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CYP3A4/5 combined genotype analysis for predicting statin dose requirement for optimal lipid control

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Abstract

Background—Statins are indicated for prevention of atherosclerotic cardiovascular disease. Metabolism of certain statins involves the cytochrome P450 3A (CYP3A) enzymes, and *CYP3A4*22* significantly influences the dose needed for achieving optimal lipid control for atorva statin, simvastatin, and lovastatin. *CYP3A4/5* combined genotype approaches have proved useful in some studies involving CYP3A substrates. We intend to compare a combined genotype analysis to our previously reported single gene *CYP3A4* analysis.

Methods—A total of 235 patients receiving stable statin doses were genotyped and grouped by *CYP3A4/5* status.

Results—The number and demographic composition of the patients categorized into the combined genotype groups were consistent with those reported for other cohorts. Dose requirement was significantly associated with the ordered combined-genotype grouping; median daily doses were nearly 40% greater for CYP3A4/5 intermediate metabolizers compared with poor metabolizers, and median daily doses were nearly double for extensive metabolizers compared with poor metabolizers. The combined-genotype approach, however, did not improve the genotype-dosage correlation p-values when compared with the previously-reported analysis; values changed from 0.129 to 0.166, 0.036 to 0.185, and 0.014 to 0.044 for atorvastatin, simvastatin, and the combined statin analysis, respectively.

Conclusions—The previously-reported single-gene approach was superior for predicting statin dose requirement in this cohort.

Keywords

CYP3A4/5 combined genotype; gene-gene interaction; pharmacogenomics; statin

Introduction

Cardiovascular disease causes substantial morbidity and mortality [1]. Statin therapy has proven to be highly effective in preventing the progression of cardiovascular disease for most patients, but considerable inter-individual variability in statin response and metabolism is reported. A multitude of genes and polymorphisms have demonstrated influence on statin pharmacokinetics and pharmacodynamics; however, these genetic factors by themselves are insufficient to guide therapy, and gene-gene interaction studies are largely lacking [2, 3]. Atorvastatin and lovastatin are primarily metabolized by cytochrome P450 3A4 (CYP3A4) and CYP3A5 in the gut and liver, and simvastatin is mainly metabolized by CYP3A4 and

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CYP3A5 but also by CYP2C8 [4]. The extent to which CYP3A4 and CYP3A5 contribute to statin metabolism depends on statin type and on the individual patient.

Reported findings attempting to delineate their respective contributions are not very consistent and are largely contradictory, but CYP3A4 is typically more influential. For example, CYP3A4 and CYP3A5 were determined to be responsible for 85% and 15% of atorvastatin metabolism, respectively, in a reported *in vitro* study. Nonetheless, inter-individual variability in CYP3A metabolism is significant (20 – 40-fold) and is likely to be associated with genetic variations in both CYP3A4 and CYP3A5 – the two most prominent of the CYP3A enzymes [5].

We recently described the significant influence of the *CYP3A4*22* single nucleotide polymorphism (SNP): enzyme level and activity were 1.7 – 2.5-fold, respectively, greater in wild type homozygous patients than in decrease of function (DOF)-allele carriers, and DOF-allele carriers required only 20%–60% of the statin dose required by homozygous wild-type patients taking stable doses of atorvastatin, simvastatin, or lovastatin for optimal lipid control [6]. Another study reported a significant association between *CYP3A4*22* and increased lipid-lowering response to simvastatin [7].

Other studies have recently reported similar influence of *CYP3A4*22* on known CYP3A substrates and a *CYP3A4/5* combined-genotype approach has been described and suggests some potential utility for guiding dose selection or predicting response to certain CYP3A substrates including tacrolimus and cyclosporine [8 – 10]. The combined genotype analysis involves categorizing individuals into one of three groups (poor metabolizers, PMs; intermediate metabolizers, IMs; or extensive metabolizers, EMs) based on their genetically-determined capacity for CYP3A4 and CYP3A5 metabolism.

