

HIV-1 Vpu Does Not Degrade Interferon Regulatory Factor 3

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It has been reported that HIV-1 Vpu mediates the degradation of interferon regulatory factor 3 (IRF-3) to avoid innate immune sensing. Here, we show that Vpu does not deplete IRF-3 from transfected cell lines or HIV-1-infected primary cells. Furthermore, the Vpu-dependent suppression of beta interferon expression described in previous studies could be ascribed to inhibition of NF-B activation. Thus, Vpu suppresses innate immune activation through inhibition of NF-B rather than degradation of IRF-3.

The viral protein U (Vpu) of HIV-1 is a 16-kDa integral membrane protein produced together with Env during the late stage of the viral replication cycle. The Vpu proteins of pandemic HIV-1 group M (major) strains interact with the cytoplasmic tail of newly synthesized CD4 in the endoplasmatic reticulum to mediate its polyubiquitinylation and proteasomal degradation [\(1,](#page-4-0) [2\)](#page-4-1). Degradation of the CD4 receptor may facilitate virion release, prevent superinfection, and enhance the incorporation of functional Env proteins into progeny viral particles. Second, Vpu promotes virion release [\(3,](#page-4-2) [4\)](#page-4-3) by counteracting the restriction factor tetherin (BST-2), which tethers nascent virions to the cell surface [\(5,](#page-4-4) [6\)](#page-4-5). Recent studies have suggested that Vpu also reduces cell surface expression of the natural killer (NK) cell ligands NTB-A and PVR [\(7,](#page-4-6) [8\)](#page-4-7) and the lipid-antigen-presenting protein CD1d [\(9\)](#page-4-8) to protect HIV-1-infected cells against NK cells and natural killer T (NKT) cells, respectively. Finally, it has been reported that Vpu mediates depletion of interferon regulatory factor 3 (IRF-3), a transcription factor that plays a central role in pathogen recognition receptor (PRR) signaling, to avoid innate immune sensing in virus-infected cells [\(10,](#page-4-9) [11\)](#page-4-10).

Vpu is only encoded by HIV-1 and its simian immunodeficiency virus (SIV) precursors. We and others have shown that the Vpu proteins of group M, N, O, and P strains of HIV-1, which resulted from independent zoonotic transmissions, and their SIV counterparts exhibit fundamental functional differences [\(12–](#page-4-11)[16\)](#page-4-12). Perhaps most notably, only Vpus of pandemic group M strains have acquired the capability to antagonize tetherin while maintaining their CD4 function during adaptation to humans [\(12\)](#page-4-11). In comparison, Vpu proteins of rare HIV-1 group N strains are usually weak tetherin antagonists and fail to degrade CD4, and those of nonpandemic HIV-1 group O and P strains lack significant anti-tetherin activity [\(12](#page-4-11)[–16\)](#page-4-12). Obviously, differences in the abilities of these viruses to avoid innate immune sensing of virally infected cells by the Vpu-mediated counteraction of IRF-3 may also play a role in their replication fitness and spread in the human population. Thus, the initial goal of the present study was to examine whether these primate lentiviral Vpus also differ in their abilities to degrade IRF-3 [\(10,](#page-4-9) [11\)](#page-4-10).

First, we tried to confirm the published data that suggested that the HIV-1 NL4-3 Vpu induces effective IRF-3 degradation in established cell lines [\(10\)](#page-4-9). To examine this, we transfected HeLa cells expressing endogenous IRF-3 with different doses of pCG vectors coexpressing AU1-tagged NL4-3 Vpu and enhanced green fluorescent protein (eGFP) [\(12\)](#page-4-11) by using Lipofectamine (Invitrogen) according to the manufacturer's instructions. To monitor cellular

