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## ***IDE* (rs6583817) Polymorphism and Type 2 Diabetes Differentially Modify Executive Function in Older Adults**

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### **Abstract**

We tested independent and interactive contributions of a recently noted and promising Insulin Degrading Enzyme polymorphism (*IDE*, rs6583817) and Type 2 diabetes (T2D) to executive function performance, both concurrently and longitudinally. Regarding normal neurocognitive decline and Alzheimer's disease (AD), T2D is a known risk factor and this *IDE* variant may contribute risk or risk reduction through the minor (A) or major (G) allele.

We compared normal aging and T2D groups (baseline  $n=574$ , ages 53–95) over two longitudinal waves ( $M$  interval=4.4 years). We used confirmatory factor analysis, latent growth curve modeling, and path analysis.

A confirmed single-factor model of four executive function tasks established the cognitive phenotype. This *IDE* variant predicted both concurrent group differences and differential change in cognitive performance. Furthermore, the *IDE* major allele reduced risk of cognitive decline. T2D predicted performance only concurrently.

Both *IDE* and T2D are associated with executive function levels in older adults, but only *IDE* moderated two-wave change. Previously linked to AD, this *IDE* variant should be further explored for its potential influence on cognitive phenotypes of normal aging.

### **Keywords**

Aging; Executive Functions; Insulin Degrading Enzyme; Type 2 Diabetes; Victoria Longitudinal Study

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#### **Disclosure Statement**

The authors state that there is no actual or potential conflict of interest.

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## 1. Introduction

Increasingly, mechanisms associated with neurocognitive phenotypes of normal aging, preclinical (impaired) aging, and neurodegenerative diseases are understood as exerting influence either independently or interactively (e.g., Lindenberger et al., 2008). These mechanisms include both risk-elevating and risk-reducing influences that range across potentially modifying domains such as neurobiological (e.g., genetic), bio-health (e.g., metabolic conditions), and environmental (e.g., lifestyle activities) (e.g., Nagel et al., 2008; Raz et al., 2008; Raz et al., 2011). We examine independent and interactive associations of two factors receiving growing attention for their influence on normal cognitive aging and Alzheimer's disease (AD). Specifically, we test associations of a recently noted Insulin Degrading Enzyme polymorphism (*IDE* rs6583817) and Type 2 diabetes (T2D) on both level and actual two-wave change for a latent variable of executive function in a large sample of older adults spanning 40 years of aging. Among other interesting *IDE* polymorphisms, this *IDE* variant has been identified as carrying the strongest association with AD (Carrasquillo et al., 2010) and may be particularly promising as a marker of normal or preclinical neurocognitive changes. Correspondingly, T2D has been linked with AD risk and accelerated neurocognitive deficits (e.g., EF) in normal aging, and genetic susceptibility, but further research on genetic influences on level and (especially) longitudinal change in associated cognitive decline is required (Seaquist et al., 2012). We assembled a 2-wave longitudinal data set from the Victoria Longitudinal Study (VLS) and performed structural and latent growth curve analyses to distinguish potential patterns, influences, and interactions among the neurobiological (*IDE* variant) and metabolic-health (T2D) factors on EF level and 4-year change.

Executive functions (EF) are involved in monitoring, organizing, and regulating complex cognitive operations, especially those requiring planning, problem solving, and goal directed components (West, 1996). As linked to aging-related changes in prefrontal cortex, EF performance declines with aging (Turner and Spreng, 2012). Such decrements may (a) be observed in terms of both level and structure (dimensionality) of EFs, (b) create difficulties for cognitive performance, (c) be affected by risk (or protection) factors from biological (e.g., genetic), neurobiological (e.g., dopaminergic), health (e.g., diabetes), and environmental (e.g., lifestyle) domains, (d) predict mild cognitive impairment and sporadic AD, and (e) be exacerbated or moderated by combinations exerting increasing influence with aging (e.g., de Frias et al., 2006; Grober et al., 2008; Lindenberger et al., 2008; Luszcz, 2011; Nathan et al., 2001; Rapp and Reischies, 2005; Wishart et al., 2011; Yeung et al., 2009). In addition to gradual changes in level of performance, the structure of EF varies systematically across the lifespan. The observed pattern includes unitary (single-factor) models for children (Wiebe et al., 2008; Wiebe et al., 2010), differentiated three-factor models for prefrontal mature young adults (Miyake et al., 2000), and de-differentiated single-factor models for typical aging adults (e.g., Adrover-Roig et al., 2012; de Frias et al., 2006; but see exception reported by de Frias et al., 2009, for older adults with sustained cognitive and brain health). Current emphases in EF and aging research call for studying longitudinal trajectories as potentially modified by neurobiological, metabolic, and health covariates (Luszcz, 2011).

