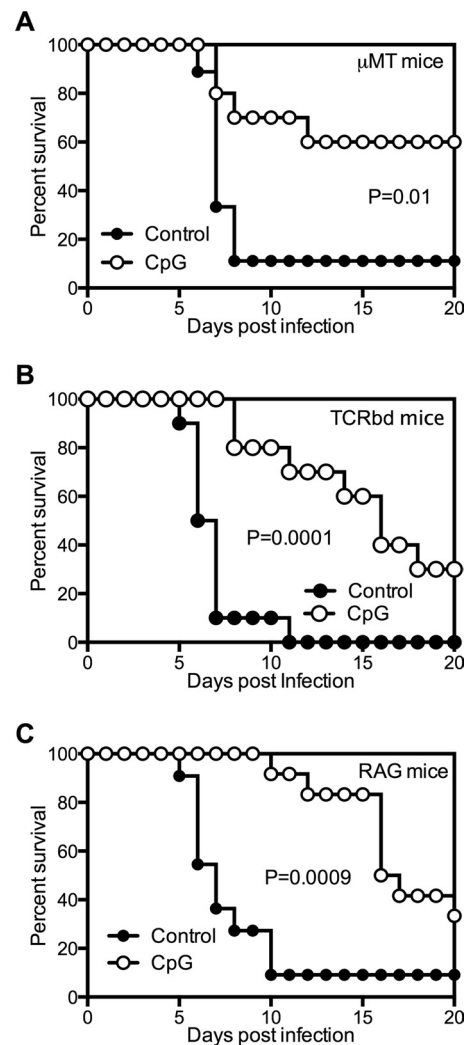


FIG 4 CpG ODN-induced protection against *Y. pestis* infection is not dependent on adaptive immunity. Mice were treated intranasally with 50 μ g CpG ODN 1 day prior to infection with 20 MLD *Y. pestis* KIM D27. Control mice were left untreated or were treated with PBS diluent. (A) Survival of B-cell-deficient μ MT mice. The data were pooled from 2 independent experiments ($n = 9$ to 10 mice per group). (B) Survival of TCRbd-deficient mice. The data were pooled from 2 independent experiments ($n = 10$ mice per group). (C) Survival of RAG2-deficient mice. The data were pooled from 2 independent experiments ($n = 11$ to 12 mice per group).

CpG ODN confers significant protection in the absence of either B or T cells. To determine whether this regimen confers protection when both arms of the adaptive immune system are absent, RAG-deficient mice, which lack both B and T cells, were treated with CpG ODN and then infected intranasally with 20 MLD *Y. pestis* KIM D27. Similar to the results obtained with TCRbd-deficient mice, pretreatment with CpG ODN significantly delayed the time to death of infected RAG-deficient mice, extending their median survival time from 7 to 16.5 days ($P = 0.0009$) (Fig. 4C). Together, these results indicate that CpG ODN can exert a protective effect against *Y. pestis* KIM D27 infection in the absence of adaptive immunity.

TLR9 is required for CpG ODN-induced protection against challenge with *Y. pestis* KIM D27. CpG ODN can stimulate the

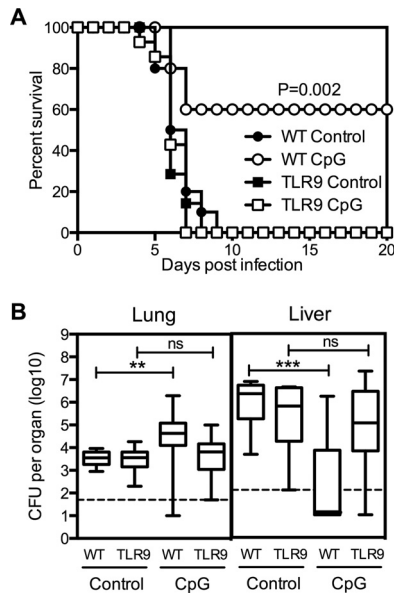


FIG 5 TLR9 is required for CpG ODN-induced protection against *Y. pestis*. Wild-type and TLR9-deficient mice were treated intranasally with 50 μ g CpG ODN 1 day prior to infection with 20 MLD *Y. pestis* KIM D27. (A) Survival ($n = 10$ to 14 male and female mice per group). (B) Bacterial burden data pooled from 3 independent experiments and expressed as box-and-whisker plots depicting the maximum, minimum, and median as well as 25th and 75th percentiles ($n = 13$ to 16 mice per group). All mice used in these studies were male. Samples were collected at day 3 after challenge. ***, P value below 0.001; **, P value between 0.01 and 0.001; ns, the difference is not significant. The dashed line indicates the limit of detection.