and viral antigen expression, the cells were lysed in mammalian protein extraction reagent (Thermo Scientific) 2 days posttransfection, and cell lysates were separated in 4-to-12% bis-Tris gels (Invitrogen). Proteins were transferred onto polyvinylidene difluoride (PVDF) membranes and probed with anti-IRF-3 antibody (Santa Cruz Biotechnology). Subsequently, blots were probed with anti-mouse or anti-rabbit IRDye Odyssey antibodies (Li-Cor), and proteins were detected using a Li-Cor Odyssey scanner. For controls, blots were incubated with antibodies specific for -actin (Abcam) and AU1 (Covance). The results showed that NL4-3 Vpu was efficiently expressed but did not induce a reduction of IRF-3 expression levels [\(Fig. 1A\)](#page-1-0). To further challenge this unanticipated finding, we analyzed the effect of Vpu on endogenous IRF-3 expression in 293T cells, which were also used in the previous studies [\(10,](#page-4-9) [11\)](#page-4-10). In contrast to HeLa cells, only one IRF-3-specific band could be detected in unstimulated 293T cells, which is consistent with previous results [\(10,](#page-4-9) [24\)](#page-5-0). Although Vpu was efficiently expressed in a dose-dependent manner, we did not observe an effect of Vpu on the levels of endogenously expressed IRF-3 [\(Fig. 1B\)](#page-1-0). In agreement with published data, expression of NSP1-NCDV, a nonstructural protein of the Nebraska calf diarrhea rotavirus reduced IRF-3 expression levels, whereas NSP1 from a closely related porcine rotavirus (OSU) was inactive [\(13–](#page-4-13) [15\)](#page-4-14) [\(Fig. 1C\)](#page-1-0). To examine the effect of Vpu on activated IRF-3, we treated the cells with poly(I·C), a synthetic analog of doublestranded RNA. Induction of innate immune signaling responses by poly(I·C) was verified by activation of the beta interferon (IFN- β) promoter [\(Fig. 1D\)](#page-1-0). In agreement with our previous results, Vpu failed to reduce the expression levels of activated IRF-3 [\(Fig. 1D\)](#page-1-0).

To examine a possible effect of Vpu on IRF-3 expression in HIV-1-infected T cells, we transduced SupT1 cells with vesicular stomatitis virus G protein (VSV-G)-pseudotyped HIV-1 NL4-3 constructs containing intact or defective *vpu* genes [\(20\)](#page-5-1). Virus stocks were generated by transient transfection of 293T cells as described previously [\(21\)](#page-5-2). To activate immune signaling, cells

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FIG 1 Vpu does not reduce IRF-3 expression levels. (A) HeLa cells were transfected with increasing concentrations (0, 0.5, 1.5, and 4.5 µg in all experiments) of an expression plasmid for NL4-3 *vpu*. The values provided below panels A to D and F give the intensity of the IRF-3 signal, normalized to the β -actin control. For better comparison, the mock value was always set as 1.0 in each panel. (B) 293T cells were transfected with increasing concentrations of an expression plasmid for NL4-3 *vpu*. (C) 293T cells were transfected with 5 µg of control plasmid or expression plasmids for NCDV (active against IRF-3) or OSU (not active against IRF-3) NSP1 [\(15\)](#page-4-14). Western blotting was performed 24 h later with an IRF-3 antibody kindly provided by Michael David. (D) 293T cells were transfected with increasing concentrations of Vpu expression plasmid. To stimulate IRF-3-dependent signaling, cells were cotransfected with 2 µg poly(I·C). Poly(I·C) stimulation was monitored in an IFN- β promoter reporter luciferase assay (right panel). At 2 days posttransfection, cells were lysed and Western blotting was performed. The membrane was incubated with antibodies against IRF-3 and AU1. β -Actin was used as a loading control and for normalization (left panel). (E) SupT1 cells were transduced with VSV-G-pseudotyped virus stocks of wild-type or *vpu*-defective HIV-1 NL4-3. To induce IRF-3-dependent signaling, cells were coinfected with SeV 24 h before lysis at 3 days postransduction. Western blot analysis was performed using three different anti-IRF-3 antibodies (from Santa Cruz Biotechnology [SC], a gift from Michael David [MD], and AR1). Staining for the viral p55 and p24 Gag antigens was performed to monitor HIV-1 infection. β-Actin was used as loading control. (F) SupT1 cells were transduced with the indicated VSV-G-pseudotyped HIV-1 constructs and analyzed by Western blotting 3 days later. IRES-*env* proviral constructs allowed the expression of *vpu* alleles independent of overlapping *env* sequences.

were infected with Sendai virus (SeV) 2 days postransduction, as reported in previous studies [\(10,](#page-4-9) [11,](#page-4-10) [16\)](#page-4-12). SeV infection and immune activation were confirmed by cytopathic effects and increased NF-KB and IFN-B reporter gene activities (data not shown). Three days postransduction, cells were lysed and analyzed by Western blotting. To further validate our results, we utilized three different IRF-3 antibodies, including the one (designated MD) used in the previous studies of Doehle and colleagues [\(10,](#page-4-9) [11,](#page-4-10) [17\)](#page-5-3). Irrespective of the antibody used, we detected similar levels of IRF-3 in cells infected with wild-type or *vpu*-defective HIV-1 constructs [\(Fig. 1E\)](#page-1-0). To exclude that we might miss Vpumediated effects on IRF-3 expression levels due to allele-specific differences, we infected cells with NL4-3-based constructs expressing different *vpu* alleles without overlapping *env* sequences.