Accordingly, we identified two biological and health factors theoretically related to the EF cognitive phenotype. First, genetic involvement in individual differences in executive functioning is apparent and complex (Friedman et al., 2008; Kremen et al., 2009). We selected and tested the *IDE* gene because several variants of this gene have been linked to risk of developing both T2D and AD (Bartl et al., 2011). *IDE* has the function of degrading hormones and bioactive peptides. It was first recognized as the most important proteolytic enzyme for insulin and has since been identified in the processing of other glycemia

regulating peptides (amylin and glucagon; Bennett et al., 2000; Shen et al., 2006) and of a 4 kDa neuropeptide product of the human precursor protein, Amyloid Beta (A $\beta$ ; Kurochkin and Goto, 1994). In the genetics realm, the *IDE* locus has demonstrated a linkage peak for both T2D and late onset Alzheimer's disease (Bartl et al., 2011; Carrasquillo et al., 2010; Farris et al., 2003; Grarup et al., 2007). Although *IDE* has also been associated with an increased risk of T2D, specific polymorphisms have not as yet been clearly identified and may in fact involve several SNPs located in the *IDE* area (Bartl et al., 2011; Duggirala et al., 1999; Karamohamed et al., 2003; Rudovich et al., 2009; Vionnet et al., 2000; Zeggini et al., 2008). *IDE* risk alleles are associated with lower capacity to degrade insulin possibly resulting in insulin resistance (Bartl et al., 2011), which could in turn lead to the compensating hyperinsulinemia associated with T2D and implicated cognitive deficits (Awad et al., 2004; Umegaki, 2012). A different *IDE* haplotype has been associated with decreased risk of T2D, suggesting an increase in insulin degradation (Kwak et al., 2008). In fact, the diversion of the limited supply of IDE to the degradation of insulin may be linked to increased A $\beta$  levels (Qiu and Folstein, 2006), which is a hallmark of AD (Blomqvist et al., 2005; Kurochkin and Goto, 1994).

Arguably compatible with the observed associations of *IDE* with A $\beta$  degradation and its location on chromosome 10q (a chromosomal region consistently linked to late-onset AD; Lendon and Craddock, 2001), some *IDE* polymorphisms are risk factors for neurodegeneration in the form of AD, associations with MCI, or even normal cognitive decline (Bertram et al., 2000; Björk et al., 2007; Ertekin-Taner et al., 2004; Wang et al., 2012; see also Abraham et al., 2001; Boussaha et al., 2002; Vardy et al., 2012). However, some variants of *IDE* (specifically, rs6583817, rs5786996, and rs4646953) are associated with increased levels of IDE and decreased levels of A $\beta$ , suggesting the possibility of lowered risk for AD (Bartl et al., 2011; Belbin et al., 2011). Conceivably—but not previously studied—differences in risk of AD outcome status may be preceded by differences in risk of level and change in cognitive decline. Carrasquillo and colleagues (2010) reported four *IDE* variants with significant relationships to IDE transcription. The present variant, *IDE* rs6583817, had the highest association. Notably, the minor allele for this variant correlated with elevated IDE expression, reduced A $\beta$  levels, and reduced risk of AD status. Whereas the relationship of *IDE* to sporadic AD status has been explored, little research has examined associations of *IDE* polymorphisms on neurocognitive performance (Okereke et al., 2009; see also Vardy et al., 2012), and none for this particular and promising *IDE* variant. We selected this *IDE* polymorphism to examine whether the minor allele (A) or the major allele (G) would be associated with normal aging-related preservation of (a) performance level of the EF phenotype and (b) trajectory of 4-year change in a large sample of older adults.

For the second factor we selected T2D, which has been linked to increased risk of AD (Arvanitakis et al., 2004; Profenno et al., 2010; Qiu and Folstein, 2006) and changes in the non-AD brain (e.g., exacerbated insulin dysregulation, disrupted A $\beta$  clearance) that are associated with decrements in neurocognitive performance (Awad et al., 2004; Cholerton et al., 2011; Nilsson, 2006; Okereke et al., 2009; Seaquist et al., 2012; Strachan et al., 2011). For example, older adults with T2D have exhibited lower performance on speed-related EF shifting and inhibition tasks in both cross sectional and short-term longitudinal studies (Biessels et al., 2008; Fischer et al., 2009; Yeung et al., 2009). These decrements have been linked to mediators from functional, vascular, genetic, and other biological domains (McFall et al., 2010; Strachan et al., 2011). Regarding AD, insulin resistance (hyperinsulinemia) reduces the effectiveness with which IDE degrades A $\beta$  oligomers in the brain, a link to the neuropathogenesis of AD. When regulated in normal aging, cerebral insulin performs multiple cognitive-supportive functions, such as increasing neurotransmitter levels, enhancing glucose utilization, and promoting lipid metabolism. However, when the aging

brain experiences chronic dysregulation (or reduced levels) of insulin uptake, cognitive deficits may be exacerbated to levels that are consistent with those of clinical impaired patients (Cholerton et al., 2011). Insulin receptors are distributed in brain regions such as the hippocampus and frontal lobe, with the latter opening the possibility that EF may be a target cognitive phenotype for research integrating T2D, *IDE* polymorphisms, and human aging.