immune system through interactions with TLR9 (23, 50, 51). To determine whether CpG ODN confers protection against plague through a TLR9-dependent pathway, TLR9-deficient mice were treated with CpG ODN and then infected intranasally with KIM D27. Consistent with a critical role for TLR9, treatment with CpG ODN was unable to improve the survival of TLR9-deficient mice (Fig. 5A), and the numbers of bacteria detected in the livers of CpG ODN-treated TLR9-deficient mice were not statistically different from those detected in control wild-type mice or control TLR9-deficient mice (Fig. 5B). Notably, CpG ODN treatment failed to increase the number of CFU in the lungs of the TLR9-deficient mice, indicating that the CpG ODN-induced increase in lung bacterial burden that is observed in wild-type animals is also TLR9 dependent.

Intranasal prophylaxis with CpG ODN protects mice against intraperitoneal challenge with *Y. pestis* KIM D27. To assess whether the protective effects of intranasal prophylaxis with CpG ODN extend to systemic challenge, mice were treated intranasally with CpG ODN and then challenged intraperitoneally with KIM D27. The CpG ODN treatment significantly increased the survival of infected mice ($P = 0.003$) (Fig. 6A) and reduced the number of bacterial CFU in liver tissue ($P = 0.003$) (Fig. 6B). No significant difference in pulmonary burden was observed between CpG ODN-treated and control mice after intraperitoneal infection with *Y. pestis* (Fig. 6B).

Intranasal treatment with CpG ODN alters the lung leukocyte population and increases the number of cell-associated *Y. pestis* KIM D27 bacteria in the lung. Treatment with CpG ODN can induce the recruitment of leukocytes to lung tissue (30, 52,

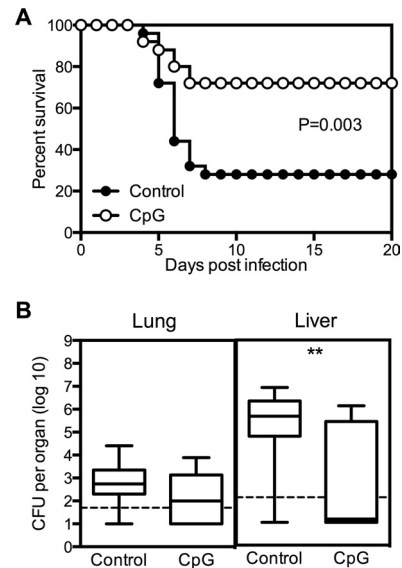


FIG 6 Intranasal prophylaxis with CpG ODN protects mice against intraperitoneal challenge with *Y. pestis*. Mice were treated intranasally with 50 μ g CpG ODN 1 day prior to intraperitoneal infection with approximately 2×10^4 CFU *Y. pestis* KIM D27. Control mice were treated with PBS diluent. (A) Survival data pooled from 4 independent experiments ($n = 25$ mice per group). (B) Bacterial burden data pooled from 3 independent experiments and expressed as box-and-whisker plots depicting the maximum, minimum, and median as well as 25th and 75th percentiles ($n = 15$ mice per group). Samples were collected at day 3 after challenge. **, P value between 0.01 and 0.001. The dashed line indicates the limit of detection.

53). To investigate whether CpG ODN treatment alters pulmonary leukocyte populations in the model described here, lung cells were prepared and analyzed by flow cytometry. Treatment with CpG ODN did not impact the number of Ly6G⁺ neutrophils in lung tissue but significantly increased the number of F4/80⁻/CD11b⁺/CD11c⁺ dendritic cells and F4/80⁺/CD11b^{hi} macrophages (Fig. 7A to E). The number of F4/80⁺/CD11c⁺ alveolar macrophages decreased significantly in CpG ODN-treated animals (Fig. 7F). These changes occurred both in the presence and the absence of infection with KIM D27, indicating that the effects of CpG ODN on the lung leukocyte populations are not dependent on the presence of *Y. pestis*.

