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# **Integrating differentiation and cancer: The** *Nkx3.1* **homeobox gene in prostate organogenesis and carcinogenesis**

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# **Abstract**

Several tissue-specific regulatory genes have been found to play essential roles in both organogenesis and carcinogenesis. In the prostate, the Nkx3.1 homeobox gene plays an important role in normal differentiation of the prostatic epithelium while its loss of function is an initiating event in prostate carcinogenesis in both mouse models and human patients. Thus, the Nkx3.1 homeobox gene provides a paradigm for understanding the relationship between normal differentiation and cancer, as well as studying the roles of homeobox genes in these processes. Here, we review recent findings concerning the roles of  $Nkx3.1$  in development and discuss how its normal function is disrupted in processes of early prostate carcinogenesis.

# **Keywords**

prostate; homeobox; nkx3.1

# **Introduction**

The *Nkx3.1* homeobox gene provides an excellent example of a gene with critical functions for both embryogenesis and oncogenesis (Fig. 1). During murine development,  $Nkx3.1$  is the earliest known marker of prostate formation and it continues to be expressed at all stages of prostate differentation and in adulthood. Nkx3.1 plays an essential role in normal prostate development, because its loss of function leads to defects in prostatic protein secretions and in ductal morphogenesis. Moreover, loss-of-function of Nkx3.1 also contributes to prostate carcinogenesis, because Nkx3.1 mutant mice are predisposed to prostate carcinoma and because loss-of-function of Nkx3.1 cooperates with that of other tumor suppressor genes in

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cancer progression. Furthermore, by a variety of mechanisms NKX3.1 expression is reduced in noninvasive and early stage human prostate cancer, suggesting that its decreased expression is one of the earliest steps in the majority of human prostate cancers. In this review, we describe the molecular properties of the  $Nkx3.1$  homeobox gene, focusing on how this protein contributes to prostate development and prostate cancer.

# **Structure and biochemical properties of** *NKX3.1*

#### **The** *Nkx3.1* **homeobox gene**

 $Nkx3.1$  is a member of the NK subfamily of homeobox genes, that have been implicated in processes of cell fate specification and organogenesis in many species, including Drosophila, where they were first identified (Kim and Nirenberg, 1989). In Drosphila, NK-3 corresponds to the bagpipe gene, which is required for visceral mesoderm development (Kim and Nirenberg, 1989; Azpiazu and Frasch, 1993) whereas in mammalian species, Nkx3.1 has been implicated primarily in prostate development, while other members of the Nkx family have roles in mesoderm formation and genesis of other organs.

Mouse and human *Nkx3.1* share 63% amino acid identity, and are 100% identical within the homeodomain. Mouse  $Nkx3.1$  is localized to chromosome 14, in a region syntenic to human chromosome 8p. The mouse  $Nkx3.1$  gene contains two coding exons; the homeodomain is located within the second exon. The gene has a short 5<sup>′</sup> untranslated region (UTR) and an extensive 3′ UTR (Sciavolino et al., 1997). In humans, there are at least five alternatively spliced forms of the NKX3.1 gene, which encode variants of the N-terminal coding region upstream of the homeodomain (Korkmaz et al., 2000). However, the in vivo expression and functional significance of these alternative NKX3.1 transcripts has not yet been elucidated nor have alternative transcripts been identified for the mouse gene.

#### **DNA-binding specificity of Nkx3.1**

The Nkx family is characterized by a tyrosine at position 54 of the homeodomain. Divergent amino acid residues around the homeodomain are thought to contribute to differential DNA binding specificity relative to that of the canonical Antennapedia-class homeodomains (Damante and Di Lauro, 1991; Damante et al., 1994; Chen and Schwartz, 1995). Indeed, binding-site selection experiments have identified "TAAGTA" as a consensus preferred site for human NKX3.1 (Steadman et al., 2000); this differs from the consensus sequences identified for most other homeoproteins, which typically contain a "TAAT" core. The NKX3.1 consensus site is also distinct from the consensus sites identified for NK-2 class homeodomains such as Nkx2.1 (CAAGTG) and Nkx2.5 (TNAAGTG) (Damante and Di Lauro, 1991; Damante et al., 1994; Chen and Schwartz, 1995). However, mouse and human NKX3.1 will bind to both the Nkx2.1 and canonical "TAAT" sites in electrophoretic mobility shift experiments, albiet with weaker affinity than the "TAAGTA" site (Sciavolino et al., 1997; Steadman et al., 2000). Notably, however, endogenous binding sites for Nkx3.1 within the promoter/enhancer regions of physiological downstream target genes have not been conclusively identified. In particular, although it has been proposed that the murine androgen receptor gene contains a functional Nkx3.1 binding site (Lei et al., 2006), it remains to be determined whether these in vitro binding sites are bona fide protein target sites in vivo.