To generate these constructs, we first eliminated the *vpu-env* overlap and subsequently inserted an internal ribosome entry site (IRES) element downstream of *vpu* to restore *env* expression. Just like wild-type HIV-1 NL4-3, derivatives expressing JR-CSF or repaired YU2 Vpu failed to induce significant degradation of IRF-3 in transduced SupT1 cells [\(Fig. 1F\)](#page-1-0). The expression of functional Vpu from the IRES-*env* constructs has been shown before [\(12\)](#page-4-11) and was confirmed by a reduction of mature virions (i.e., p24) in the cell lysates due to the counteraction of tetherin and/or degradation of CD4 [\(Fig. 1F\)](#page-1-0).

To exclude the possibility that some subtle effects of Vpu on IRF-3 were missed because the cells were examined in bulk, we next performed fluorescence-activated cell sorting (FACS)-based assays. The pCG vectors used in these experiments coexpress the

Vif proteins and eGFP via an IRES. A vector coexpressing NCDV NSP1 and yellow fluorescent protein (YFP) was used as a positive control. The cells were permeabilized at 24 h posttransfection and stained for IRF-3 (Santa Cruz Biotechnology) for FACS analysis. (B) Levels of IRF-3 expression in the presence of the indicated expression constructs relative to the empty vector control (set as 100%). Data are mean values (\pm the standard errors of the means) derived from three independent experiments.

gene of interest and eGFP from the same RNA via an IRES and thus allow the direct comparison of IRF-3 expression levels in transfected and untransfected cells [\(18\)](#page-5-4). Since previous studies suggested that Vpr and Vif may also affect IRF-3 expression [\(16,](#page-4-12) [17\)](#page-5-3), we included constructs expressing these accessory genes in our studies. 293T cells were transfected with these expression constructs, and 24 h later the cells were fixed, permeabilized (Fix & Perm cell permeabilization kit; ADG), and examined for IRF-3 expression by flow cytometric analysis using unconjugated anti-IRF-3 (Santa Cruz Biotechnology) and Alexa Fluor 647-conjugated anti-rabbit antibodies (Invitrogen) [\(Fig. 2A\)](#page-2-0). To determine the relative IRF-3 expression levels, the mean AF647 fluorescence intensity (MFI) of transfected ($eGFP⁺$) cells was normalized to the MFI of the untransfected (eGFP $^-$) cell population after subtraction of isotype control values. We found that Vpu, Vpr, and Vif had no significant effect on IRF-3 expression levels, whereas NSP1 (NCDV) reduced it by about 40% [\(Fig. 2A](#page-2-0) and [B\)](#page-2-0).

To examine the effects in HIV-1-infected SupT1 cells we utilized a variety of HIV-1 NL4-3-based proviral constructs coexpressing eGFP via an IRES element [\(12,](#page-4-11) [19,](#page-5-5) [20\)](#page-5-1). These constructs have the advantage that all viral genes are expressed from the wildtype HIV-1 long terminal repeat promoter and via the regular splicing sites. Thus, they represent a highly sensitive system to examine the effects of accessory proteins, such as Vpu, on the expression levels of cellular proteins in HIV-1-infected cells [\(12,](#page-4-11) [19,](#page-5-5) [21\)](#page-5-2). Our results showed that individual or combined deletions in the viral accessory *vpu*, *vpr*, and *nef* genes had no significant effect on IRF-3 expression levels in HIV-1-infected T cells [\(Fig. 3A](#page-3-0) and \overline{B}). To examine the effects in primary target cells of HIV-1, we transduced peripheral blood mononuclear cells (PBMCs) with wild-type and *vpu*-deficient HIV-1 virions. Flow cytometric analyses revealed that an intact *vpu* gene had no effect on IRF-3 expression levels but reduced tetherin expression by about 40% [\(Fig.](#page-3-0) [3C](#page-3-0) and [D\)](#page-3-0). This magnitude of tetherin degradation is in agreement with published data $(22–26)$ $(22–26)$ and verified functional expression of Vpu.