Experiments were also conducted to investigate the impact of CpG ODN treatment on the location of bacteria within the lungs of infected mice. Three days after intranasal infection with KIM D27, bacterial CFU were enumerated in BAL fluid and lung tissue. The number of CFU in the BAL fluid from the majority of the PBS-treated mice was near or below the limit of detection, despite there being detectable levels of bacteria in the lung tissue of these same animals (Fig. 8A). Pretreatment with CpG ODN increased the bacterial burden in the lung tissue and also strikingly increased the number of CFU in BAL fluid (Fig. 8A). Consistent with results shown in Fig. 2, CpG ODN treatment dramatically reduced the hepatic bacterial burden despite increasing the bacterial burden in the lung (Fig. 8A).

To determine whether intranasal CpG ODN treatment increases the number of intracellular bacteria, gentamicin protection assays were performed using cells that were isolated from the lungs of both CpG ODN- and PBS-treated mice 3 days after challenge with KIM D27 (42). Cell suspensions were treated with 40

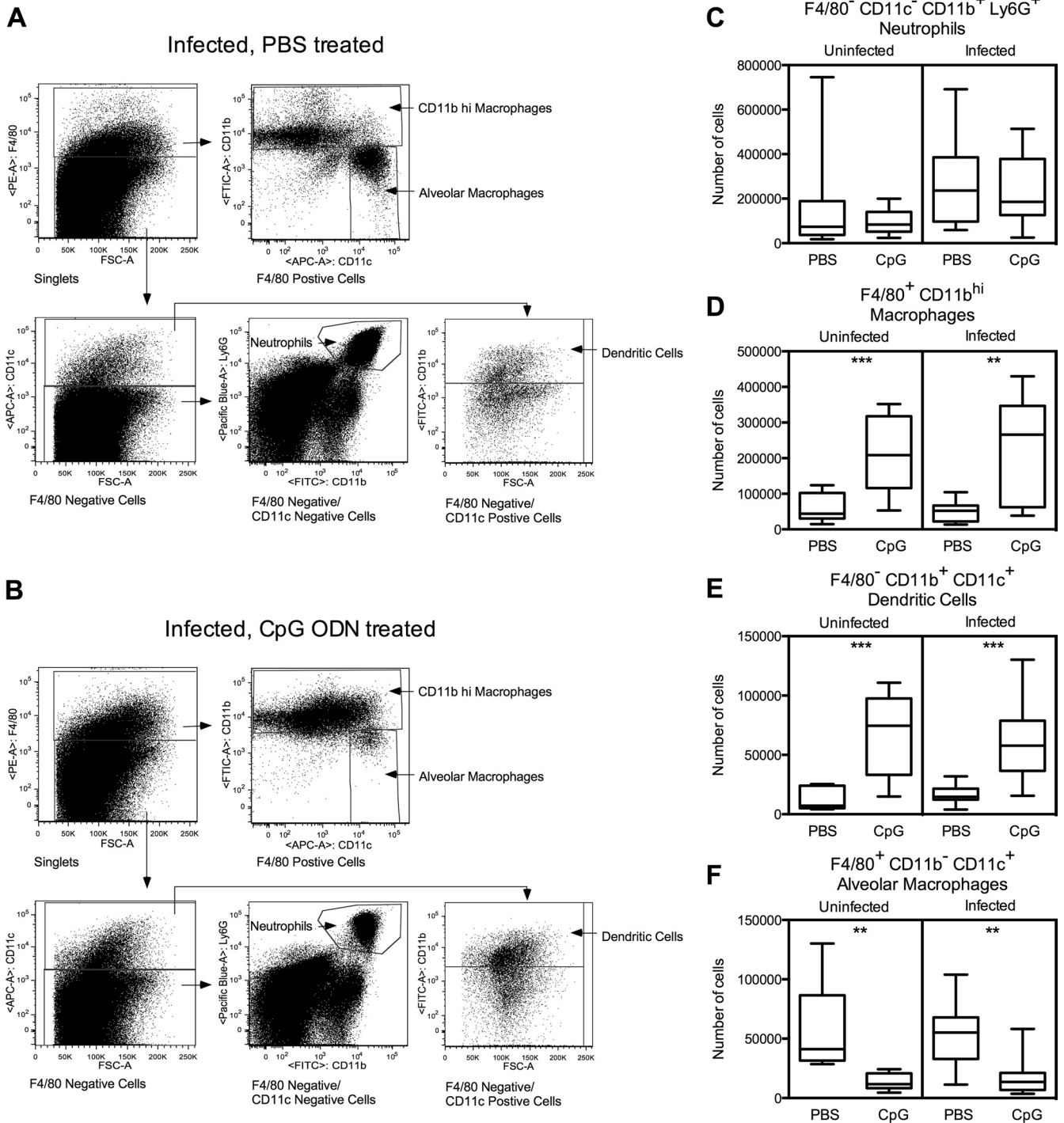