In recently-reported *CYP3A4/5* combined-genotype analyses, the influence of the DOF *CYP3A4*22* SNP and the largely non-functional *CYP3A5*3* SNP were investigated individually and by using a combined-genotype analyses. Individuals possessing at least one *CYP3A4*22* allele were considered reduced-expressors of CYP3A4, and individuals not possessing any *CYP3A4*22* alleles were considered to be normal-expressors of CYP3A4. Individuals possessing at least one *CYP3A5*1* allele were considered CYP3A5 expressors, and *CYP3A5*3* homozygotes were considered CYP3A5 non-expressors. PMs were defined as reduced expressors of CYP3A4 and CYP3A5 non-expressors, EMs were defined as expressors of both CYP3A4 and CYP3A5, and IMs were defined as expressors of either but not both CYP3A enzymes.

Although *in vitro* studies strongly suggest that CYP3A5 plays only a very limited role in statin metabolism [5], the findings of a few recent clinical studies suggest a more significant role [11]. Simvastatin exposure was higher for *CYP3A5*3* homozygotes compared with *CYP3A5*1* homozygotes [12], and diminished lipid-lowering responses have been reported for *CYP3A5*1* homozygotes [13, 14]. Conversely, another study determined that drug exposure of the biologically active atorvastatin acid metabolite was not significantly influenced by *CYP3A5* status [15], and no significant association was observed between *CYP3A5*3* and efficacy or tolerability of simvastatin in another reported study [16].

The findings reported in the current literature are contradictory; however, CYP3A5 likely plays only a secondary role in the metabolism of atorvastatin, simvastatin, and lovastatin. Nonetheless, our current investigation intends to use the *CYP3A4/5* combined-genotype approach to determine whether the additional consideration of *CYP3A5* can provide better dose prediction than our previously-reported *CYP3A4*22* analysis.

Materials and methods

Institutional Internal Review Board approval, the study population and genotyping methodology are described in great detail in the original article reporting our *CYP3A4*22* analysis [6]. For this current analysis, study participants were categorized into one of the following *CYP3A4/5* genotype groups: PMs, IMs, or EMs. PMs were defined as individuals that were *CYP3A5* non-expressers (*CYP3A5*3/*3*) and carriers of at least one DOF *CYP3A4*22* allele, EMs were defined as individuals who were *CYP3A5* expressers (*CYP3A5*1/*1* or *CYP3A5*1/*3*) and *CYP3A4* normal-expressers (*CYP3A4*1/*1*), and IMs were defined as individuals who were *CYP3A4* normal-expressers (*CYP3A4*1/*1*) and *CYP3A5* nonexpressers (*CYP3A5*3/*3*) or who were *CYP3A5* expressers (*CYP3A5*1/*1* or *CYP3A5*1/*3*) and carriers of at least one DOF *CYP3A4*22* allele.

Numbers and percentages of individuals in each combined genotype group were determined and compared with those reported in the current literature. Demographic characteristics (age, gender, and race) were determined for each combined genotype group. Median, first quartile, and third quartile of atorvastatin, simvastatin, and lovastatin dose were determined for each combined genotype group. As utilized in our previous report, a composite statin dose (CSD) was determined after adjusting for differences in potency among the three statins. Potency differences were accounted for by normalizing the simvastatin and lovastatin doses to atorvastatin-equivalent doses (i.e., simvastatin and lovastatin have 83% and 58% the potency, respectively, of atorvastatin [5]).

Numbers and percentages of individuals receiving statin doses within specific ranges (low, medium, and high) were determined for PMs, IMs, and EMs. χ^2 analyses were utilized to determine whether the percentages of patients in each dose group were significantly different from expected frequencies.

A non-parametric one-way analysis of variance (ANOVA, Kruskal-Wallis) test was used to determine whether required statin dose was significantly different for the *CYP3A4/5* combined-genotype groups (PM, IM, and EM). To compare the results with those of our previously-reported single-gene analysis approach, the *CYP3A4/5* combined-genotype groups were merged so that means of only two groups (PMs vs. non-PMs and EMs vs. non-EMs) could be compared using the same type of statistical test (Mann-Whitney) used in the single-gene analysis. As ordered logistic regression cannot be applied to the multi-gene-analysis approach, results of non-parametric tests (Kruskal-Wallis) for the combined-gene approach were compared with the ordered logistic regression results of the single-gene approach. Covariates including ethnicity, gender, and age were considered in subsequent analyses to determine whether they influenced statin dose requirement in this cohort.