## **Structure features of the NKX3.1 protein**

The NKX3.1 homeodomain forms three α-helices, the first two of which form the parallel scaffold that stabilizes the third, perpendicular helix that contacts the major groove of DNA (Gruschus et al., 1997; Ju et al., 2006). Mutational studies have shown that hydrogen

The stability of the NKX3.1 protein is influenced by post-translational modification of the homeodomain and flanking regions. Phosphorylation of the N-terminal flanking region at threonines 89 and 93 by protein kinase CK2 prolongs protein half-life, as evidenced by mutation of both threonines to alanines, which decreases NKX3.1 half-life by 50% (Li et al., 2006). On the other hand, phosphorylation at the C-terminal region at serine 185 determines ubiquitination and protein degradation as evidenced by mutation of serine 185 to alanine, which prolongs the protein half-life by fourfold (Markowski et al, submitted for publication). Serines 195 and 196 are targets for phosphorylation induced by inflammatory cytokines such as TNF-α. NKX3.1 expression is reduced in tissues containing inflammatory cells (Bethel et al., 2006) and both TNF-α and IL-1β accelerate ubiquitination and degradation of NKX3.1 in vitro (Markowski et al, submitted for publication). The most likely site for ubiquitination is the homeodomain, but this has not been rigorously demonstrated (Guan et al., 2008).

Posttranslational modification of NKX3.1 also occurs via phosphorylation at serine 48, a residue that is critical for stable phosphorylation of NKX3.1 (Gelmann et al., 2002). Phosphorylation at serine 48 is affected by arginine 52, which is the target of a common genetic polymorphism. The C145T polymorphism, which is present in 11% of the population, causes a nonconservative arginine to cysteine change at amino acid 52. The R52C amino acid replacement decreases phosphorylation at serine 48 and impairs DNA binding *in vitro* (Gelmann et al., 2002).

In addition to mediating DNA binding, the homeodomain of NKX3.1 can mediate interactions with various proteins. For example, NKX3.1 interacts with serum response factor (SRF) to enhance binding to the cognate DNA serum response element and activate transcription (Carson et al., 2000). NKX3.1, via its homeodomain, can interact directly with the MADS box of SRF in the absence of DNA, suggesting that the homeodomain mediates protein–protein as well as protein–DNA interactions (Ju et al., 2006). Moreover, NKX3.1 has been shown to interact with a variety of other proteins and in each case the interactions required the homeodomain, although they may be enhanced by additional peptide sequences from the N-terminus, as in the cases of topoisomerase I (Bowen et al., 2007) and the transcription factor SP-1 (Simmons and Horowitz, 2006), or the C-terminus as was shown for the prostate-derived ETS factor (PDEF) (Chen and Bieberich, 2005).

#### **Transcriptional repression and activation functions of Nkx3.1**

Studies of the Nkx3 class of homeoproteins indicate that they act as transcriptional repressors upon binding to DNA, as exemplified by cell culture assays showing that human NKX3.1 displays repressor activity on a synthetic reporter containing a NKX3.1 consensus sites (Steadman et al., 2000). Similarly, Nkx3.2 acts as a repressor in transient transfection assays in cell culture as well as in retroviral expression studies in chick embryos (Murtaugh et al., 2001). More generally, in vivo analyses of Nkx homeoproteins in ventral neural tube patterning suggest that most, if not all, Nkx homeoproteins function as transcriptional repressors (Muhr et al., 2001). In particular, all Nkx homeoproteins contain a conserved decapeptide motif known as the TN domain (Lints et al., 1993), which shows similarity to the engrailed homology-1 (eh1) domain identified in the Drosophila Engrailed homeoprotein (Smith and Jaynes, 1996). The eh1 domain is essential for repressor activity of Engrailed, and can interact with members of the Groucho (Gro/TLE) family of transcriptional corepressors (Jimenez et al., 1997). Analyses of Drosphila NK-3 have shown that it can interact with Groucho corepressors (Choi et al., 1999).

Drosophila NK-3 has also been reported to interact with the serine-threonine kinase HIPK2 (for homeoprotein-interacting protein kinase 2) (Kim et al., 1998), which enhances its DNAbinding activity and increases its repressor activity (Choi et al., 1999). Coimmunoprecipitation experiments in transfected HeLa cells show that NK-3 interacts with both Groucho and HIP-K2, and that these proteins are likely to exist in a repressive complex together with the histone deacetylase HDAC1 (Choi et al., 1999). Notably, mouse Nkx3.1 has been reported to interact with HDAC1 (Lei et al., 2006), which has been linked to its activity in cancer promotion. Strikingly, the association of NKX3.1 with HDAC1 is dependent on NKX3.1 levels such that distinct histones of different NKX3.1 target genes are differentially affected by reduced levels of NKX3.1 (Mogal et al., 2007).