FIG 7 Intranasal administration of CpG ODN alters lung leukocyte populations. Mice were treated intranasally with 50 μ g CpG ODN 1 day prior to intranasal infection with 20 MLD *Y. pestis* KIM D27. Control mice were treated with PBS diluent. Lung leukocytes were analyzed at day 3 after challenge. Leukocytes in uninfected mice were analyzed at day 4 after treatment with CpG or PBS. (A and B) Gating strategy used to identify lung cell populations of PBS-treated (A) or CpG ODN-treated (B) mice infected with *Y. pestis*. F4/80⁺ cells that were CD11c⁺ but CD11b⁻ were classified as alveolar macrophages, F4/80⁺ cells that had high expression of CD11b were classified as CD11b^{hi} macrophages, F4/80⁻ cells that were CD11c⁻ but CD11b⁺ and Ly6G⁺ were classified as neutrophils, and F4/80⁻ cells that were both CD11b⁺ and CD11c⁺ were classified as CD11b⁺/CD11c⁺ dendritic cells. (C to F) Numbers of F4/80⁻/CD11c⁻/CD11b⁺/Ly6G⁺ neutrophils (C), F4/80⁺/CD11b^{hi} macrophages (D), F4/80⁻/CD11c⁺/CD11b⁺ dendritic cells (E), and F4/80⁺/CD11c⁺/CD11b⁻ alveolar macrophages (F). Data were pooled from 2 independent experiments and expressed as box-and-whisker plots depicting the maximum, minimum, and median as well as 25th and 75th percentiles ($n = 8$ to 10 mice per group). ***, P value below 0.001; **, P value between 0.01 and 0.001; *, P value between 0.05 and 0.01.

