

NIH Public Access

Author Manuscript

Crit Care Med. Author manuscript; available in PMC 2014 April 01.

Published in final edited form as:

Crit Care Med. 2013 April; 41(4): 1136–1138. doi:10.1097/CCM.0b013e31827c03eb.

Making ICU prognostication patient centered: is there a role for dynamic information?

William J. Ehlenbach, MSc MD¹ and Colin R. Cooke, MS MD MSc^{2,3}

¹Divisions of Pulmonary and Critical Care Medicine, Geriatrics and Gerontology, Department of Medicine, University of Wisconsin School of Medicine and Public Health, Madison, WI

²Division of Pulmonary & Critical Care Medicine, Department of Medicine, University of Michigan, Ann Arbor, MI

³Center for Healthcare Outcomes & Policy, University of Michigan, Ann Arbor, MI

Keywords

prognosis; critical care; statistical models; severity of illness index; long-term survivors

The advent of modern severity of illness models nearly three decades ago, such as the Acute Physiology Assessment and Chronic Health Evaluation (APACHE) model, transformed our understanding of the outcomes of critically ill patients(1). Scientists and hospital administrators have used these models to benchmark ICU performance, adjust for patient differences in nonrandomized studies of critical illness, examine secular trends in mortality, and compare severity of illness among participants across randomized trials. Despite their ability to accurately estimate the risk of death in *populations* of critically ill patients, these models have important limitations that hinder their ability to predict outcomes of *individual* patients. For example, most severity of illness models fail to predict outcomes with the certainty necessary to influence life and death decisions, and are therefore less useful at the bedside. Additional shortcomings include known variation in an individual's predicted risk of death across different models, and the failure of such models to include an individual's response to treatment, instead relying on data from the time of ICU admission(2).

In this issue of *Critical Care Medicine*, Mayaud et al. seek to improve upon limitations imposed by the static nature of models such as APACHE by developing a mortality prediction model in 1500 patients with sepsis and hypotension who were captured in an open access database (MIMIC II) of critically ill patients(3). This database draws minute-to-minute monitoring data, test results, physician orders, demographic, and administrative data into one source(4). The temporal granularity of the database allowed the authors to use physiologic measures before, during, and after a hypotensive episode, as well as the treatments initiated in response to hypotension, in the creation of their model. Upon testing the model on a smaller validation sample of patients from the same source, the model including dynamic information significantly outperformed all other models. Area under the receiver operating characteristic curve (AUC), a measure of the models ability to

Corresponding Author: Colin R. Cooke, MD MSc MS, University of Michigan, Center for Healthcare Outcomes & Policy, 2800 Plymouth Rd., Bldg. 16, Rm 127W, Ann Arbor, MI 48109, Phone: 7346470568, cookecr@unich.edu.

Publisher's Disclaimer: This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final citable form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

discriminate patients who live from those who die, was 0.82 for the dynamic model compared to 0.70 for APACHE and 0.54 for SAPS-I.

Mayaud et al. are not the first investigators to determine that change in clinical data over time improves clinical prediction in the ICU. Investigators developed the Sequential Organ Failure Assessment (SOFA) score(5), and the Multiple Organ Dysfunction Score (MODS) (6) in part to improve upon the static nature of traditional severity measures. Several studies demonstrate that decreases in SOFA score are highly predictive of lower mortality, and often out perform static measures such as the APACHE score measured at the time of admission(7, 8). Serial measurements of APACHE, on the other hand, also improve upon a single measurement(9). Nevertheless, the model developed by Mayaud and colleagues is an excellent reminder of the ability for temporally nuanced data to inform prognosis.

Although the inclusion of dynamic data in a predictive model is not unique, there are several more novel features of Mayaud et al.'s approach to model development of importance. Their utilization of highly granular clinical data from MIMIC II provides some insight into the promise of deidentified open source critical care datasets for clinical and health services research. As hospitals rapidly adopt electronic health records, some of the barriers to the creation of such research datasets diminish. Such datasets, drawn from dozens or even hundreds of hospitals, have great potential to improve our understanding of the epidemiology of common critical illness syndromes and play a role in comparative effectiveness research. In addition, rather than a priori variable selection, Mayaud et al. rely upon a genetic algorithm for variable selection. Some iterative approach is usually needed to select variables for model inclusion when a large number of candidate variables are available, and while a genetic algorithm appears to be a capable addition to model developer's armamentarium that includes artificial neural networks, support vector machines, and random forests, these newer 'black box' techniques have not been shown to outperform the standard technique of logistic regression when building prediction models in the ICU(10, 11).

It is important to keep in mind that while the model of Mayaud and colleagues appears promising, it should be validated prior to being deployed in practice. This would require comparison of its metrics (AUC, c-statistic, and net reclassification index) against APACHE IV, SAPS II, or other prediction models in a dataset truly separate from that in which the model was created. The dynamic model will likely not perform as well on an external source given unmeasured hospital and geographic factors, differences in patient populations, and variations in ways that clinical data is gathered between data sources(12). Given the aforementioned limitations, will this model really get us any closer to the goal of bedside mortality prediction for individual patients?