Protein interactions determine a number of Nkx3.1 transcriptional effects. Nkx3.1 acts as a transcriptional repressor through recruitment of Gro/TLE corepressors. However, in some contexts, the transcriptional repressor activities of Nkx3.1 may be modulated by its ability to repress the transcriptional activator of other proteins, such as PDEF (Prostate-derived Ets Factor), a member of the Ets transcription factor (Oettgen et al., 2000), which was identified as an Nkx3.1-interacting protein (Chen et al., 2002). In cell culture assays, PDEF can activate transcription from the human PSA promoter, while NKX3.1 antagonizes this activity (Oettgen et al., 2000; Chen et al., 2002), which requires both the homeodomain and the far C-terminus of NKX3.1 for binding in yeast two-hybrid assays (Chen and Bieberich, 2005). Similarly, Nkx3.1 has been shown to interact with SP-1 transcriptional regulatory proteins to repress their ability to activate transcription of the PSA promoter, although this interaction is mediated by homeodomain and N-terminus of Nkx3.1 (Simmons and Horowitz, 2006).

However, in certain tissue contexts Nkx3.1 possesses transcriptional activator activity. In particular, Nkx3.1 has been shown to interact with SRF and to activate transcription from the smooth-muscle γ-actin promoter in transfected cells (Carson et al., 2000; Gelmann et al., 2002). This is thought to be due to binding of Nkx3.1 to promoter DNA, which facilitates recruitment of SRF to adjacent sites resulting in increased transcriptional activity (Carson et al., 2000). It has been suggested that Nkx3 homeoproteins may act as transcriptional activators in visceral mesoderm derivatives through an interaction with SRF, which displaces co-repressors such as members of the Groucho/TLE family. Together, these findings highlight the importance of tissue and cellular context for modulating the transcriptional functions of Nkx3.1.

# **Expression and functions of** *Nkx3.1* **in development**

#### *Nkx3.1* **expression and mutant phenotype in nonurogenital tissues**

During organogenesis, Nkx3.1 is expressed transiently in a wide range of tissues outside of the urogenital system, which is most evident in the paraxial mesoderm, where  $Nkx3.1$  is expressed in the ventral portion of the somites, and then becomes restricted to the sclerotome (Kos et al., 1998; Tanaka et al., 1999). However, despite this striking expression in newly formed paraxial mesoderm, null mutants for  $Nkx3.1$  fail to display any phenotype in sclerotomal derivatives (Bhatia-Gaur et al., 1999; Schneider et al., 2000; Tanaka et al., 2000). One possible reason that the  $Nkx3.1$  null mice do not display phenotypes in this and other expression domains is partial genetic redundancy between Nkx3.1 and Nkx3.2, which have overlapping expression domains in the paraxial mesoderm. (Tribioli et al., 1997; Tribioli and Lufkin, 1999).

Indeed, other non-urogenital sites of Nkx3.1 expression include regions of the tongue, teeth, dorsal aorta, the dorsal region of Rathke's pouch, and the arcuate and interlobular arteries of the kidney (Sciavolino et al., 1997; Treier et al., 1998; Tanaka et al., 1999; Schneider et al.,

2000; Chen et al., 2005). Most of these other non-urogenital tissues that express  $Nkx3.1$  also display no phenotype in the mutant mice (Tanaka et al., 2000; R. Bhatia-Gaur et al., unpublished observations), although a slight phenotype has been described for the lobular arteries of the kidneys (Tanaka et al., 2000). In fact, thus far, the only significant nonurogenital phenotype that has been described for Nkx3.1 null mutants occurs in the minor salivary glands, which display an increased accumulation of mucous secretions, accompanied by a significant decrease in the ductal branching of the palatine glands (Schneider et al., 2000; Tanaka et al., 2000), an effect reminiscent of the Nkx3.1<sup>-/-</sup> phenotype in urogenital tissues (Bhatia-Gaur et al., 1999).

#### **Roles of** *Nkx3.1* **in prostate development**

In both mice and humans, formation of the prostate during embryogenesis occurs through epithelial budding from the urogenital sinus, an endodermal derivative of the hindgut. However, the adult morphology of the prostate greatly differs between mouse and human. At adulthood, the rodent prostate gland consists of four distinct lobes: anterior (also known as the coagulating gland), dorsal and lateral (collectively referred to as the dorsolateral lobe), and ventral, which are arranged circumferentially around the bladder and display characteristic patterns of ductal branching, histological features, and secretory protein production (Sugimura et al., 1986; Hayashi et al., 1991). In contrast, the adult human prostate lacks discernable lobular organization, with the prostatic ducts radiating peripherally to completely surround the urethra at the base of the bladder.