The aim of this study is to examine potential independent and interactive contributions of selected genetic (*IDE* rs6583817) and metabolic-health (T2D) markers to concurrent performance and longitudinal change in executive functioning among a large sample of older adults. We test the relationship with sensitive statistical techniques as implemented concurrently and across two longitudinal waves. Notably, the approach includes a unique combination of a risk factor (T2D) and a potential risk-reduction factor (*IDE*) theoretically related to executive functioning, an important cognitive phenotype of aging. We pursue four specific research goals. First, using structural equation modeling in the context of a large sample of older adults, we estimate a latent variable model for EF using four manifest measures related to two potential EF dimensions. Second, using confirmatory factor analysis we test for longitudinal measurement invariance. Third, we used two-wave longitudinal data over a 40-year age band of aging (ages 53–95) to estimate latent growth mixture models examining the interindividual differences in intraindividual change in EF associated (independently) with T2D and *IDE*. Fourth, we used path analyses to explore the potentially interactive effects of T2D and *IDE* on EF change. Based on previous reports, we hypothesized an interaction between the two factors in which the *IDE* rs6583817 would moderate the harmful effects of T2D on EF performance and change.

## 2. Method

### 2.1 Participants

Data were assembled from the Victoria Longitudinal Study (VLS), a large-scale study of biomedical, genetic, health, cognitive, and neuropsychological aspects of aging (see Dixon and de Frias, 2004, for methodological details). The VLS and all present data collection procedures are in full and certified compliance with prevailing human research ethics guidelines and boards. Written informed consent was obtained from all participants. Using standard procedures (e.g., Dixon et al., 2012; Small et al., 2011), we assembled a two-wave longitudinal data set. We began with a Wave 1 (W1) data set of  $n = 694$  adults (ages 53–100 years), all with genetic data. We then applied T2D inclusion and participant exclusionary criteria, targeting conditions that could modify EF performance independent of the factors modeled in this study. We excluded  $n = 119$  participants with unconfirmed T2D status, MMSE scores  $< 26$ , reported severe health conditions with cognitive implications (i.e., high blood pressure), moderate or severe stroke, anti-psychotic medication, and incomplete EF data. Not excluded were participants on anti-hypertension medications. An additional participant ( $n = 1$ ) provided data only for W2. From the remainder we applied the standard VLS multi-level diagnostic regimen for classifying T2D (see McFall et al., 2010; Yeung et al., 2009). Specifically, inclusion into the T2D group required all of the following conditions: (a) W1 self-report of T2D diagnosis, (b) W1 specified method of treatment (i.e., oral medication, insulin, diet and exercise, no control), (c) W1 objective evidence of reported medication, and (d) W2 validation of T2D status (repeating the three previous steps).

The final W1 sample included  $n = 574$  adults ( $M$  age = 70.1,  $SD = 8.54$ , range = 53.2 – 95.2 years;  $n = 384$  [66.9%] women). The diagnostic procedure identified  $n = 46$  [8.0%] adults with T2D ( $M$  age = 71.4,  $SD = 7.97$ , range = 55.4 – 90.5,  $n = 24$  [52.2%] women). The remainder constituted the normal aging group of  $n = 528$  ( $M$  age = 70.0,  $SD = 8.59$ , range = 53.2 – 95.2 years;  $n = 360$  [68.2%] women). At W2  $n = 474$  of W1 adults were available ( $M$

age = 74.3,  $SD = 8.46$ , range = 57.3 – 94.5 years,  $n = 316$  [66.7%] women). This included  $n=101$  non-returning adults ( $Age$  at W1 = 71.3,  $SD = 8.74$ , range = 55.05 – 95.2 years,  $n = 69$  [68.3%] women, and  $n=1$  adult without W1 data. Returning participants were similar to non-returning participants in age, education, and gender distribution. In addition, genotype percentages within the three allelic combinations were quite similar (returnees/non-returnees): AA (13.5/14.9%), AG (64.6/67.3%), GG (21.9/17.8%) and T2D proportions were almost identical (7.8/8.9%). The standard T2D diagnostic procedure identified  $n = 37$  (7.8%) adults with T2D ( $Age = 76.0$ ,  $SD = 7.76$ , range = 60.5 – 91.1 years;  $n = 21$  [56.8%] women). The remainder constituted the W2 normal aging group of  $n = 437$  ( $Age = 74.2$ ,  $SD = 8.51$ , range = 57.3 – 94.5 years;  $n = 295$  [67.5%] women). Table 1 presents additional demographic data organized by genetic allelic combination and wave. The 4-year retention rate was about 80% with equivalent ratios of the two groups at both waves. The longitudinal statistical models do not implement listwise deletion, so all participants contributed data at either one or both waves.

## 2.2 Executive Function Measures

**2.2.1 Hayling sentence completion test**—This task, which indexed inhibition (Burgess and Shallice, 1997), consisted of two sets of 15 sentences, each having the last word missing. Section A required completing the sentence quickly, and measured initiation speed. Section B required completing the sentence with an unconnected word quickly, and measured response suppression. Response speed on both sections and errors on Section 2 were used to derive an overall scaled score for each participant on a scale ranging from 1 (impaired) to 10 (very superior).

**2.2.2 Stroop test**—This task taps inhibitory processes by requiring the respondent to ignore the automatic response of reading a printed word and to instead name the color of ink in which the word is printed (Taylor et al., 1997). The performance score was the interference index and reflected slowing in response to interference in Part C ( $[Part C_{time} - Part A_{time}] / Part A_{time}$ ). Lower scores indicated better performance.

