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IgA response in preterm neonates shows little evidence of antigen-driven selection

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Abstract

After birth, contact to environmental antigens induces the production of IgA, which represents a first line of defense for the neonate. We sought to characterize the maturation of the repertoire of IgA H chain transcripts in circulating blood B cells during human ontogeny. We found that IgA H chain transcripts were present in cord blood as early as 27 weeks of gestation and that the restrictions of the primary antibody repertoire (IgM) persisted in the IgA repertoire. Thus, B cells harboring more “mature” V_H regions were not preferred for class switch to IgA. Preterm and term neonates expressed a unique IgA repertoire, which was characterized by short CDR-H3 regions, preference of the J_H proximal D_H7-27 gene segment, and very few somatic mutations. During the first postnatal months, these restrictions were slowly released. Preterm birth did not measurably accelerate the maturation of the IgA repertoire. At a postconceptional age of 60 weeks, somatic mutation frequency of IgA H chain transcripts reached 25% of the adult values but still showed little evidence of antigen-driven selection. These results indicate that similar to IgG, the IgA repertoire expands in a controlled manner after birth. Thus, the IgA repertoire of the newborn has distinctive characteristics that differ from the adult IgA repertoire. These observations might explain the lower affinity and specificity of neonatal IgA antibodies, which could contribute to a higher susceptibility to infections and altered responses to vaccinations, but might also prevent the development of autoimmune and allergic diseases.

Keywords

Human; B-cells; antibodies; gene rearrangement; repertoire development

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Introduction

IgA serves as the forward defense of the mucosal adaptive immune system where it can protect the organism by neutralizing toxins and by blocking the adherence and penetration of microorganisms. By means of its ability to penetrate the mucosa in conjunction with antigen and to consecutively induce effector immune responses, IgA also plays a key role in the maintenance of intestinal microflora and immune homeostasis (1, 2).

IgA production in mice is very low in the uninfected fetus and is stimulated after birth by exposure to commensal microorganisms and food antigens in the gut (3). Interestingly, in mice IgA production is particularly up-regulated during weaning (4, 5). Whereas in mice isolated lymphoid follicles, as inductive sites for B cell activation and expansion, develop after birth in response to the microflora, they are already present in humans at birth (6). In humans serum-IgA concentration increases during childhood and reaches adult levels during the second decade of life (7). Large amounts of IgA are secreted onto mucosal surfaces and by exocrine glands, including the mammary gland. Breast fed neonates take up high amounts of IgA through their mother's milk, allowing a passive protection of the intestinal mucosa while the infant gradually establishes its own IgA production.

Hitherto IgA production during human ontogeny has only been examined quantitatively (serum levels), but not qualitatively (characteristics of antigen-binding sites) (7). Previous analyses of V_H , D_H and J_H gene utilization, N-nucleotides and somatic mutations of immunoglobulin heavy chain gene transcripts have shown that the diversification of the primary (IgM) and the secondary (IgG) antibody repertoires are strictly regulated during ontogeny (8-12). Several observations in mice suggest that, in contrast to IgG, the IgA repertoire might not predominantly reflect a focused antigen driven selection but rather a diffuse, less selected production that might be directed against redundant epitopes of commensal microorganisms (13, 14). Moreover, normal serum IgA levels in mice can even be produced in the absence of organized secondary lymphoid structures such as Peyer's patches and mesenteric lymph nodes (15). Studying the ontogeny of IgA production in human is important since although sharing many similarities, the regulation of IgA production differs between mouse and humans in several important aspects (6). We postulated that a systematic analysis of the human IgA repertoire during ontogeny might clarify if the circulating IgA repertoire underlies differing selective pressures than the other isotypes. In this study we have analyzed IgA transcripts from cord blood and from peripheral blood of preterm and term neonates during the first 6 months of life, using adult blood samples as a comparison. We found that the IgA repertoire diversifies slowly after birth. Due to short CDR-H3 regions and very low numbers of somatic mutations, the immature IgA repertoire distinctively differs from the adult IgA repertoire. These characteristics may explain the low antigen affinity and poly-reactivity of neonatal IgA antibodies (16) and contribute to the altered pattern of antigen reactivity that characterizes the very young (17).

Materials and Methods

Patient samples

Blood samples were collected from both extremely preterm neonates (25-30 weeks of gestation, n= 15) and from term neonates (39-42 weeks of gestation, n=14) at birth (cord blood) or at a postnatal age ranging from 1 to 35 weeks (venous blood); and from healthy adults (n=7). Postnatal samples were collected during routine blood tests which were most frequently performed for the control of blood gases, serum-electrolytes, or bilirubin. In each case, the blood for required clinical tests was collected first. Subsequently, 0.2 ml of EDTA blood was collected in a separate tube for this research project. The analysis of the IgA

repertoires shown here is part of a project to describe IgM, IgG, and IgA repertoires during ontogeny. Each blood sample originates from a different individual. Cord blood was collected from umbilical cord arteries after thorough cleaning to avoid cross contamination with maternal blood. The numbers of blood samples and sequences of IgA transcripts from each sample are given in Table I. All subjects were caucasians. Gestational age was calculated from the first day of the last menstrual period and confirmed by early ultrasound and by clinical examination. Postconceptional age was calculated as gestational age plus postnatal age. The study was conducted in accordance with the guidelines of the World Medical Association's Declaration of Helsinki. The Institutional Review Boards of the Free University of Berlin and of the Philipps-University Marburg approved the study protocol. The written consent of parents and adult donors was obtained.

Preparation of RNA and RT-polymerase chain reaction

Erythrocytes were lysed and leukocytes were recovered by centrifugation. Total RNA was isolated using the QIAamp RNA Blood Mini-Kit (Qiagen; Hilden, Germany) according to the manufacturer's protocol. A combination of primers for the framework region 1 (FR1) of all human V_H gene families (12) was used together with a consensus antisense primer specific for the first exon of the $\alpha_{1/2}$ constant region (Table II) (18). RT-PCR amplifications were carried out in a total volume of 50 μ l containing 5 μ l of RNA eluate, 2.5 mM $MgCl_2$, 7.5 U recombinant RNase inhibitor, and 0.66 μ M of each forward and reverse primer using a OneStep RT-PCR kit (Qiagen; Hilden, Germany). The following program was performed on a thermocycler (Sensquest; Göttingen, Germany): 30 min at 50°C, 15 min at 94°C followed by 40 cycles using a cycle profile of 1 min at 94°C, 1 min at 66°C, and 1 min at 72°C, followed by a final extension of 10 min at 72°C. As a control for RNA quality GAPDH transcripts were amplified from each sample using humGAPDH-1 and humGAPDH-2 primers (Table II). PCR products were gel purified and DNA was extracted with QIAquick gel extraction kit (Qiagen).