Results

The numbers and percentages of individuals in each *CYP3A4/5* combined-genotype group are listed in Table 1. The percentage of individuals in each group (8%, 71%, and 21% for PMs, IMs, and EMs, respectively) are consistent with those reported for other study populations [8-10]. Table 1 also lists the study-population demographics (age, race, gender), and they suggest no significant associations with *CYP3A4/5* combined-genotype status. The median and quartile values suggest statin dose requirement increased with the rank-ordered progression of *CYP3A4/5*- metabolizer status. Median daily dose requirements were 16.6, 23.2, and 33.2 mg for PMs, IMs, and EMs, respectively, in the analysis combining individuals on any of the three statins. The numbers and percentages of individuals in *CYP3A4/5* combined-genotype groups for each dose level are listed in Table 2. For atorvastatin and simvastatin, the highest percentages of individuals in the PM group appear

to occupy the lower dosing groups, and the highest percentages of individuals in the EM group appear to occupy the higher dosing groups. The χ^2 analysis revealed that the proportions for IMs were significantly different from expected (0.33, 0.33, 0.33) for both atorvastatin and simvastatin, $p = 0.034$ and $p = 1.7E-6$, respectively. The proportions for EMs were significantly different from expected for simvastatin only, $p = 0.04$.

The statistical results from the combined-gene analyses and the single-gene analyses are presented in Table 3. The combined- *CYP3A4/5* approach was inferior to the single-gene approach for atorvastatin, simvastatin, and for the combined statin analysis: the p-values for the ordered logistic regression model and the Kruskal-Wallis model were 0.129 and 0.166, respectively, for atorvastatin; 0.036 and 0.185, respectively, for simvastatin; and 0.014 and 0.044, respectively, for the combined statin analysis.

Statistical significance for models that included covariates (ethnicity, gender, and age) did not differ significantly from those reported in Table 3: less than a 0.01 change in any p-value was observed. Additionally, including the covariates increased the Akaike information criterion and Bayesian information criterion, indicating they should not be included in the analysis of this data set.

Discussion and conclusions

For this cohort, *CYP3A5* played a minor role in statin metabolism. Our previously-reported *CYP3A4*22* analysis was superior for predicting statin dose requirement when compared with this current *CYP3A4/5* combined-genotype approach. It is not surprising that the additional consideration of *CYP3A5* did not improve the statistical results of the analysis – *in vitro* studies demonstrate a minor role for *CYP3A5* in statin metabolism. For *CYP3A* substrates relying more heavily on *CYP3A5* metabolism, such as tacrolimus, the combined-genotype approach has proven worthwhile [9].

Despite the findings of our current investigation, a combined *CYP3A4/5* approach should still be considered in statin pharmacogenomic studies, especially in those involving higher proportions of non-Caucasians because non-Caucasian populations have significantly higher *CYP3A5*1* allele frequencies [17]. Although the statistical significance did not improve by adding *CYP3A5* into our model, *CYP3A5*1* carriers did have higher dose requirements than expected based solely on their *CYP3A4* status. *CYP3A4* is undoubtedly the most prominent of the *CYP3A* enzymes, but *CYP3A5* may play an important role for patients with *DOF CYP3A4* alleles.

A limitation of our investigation is that genotyping of other genes (e.g., *SCL01B1*, *ABCB1*, and *CYP2C8*) known to influence statin pharmacokinetics were not included in the analyses. A larger cohort would have been required, however, to adequately investigate gene-gene interactions among the many genes that could ideally be included in such an analysis. The investigation was also limited because concomitant medications and statin use duration were not well-documented; study results may have been obscured because our analysis could not account for induction of *CYP3A*. In addition, our investigation was largely restricted in that no response-to-therapy data or lipid data were collected.

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Table 1Study population characteristics grouped by *CYP3A4/5* combined genotype.

	Poor metabolizers	Intermediate metabolizers	Extensive metabolizers
Number	19 (8%)	167 (71%)	49(21%)
Atorvastatin dose (n=142)	20 (10,20)	20(10,40)	20 (20,40)
Simvastatin dose (n=84)	20 (10,40)	40 (20,40)	40 (20,40)
Lovastatin dose (n=9)	20 (20,20)	20(20,40)	–(–,–)
Combined dose (n=235) ^a	16.6 (10,20)	23.2(16.6,40)	33.2(16.6,40)
Age			
Combined (all three statins)	64±12	63±11	5 6±9
Male			
Combined (all three statins)	13(8%)	113 (72%)	32 (20%)
Caucasian			
Combined (all three statins)	19 (9%)	160 (77%)	29 (14%)

Data for age are mean±SD. Data for number, race, and gender represent number and percentage. Data for dose represent the median (first quartile, third quartile) for each metabolizer group and statin type.