**2.2.3 Brixton spatial anticipation test**—This task (Burgess and Shallice, 1997) was a rule-attainment (or shifting) task based on the Wisconsin Card Sorting Task (Berg, 1948). Participants are required to deduce simple and changing patterns, measuring their ability to abstract logical rules (Andrés and Van der Linden, 2000). The total errors were recorded and these errors (maximum 54) were converted to scaled scores. An overall standardized scaled score based on a scale ranging from 1 (impaired) to 10 (very superior) was used for analysis.

**2.2.4 Color trails test (Part 2 CTT-2)**—Indexing shifting, the CTT (D’Elia et al., 1996) was similar to the Trail Making Test (Reitan and Wolfson, 1992) but minimized the influence of language. Part 2 required participants to connect numbers from 1 to 25 alternating between pink and yellow circles and disregarding the numbers in circles of the alternate color. The latency score for Part 2 was used for analysis. Lower scores indicate better performance.

## 2.3 DNA Extraction and IDE Genotyping

Saliva was collected according to standard procedures from Oragene DNA Genotek and stored at room temperature in the Oragene® disks until DNA extraction. DNA was manually extracted using the manufacturer’s protocol and quantified using a NanoDrop® ND-1000 Spectrophotometer (Wilmington, DE). Genotyping was carried out by using a PCR-RFLP strategy to analyze the allele status for *IDE* (rs6583817). Briefly, SNP-containing PCR fragments were amplified from 25 ng of genomic DNA using specific primers (Fwd: 5’-AATATATGGGCAAATATTAAGTGCAC-3’; Rev: CAGTTGTGGGAATATATT



CCTGAG-3'). Reactions were setup in 96-well plates using the QIAgility robotic system (QIAGEN). RFLP analysis was performed on a high resolution DNA screening cartridge on a QIAxcel capillary electrophoresis system (QIAGEN) using the protocol OL700 after digestion of the PCR amplicons with the restriction enzymes DdeI (NE Biolabs) for 4 hours at 37°C. The analysis was confirmed upon migration of the restriction fragments on 10 or 15% acrylamide gels for the SNP.

For genetic analyses the *IDE* genotypes were categorized by the presence of an A allele (A+ = A/A, homozygous minor allele, and G/A, heterozygous allele) or the absence of an A allele (A- = G/G, homozygous major allele). No effect on EF performance was observed; therefore, the alternative configuration (presence or absence of an G allele) was used for analyses. *IDE* genotypes were categorized by the presence of a G allele (G+ = G/G, homozygous major allele, and G/A, heterozygous allele) or the absence of a G allele (G- = A/A, homozygous minor allele).

## 2.4 Statistical Analyses

Statistical model fit for all analyses was determined using standard indexes: (a)  $\chi^2$  for which a good fit would produce a non-significant test ( $p > .05$ ) indicating that the data are not significantly different from the estimates associated with the model, (b) the comparative fit index (CFI) for which fit is judged by a value of .95 as good and .90 as adequate, (c) root mean square error of approximation (RMSEA) for which fit is judged by a value of .05 as good and .08 as adequate, and (d) standardized root mean square residual (SRMR) for which fit is judged by a value of .08 as good (Kline, 2011).

### 2.4.1 Analyses for Research Goal 1 (EF Latent Model) and Research Goal 2 (Invariance Testing Across Two Waves)

—First, we used Mplus 6 (Muthén and Muthén, 2010) to conduct confirmatory factor analysis. We tested two models (a) a single factor model and (b) a 2-factor model consisting of inhibition (Hayling, Stroop) and shifting (Brixton, CTT). Second, we tested longitudinal (two-wave) measurement invariance including (a) configural invariance, the same indicator variables load onto the latent variable used to test the model across time, (b) metric invariance, factor loadings are constrained to be equal for each latent variable indicating that the latent variable is measuring the same construct, (c) scalar invariance, indicator intercepts are constrained to be equal allowing mean differences to be evident at the latent mean level, and (d) residual invariance, indicator residuals are constrained to be equal accounting for error variability and thus group differences are based on their common variability. We estimated factor scores for EF in Mplus and used these in subsequent latent growth models.

### 2.4.2 Analyses for Research Goal 3 (EF Latent Growth Models) and Research Goal 4 (Path Analyses with *IDE* and T2D)

—We coded age as a continuous factor and computed latent growth models with individually-varying ages. We centered the age variable at 75, an inflection point for many cognitive domains (Small et al., 2011). To identify the functional form of change, we determined the best-fitting unconditional growth model by testing in sequence (a) a fixed intercept model, which assumes no inter- or intraindividual variation, (b) a random intercept model, which models interindividual variation but no intraindividual change, (c) a random intercept fixed slope model, which allows interindividual variation in initial performance but assumes all individuals change at the same rate, and (d) a random intercept random slope model, which models interindividual variation in both initial performance and change over time (Singer and Willett, 2003). After the best unconditional growth model was determined, predictors of change were examined by regressing intercept and slope separately on *IDE* genotype (Model 1) and T2D status

(Model 2). Next, we added intercept and slope regression pathways for *IDE* genotype, T2D status, and *IDE* genotype xT2D status to test our moderation hypothesis (Model 3).