In the adult mouse urogenital system  $Nkx3.1$  is specifically expressed at high levels in all lobes of the prostate as well as the bulbourethral glands, which are ductal tissues of endodermal origin. In contrast,  $Nkx3.1$  is not expressed in ductal urogenital tissues that are not of endodermal origin, such as the seminal vesicle or in nonductal endodermal tissues, such as the bladder and urethra (Bhatia-Gaur et al., 1999). Within the prostate, Nkx3.1 protein is localized in nuclei of luminal epithelial cells, which produce the prostatic secretory proteins (Bhatia-Gaur et al., 1999), as well as in some basal epithelial cells (Chen et al., 2005). In adult human tissues NKX3.1 is expressed in isolated clusters of ureteral transitional epithelium, small bronchial mucous glands, and testis, in addition to the prostatic epithelium (Bieberich et al., 1996).

Expression analysis of Nkx3.1 has provided insights into the earliest stages of prostate formation (Sciavolino et al., 1997; Bhatia-Gaur et al., 1999). In the mouse, the prostatic buds first emerge at the rostral end of the urogenital sinus toward the end of gestation, at approximately 17.5 dpc, under the influence of inductive signals from the surrounding mesenchyme. Within the urogenital system, Nkx3.1 expression is first detected in the lateral aspects of the urogenital sinus epithelium at 15.5 dpc, before prostate formation (Bhatia-Gaur et al., 1999). Notably, *Nkx3.1* expression precedes formation of the prostatic buds by 2 days, and appears to correspond to the regions where prostatic buds will emerge, suggesting that regions of the urogenital sinus epithelium may have a differential capacity to form prostate. At present, the significance of the restricted lateral expression of Nkx3.1 is unknown, especially because the corresponding protein is not detectable until 17.5 dpc, in the newly emerging prostatic buds (N. Desai et al., unpublished observations).

Subsequently, the prostatic epithelial buds undergo extensive ductal outgrowth and branching into the surrounding mesenchyme during the first 3 weeks of post-natal development (Sugimura et al., 1986; Timms et al., 1994). These emerging prostatic buds are marked by expression of  $Nkx3.1$  in the prostatic epithelium (Sciavolino et al., 1997; Bhatia-Gaur et al., 1999). Expression of Nkx3.1 is enriched toward the distal ends of the outgrowing ducts, corresponding to regions of active morphogenesis (Bhatia-Gaur et al.,

1999). Before canalization of the prostatic ducts, Nkx3.1 is expressed uniformly in the epithelial cells, but later expression is largely restricted to the luminal cells.

#### **Relationship of** *Nkx3.1* **to epithelial-mesenchymal interactions in prostate morphogenesis**

In the prostate, the role of epithelial–mesenchymal interactions has been defined through elegant tissue recombination studies performed by Cunha and colleagues (Cunha et al., 1987; Hayward et al., 1997). This approach employs dissection and enzymatic dissociation of epithelium and mesenchyme from embryonic urogenital sinus and/or from other tissues, which are then recombined *in vitro* and transplanted under the kidney capsules of adult male nude mouse hosts, followed by assessment of prostatic differentiation by histology and by production of appropriate secretory proteins. Prostate-like structures form when urogenital sinus epithelial (UGE) is combined with urogenital sinus mesenchyme (UGM), whereas in absence of either, mature prostatic cell types fail to differentiate. Expression of  $Nkx3.1$  is a highly specific marker of prostate differentiation in tissue recombination assays (Bhatia-Gaur et al., 1999). In particular, tissue recombinants made with the prostate-inducing mesenchyme (UGM) and bladder epithelium express  $Nkx3.1$ , although  $Nkx3.1$  is not normally expressed in the bladder.

#### **Relationship of** *Nkx3.1* **to androgen signaling in prostate formation**

Androgen signaling is essential for all aspects of prostatic growth and differentiation, because the prostate is not formed in the absence of androgens (reviewed in Cunha et al., 1987; Marker et al., 2003). During murine embryogenesis, androgen receptors are located in the UGM, whereas postnatally they are found in both the mesenchyme and epithelium. Although the initial appearance of  $Nkx3.1$  expression in the prostatic epithelium precedes that of the androgen receptor, the subsequent expression of  $Nkx3.1$  is dependent on androgen signaling, as shown in tissue recombination assays (Bhatia-Gaur et al., 1999). Moreover, expression of *Nkx3.1* is significantly down-regulated following castration (surgical removal of the source of androgens) (Bieberich et al., 1996; Sciavolino et al., 1997). Similarly, expression of  $N\chi X3.1$  in human prostate cancer cells is down-regulated following depletion of androgens from cell culture media (He et al., 1997; Prescott et al., 1998). Although the maintenance of Nkx3.1 expression is dependent on androgen signaling, it is not clear whether this regulation occurs directly through the *Nkx3.1* promoter or whether it is the consequences of androgen signaling are indirect.