Cloning of PCR products

Ligation and transfection were performed using standard protocols according to the manufacturer's instructions (TOPO-TA cloning kit, Invitrogen; Karlsruhe, Germany).

Sequence analysis

After the transformed cells had grown on agar plates, 20-35 clones from each subject were randomly selected. Plasmid DNA was extracted, linearized, and sequenced using an ABI capillary sequencer. Gene segments were aligned to germline gene segments using the ImMunoGeneTics (IMGT) database with V-QUEST (19). A minimum of six non-mutated nucleotides with at least two non-mutated nucleotides at each end were required to identify a *diversity* (D) gene (20). The CDR-H3 was defined to include those residues between the conserved cysteine (C104) of FR-H3 and the conserved tryptophan (W118) of FR-H4. To analyze the patterns of somatic mutations for signs of antigen-selection, we used the algorithms of Lossos *et al.* (21) and of Chang and Casali (22) as described previously (23). According to this method, the probability of antigen selection increases with the number of replacement mutations within the CDR regions. Sequences with a ratio of somatic mutation frequency in FR2 to FR3 > 3 or < 0.33 and a difference in somatic mutation frequency between FR2 and FR3 $> 100\%$ were excluded from the analysis to minimize the chance of including hybrid genes formed during the PCR amplification.

Statistical Analysis

Statistical analyses were performed using GraphPad Prism 5.0 (La Jolla, USA) and SPSS 17.0 (Chicago, USA). Normality distribution was assessed with Kolmogorov-Smirnov test.

Differences between populations were assessed by a two-tailed Student's t test for normally distributed data or a Mann-Whitney U test for non-normally distributed data, respectively. For categorical data, a chi-square test with *post hoc*-analysis was applied as described by Collis *et al.* (24). A $p < 0.05$ was accepted significant. Means are given with standard error.

Results

To compare the age-related and environmental influences on the postnatal development of the IgA repertoire in preterm and term neonates, we analyzed a total of 752 functional IgA transcripts. Of these transcripts, 663 (88%) were unique, including 235 from 15 preterm neonates, 276 from 14 term neonates, and 150 from 7 adult venous blood samples. This analysis includes 129 sequences from 8 blood samples that had previously been studied for the presence of homology directed recombination (Table 1) (18).

The IgA H chain repertoire of preterm neonates retained the characteristics of fetal IgM H chain variable regions, including short N(D)N regions and overrepresentation of D_H7–27

In preterm neonates, the N(D)N length increased during the period corresponding to the third trimester of gestation by 0.17 nucleotides per week ($r=0.538$, $p<0.0001$) (Fig. 1). At a postconceptional age of >50 weeks, the N(D)N length was similar in preterm and term neonates and had reached adult N(D)N length. Thus, N(D)N length increase was similar after premature birth and during intrauterine development. The increase in N(D)N length during ontogeny was mainly due to increasing numbers of N nucleotides that were added at the D_H-J_H-junction by 0.11 nucleotides per week ($r=0.756$, $p<0.001$).

The frequency of the D_H7-27, the most J_H proximal D_H gene segment, undergoes great changes during ontogeny in humans and in mice (reviewed in (25)). We found that D_H7-27 was more frequently used in IgA transcripts from preterm ($9.8\pm 2.3\%$) than in term neonate blood B cells ($2.5\pm 1.0\%$, $p<0.05$) or adults ($0.01\pm 0.01\%$, $p<0.001$, Fisher exact test) (Fig 2). Use of the other V_H, D_H, and J_H genes in IgA transcripts was similar. Briefly, in comparison to the frequency expected from the number of germline genes, the V_H4 and V_H6 families, as well as the J_H4 genes were overrepresented, whereas the V_H3 family was underrepresented in all groups of IgA transcripts studied (not shown). In all three groups, the V_H6-1 gene segment was used most frequently, followed by V_H4-59 (not shown). In summary, the V_H, D_H, and J_H gene utilization was similar in IgA transcripts as in previously published IgM and IgG transcripts.

The somatic mutation frequency rises slowly in preterm neonates

In neonates, the somatic mutation frequency within CDR-H1 to FR-H3 (number of mismatches to the most homologous V_H gene segment per 1,000 nucleotides) increased during the time period studied by 0.35‰ (preterm, $r=0.678$, $p<0.0001$) and 0.44‰ (term, $r=0.731$, $p<0.0001$) per week respectively (Fig 3). At a postconceptional age of ~ 60 weeks the somatic mutation frequency was similar in preterm (17.7‰) and term neonates (15.9‰), but remained markedly below the somatic mutation frequency seen in adults (ranging from 54.4 to 97.5‰; median 71.9‰). The fraction of unmutated IgA transcripts was 42% in preterm neonates, 39% in term neonates (n.s.) and 3% in adults ($p<0.0001$ versus preterm and term neonates, two sided Chi² test, respectively).

We found a close correlation between somatic mutation frequency of IgA transcripts and of previously published IgG transcripts in 15 blood samples from the same neonates that were studied for both isotypes ($r=0.5234$, $p<0.05$) (Fig 4) (12), whereas the somatic mutation frequency remained very low in IgM transcripts throughout ontogeny.

To exclude significant biasing by Taq polymerase error, we counted the mismatches within the 17 nucleotides of the C region that were included in the amplicates upstream of the reverse primer. The Taq error rate was estimated to be 0.61/1,000 nt in IgA transcripts, thus within the range observed for analogous methods for IgM and IgG transcripts. Therefore, the observed differences cannot be explained by Taq polymerase error.