### 3. Results

#### 3.1 Research Goal 1 (EF Latent Model) and Research Goal 2 (Invariance Testing Across Two Waves)

We performed confirmatory factor analyses for EF. Regarding research goal 1, the one-factor EF model fit the data well for both W1 and W2. In contrast, the two-factor model could not be estimated at either wave, resulting in the absence of a positive definite variance-covariance matrix (see Table 2 for model goodness of fit indexes). Therefore, as observed in earlier research, we accepted the single-factor model for normal older adults. Regarding research goal 2, we conducted invariance testing on the single-factor model. The model holding indicator factor loadings equal across W1 and W2 fit the data well, thus indicating metric invariance. Fixing intercepts to be equal across time resulted in significantly poorer fit to the data according to the  $\chi^2$  difference test, although the other fit indexes were adequate. We conducted tests of partial scalar invariance by freeing intercepts for each indicator in turn. These analyses supported a model with intercepts fixed to be equal across time for inhibition (Stroop and Hayling) but not shifting (Color Trails and Brixton) tasks. Overall, we observed metric invariance for the single-factor EF model, but only partial scalar invariance. This result showed that the model measured the same EF construct across time, but that the manifest variables marking EF shifting exhibited mean differences across time outside of the latent differences. Latent variable reliability was indicated in three ways: (a) significant factor loadings for all four manifest variables at each wave of data (range = .31 to .75), (b) metric invariance across the two waves of data ( $\chi^2 = 23.97$ ,  $df = 20$ ,  $p = .244$ ; RMSEA = .019 (.000–.042); CFI = .995; SRMR = .029, and (c) adequate bivariate correlations across indicator variables for the two waves (range  $r = 0.4$  to  $0.7$ ).

#### 3.2 Research Goal 3 (EF Latent Growth Model) and Research Goal 4 (Path Analyses with *IDE* and T2D)

We performed latent growth modeling using estimated EF factor scores. The best fitting unconditional growth model for EF was established as a random intercept, random slope latent growth model (see Table 3 for model goodness of fit indexes). Next, building on this model of change over time, three additional models were tested to determine if *IDE* genotype or T2D status predicted EF initial performance or change. Finally, we tested a moderation model to examine if *IDE* genotype mitigates the negative effects of T2D status.

As shown in Table 3, Model 1 was used to test if *IDE* predicted EF initial performance (at the age 75 centering point) or change. The intercept and slope of EF was regressed on *IDE* genotype. We first tested the presence of the *IDE* minor allele (A+ or A–), which produced no difference in initial performance of EF ( $M_s = .063$  and  $.001$  respectively,  $p > .05$ ) or rate of EF decline ( $M_s = -.021$  and  $-.022$  respectively,  $p > .05$ ). However, the *IDE* major allele (G+ or G–) predicted performance of EF (see Figure 1). Specifically, at the stipulated intercept (age 75) adults with a G allele (the G+ group) performed significantly better ( $M = .058$ ) than those without a G allele (G– group;  $M = -.248$ ). In addition, as shown in Figure 1, *IDE* genotype predicted linear change in EF performances. Specifically, adults with a G allele exhibited significantly less decline ( $M = -.019$ ) in EF performance than those without a G allele ( $M = -.037$ ). Furthermore, a significant dose-response effect for EF performance level at age 75 years was observed. Participants with A/A performed the poorest ( $M = -.133$ ), those with A/G performed better ( $M = .005$ ), and those with G/G performed best ( $M = .143$ ,  $p = .043$ ). There was no dosage affect for EF change ( $p > .05$ ).

Model 2 tested if T2D predicted EF initial performance or change. The intercept and slope of EF was regressed on T2D status. T2D status predicted initial performance of EF (Figure 2). Specifically, adults with T2D performed significantly worse ( $M = -.285$ ) than adults without T2D ( $M = .040$ ) (see age 75 model intercept in the figure). However, adults with and without T2D showed no differences in the rate of EF decline ( $M_s = -.024$  and  $-.021$ , respectively;  $p > .05$ ). Model 3 tested whether the effect of T2D on EF is lessened in individuals with at least one G *IDE* allele. First, EF intercept and slope were each regressed on both *IDE* and T2D status. Second, EF intercept and slope were regressed on *IDE*, T2D status, and *IDE* × T2D status. This model produced non-significant results for the *IDE* × T2D status variable for both initial EF performance,  $b^* = .040$ ,  $p = .924$  and change over time,  $b^* = .026$ ,  $p = .631$ . In addition, the previously significant effect of *IDE* on EF change was no longer significant,  $b^* = .016$ ,  $p = .052$ , and the effect of T2D status on initial EF performance was no longer significant,  $b^* = -.366$ ,  $p = .343$ . Therefore, the presence of an *IDE* G allele did not moderate the negative effects of T2D on EF.

#### 4. Discussion

The aim of this research was to explore (a) the concurrent and longitudinal associations of one novel genetic (*IDE* rs6583817) and one metabolic-health (T2D) factor and (b) whether these factors acted independently or interactively in influencing concurrent level of performance and two-wave change in a key cognitive phenotype (EF) of aging. Of special interest was whether the possession of a G allele of this *IDE* variant would (a) exert a risk reduction or positive effect on EF performance and change or (b) moderate the countervailing expectation for a risk elevation or negative effect of T2D. Our expectations reflected these independent and interactive possibilities. We examined these issues using a large sample of older adults followed longitudinally over four years, with data analyzed using leading edge latent growth mixture models.