# **Requirement for** *Nkx3.1* **in prostate ductal morphogenesis and epithelial differentiation**

The predominant effects of *Nkx3.1* loss-of-function are manifested in the prostate. Thus,  $Nkx3.1^{-/-}$  mice display reduced ductal branching morphogenesis as well as defects in secretory protein production (Bhatia-Gaur et al., 1999). Notably, this phenotype was observed in heterozygotes as well as in homozygotes, indicating haploinsufficiency for this defect. These observations suggest that Nkx3.1 has a role in early post-natal ductal morphogenesis, as well as in production of secretory proteins in the mature prostate, reflecting a general requirement for maintenance of the normal differentiated state of the prostate epithelium as well as control of epithelial cell proliferation. Haploinsufficiency as seen for Nkx3.1 also pertains to other members of the NK family of homeodomain proteins. For example, inheritance of *NKX2.5* mutations that introduce premature stop codons and no functional protein is associated with autosomal dominant inheritance of cardiac developmental abnormalities (Kasahara and Benson, 2004).

# **Roles for** *Nkx3.1* **in prostate cancer**

#### **Formation of prostatic intraepithelial neoplasia (PIN) in** *Nkx3.1* **mutant mice**

A notable feature of the phenotype of the Nkx3.1 mutant mice is the histological appearance of prostatic epithelial hyperplasia and dysplasia, which becomes increasingly more apparent with advancing age (Bhatia-Gaur et al., 1999; Schneider et al., 2000; Tanaka et al., 2000; Abdulkadir et al., 2002; Kim et al., 2002a). This epithelial phenotype correlates with a significantly increased proliferative index (Bhatia-Gaur et al., 1999; Magee et al., 2003). After 1 year of age a majority of the homozygous mutant mice develop histological features that resemble human PIN, which is thought to be the primary precursor of prostate cancer. Histologic findings in these mice include perturbation of the basal cell layer, attenuation of the stroma, and heterogeneous expression of markers of epithelial differentiation (Kim et al., 2002a). However, although aged  $Nkx3.1$  mutant mice are highly prone to develop PIN, they do not develop invasive carcinoma.

The consequences of loss-of-function of Nkx3.1 for prostate cancer initiation are independent of its role in promoting ductal morphogenesis. Prostate-restricted targeting of a conditional Nkx3.1 allele using a PSA-Cre transgene results in adult-specific deletion of Nkx3.1 and formation of PIN (Abdulkadir et al., 2002). Furthermore, the susceptibility of Nkx3.1 mutant mice to develop PIN but not carcinoma, as well as the precursor-product relationship of PIN to prostate carcinoma, has been further demonstrated using a tissue recombination system. In particular, serial transplantation of prostatic tissue recombinants from Nkx3.1 mutant mice results in neoplastic progression characterized by increasingly dysplastic histopathological alterations (Kim et al., 2002a). Furthermore, the tumor suppressor properties of *Nkx3.1* are further underscored by its ability to slow cell proliferation of cultured cells and reduce tumorigenicity in xenograft assays (Kim et al., 2002a; Lei et al., 2006).

One mechanism by which  $Nkx3.1$  may suppress cancer initiation is through protection against oxidative damage (Ouyang et al., 2005). Using gene expression profiling, it was shown that Nkx3.1 mutant mice display deregulated expression of several anti-oxidant and pro-oxidant enzymes, including glutathione peroxidase 2 and 3 (GPx2, GPx3), peroxiredoxin 6 ( $Prdx6$ ), and sulfhydryl oxidase Q6 ( $Oscn6$ ). Importantly, formation of PIN is associated with increased oxidative damage of DNA, as evident by increased levels of 8 hydroxy-2<sup>'</sup>-deoxyguanosine (8-OHdG). These findings suggested that  $Nkx3.1$  provides protection against oxidative damage, while its inactivation leads to increased susceptibility to oxidative damage.

One possible mechanism for these effects is via the interaction of NKX3.1 via its homeodomain with the DNA resolving enzyme topoisomerase I. Thus, NKX3.1 activates topoisomerase I on a stoichiometric basis and markedly enhances enzyme activity by accelerating topoisomerase I binding to DNA. This interaction occurs in vitro with purified proteins and as well as in tissue extracts from  $Nkx3.1$  knock-out mice (Bowen et al., 2007). The interaction of NKX3.1 and topoisomerase I enhances DNA repair, while loss of NKX3.1 expression may predispose human prostate cancer cells further to DNA damage (C. Bowen and E. P. Gelmann, unpublished observations).