IgA H chain transcripts of neonates display a very low degree of antigen selection

To evaluate whether IgA sequences demonstrated signs of antigen selection, we analyzed the distribution of replacement and silent mutations between framework regions (FR) and CDR as described previously (23). A previously described binomial distribution method (22) was used to determine the 95% confidence limits for the random enrichment of replacement mutations in the CDRs (26). This confidence limits are depicted as grey area in Figure 5. A data point falling outside this gray shaded area represents a sequence which has a high proportion of replacement mutations in the CDR. The probability that such a sequence has accumulated as many replacement mutations in the CDRs by mere random mutation is less than 0.05. Therefore, an allocation above the upper confidence limit was considered indicative of antigen-driven selection.

According to this definition, 34% of the IgA transcripts of adults showed signs of antigen selection. Interestingly, the picture was strikingly different in neonates: The percentage of IgA sequences with signs of antigen selection was 2.1% ($p < 0.001$ versus adults) in preterm neonates and 1.7% ($p < 0.001$, two sided χ^2 test versus adults) in term neonates (Fig 5). Some neonatal sequences with few somatic mutations fulfill the mathematical criteria for antigen selection, although the biological significance of these findings is uncertain. Thus it is possible that our approach overestimates the number of antigen selected sequences in neonates.

The clonal diversity of each blood sample did not differ between the three groups, ranging from 71 to 100 percent in preterm neonates (median 87%), 50 to 100% in term neonates (median 91%) and 71 to 100% in adults (median 89%) (Table I).

Discussion

In this study we present the first ontogenetic analysis of the IgA repertoire in the perinatal period. We found that IgA H chain transcripts were present in cord blood as early as 27 weeks of gestation and that known restrictions of the primary antibody repertoire (IgM) persisted in the IgA repertoire. Preterm and term neonates possessed a unique IgA repertoire characterized by short CDR-H3 regions, biased D_H gene usage, and very few somatic mutations. Thus, the IgA repertoire of the newborn is distinctly different from the adult IgA repertoire. During the first postnatal months, these restrictions were slowly released and the IgA H chain transcripts contained increasing evidence of somatic hypermutation that reflects antigen exposure. Preterm birth did not significantly accelerate the maturation of the IgA repertoire.

It has been suggested that the perinatal period is a window of opportunity for imprinting the B cell repertoire towards or against diseases of a dysbalanced immune system, such as allergies and autoimmune diseases (27, 28). These diseases represent misled antigen-driven secondary antibody reactions (26, 29). Thus studying the ontogeny of secondary antibody repertoires could lead to a better understanding of the pathogenesis of many immune diseases, and could help identifying key periods where manipulation of the repertoire might prevent diseases. We and others have demonstrated in previous studies that analyses of immunoglobulin transcripts can give valuable insights into the selective pressures acting on B cells during the recruitment into various B cell subpopulations and during physiologic and

pathologic immune responses ((30, 31); review: (32)). Comparing the postnatal development of preterm neonates and term neonates represents a unique model that allows distinguishing between antigen-induced and maturity-induced mechanisms in the immune system.

Birth initiates the transition from the intrauterine germ free environment to the extrauterine confrontation with microbial and food antigens. This stimulus has a profound influence on the maturation of mucosa associated lymphatic tissues (e.g. Peyer's patches) and other lymphoid tissues (7, 33, 34). Neonatal mice that were bred under germ-free conditions and fed an antigen-free diet failed to produce secondary antibody repertoires and were highly susceptible to infections when exposed to microbes later in life (35). The preterm neonates of our study were exposed to dietary antigens (formula milk or breast milk) from the first day of life and skin-to-skin contact was encouraged from the first week of life, enabling the colonization with commensals approximately three to four months earlier than in a term neonate. Despite this massive exposure to foreign antigens, the IgA heavy chain transcripts produced by the premature infants did not show more evidence of antigen-driven selection when they reached due date than term neonates of the same postconceptional age. This supports the view that many of the maturational steps involved in the ontogeny of the antibody repertoire are genetically regulated and are triggered independent of antigen exposure.

Most of the preterm neonates had at least one infection during the observed episode. Similar to previously published IgG sequences from the same blood samples, extensive statistical comparisons did not reveal differences between children with or without a history of infection (data not shown; (12)). This is not surprising, because compared to the continuous stimulation by non-pathogenic microbiota or dietary antigens, an episode of infection is a rare and time-limited antigenic exposure.

Our study provides molecular evidence that class switch recombination to IgA during intrauterine life is occurring without the high level of somatic mutations normally observed during adult antigen-driven selection. The diversity of IgA transcripts, which can be interpreted as a rough estimate for the clonal diversity, reached similar levels in extremely preterm neonates as in adults. Notably, both class switch recombination and somatic hypermutation are dependent on the enzymatic activity of AID, but the different processes are supported by different domains of the enzyme (36). This separation is in harmony with the observation that although specific antibody responses, including IgA, can be elicited by intrauterine infection or vaccination (37, 38), neonatal antibody responses are characterized by lower affinity and shorter half-life than in the mature organism (17).

After term, the somatic mutation frequency increased by approximately 0.7 ‰ per week, both in term and preterm neonates. Even at a postconceptional age of 60 weeks, equivalent to five months of age after term, the somatic mutation frequencies in preterm and term neonates were only approximately a quarter of the adult level, and only very few somatic mutations fulfilled the criteria of antigen-driven selection (21, 22). The lower number of somatic mutations in IgA transcripts from neonates could either reflect a lower activity of the enzyme AID, which normally introduces approximately 1 somatic mutation per 1,000 nucleotides and cell division into the immunoglobulin heavy chain gene (39), and/ or the cells in neonates could have undergone fewer cell divisions. Alternatively, the low number of somatic mutations might reflect a bias in neonates towards T cell independent IgA formation, as in mice, non-mutated IgA sequences appear to be generated through T cell independent mechanisms, whereas mutated IgA sequences require the presence of T cell mediated signals (40, 41). One can hypothesize that T cell help – and thus production of mutated IgA sequences – might be restricted during early ontogeny, e.g. due to the decreased expression of co-stimulatory receptors (CD40, CD80, CD86) (Reviewed in (17)).