Regarding research goals 1 (EF latent model) and 2 (two-wave invariance testing), the confirmatory factor analyses revealed that, as expected, a single-factor model fit the data best. This model exhibited metric invariance overall and partial scalar invariance for the inhibition dimension. Our findings provide further evidence for a single-factor EF latent variable for normal aging, and are consistent with the differentiation/de-differentiation theory of typical aging in the EF phenotype. However, we note that this theory has not been tested on the same people over an extended longitudinal period (de Frias et al., 2006; Luszcz, 2011) and we could not test the EF factor structure at the genetic level (Kremen et al., 2009; Vasilopoulos et al., 2012). Moreover, recent neurocognitive evidence indicates that it may apply differentially across the spectrum of cognitively impaired, normal, and sustained healthy aging, as associated with individualized lifetime levels of biological vulnerability and environmental risk or protective factors (de Frias et al., 2009; Dixon, 2010; Lindenberger et al., 2008).

For research goals 3 (EF latent growth model) and 4 (path analyses with *IDE* and T2D), we observed that there is indeed a potential protective effect the *IDE* G allele on the EF phenotype—both concurrently and longitudinally. Concurrently, at the age 75 centering point (Figure 1), the presence of an *IDE* G allele (our *IDE* G+ group) was associated with better EF performance. In fact, the dosage effect showed that each additional G allele resulted in better concurrent EF performance. Longitudinally, adults with a G allele exhibited reduced EF decline rates as compared with adults who did not possess the G allele. This *IDE* (rs6583817) variant was previously associated with increased level of *IDE* transcription and reduced risk of AD in a synthetic *in vitro* system (Belbin et al., 2011; Carrasquillo et al., 2010). The present research is the first to link this *IDE* polymorphism to actual cognitive performance and change in non-demented older adults. The results



indicated a potential protection function for normal cognitive aging, as associated with the *IDE* major allele (G). We did not observe such an association for the minor allele (A), which had been reported as a potential protection factor for classification with neurodegenerative disease (i.e., AD). The increase of *IDE* mRNA related to the minor allele (A) reported by Carrasquillo and colleagues would translate to a decrease in both insulin and A $\beta$ . Conceivably, a decrease in A $\beta$  would result in a decreased risk of AD. At the same time, a decrease in insulin would have a deleterious effect on EF, as increases in insulin have been linked to better EF performance (Awad et al., 2004). The minor and major allele of this *IDE* variant may be correspondingly specialized, with (a) the former affecting AD-related neurobiology and (b) the latter associated with prefrontal neurobiological changes, as phenotypically reflected in EF performance and change. Further research can examine whether (a) *IDE* targets two main peptides (insulin and A $\beta$ ) with the cognitive effects potentially contingent on brain region and physiological condition (e.g., aging), (b) *IDE* (rs6583817) major and minor alleles differentially affect other basic cognitive resources (e.g., speed) or risk of AD, (c) other *IDE* polymorphisms affect EF performance in normal aging, (d) other *IDE* variants interact with (or counteract) the present *IDE* variant, and (e) this *IDE* variant interacts with other biological (e.g., vascular) markers.

As expected from complementary univariate (manifest variable) studies (Biessels et al., 2008; Yeung et al., 2009), our findings show a clear link between T2D and decreased EF performance. This study contributes the novel information that T2D predicts level of performance but not accelerated decline in EF. The inference from this result is that T2D may have a (a) relatively early (perhaps pre-diagnosis) impact on EF or (b) moderated impact, by multiple individual-level factors (biological, environmental, severity, therapeutic) or (c) later acceleration in EF decline possibly occurring as a function of pre-clinical neurodegenerative decline. The present results should be interpreted in the context of a sample of a population that would include older adults with mild to moderate cases of T2D, and for whom access to national health care may indicate that the disease is relatively controlled. Our finding that the *IDE* variant did not moderate the effects of T2D may be in part due to this lack of severe T2D. Further research using other *IDE* variants and with participants with more severe T2D symptomatology would elucidate another facet of the long term effects of T2D on cognitive phenotypes in aging.

There are several strengths and limitations associated with this study. First, the VLS data set includes only one of several possible *IDE* genotypes with linkages to common neurodegenerative (AD) and bio-health (T2D) conditions. However, the genotype tested (rs6583817) has only recently been investigated in relation to AD and has unique promise both in terms of strength of association and the potential valence of influence. Future research should examine moderating and interacting influences (both risk and risk-reduction) of other *IDE* variants, genomic (e.g., *APOE*) factors, environmental-lifestyle (e.g., physical exercise) influences, and biological (e.g., pulse pressure) modifiers (e.g., Lindenberger et al., 2008). Second, our T2D participants were identified with a strict and standard multi-step process but the assessment did not include continuously distributed and relevant biomarkers (e.g., glycated haemoglobin [HbA1c]), which were unavailable but could have provided additional theoretical and diagnostic information (e.g., Raz et al., 2008). However, the present diagnostic procedures are well-developed, documented, validated, and appropriate to samples of older adults with relatively managed (mild to moderate) T2D conditions. Third, our goal was to examine a subgroup of aging adults who have not yet begun detectable transitions in neurodegenerative disease. Therefore, as noted, the present VLS sample is community-dwelling, fairly well-educated, and with access to national health care services. Not only is this likely to represent a growing proportion of the aging population, it provides a conservative test of the hypotheses concerning health-biological influences on prefrontal-related executive functions in older adults. That the