Indeed, the importance of NKX3.1 in the early stages of prostate cancer is not restricted to the mutant mice. In human PIN and primary prostate cancer NKX3.1 expression is reduced to levels comparable to those in  $Nkx3$ . heterozygous mice (Asatiani et al., 2005).  $NKX3.1$  is located centrally in the 1Mb region of human chromosome 8p21 that is deleted in prostate cancer (Swalwell et al., 2002). Loss of this region or loss of one allele of NKX3.1 is found in the majority of early stage human prostate cancer (Vocke et al., 1996; Asatiani et al.,

Differentiation. Author manuscript; available in PMC 2013 June 16.

2005). In human prostate cancer the 5′ UTR of the gene is subjected to non-classical DNA methylation that nevertheless correlates with loss of protein expression (Asatiani et al., 2005). A number of genetic polymorphisms have been found in both the coding and noncoding regions of *NKX3.1* (Zheng et al., 2006). Only one of these, a single nucleotide polymorphism at nucleotide 154 of the coding region, is associated with prostate cancer risk (Gelmann et al., 2002).

Furthermore, the role of NKX3.1 as a tumor suppressor has been reinforced by the discovery of a kindred with a germ-line mutation in the homeodomain that co-segregates with early onset of prostate cancer (Zheng et al., 2006). The mutation changes the threonine at homeodomain position 41, to an alanine residue, which affects α-helical capping. Interestingly, a threonine to methionine amino acid substitution at the precisely analogous position of NKX2.5, the human homolog of the tinman homeodomain protein, determines hereditary cardiac abnormalities in a family (Kasahara and Benson, 2004). Mutation of the α-helical capping amino acid destabilizes the helix and decreases DNA binding affinity by 95% (Zheng et al., 2006).

#### **Cooperativity of** *Nkx3.1* **with** *Pten* **in suppression of prostate cancer**

Although Nkx3.1 loss-of-function is not sufficient for prostate cancer, Nkx3.1 can cooperate with Pten, in suppression of prostate carcinogenesis. In particular, the combined loss-offunction of Nkx3.1 and the Pten tumor suppressor gene in mice with compound mutations  $(Nkx3.1^{-/-};$  Pten<sup>+/-</sup>) display striking cooperativity, as evidenced by the development of high-grade PIN lesions by 6 months of age (Kim et al., 2002b). Ultimately these *Nkx3.1*; Pten compound mutant mice develop adenocarcinoma, in some cases with metastases to the lymph nodes as well as distant organs (Abate-Shen et al., 2003). Histologically, these lesions are multifocal and poorly differentiated with prominent and multiple nucleoli, increased nuclear:cytoplasmic ratio, and numerous mitoses (Park et al., 2002). Aside from these malignant lesions, the compound mutants display no additional phenotypes compared with the single mutants, which emphasizes the prostate-specificity of the cooperativity between Nkx3.1 and Pten.

The cooperation of *Nkx3.1* and *Pten* loss in prostate carcinogenesis is mediated, at least in part, by the PI3-Kinase–Akt signaling pathway, whose activation is a primary consequence of Pten loss-of-function (reviewed in Cantley and Neel, 1999; Di Cristofano and Pandolfi, 2000; Cantley, 2002; Vivanco and Sawyers, 2002). Indeed, the activation of Akt by phosphorylation is increased considerably in protein lysates from  $Nkx3.1^{-/-}$ ; Pten<sup>+/-</sup> prostates, while p-Akt is immunohistochemically localized to the carcinomas (Kim et al., 2002b). Notably, p-Akt staining is also observed in the prostatic epithelium of Nkx3.1 single mutants, suggesting that loss-of-function of Nkx3.1 can affect Akt activation in the context of wild-type Pten activity (Kim et al., 2002b). It has been proposed that  $Nkx3.1$  regulates phosphorylation of Akt via an androgen-receptor dependent signaling pathway (Lei et al., 2006).