In adults, IgA H chain transcripts from peripheral blood contained as many somatic mutations as IgA H chain transcripts from tonsils (42) or from intestinal plasma cells (43). Moreover, the somatic mutations within the IgA transcripts from adult peripheral blood showed all known characteristics of the antigen-driven selection that have been observed in both IgG and IgE repertoires as well (12, 26). The somatic mutation frequencies of IgA and IgG transcripts from the same blood samples correlated, indicating that comparable mechanisms may regulate the number of somatic mutations in IgG and IgA. This argues against the assumption that the IgA repertoire in circulating blood lymphocytes would reflect a rather diffuse, less selected antibody production. However, this hypothesis had been put forth for murine gut plasmablasts, that often arise from low affinity, polyreactive IgM-expressing B1 cells (14). It must be considered that important aspects of the human and murine IgA production differ. In example, phenotypic differences have recently been discovered between human and murine B1 cells (44), and, in contrast to mice, human peritoneal cells probably do not contribute significantly to the IgA secretion of the gut (45).

We found that, regarding D_H utilization and CDR-H3 length, the IgA repertoire of neonates retained the same restrictions as the primary (IgM) repertoire (11, 12). Thus, class switch to IgA did not favor B cells with more “mature” V_H regions. This could indicate that the main characteristics of the secondary immunoglobulin repertoire are already pre-determined during early B cell development prior to exogenous antigen contact. This finding is in line with observations in mouse models in which a bias had been introduced into the primary antibody repertoire by gene targeting the immunoglobulin heavy chain Diversity gene locus (32, 46-48). In agreement with our findings in the human neonatal IgM and IgA repertoires, somatic selection during class switch and affinity maturation was also insufficient to correct a bias within the primary IgM repertoire in these gene targeted mice. Interestingly, Kolar et al. have shown that the differences in CDR-H3 length and D_H utilization were reduced in the IgM repertoires when NOD/SCID/ $\beta 2m^{-/-}$ mice were reconstituted with human fetal or adult lymphocyte progenitors. This indicates that the observed restrictions during early ontogeny are not exclusively caused by the B cell progenitors themselves but also by yet unknown factors of the environment (49).

Similar to IgM and IgG, the length of the CDR-H3 regions significantly increased by more than 5 nucleotides from extremely preterm neonates to adults. The paramount cause was the increased addition of non-template N-nucleotides between the rearranged Variable, Diversity and Joining gene segments. In theory, adding six random nucleotides to CDR-H3 would increase the potential diversity by $20^2 = 400$ (two random amino acids out of twenty amino acids added). Similar to the fetus, TdT deficient mice produce very short CDR-H3 regions due to the absence of N-nucleotides. Interestingly, TdT deficient mice fill their peripheral lymphocyte pool more rapidly than wildtype mice (50, 51), but their secondary responses to NP₁₉-CGG and to Lysozyme are weaker (52). In analogy, short CDR-H3 regions might enable human fetuses and neonates to rapidly establish their B cell populations in spite of the costs of lower antigen affinity of the antibody repertoire which appears to also persist in the secondary IgA and IgG repertoires.

A further constraint of neonatal IgA transcripts was the predominant use of the D_H7-27 gene segment similar to IgM and IgG transcripts (11, 12). D_H7-27 is the shortest D_H gene segment and the only one of the 27 human D_H gene segments that does not predominantly encode for neutral-hydrophilic amino acids. Based on these differences in length and amino acid composition of the CDR-H3 loops, it can be assumed that not only the diversity but also the structural repertoire of antigen binding sites must differ between preterm neonates, term neonates, and adults.

The structure of the antigen-binding site has strong influence on its function. It is highly probable that the differing characteristics of IgA transcripts during ontogeny are associated with differing preferences for antigen binding, since for example, anti-hapten antibodies usually have shorter CDR-H3 loops than anti-DNA-antibodies (24, 53). The antigen-binding site typically forms a groove when the CDR-H3 loop is shorter than 14 amino acids, whereas longer CDR-H3 loops protrude into the solvent (53, 54). According to this differentiation, the frequency of antigen binding grooves would decrease from 55.3% in preterm neonates to 38.1% in term neonates and would subsequently remain stable (40.5% in adults) (preterm vs term $p < 0.001$, preterm vs adults $p < 0.005$). Taken together, since similar restrictions characterize the CDR-H3 repertoires of neonatal IgM, IgG, and IgA, it is possible that similar restrictions also apply to the antigen binding properties.

One limitation of our study is that due to low sample volumes, we have analyzed IgA transcripts from whole blood and not from sorted B cell subpopulations. Since longitudinal samples were unavailable for this study, each blood sample originates from a different individual, and we cannot measure the intraindividual development. It is possible that the observed differences during ontogeny partially arise from differing relations of B cell subpopulations (e.g. plasmablasts/plasma cells and memory B cells, and newly defined B1 cells (44, 55)) or differing routes of IgA formations (T cell dependent / independent) (41). Moreover, differing selective forces could act upon the membrane bound versus secretory IgA which cannot be distinguished with our experimental approach. The observed differences during ontogeny are probably not due to differing frequency of IgA1 and IgA2 producing cells, since in harmony with Schauer et al. we found similar IgA1 to IgA2 ratios of approximately 6.5:1 in preterm neonates, term neonates, and adult blood samples using quantitative PCR (data not shown, (56)). Feeding with breast milk versus formula milk has significant impact on the gut microbiota (57) and on the developing immune system (58), and may also influence the development of the IgA repertoire. However, due to the local feeding guidelines, all preterm neonates obtained formula milk from their first day and breast milk as soon as available. In consequence, most of our patients were not exclusively fed with one type of milk. Thus this cohort is unsuitable to study the potential influence of feeding type on the maturation of the IgA repertoire.

In summary, our study describes the development of the IgA repertoire during the critical time period of the stepwise acquisition of the ability to form antibodies against various antigens (59). We found that the IgA repertoires of preterm and term neonates are subject to the same restrictions as the IgM and IgG repertoires and that signs of antigen-driven selection accumulated very slowly. Thus, regarding D_H utilization, CDR-H3 length, and somatic mutations, the diversification of the IgM, IgG, and IgA repertoires depends predominantly on maturation and less on antigen contact. The time of maturation for the IgA repertoire in the neonate obviously exceeds the time of passive IgA transfer through mother's milk by far. From a teleological view it is highly unlikely that the strictly regulated diversification of the fetal and neonatal antibody repertoire would represent only immaturity *per se*. Instead the current view is that the altered immune status of the fetus and neonate, including antigen binding properties of the IgM, IgA and IgG repertoire, may be beneficial to establish tolerance to self antigens or maternal antigens and may contribute to the prevention of autoimmune and allergic diseases (59). On the other hand, the preterm neonate might be highly susceptible to infections and less responsive to vaccination because its immune system is still at the stage of tolerance induction instead of self-defense.