Perhaps the more important question is whether this application of mortality prediction is a realistic goal at all. Imprecision of risk estimates is only one reason why prognostic information has surprisingly little influence on end-of-life decision-making(13). No predictive model, no matter how much data goes into its creation or which methods are employed for variable selection and model building, will perfectly identify which individual patients will survive to leave the hospital. Even the best possible predictive model will also not address the optimistic bias that may limit patients' and family members' ability to incorporate poor prognostic information into decision making(14). As we think about moving the science of risk prediction in the ICU forward, instead of focusing on how more temporal information may improve our mortality prediction models, perhaps an alterative paradigm to make predictive models more dynamic might be to focus their development on longer-term outcomes. Given our evolving understanding of the burdens of critical care survivorship, particularly the impact of cognitive and functional dysfunction on quality of

Crit Care Med. Author manuscript; available in PMC 2014 April 01.

life(15, 16), and the importance of long-term outcomes to patients(17), future ICU prognostic models will be strengthened if they incorporate predictions of patient-centered outcomes beyond death, allowing individual patient decisions to be influenced by a forecast of outcomes most important to them.

Acknowledgments

Dr. Cooke received grant support from the Agency for Healthcare Research and Quality.

Dr. Ehlenbach received grant support from the National Institute on Aging; The John A. Hartford Foundation and The Department of Veterans Affairs.

References

- 1. Knaus WA, Draper EA, Wagner DP, et al. APACHE II: a severity of disease classification system. Crit Care Med. 1985; 13(10):818–829. [PubMed: 3928249]
- Cooke CR. The siren song of simple tools that predict mortality. Respiratory care. 2011; 56(4):533– 535. [PubMed: 21496378]
- 3. Mayaud L, Lai PS, Clifford GD, et al. Dynamic data during hypotension episode improves mortality predictions among patients with sepsis and hypotension. Crit Care Med. 2012
- 4. Saeed M, Villarroel M, Reisner AT, et al. Multiparameter Intelligent Monitoring in Intensive Care II: a public-access intensive care unit database. Critical care medicine. 2011; 39(5):952–960. [PubMed: 21283005]
- Vincent JL, Moreno R, Takala J, et al. The SOFA (Sepsis-related Organ Failure Assessment) score to describe organ dysfunction/failure. On behalf of the Working Group on Sepsis-Related Problems of the European Society of Intensive Care Medicine. Intensive care medicine. 1996; 22(7):707–710. [PubMed: 8844239]
- Marshall JC, Cook DJ, Christou NV, et al. Multiple organ dysfunction score: a reliable descriptor of a complex clinical outcome. Critical care medicine. 1995; 23(10):1638–1652. [PubMed: 7587228]
- 7. Minne L, Abu-Hanna A, de Jonge E. Evaluation of SOFA-based models for predicting mortality in the ICU: A systematic review. Critical care. 2008; 12(6):R161. [PubMed: 19091120]
- Ferreira FL, Bota DP, Bross A, et al. Serial evaluation of the SOFA score to predict outcome in critically ill patients. JAMA : the journal of the American Medical Association. 2001; 286(14): 1754–1758. [PubMed: 11594901]
- Wagner DP, Knaus WA, Harrell FE, et al. Daily prognostic estimates for critically ill adults in intensive care units: results from a prospective, multicenter, inception cohort analysis. Critical care medicine. 1994; 22(9):1359–1372. [PubMed: 8062557]
- Clermont G, Angus DC, DiRusso SM, et al. Predicting hospital mortality for patients in the intensive care unit: a comparison of artificial neural networks with logistic regression models. Critical care medicine. 2001; 29(2):291–296. [PubMed: 11246308]
- Kim S, Kim W, Park RW. A Comparison of Intensive Care Unit Mortality Prediction Models through the Use of Data Mining Techniques. Healthcare informatics research. 2011; 17(4):232– 243. [PubMed: 22259725]
- Altman DG, Vergouwe Y, Royston P, et al. Prognosis and prognostic research: validating a prognostic model. BMJ. 2009; 338:b605. [PubMed: 19477892]
- 13. A controlled trial to improve care for seriously ill hospitalized patients. The study to understand prognoses and preferences for outcomes and risks of treatments (SUPPORT). The SUPPORT Principal Investigators. JAMA : the journal of the American Medical Association. 1995; 274(20): 1591–1598. [PubMed: 7474243]
- Zier LS, Sottile PD, Hong SY, et al. Surrogate decision makers' interpretation of prognostic information: a mixed-methods study. Annals of internal medicine. 2012; 156(5):360–366. [PubMed: 22393131]
- Ehlenbach WJ, Hough CL, Crane PK, et al. Association between acute care and critical illness hospitalization and cognitive function in older adults. Jama. 2010; 303(8):763–770. [PubMed: 20179286]

Crit Care Med. Author manuscript; available in PMC 2014 April 01.

17. Fried TR, Bradley EH, Towle VR, et al. Understanding the treatment preferences of seriously ill patients. N Engl J Med. 2002; 346(14):1061–1066. [PubMed: 11932474]