#### **The mouse as a model for human prostate cancer progression**

Cancer progression in these *Nkx3.1*; *Pten* mutant mice display many features in common with human prostate cancer, particularly at the gene expression level (Ouyang et al., 2008), which makes them highly advantageous to investigating the molecular mechanisms of disease progression as relevant to human prostate cancer. In particular, the  $p27<sup>kip1</sup>$  cell cycle regulator is frequently down-regulated in human prostate cancer and correlated with poor clinical outcome, yet rarely undergoes mutational inactivation (Cote et al., 1998; Yang et al., 1998; Erdamar et al., 1999; Kuczyk et al., 1999). Mouse models lacking the Nkx3.1 homeobox gene and the Pten tumor suppressor revealed that triple mutant mice

heterozygous for a  $p27^{kip1}$  null allele (*Nkx3.1<sup>+/-or-/-*; *Pten*<sup>+/-</sup>;  $p27^{+/-}$ ) displayed enhanced</sup> prostate carcinogenesis, whereas mice that are homozygous null for  $p2\pi^{kip}1(Nkx3.1^{+/- or-/-};$ *Pten*<sup>+/-</sup>;  $p27<sup>-/-</sup>$ ) show inhibition of cancer progression, which was dependent on expression of Cyclin D1 (Gao et al., 2004). These findings suggest that  $p27^{kip1}$  possesses dosagesensitive positive as well as negative modulatory roles in prostate cancer progression. Notably, this function of  $p2\pi^{kip}$  is apparently dependent on inactivation of both Nkx3.1 and *Pten*, because  $p27^{kip}$  does not display dosage-sensitivity in combination with loss of function of either gene alone (Di Cristofano et al., 2001; Gary et al., 2004).

Furthermore, analyses of the *Nkx3.1*; *Pten* compound mutant have also provided new insights regarding the mechanisms underlying androgen independent prostate cancer, which is the final stage of disease progression in patients. In particular, the  $Nkx3.1$ ; Pten mutant mice develop androgen-independent prostate cancer following androgen ablation, which is critically dependent on activation of Akt and Erk MAP kinase signaling pathways (Abate-Shen et al., 2003; Gao et al., 2006a, 2006b). The Akt and Erk MAP kinase signaling act in concert to promote tumorigenicity and androgen-independence in the context of the prostate microenvironment, but not in cell culture (Gao et al., 2006a). This has led to the proposal that androgen-dependence in vivo involves an epithelial-stromal interaction wherein Akt and Erk MAP kinase signaling in epithelial cells confer androgen-independence by overcoming the antagonistic effects of AR signaling in the stroma (Gao et al., 2006a).

Investigations of the evolution of androgen-independence in Nkx3.1; Pten mutant mice have also provided new insights regarding the emergence of the disease. Such analyses have shown that the prostate epithelial cells from these *Nkx3.1*; *Pten* mutant mice are capable of surviving and proliferating in the absence of androgens well before they display overt PIN or cancer phenotypes (Gao et al., 2006b). Furthermore, experimentally manipulating serum levels of testosterone in these mice for up to 7 months, has demonstrated that prolonged exposure of Nkx3.1; Pten mutant mice to androgen levels that are one tenth of normal results in a marked acceleration of prostate tumorigenesis compared with those exposed to androgen levels within the normal range, which suggests that exposure to reduced androgens may promote prostate tumorigenesis by selecting for molecular events that promote more aggressive, hormone-refractory tumors (Banach-Petrosky et al., 2007). These findings have important implications for treatment of prostate cancer patients as well as evaluating the risk of individuals predisposed to prostate cancer and demonstrate the value of the  $Nkx3.1$ ; Pten mutant mouse model for studying androgen independence.

# *Nkx3.1* **haploinsufficiency and epigenetic inactivation in mouse and human prostate cancer**

Loss of heterozygosity of *NKX3.1* is not accompanied by mutation of the residual contralateral allele, thus diverging from the suppressor gene paradigm of biallelic inactivation (Voeller et al., 1997; Ornstein et al., 2001). An analogous situation is observed in *Nkx3.1<sup>+/-</sup>; Pten<sup>+/-</sup>* compound heterozygotes, in which the *Nkx3.1* wild-type allele remains intact, indicating that *Nkx3.1* does not behave as a classical tumor suppressor that requires two hits for inactivation (Kim et al., 2002b). Furthermore, expression profiling of prostate tissues from Nkx3.1 mutants reveals altered gene expression in Nkx3.1 heterozygotes (Magee et al., 2003). Among genes that are down-regulated in Nkx3.1 homozygotes, several are poorly or not expressed in heterozygous mice; interestingly, however, up-regulated genes are generally not affected in heterozygotes compared with wild-type. These findings are consistent with previous observations of altered secretory protein expression in Nkx3.1 heterozygotes (Bhatia-Gaur et al., 1999), and indicate that Nkx3.1 heterozygosity results in significantly altered gene expression patterns in the prostate.

Abate-Shen et al. Page 10

Residual levels of NKX3.1 protein expression in early stage prostate cancer are likely to exert a continued effect on cell growth, whereas during progression to metastatic disease there may be selective pressure for protein loss because >80% of metastatic foci have lost all NKX3.1 expression (Bowen et al., 2000). Levels of NKX3.1 expression in primary prostate cancer are lower when NKX3.1 undergoes allelic loss and methylation of the contralateral allele. In cancers that undergo either genetic loss or methylation NKX3.1 protein expression tends to be above the median of protein expression levels in cancer (Asatiani et al., 2005). Lower levels of NKX3.1 expression in primary prostate cancer is associated with higher Gleason grade. The mechanisms of NKX3.1 protein down-regulation during progression to metastatic disease have not been elucidated.