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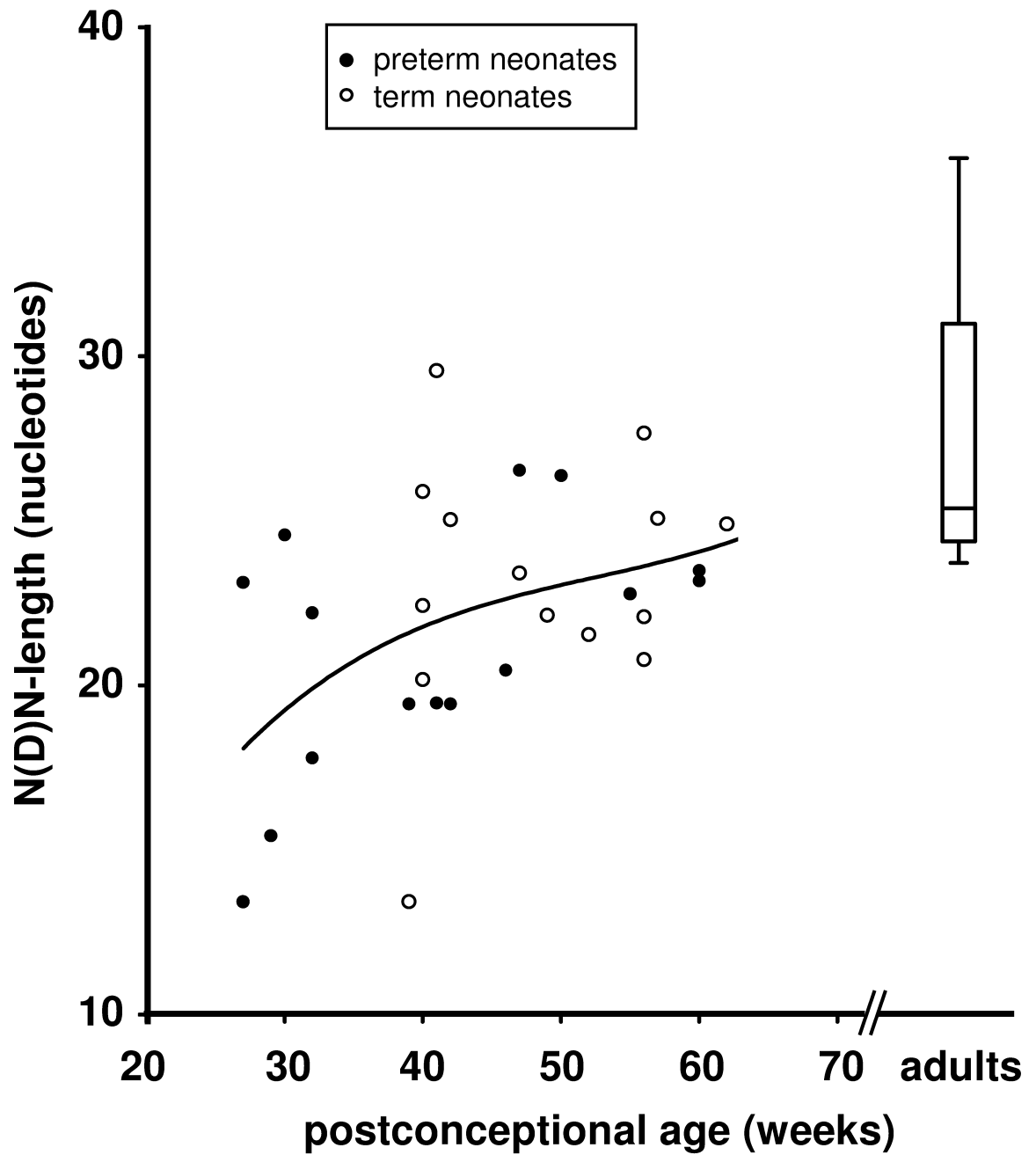


FIGURE 1. N(D)N length of IgA transcripts

Each data point represents the mean of one blood sample (see Table I). N(D)N length in preterm infants increased with postconceptional age ($r=0.538$, $p<0.0001$). Near term, the N(D)N length in preterm and term neonates reached adult levels. The polynomial non-linear best fit curve is shown for IgA sequences. Adult data are shown as mean, quartiles, and tukey whiskers.