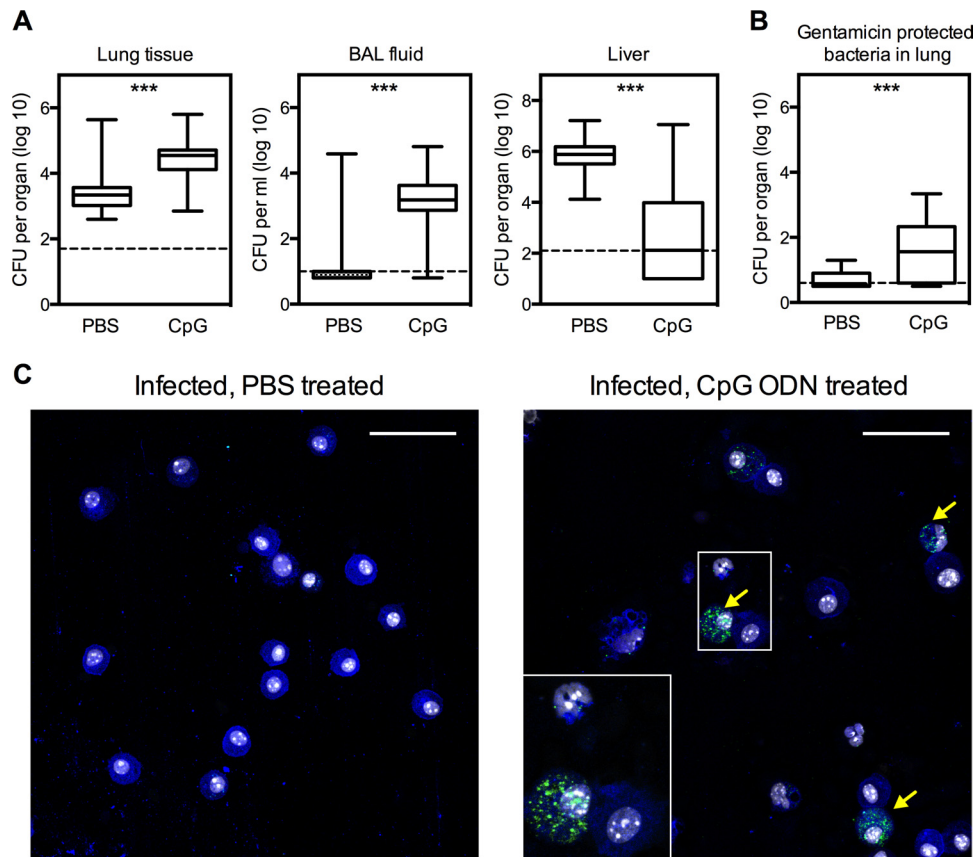


FIG 8 Intranasal prophylaxis with CpG ODN increases the bacterial burden of BAL fluid as well as the incidence of cell-associated bacteria. Mice were treated intranasally with 50 μ g CpG ODN 1 day prior to intranasal infection with 20 MLD *Y. pestis* KIM D27. Control mice were treated with PBS diluent. (A) Bacterial burden data of lung tissue, BAL fluid, and liver pooled from 2 independent experiments and expressed as box-and-whisker plots depicting the maximum, minimum, and median as well as 25th and 75th percentiles ($n = 19$ to 20 mice per group). Samples were collected at day 3 after challenge. ***, P value below 0.001. The dashed line indicates the limit of detection. (B) Numbers of viable bacteria recovered from whole lung cell suspensions of either CpG-ODN- or PBS-treated mice after treatment with gentamicin for 2 h. The data are pooled from 3 independent experiments and are expressed as box-and-whisker plots depicting the maximum, minimum, and median as well as 25th and 75th percentiles ($n = 15$ mice per group). Samples were collected at day 3 after challenge. ***, P value below 0.001. The dashed line indicates the limit of detection. (C) Micrographs of immunostained cytospin smears prepared from BAL fluid samples collected from CpG ODN- or PBS-treated mice on day 3 after KIM D27 challenge. Samples were stained with anti-F4/80 (blue), anti-F1 antigen (green), and Hoechst (white). Arrows indicate leukocytes associated with bacteria. Scale bars indicate a distance of 50 μ m.

μ g/ml gentamicin for 2 h to kill extracellular bacteria, after which the cells were lysed and plated to enumerate surviving bacteria. Significantly more bacterial CFU were recovered from cell suspensions prepared from CpG ODN-treated mice than from those of the PBS-treated counterparts, indicating that the lungs of CpG ODN-treated animals contained more intracellular bacteria. Consistent with these data is the observation that cell-associated bacteria were more readily detected by immunofluorescence in cytospin smears of BAL fluid isolated from CpG-treated mice than they were in BAL fluid from PBS-treated mice (Fig. 8B). Bacteria were found to associate with both macrophages and polymorphonuclear neutrophils, which were identified by F4/80 staining and nuclear morphology, respectively (Fig. 8B and data not shown). Despite their increase in CpG ODN-treated lungs, intracellular bacteria accounted for <0.3%, on average, of the total bacteria present in the lungs of CpG-treated mice (data not shown). This finding, together with the observation that Triton X-100-mediated lysis of whole BAL fluid samples did not significantly increase the overall number of CFU detected by plating (data not shown), demonstrates that most of the bacteria in the lungs of CpG-treated animals are extracellular.

Intranasal prophylaxis with CpG ODN protects mice against subcutaneous, but not pulmonary, challenge with *Y. pestis* strain CO92. The increased bacterial load in the lungs of CpG ODN-treated mice infected with KIM D27 suggested that CpG ODN prophylaxis might fail to protect against infections that cause fulminant pneumonia. To investigate the impact of CpG ODN prophylaxis during fulminant plague pneumonia, CpG ODN-treated mice were inoculated intranasally with 10 MLD *Y. pestis* strain CO92, a fully virulent pgm-positive strain. In contrast to the findings with KIM D27 (Fig. 1), pretreatment with CpG ODN failed to significantly protect against intranasal challenge with CO92 (Fig. 9A). Nevertheless, intranasal prophylaxis with CpG ODN enhanced peripheral host defense against fully virulent *Y. pestis*, as this treatment significantly protected mice against a lethal subcutaneous challenge with CO92 ($P = 0.002$) (Fig. 9B).