Loss-of-function of  $Nkx3.1$  in mutant mice recapitulates this scenario for inactivation of the human gene in prostate cancer. In particular, *Nkx3.1* heterozygous mutant mice display a similar, albeit less severe, prostatic epithelial phenotype compared with homozygotes, because Nkx3.1 heterozygotes (Nkx3.1<sup>+/-</sup>) develop PIN, and the Nkx3.1; Pten compound heterozygotes (Nkx3.1<sup>+/-</sup>; Pten<sup>+/-</sup>) develop high-grade PIN and carcinoma in situ (Bhatia-Gaur et al., 1999; Schneider et al., 2000; Tanaka et al., 2000; Kim et al., 2002b, 2002a). Notably, the PIN and carcinoma *in situ* lesions arising in these heterozygotes display a loss of Nkx3.1 protein expression (Abdulkadir et al., 2002; Kim et al., 2002b), analogous to the situation in human prostate cancer. Interestingly, in these mutant mouse models, this loss of Nkx3.1 protein expression even occurs in PIN and carcinoma lesions of mice having two wild-type  $Nkx3.1$  alleles (Kim et al., 2002b). More generally, loss of Nkx3.1 protein expression occurs in various other mouse models of prostate cancer including those dependent on loss of function of Pten (Wang et al., 2003) or on gain of function of c-Myc or SV40 T antigen (Ellwood-Yen et al., 2003; Bethel and Bieberich, 2007). In combination, these findings indicate that  $Nkx3.1$  haploinsufficiency may be due initially to altered gene expression patterns in heterozygotes, and is greatly enhanced during aging by the epigenetic inactivation of the wild-type Nkx3.1 allele during PIN formation.

# **Perspectives**

In summary, NKX3.1 is a classic example of a developmental regulatory protein that is required for tissue differentiation and whose loss of function leads to carcinogenesis (Fig. 1). Indeed, analyses of NKX3.1 have provided insights into the mechanisms by which differentiation factors are linked to cancer mechanisms. Thus, in the normal prostate, NKX3.1 is expressed from the earliest stages of prostate formation. In adults, NKX3.1 controls normal differentiation and protects against oxidative damage by regulating gene expression in conjunction with other transcription factors. In cancer, NKX3.1 protein is reduced by a number of mechanisms, such as disruption of the gene or by affecting the degradation of the protein. Down-regulation of NKX3.1 protein in turn has profound effects on prostate epithelial cells, affecting their proliferation, differentiation, and polarity. In addition, loss of NKX3.1 increases susceptibility to DNA damage, thereby furthering oncogenic insult, which is a consequence of perturbed expression of proteins that affect oxidative damage as well as by activation of topoisomerase I.

However, despite the fact that loss of function of Nkx3.1 predisposes to prostate cancer, it is not sufficient for tumorigenesis. Therefore, the activities of Nkx3.1 in prostate cancer cells are not consistent with activities of "classic" tumor suppressor genes. In this regard, the role of Nkx3.1 as a tumor suppressor highlights the broader functions of homeobox genes in development and cancer. Indeed, homeobox genes are often deregulated in cancer, as reflected by their frequent "gain" or "loss" of expression, which is associated with oncogene-like or tumor suppressor-like activities, respectively (Cillo et al., 2001; AbateShen, 2002). However, their tumorigenic activities have been attributed to their aberrant usage in inappropriate cellular contexts, rather than the acquisition of "new" functions.

Accordingly, these tumorigenic activities tend to be more subtle than "classic" oncogenes or tumor suppressors; rather than being the driving force for tumorigenesis, deregulated homeobox genes appear to tip the balance in favor of the cancer phenotype, for example by promoting cellular proliferation versus quiescence. It is intriguing to note that another NK family member, NKX2.1, was recently found to play the role of dominant oncogene by gene amplification and over expression in a subset of non-small cell carcinoma of the lung (Weir et al., 2007). These features, combined with their known functions as regulators of differentiation, have led to the idea that homeobox genes may contribute to the tissuespecific features of cancer phenotypes (Abate-Shen, 2002). Thus, NKX3.1 provides an excellent example of how loss of the normal functions of a homeobox gene can predispose to cancer in a tissue-specific manner.

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Abate-Shen et al. Page 16



**Fig. 1.**

Varying roles of NKX3.1 in prostate development, in normal adult prostate, and in prostate cancer.