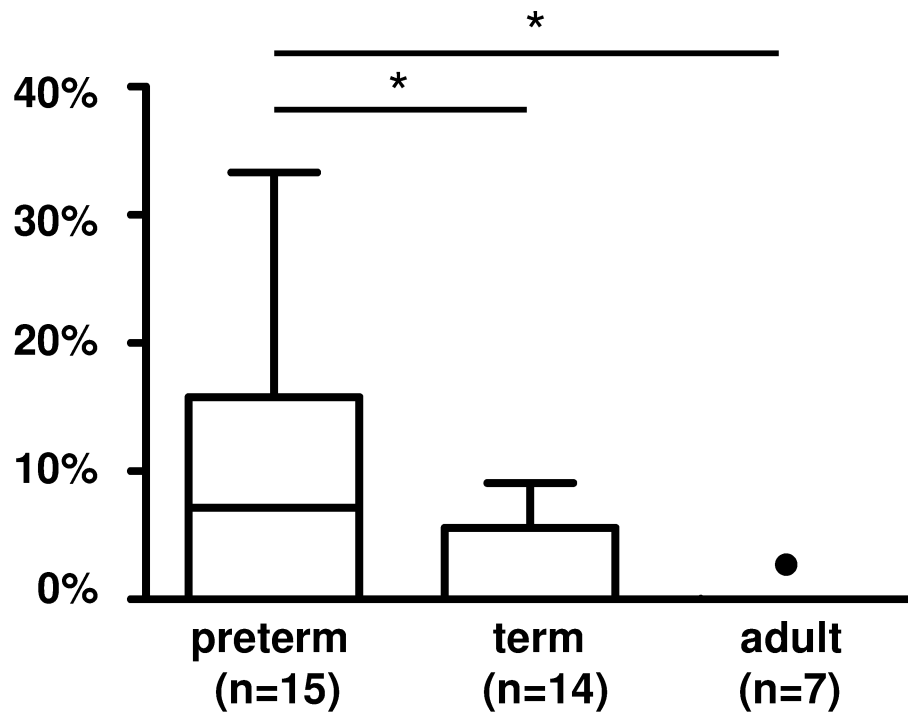


FIGURE 2. Usage of D_H gene families in IgA transcripts

The single member of the D_H7 gene family, D_H7-27, was overrepresented in IgA transcripts from preterm neonates, and its frequency decreased during ontogeny.

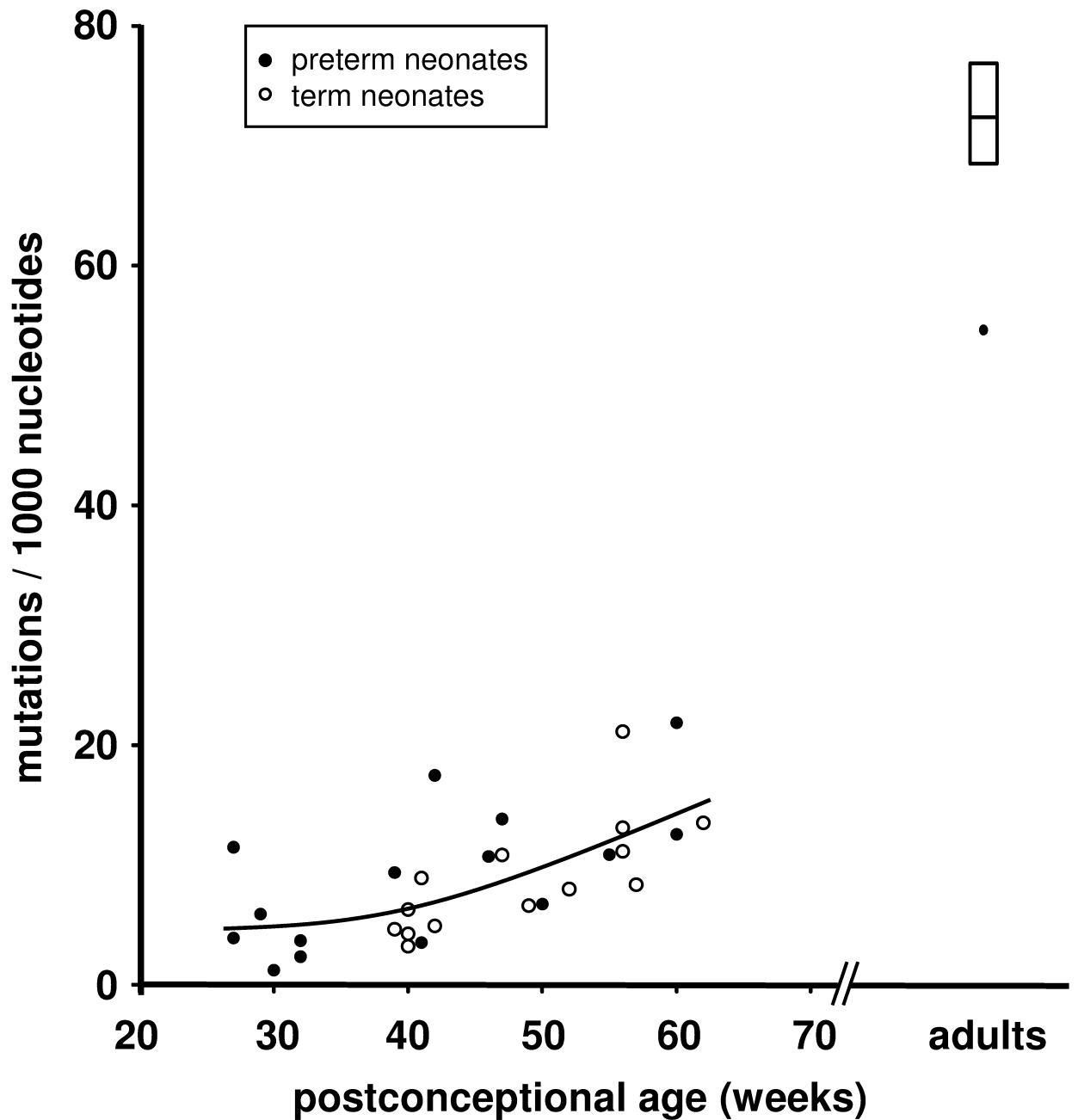


FIGURE 3. Average somatic mutation frequency of IgA transcripts

Each data point represents the mean of one blood sample (see Table I). Somatic mutation frequency increased with postconceptional age (preterm, $r=0.678$, $p<0.0001$ / term, $r=0.687$, $p<0.0001$). At a postconceptional age of ~60 weeks the somatic mutation frequency was similar in preterm and term neonates. The polynomial non-linear best fit curve is shown for IgA sequences. Adult data are shown as mean, quartiles, and tukey whiskers.