To investigate whether protection against subcutaneous CO92 challenge could be augmented and/or extended by repeated administration of CpG ODN, studies were conducted in which mice received a second intranasal treatment with CpG ODN 2 days after infection. These mice were not significantly more protected than mice receiving the pretreatment alone (Fig. 9C). Furthermore, no

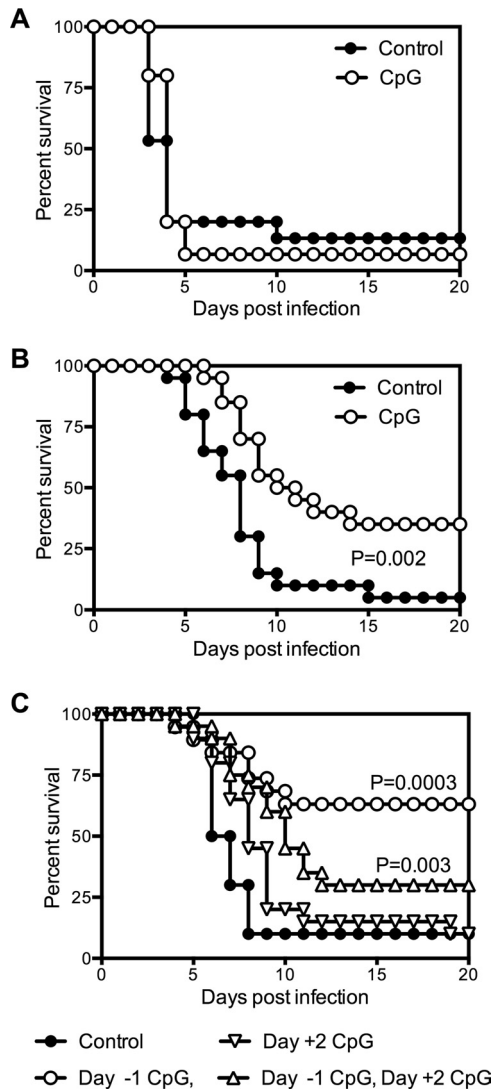


FIG 9 Intranasal prophylaxis with CpG ODN can protect against subcutaneous but not intranasal challenge with *Y. pestis* strain CO92. (A) Survival of mice treated intranasally with 50 μ g CpG ODN 1 day prior to intranasal infection with approximately 1×10^4 CFU *Y. pestis* CO92. Control mice were treated with PBS diluent. The data were pooled from 2 independent experiments ($n = 15$ mice per group). (B) Survival of mice treated intranasally with 50 μ g CpG ODN 1 day prior to subcutaneous infection with 10 CFU *Y. pestis* CO92. Control mice were treated with PBS diluent. The data were pooled from 2 independent experiments ($n = 20$ mice per group). (C) Survival of mice treated with 50 μ g CpG ODN at the indicated time points relative to subcutaneous infection with 10 CFU *Y. pestis* CO92. Control mice were treated with PBS diluent at both time points, and PBS was administered as an additional sham treatment to mice receiving only one CpG ODN treatment. The data were pooled from 2 independent experiments ($n = 19$ to 20 mice per group).

significant protection against subcutaneous CO92 challenge was observed in mice receiving only the postchallenge treatment, although it did extend the median survival time from 6.5 to 8 days. This finding is consistent with the observation that CpG ODN administration is unable to exert a significant protective effect against intranasal *Y. pestis* D27 challenge when administered therapeutically (Fig. 1A).

DISCUSSION

This study demonstrates that prophylactic intranasal administration of immunomodulatory CpG ODN confers mice with significant protection against plague. The protective response appears to be mediated primarily by impacts of CpG ODN on innate immunity, independent of adaptive immunity, since it is measurable in RAG-deficient mice, which lack lymphocytes. While prophylaxis with CpG ODN significantly extends the life span of TCR β - and RAG-deficient mice infected with the KIM D27 strain of *Y. pestis*, it only modestly increases their overall survival (Fig. 4). A more dramatic improvement in overall survival is observed in CpG ODN-treated μ MT mice, which lack functional B cells but can mount a *Y. pestis*-specific T-cell response. Thus, T cells appear to enhance the protective impact of prophylactic CpG ODN treatment. Prior studies have shown that T cells can contribute to protection against *Y. pestis* infection (9, 38, 54–58).