sample may reflect late-life survivorship is reflected in the fact that about 8% had T2D and the allelic distribution of *IDE* showed some selectivity, in that the AG heterozygotes were present in a greater than expected frequency, relative to the GG homozygotes. Fourth, we focused on a prominent cognitive phenotype (EF) but both other basic (e.g., speed) and complex (e.g., episodic memory) are related to AD and EF—and possibly to this *IDE* variant in aging—and should be studied in future research. However, we note that among the strengths of this study are (a) that the EF factor was comprised of four standard and strong neuropsychological manifest variables empirically contributing to a latent variable and (b) that the EF phenotype was examined longitudinally with age as a continuous variable, resulting in an investigation of concurrent and longitudinal EF performance and change across a band of approximately 40 years.

In sum, the present study examined the effects of *IDE* (rs6583817) and T2D on EF level and change independently and together. We found that *IDE* and T2D are independently and differentially associated with EF performance in older adults. The associations are (at cross-section) in a common direction in that both factors produce expected risk-related group differences at the age intercept. The associations are differential in that the longitudinal EF decline patterns show (a) similar functions for both healthy and T2D participants but (b) steeper decline (for the *IDE* G− group) and unique substantial preservation (for the *IDE* G+ group). Our specific analyses of *IDE* interactions with T2D showed that this variant neither protected (the G+) nor exacerbated (the G−) the observed decrements and declines of EF by T2D versus normal aging groups. Possession of an *IDE* G allele exhibited a previously unobserved positive effect on both EF level at age 75 years and change across time. Our research is the first to link *IDE* to cognitive performance and change in non-demented older adults.

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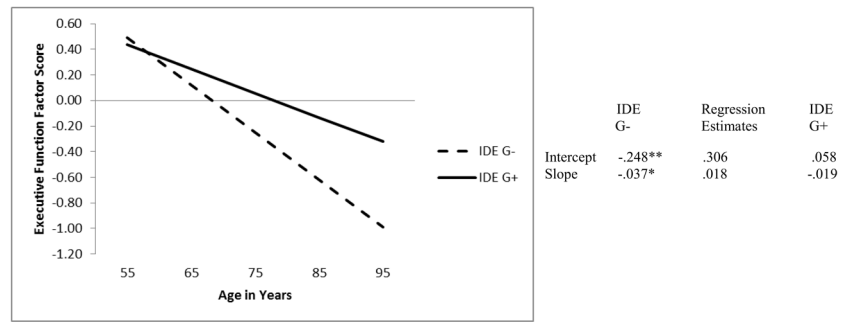
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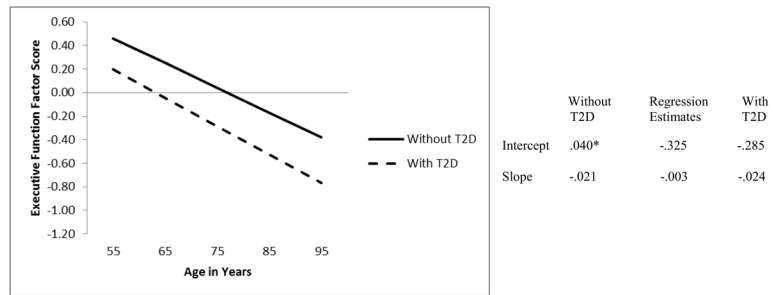
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### Verification for Neurobiology of Aging

1. There are no actual or potential conflicts of interest, as queried specifically and completely in sections (a), (b), and (c) of this item.
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3. The data presented in this study have not been previously published or submitted elsewhere, and these data will not be submitted elsewhere while under consideration by this journal.
4. All research has been approved continuously by relevant institutional review boards. Certificates are available and on file in the University of Alberta Research Services Office and the U.S. National Institutes of Health. All participants have completed and signed informed consent forms.
5. All authors have participated in multiple phases of this research and all have participated in the process of preparing and submitting this manuscript. All authors approve of their inclusion on the authorship list.



**Figure 1.** Predicted growth curve for executive function factor scores using *IDE* genotype (i.e., G- = no G allele, G+ = at least one G allele) as a predictor with age as a continuous variable centered at 75 years. \* $p < .05$ . \*\* $p < .01$ .