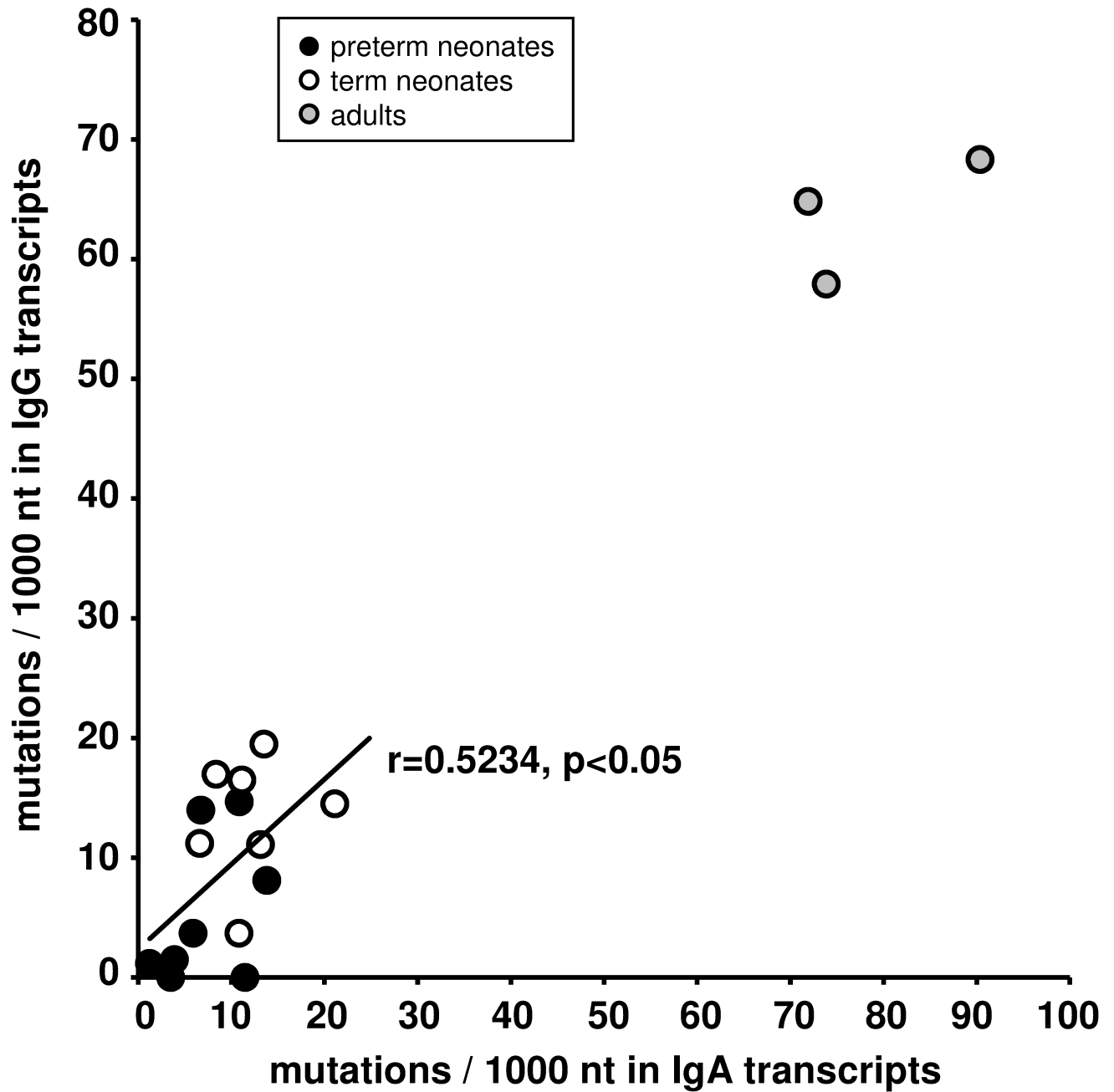


FIGURE 4. Correlation between the somatic mutation frequencies of IgA and IgG transcripts from the same blood samples
 IgG transcripts had been obtained in a previous study (12) from the same 15 blood samples that were used to analyze IgA transcripts ($r=0.5234, p<0.05$, excluding adult samples).