Our findings expand upon prior work demonstrating that stimulation of innate immunity can enhance protection against pulmonary *Y. pestis* challenge. Previous studies established that modifying the LPS structure of *Y. pestis* or treating mice with lipid A mimetics can stimulate TLR4-dependent innate defense against plague (18, 21, 22). Our studies extend these observations to treatment with CpG ODN, a mimetic of bacterial DNA that stimulates immunity via TLR9. Paradoxically, treatment with CpG ODN increases the numbers of bacteria in the lungs of KIM D27-infected mice, despite decreasing the bacterial burden in the liver and spleen and increasing overall survival (Fig. 1C and 2 and data not shown). All of the impacts of CpG ODN in this model are dependent on TLR9, including the increased lung bacterial burden (Fig. 5). This is not the first instance in which CpG ODN treatment has been shown to increase bacterial burden. Wong et al. reported that treating mice with CpG ODN at the time of infection with *Salmonella enterica* increases the number of splenic bacterial CFU on day 5 of infection (34). Unlike the *Y. pestis* KIM D27 data reported here, however, CpG ODN treatment exacerbated disease in the *Salmonella*-infected mice, resulting in greater mortality (34). Conversely, treatment of mice with CpG ODN reduces lung bacterial burden and improves survival during lethal respiratory infections with *K. pneumoniae* and *B. pseudomallei* (25, 31, 32, 59). Therefore, our KIM D27 plague model appears to be unique in that CpG ODN treatment increases the pulmonary bacterial burden while simultaneously promoting the survival of the host.

Further studies are required to define the TLR9-dependent mechanisms by which intranasal prophylaxis with CpG ODN transiently increases the pulmonary burden of KIM D27. The increase is not evident until 2 days after initiating the infection, suggesting that treatment with CpG ODN does not influence the ability of KIM D27 to colonize the lung. The paucity of detectable CFU in BAL fluid collected from PBS-treated mice at day 3 indicates that most KIM D27 CFU normally reside in the lung tissue and not in the alveolar space (Fig. 8). Treatment with CpG ODN significantly increases the number of CFU in BAL fluid, but presently it is unclear whether this increase results from bacterial replication in the alveolar space or from the egress of bacteria growing within the lung tissue.

We also found increased numbers of pulmonary F4/80⁻/CD11b⁺/CD11c⁺ dendritic cells and F4/80⁺/CD11b^{hi} macrophages in mice treated with CpG ODN (Fig. 7D and E). These leukocytes may provide a protective niche for intracellular repli-

cation of KIM D27, since *Y. pestis* can survive and replicate within phagocytes (60–63), and CpG ODN pretreatment increases the capacity of *S. enterica* to grow within macrophages (34). The lungs of CpG ODN-treated mice were found to contain more intracellular bacteria (Fig. 8); however, the majority of bacteria appear to reside extracellularly in both the CpG-treated mice and controls. Alternatively, the transient increase in lung burden may result from the CpG ODN-mediated decrease in the number of pulmonary F4/80⁺/CD11c⁺/CD11b⁺ alveolar macrophages (Fig. 7F), particularly if these cells normally contribute to the clearance of *Y. pestis*.

Despite its ability to infect and colonize lung tissue, KIM D27 does not cause fulminant pneumonia in mice; rather, the infected animals appear to succumb to sepsis resulting from the systemic spread of bacteria (35, 36). This raises the possibility that the benefit of CpG ODN treatment primarily derives from its ability to control or prevent infection at extrapulmonary sites. For example, CpG ODN treatment may inhibit the egress of bacteria from the lung, thereby reducing the dissemination of KIM D27. Alternatively, intranasal treatment with CpG ODN may reduce the susceptibility of visceral organs to infection by KIM D27, a possibility supported by the observations that intranasal prophylaxis with CpG ODN reduces the hepatic burden in mice challenged intraperitoneally with KIM D27 (Fig. 6) and protects against subcutaneous challenge with the fully virulent strain CO92 (Fig. 9B).

Our data establish that intranasal prophylaxis with CpG ODN confers mice with significant protection against *Y. pestis* infection but also highlight the limits of this protection. The CpG ODN treatment failed to protect against low-dose pulmonary challenge with *Y. pestis* strain CO92, even though it did protect against subcutaneous challenge with the fully virulent strain. We anticipate that the efficacy of CpG ODN prophylaxis will be improved by changing the vehicle used for its delivery; for example, the window of efficacy for *B. pseudomallei* infection was extended by incorporating CpG ODN into cationic liposomes (64).

There is a significant need for countermeasures that protect humans from acutely lethal diseases caused by highly virulent bacterial pathogens. *Y. pestis* is one of the world's most virulent bacterial pathogens, and it has the potential to be weaponized (4, 6). Given that prophylaxis with CpG ODN confers mice with significant protection against *Y. pestis* infection, and given the relative ease with which intranasal prophylaxis could be delivered to humans, further studies aimed at improving the protection against plague afforded by CpG ODN prophylaxis are merited.

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