It has previously been shown that Vpu reduces IRF-3-dependent expression of IFN- β [\(10,](#page-4-9) [11\)](#page-4-10). To examine this, we cotransfected 293T cells with a pCG-based plasmid expressing HIV-1 WITO Vpu (or an empty vector as control) and constructs containing the firefly and *Gaussia* luciferases (in a 5:1 ratio) under the control of the IFN- β and pTAL promoters, respectively. We used the WITO *vpu* for these experiments because it is derived from a transmitted/founder subtype B HIV-1 group M strain [\(27\)](#page-5-8) and is thus more relevant for the *in vivo* situation than the T cell line-adapted molecular HIV-1 NL4-3 clone. Previous studies showed that the WITO Vpu is highly active in degrading CD4 and counteracting tetherin [\(12\)](#page-4-11). The pTAL promoter construct contains a minimal TATAlike promoter (pTAL) region from the herpes simplex virus thymidine kinase (HSV-TK) promoter (Clontech) that is nonresponsive to IRF-3 and NF--B, and this served as an internal control for transfection efficiencies. For immune activation, cells were infected with SeV at 24 h posttransfection, and the luciferase activities were determined 1 day later. The firefly luciferase signals were normalized to the corresponding *Gaussia* luciferase signals. In agreement with published data [\(10\)](#page-4-9), SeV induced IFN- β promoter activity >20-fold and Vpu substantially reduced this activation [\(Fig. 4A\)](#page-4-15). Control experiments confirmed, however, that the IRF-3 expression levels remained unchanged in the presence of WITO Vpu [\(Fig. 4B\)](#page-4-15), suggesting that Vpu may inhibit IFN- β promoter activity by an IRF-3-independent mechanism. Recently, it has been reported that Vpu impairs viral immune sensing by suppressing teth-erin-induced NF-KB activation [\(28\)](#page-5-9). Thus, we examined whether NF - κ B may play a role in the induction of IFN- β . In agreement with this possibility, we found that the IFN- β pro-moter contains binding sites for both IRF-3 and NF-KB [\(Fig.](#page-4-15) [4C\)](#page-4-15). Thus, the reporter construct used in previous studies [\(11,](#page-4-10) [12\)](#page-4-11) may not be specific for IRF-3 activation. To determine whether the IFN- β promoter is responsive to NF- κ B, the activity of the IFN- β promoter-dependent firefly luciferase was examined after activation of NF-KB through cotransfection of a constitutively active mutant of $IKK\beta$ (IKK β ca). Luciferase activities were determined 2 days posttransfection as described above. The results demonstrated that IFN- β promoter activity was about 40-fold enhanced by NF--B activation and that this effect was greatly diminished by Vpu [\(Fig. 4D\)](#page-4-15). Notably, ex-

FIG 3 Lack of *vpu*, *nef*, or *vpr* expression does not affect IRF-3 expression levels in HIV-1-infected T cells. (A) SupT1 cells were infected with virus stocks of different NL4-3 IRES eGFP mutants. At 3 days postinfection, cells were permeabilized and stained for IRF-3 (Santa Cruz Biotechnology) to perform FACS analysis. (B) Levels of IRF-3 expression in cells infected with the indicated mutant NL4-3 constructs relative to the wild-type virus (wt; set as 100%). Data are means (\pm standard errors of the means [SEM]) derived from three independent experiments. (C) PBMCs were transduced with VSV-G-pseudotyped virus stocks of wild-type or *vpu*-defective NL4-3 IRES eGFP mutants. PBMCs were stimulated with interleukin-2 and phytohemagglutinin for 3 days prior to transduction. At 3 days postransduction, the cells were permeabilized and stained for IRF-3 (Santa Cruz Biotechnology) or tetherin (eBioscience) for FACS analyses. (D) Mean levels (\pm SEM; $n = 3$) of IRF-3 and tetherin expression in cells transduced with the $\nu p u$ -defective virus relative to the wild-type control (set as 100%) are indicated.