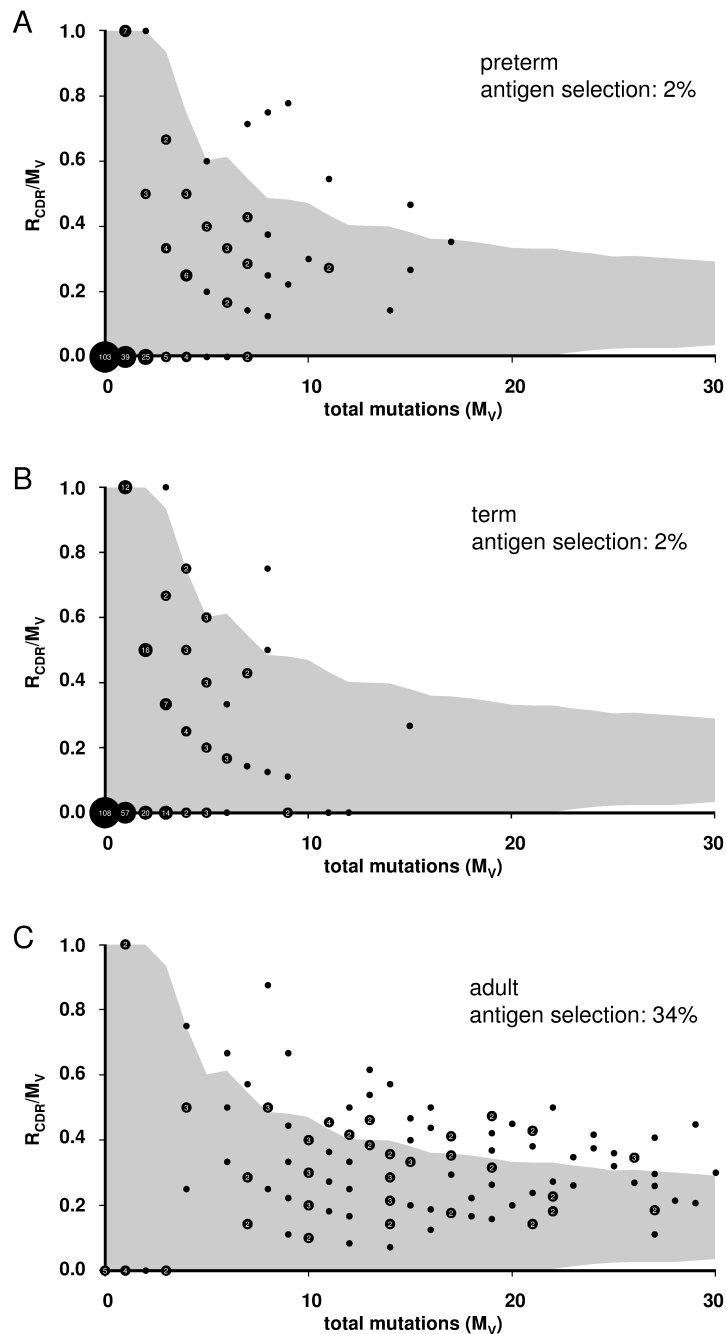


FIGURE 5. Antigen selection of IgA transcripts

Inference of Ag selection in IgA transcripts from preterm neonates (A), term neonates (B) and adults (C). Shown is the ratio of replacement mutations in CDR-H1 and CDR-H2 (R_{CDR}) to the total number of mutations in the V region (M_V) plotted against M_V . The shaded area represents the 95% confidence limits for the probability of random mutations. A data point falling outside these confidence limits represents a sequence that has a high proportion of replacement mutations in the CDR. The probability that these mutations occurred randomly is $p < 0.05$. 2 % of preterm and term neonate IgA transcripts exhibited statistical signs of antigen selection in comparison to 34 % of adult IgA transcripts ($p < 0.05$,

two-tailed Chi² Test, respectively). Numbers of sequences are written above the dots; size of dots increases with the number of sequences with the same parameters.

Table I

Somatic diversity and mutational frequency of IgA transcripts

identifier ^a	gestational age (wk)	postnatal age (wk)	postconceptional age (wk)	unique sequences ^b	clonotypes (% of total)	somatic mutation frequency ^c (CDR1 - FR3)	antigen selection	GenBank acc no ^d
Preterm infants								
1010	27	0	27	15	13 (87)	11.47	0.00%	DQ454820-DQ454835 ^e
1050	27	0	27	14	12 (86)	3.91	0.00%	DQ454848-DQ454861 ^e
2010	27	2	29	17	15 (88)	5.91	0.00%	DQ454879-DQ454895 ^e
2030	28	2	30	12	9 (75)	1.23	0.00%	DQ454896-DQ454908 ^e
2046	26	6	32	14	10 (71)	2.34	0.00%	JN376223-JN376236
2045	26	6	32	15	11 (73)	3.69	0.00%	JN376208-JN376222
2059	27	12	39	18	16 (89)	9.35	0.00%	JN376259-JN376276
2150	27	14	41	15	15 (100)	3.51	0.00%	JN376356-JN376369
2065	27	15	42	16	16 (100)	17.47	18.80%	JN376277-JN376292
2044	27	19	46	19	16 (84)	10.7	0.00%	JN376189-JN376207
2160	28	19	47	13	13 (100)	13.83	0.00%	DQ454916-DQ454929 ^e
2180	27	23	50	13	11 (85)	6.74	0.00%	JN376162-JN376174
2190	27	28	55	14	12 (86)	10.86	14.30%	JN376175-JN376188
2072	30	30	60	18	17 (94)	12.55	0.00%	JN376293-JN376310
2057	25	35	60	22	16 (73)	21.85	0.00%	JN376237-JN376258
Term infants								
3064	40	0	40	14	8 (57)	6.27	0.00%	JN376493-JN376506
3303	39	0	39	16	8 (50)	4.66	0.00%	JX173304-JX173319
3304	40	0	40	28	16 (57)	3.22	0.00%	JX173320-JX173347
3312	40	0	40	19	10 (53)	4.25	0.00%	JX173348-JX173366
4020	39	2	41	18	14 (78)	8.9	5.60%	JN376507-JN376524
4060	40	2	42	25	25 (100)	4.91	0.00%	JN376525-JN376549
4100	40	7	47	26	23 (88)	10.83	3.80%	DQ454943-DQ454970 ^e
4130	40	9	49	21	13 (62)	6.6	0.00%	JN376395-JN376415
4150	40	12	52	11	11 (100)	7.99	0.00%	DQ454971-DQ454984 ^e
4190	40	16	56	19	17 (89)	11.13	0.00%	JN376450-JN376468
4180	40	16	56	21	20 (95)	13.12	0.00%	DQ454986-DQ455007 ^e
4160	41	16	57	24	12 (50)	8.35	0.00%	JN376469-JN376492
4200	39	17	56	23	21 (91)	21.12	8.70%	JN376416-JN376438
4210	42	20	62	11	11 (100)	13.5	0.00%	JN376439-JN376449
Adults								
	Age (years)							
5020	22			14	10 (71)	68.20	21.4%	JN376608-JN376621
5030	36			14	10 (71)	67.81	28.6%	JN376622-JN376636
5040	39			15	15 (100)	54.37	26.7%	JN376637-JN376651

identifier ^a	gestational age (wk)	postnatal age (wk)	postcon ceptional age (wk)	unique sequences ^b	clonotypes (% of total)	somatic mutation frequency ^c (CDR1 - FR3)	antigen selection	GenBank acc no ^d
5050	37			13	13 (100)	76.57	46.2%	JN376652-JN376664
5060	33			35	33 (94)	70.79	28.6%	JN376687-JN376723
5070	28			37	27 (73)	71.93	32.4%	JN376724-JN376760
5080	29			22	20 (91)	87.52	54.6%	JN376665-JN376686
Total sum (33 samples)				661	539 (82)			

^aFour digit number identifies the patient.

^bUnique sequences were defined as sequences with at least one nucleotide difference.

^cSomatic mutation frequency was calculated for all unique sequences within one blood sample

^dWebsite address: www.ncbi.nlm.nih.gov/genbank/

^efrom Bauer et al. (18)

wk = weeks

Table IIPCR primers used V_H sense primer mix^a

IGHV1,3,5	5'-GTG CAG CTG GTG SAG TCT GG-3'
IGHV2	5'-AGA TCA CCT TGA AGG AGT CTG G-3'
IGHV4	5'-AGG TGC AGC TRC AGS AGT SG-3'
IGHV6	5'-CAG CTG CAG CAG TCA GGT CC-3'
CH antisense primer	
Ca _{1/2} reverse	5'-GAG GCT CAG CGG GAA GAC CTT G-3'
GAPDH-control	
humGAPDH 1	5'-AAT GCC TCC TGC ACC ACC AAC-3'
humGAPDH 2	5'-GAC GGC AGG TCA GGT CCA CCA-3'

(S = G or C; R = A or G)

^aThe V_H sense primers are specific for the FR1 of all IgH V_H families.