**Figure 2.** Predicted growth curve for executive function factor scores using T2D status (i.e., Without T2D, With T2D) as a predictor with age as a continuous variable centered at 75 years. \* $p < .05$ . \*\* $p < .01$

Table 1

Descriptive Statistics for Sample by IDE genotype and Longitudinal Wave

	IDE genotype			
	G+ (G/G & G/A)		G- (A/A)	
	W1	W2	W1	W2
<i>n</i> <sup>a</sup>	495 (122 & 373)	410 (104 & 306)	79	64
Age <i>M(SD)</i>	69.8 (8.48)	73.9 (8.36)	72.3 (8.65)	76.9 (8.75)
Range	53.2 – 95.2	57.3 – 94.1	54.6 – 89.3	58.9 – 94.5
Gender (% women)	67.7	67.8	62.0	59.4
T2D (% with T2D)	7.9	7.8	8.9	7.8
Hayling <i>M(SD)</i>	5.62 (1.42)	5.49 (1.49)	5.47 (1.42)	5.23 (1.40)
Stroop <sup>b</sup> <i>M(SD)</i>	1.25 (.706)	1.31 (.910)	1.41 (.828)	1.44 (.892)
Brixton <i>M(SD)</i>	4.96 (2.13)	5.42 (2.00)	4.56 (2.17)	4.98 (2.12)
Color Trails <sup>b</sup> <i>M(SD)</i>	91.9 (28.9)	99.1 (38.6)	99.3 (35.0)	107.1 (41.7)
EF factor scores <i>M(SD)</i>	.008 (.805)	.051 (1.20)	-.244 (.873)	-.331 (1.38)

Note. Hardy-Weinberg equilibrium  $\chi^2 = 54.09$  at W1, therefore the genotypic distribution for IDE is not in Hardy-Weinberg equilibrium. W1 = Wave 1. W2 = Wave 2.

<sup>a</sup>For G+ *n* is for total G (G/G & G/A).

<sup>b</sup>Lower scores indicate better performance.



**Table 2**  
 Goodness of Fit Indexes for Executive Function Confirmatory Factor Analysis Models and Measurement Invariance Testing

Model	AIC	BIC	$\chi^2$	df	p	RMSEA	CFI	SRMR
One factor EF (W1)	5866.575	5914.454	3.529	3	.309	.019 (.000-.075)	.995	.023
Two factor EF <sup>a</sup> (W1)	Residual covariance matrix not positive definite.							
One factor EF (W2)	5214.349	5260.123	1.756	3	.6249	.000 (.000-.063)	1.00	.028
Two factor EF <sup>a</sup> (W2)	Residual covariance matrix not positive definite.							
One factor EF (W1 and W2)	10505.045	10626.967	11.821	16	.7562	.000 (.000-.028)	1.00	.019
Equal indicator loadings	10504.623	10613.482	17.398	19	.5629	.000 (.000-.033)	1.00	.027
Equal intercepts	10563.543	10659.339	82.318	22	<.001	.069 (.054-.085)	.931	.054
Equal intercepts STRP & HAY	10509.190	10613.695	23.966	20	.2439	.019 (.000-.042)	.995	.029

*Note.* AIC = Akaike information criteria. BIC = Bayesian information criteria. RMSEA = Root Mean Square Error of Approximation. CFI = Comparative Fit Index. SRMR = Standardized Root Mean Square Residual. EF = Executive Function. W1 = Wave 1. W2 = Wave 2. STRP = Stroop. HAY = Hayling.

Table 3

Goodness of Fit Indexes for Executive Function Latent Growth Models

Model	H0 value	-2LL	Parameters Free	AIC	BIC	Intercept		Slope	
						M	S	M	S
Fixed intercept	-1470.253	2940.506	3	2946.506	2959.57	.022	-	-	-
Random intercept	-971.301	1942.602	4	1950.601	1968.019	-.028	.668**	-	-
Random intercept Fixed slope	-905.567	1811.134	5	1821.134	1842.906	-.199**	.473**	-.036**	-
Random intercept Random slope	-722.631	1445.262	7	1459.262	1489.742	.014	.785**	-.022**	.002**
Model 1 (A+/A-) <sup>a</sup>	-722.244	1444.488	9	1462.489	1501.678	-.062	-	-.001	-
Model 1 (G+/G-) <sup>b</sup>	-719.114	1438.228	9	1456.228	1495.417	.306**	-	.018*	-
Model 2 <sup>c</sup>	-716.752	1433.504	9	1451.503	1490.693	-.325*	-	-.003	-
Model 3 <sup>d</sup>									
IDE, T2D	-713.260	1426.520	11	1448.521	1496.419	.302*/-.326*	-	.018*/-.003	-
IDE, T2D, & IDE × T2D	-712.257	1424.514	13	1450.514	1507.120	.305*/-.366/.040	-	.016*/-.025/.026	-

Note. H0 = Loglikelihood value; -2LL = -2 (H0) = -2 log likelihood; AIC = Akaike information criterion; BIC = Bayesian information criterion; M = Mean; S = Sample variance; *b*\* reported as IDE/T2D and IDE/T2D/IDE × T2D.

<sup>a</sup>Model 1 = EF intercept and slope regressed on IDE testing the A allele was non-significant and was dropped from analyses.

<sup>b</sup>Model 1 testing the G allele was retained for all further analyses.

<sup>c</sup>Model 2 = EF intercept and slope regressed on T2D.

<sup>d</sup>Model 3 = EF intercept and slope regressed on IDE and T2D and then EF intercept and slope regressed on IDE, T2D, and IDE × T2D. Model 3 had an intercept-slope correlation of .037\*\*.

\*  $p < .05$ .

\*\*  $p < .01$ .