^a Combined statin dose was calculated by first determining an atorvastatin-equivalent dose for simvastatin and lovastatin (i.e., simvastatin and lovastatin have 83% and 58% the potency, respectively, of atorvastatin [5]).

Table 2

Combined CYP3A4/5 genotype and dose levels.

n	Poor metabolizers per dose level			Intermediate metabolizers per dose level			Extensive metabolizers per dose level		
	10 mg	20 mg	40 mg	10 mg	20 mg	40 mg	10 mg	20 mg	40 mg
Atorvastatin	5 (45%)	4 (36%)	2 (18%)	27(27%)	28 (28%)	46 (46%)	5(17%)	11(37%)	14 (47%)
Simvastatin	2(29%)	3 (43%)	2 (29%)	4 (7%)	18 (31%)	36(62%)	2(11%)	6 (32%)	11 (58%)

Data for each dose level represents the number of patients and percentage of the combined genotype group at the specified dosing level.

Table 3*CYP3A4* and *CYP3A4/5* analyses results.

Gene(s)	Statistical test	Independent variables	Dependent variables	Test results
Atorvastatin, simvastatin, and lovastatin (n=235)				
<i>CYP3A4</i>	Mann-Whitney	*22 carriers vs. *22 non-carriers	Statin dose	2-sided p=0.027 (medians 16.6 and 33.2; means 24.7 and 32.1)
	Ordered logistic regression	*22 carriers vs. *22 non-carriers	Statin dose level ^a	2-sided p=0.014; odds ratio 0.355 (95% CI=0.16-0.81)
<i>CYP3A4/5</i>	Mann-Whitney	PMs vs. non-PMs	Statin dose	2-sided p=0.013 (medians 16.6 and 28.2; means 22.5 and 32.2)
	Mann-Whitney	non-EMsvs. EMs	Statin dose	2-sided p=0.554 (medians 20 and 33.2; means 31.2 and 32.3)
	Kruskal-Wallis	PMs vs. IMs vs. EMs	Statin dose	p=0.044 (medians 16.6, 23.2 and 33.2)
Atorvastatin (n=142)				
<i>CYP3A4</i>	Mann-Whitney	*22 carriers vs. *22 non-carriers	Statin dose	2-sided p=0.199 (medians 20 and 20; means 26.9 and 33.1)
	Ordered logistical regression	*22 carriers vs. *22 non-carriers	Statin dose level ^a	2-sided p=0.129; odds ratio (95% CI=0.16,1.26)
<i>CYP3A4/5</i>	Mann-Whitney	PMs vs. non-PMs	Statin dose	2-sided p=0.079 (medians 20 and 20; means 22.7 and 33.4)
	Mann-Whitney	non-EMsvs. EMs	Statin dose	2-sided p=0.332 (medians 20 and 20; means 31.7 and 35.7)
	Kruskal-Wallis	PMs vs. IMs vs. EMs	Statin dose	p=0.166
Simvastatin (n=84)				
<i>CYP3A4</i>	Mann-Whitney	*22 carriers vs. *22 non-carriers	Statin dose	2-sided p=0.069 (medians 20 and 40; means 27.5 and 38.4)
	Ordered logistical regression	*22 carriers vs. *22 non-carriers	Statin dose level ^a	2-sided p=0.036; odds ratio (95% CI=0.06, 0.91)
<i>CYP3A4/5</i>	Mann-Whitney	PMs vs. non-PMs	Statin dose	2-sided p=0.114 (medians 20 and 40; means 28.6 and 38.2)
	Mann-Whitney	non-EMsvs. EMs	Statin dose	2-sided p=0.504 (medians 40 and 40; means 38.8 and 32.6)
	Kruskal-Wallis	PMs vs. IMs vs. EMs	Statin dose	p=0.185

^aStatin dose level refers to low(<20), medium(=20), and high (>20).