pression of constitutively active $IKK\beta$ did not increase the levels of endogenous IRF-3 in transiently transfected 293T cells [\(Fig. 4E\)](#page-4-15). Next, we examined the effect of SeV and Vpu on NF-KB activation with the help of a reporter vector that expressed firefly luciferase under the control of three NF-KB binding sites. We found that SeV induced the NF-KB-dependent firefly luciferase expression about 6-fold and that this induction was greatly diminished in the presence of Vpu [\(Fig.](#page-4-15) [4F\)](#page-4-15). The analysis of 293T cells cotransfected with NF-KB-dependent firefly luciferase, the pTAL promoter *Gaussia* luciferase construct, and expression plasmids for constitutively active IKK β and Vpu confirmed that Vpu inhibits NF- κB induction [\(Fig. 4G\)](#page-4-15). This inhibition is not allele specific and has been confirmed for a variety of different primate lentiviral Vpu proteins, including that encoded by the NL4-3 molecular clone (D. Sauter and F. Kirchhoff, unpublished observations). These results confirmed that Vpu may suppress viral immune sensing and the secretion of inflammatory cytokines such as IFN- β but further suggest that these effects are due to inhibition of $\rm{NF\text{-}kB}$ activation rather than IRF-3 degradation.

In summary, we showed here that Vpu does not significantly deplete IRF-3, even at very high expression levels. Notably, our studies involved the analysis of primary human cells infected with

wild-type and *vpu*-defective HIV-1 strains, which allowed us to readily distinguish between infected and uninfected cells and to monitor other Vpu functions, such as degradation of tetherin [\(12,](#page-4-11) [21\)](#page-5-2). The fact that even this highly sensitive experimental system failed to reveal a significant effect of Vpu on IRF-3 expression levels strongly argues against Vpu-mediated degradation of IRF-3. Our results confirm, however, that Vpu reduces viral immune sensing [\(10\)](#page-4-9). However, this effect involved modulation of NF-KB rather than IRF-3-dependent signaling. Vpu-mediated inhibition of NF--B signaling may explain most results from previous reports [\(10,](#page-4-9) [11\)](#page-4-10). Notably, data supporting a direct role of Vpu on IRF-3 expression were sparse in the studies of Doehle and coworkers, and the effects of HIV-1 infection on IRF-3 expression levels have been variable for different data sets; for example, a complete lack of IRF-3 protein expression was observed in some Western blot assays, but IRF-3 was still readily detectable by microscopy and remained unchanged in one coimmunoprecipitation experiment in the presence of Vpu [\(10\)](#page-4-9). Furthermore, unspecific effects of virus infection on cell viability may have contributed to the reduction of IRF-3 expression in some experiments. In either case, our observation that Vpu inhibits NF-KB activation may have important implications, since this transcription factor plays a major role in the induction of antiviral immune responses. Currently,

FIG 4 Vpu reduces innate immune activation by suppressing NF-KB activation. (A) 293T cells were cotransfected with vectors expressing HIV-1 WITO Vpu (or control plasmid) and constructs containing the firefly and *Gaussia* luciferases under the control of the IFN- β and pTAL promoters, respectively. For immune activation, cells were infected with SeV at 24 h posttransfection, and the luciferase activities were determined 1 day later. The results in panels A, D, F, and G are from three independent experiments (\pm the SEM). (B) 293T cells were transfected with increasing concentrations of WITO Vpu expression plasmid, and IRF-3 expression was monitored by using two different antibod-ies, as described for [Fig. 1.](#page-1-0) (C) IRF-3 and NF-KB binding sites in the IFN- β promoter. (D) 293T cells were cotransfected with vectors expressing Vpu and IKKβ ca together with IFN-β firefly and pTAL *Gaussia* luciferase vectors. Induction of the IFN- β promoter was determined by luciferase assays as described above. (E) 293T cells were transfected with increasing concentrations $(0, 0.5, 1.5,$ and 4.5μ g) of expression plasmids for constitutively active IKK β to activate NF--B and analyzed by Western blotting using antibodies against IRF-3 (M. David), IKK β , I κ B, and β -actin. The values provided below panels B and E show the intensity of the IRF-3 signal normalized to the β -actin control. For better comparisons, the mock value was always set to 1.0 in each panel. (F) 293T cells were cotransfected with NF--B promoter firefly luciferase and pTAL promoter *Gaussia* luciferase constructs together with Vpu expression or control vectors and stimulated by infection with Sendai virus 24 h posttransfection, prior to luciferase assays at 48 h posttransfection. (G) 293T cells cotransfected with NF--B-dependent firefly luciferase, the pTAL promoter *Gaussia* luciferase construct, and expression plasmids for constitutively $active$ IKK β were examined in luciferase assays as described above. Firefly luciferase signals were generally normalized to the corresponding *Gaussia* luciferase signals to account for differences in transfection efficiencies.

we are investigating whether the effect of Vpu on NF - κB activity is conserved between different primate lentiviruses and the mechanism(s) underlying this Vpu function.

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