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## Characterization of non-hemodynamic functional signal measured by spin-lock fMRI

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### Abstract

Current functional MRI techniques measure hemodynamic changes induced by neural activity. Alternative measurement of signals originated from tissue is desirable and may be achieved using  $T_{1\rho}$ , the spin-lattice relaxation time in the rotating-frame, which is measured by spin-lock MRI. Functional  $T_{1\rho}$  changes in the brain can have contributions from vascular dilation, tissue acidosis, and potentially other contributions. When the blood contributions were suppressed with a contrast agent at 9.4 T, a small tissue-originated  $T_{1\rho}$  change was consistently observed at the middle cortical layers of cat visual cortex during visual stimulation, which had different dynamic characteristics compared to hemodynamic fMRI such as a faster response and no post-stimulus undershoot. Functional tissue  $T_{1\rho}$  is highly dependent on the magnetic field strength and experimental parameters such as the power of the spin-locking pulse. With a 500 Hz spin-locking pulse, the tissue  $T_{1\rho}$  without the blood contribution increased during visual stimulation, but decreased during acidosis-inducing hypercapnia and global ischemia, indicating different signal origins. Phantom studies suggest that it may have contribution from concentration decrease in metabolites. Even though the sensitivity is much weaker than BOLD and its exact interpretation needs further investigation, our results show that non-hemodynamic functional signal can be consistently observed by spin-lock fMRI.

### Keywords

tissue-specific; functional MRI; non-hemodynamics; spin-lock; metabolism

### Introduction

The ability of functional magnetic resonance imaging (fMRI) to detect brain function noninvasively with high spatial resolution opens great opportunities to advance our understanding of brain organization and function, and may potentially provide new methods to help the diagnosis and treatment of neurological diseases. Elevated neuronal activity increases oxygen and glucose metabolism in tissue and modulates hemodynamics including blood flow, volume and oxygenation level. Currently, almost all fMRI techniques are based on hemodynamic responses thus their spatial and temporal resolutions are intrinsically limited by vasculature density and regulation (Kim and Ogawa, 2012). Moreover, the exact

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correlation between hemodynamic-based fMRI and neuronal activation is still not fully understood and remains a concern for many neuroscientists (Editorial, 2009). There has been wide interest to investigate tissue-originated fMRI signals that are not based on hemodynamics and have closer correlation with the neuronal activities. However, despite some encouraging results in phantom, *in vitro* and non-brain studies, *in vivo* brain imaging of neuronal activity directly or a change in neuronal cell microstructure has been challenging, and the robustness of detection is also controversial (Bandettini et al., 2005; Chu et al., 2004; Jin and Kim, 2008b; Le Bihan et al., 2006; Miller et al., 2007; Park et al., 2004; Parkes et al., 2007; Tang et al., 2008; Truong and Song, 2006; Xiong et al., 2003).

Functional changes in the brain tissue microenvironment/microstructure may be detected with a  $T_{1\rho}$  contrast. When a  $B_1$  spin-locking (SL) pulse is applied along the direction of transverse magnetization immediately after a non-selective  $90^\circ$  excitation on the resonance frequency of water, the magnetization is “locked” by the  $B_1$  pulse and decays with a time constant  $T_{1\rho}$ , which is named as the spin-lattice relaxation time in the rotating-frame. The nutation frequency of the SL pulse,  $\omega_1 = \gamma B_1$ , is usually several kHz or less for *in vivo* studies, and  $T_{1\rho}$  relaxation is sensitive to the water fluctuations caused by physical processes with correlation time  $\tau$  close to  $1/2\pi\omega_1$ . Recent studies have demonstrated that proton exchange between bulk water and labile protons of protein or metabolites is an important contributor for the low-frequency  $T_{1\rho}$  dispersion (the dependency of  $T_{1\rho}$  on  $\omega_1$ ) in biological tissue (Duvvuri et al., 2001; Jin et al., 2011; Makela et al., 2001). Due to its sensitiveness to the local tissue microenvironment and microstructure such as the pH level, the protein density and composition,  $T_{1\rho}$  contrast has been applied in many pathological studies such as cerebral ischemia (Grohn et al., 2000; Kettunen et al., 2001), and neurodegenerative diseases (Borthakur et al., 2006; Michaeli et al., 2007).

Functional increases in  $T_{1\rho}$  have been observed during visual stimulation in humans (Hulvershorn et al., 2005; Magnotta et al., 2012). Very recently, Magnotta et al. showed that activation-induced increase of  $T_{1\rho}$  is better localized to tissue than conventional BOLD fMRI, suggesting that  $T_{1\rho}$  is an attractive fMRI contrast. The source of  $T_{1\rho}$  increase has been attributed to local tissue acidosis because  $T_{1\rho}$  is sensitive to tissue pH via chemical exchange processes (Magnotta et al., 2012). However, earlier studies suggested that a local increase in cerebral blood volume (CBV) is the dominant factor of functional  $T_{1\rho}$  increase (Hulvershorn et al., 2005) because blood  $T_{1\rho}$  is much longer than tissue  $T_{1\rho}$  (Hulvershorn et al., 2005; Kettunen et al., 2002; Magnotta et al., 2012). Since  $T_{1\rho}$  is affected by the blood oxygenation (Hulvershorn et al., 2005; Kettunen et al., 2002), intravascular  $T_{1\rho}$  change may also contribute. Additionally, other changes, such as a functional change in the cerebrospinal fluid (CSF) volume fraction (Jin and Kim, 2010), or a decrease in the concentration of metabolites, may also affect functional  $T_{1\rho}$  signal. Since the utility of  $T_{1\rho}$  fMRI is closely dependent on its signal origin, it is critical to untangle multiple potential sources.

This study aims to address two issues regarding  $T_{1\rho}$  fMRI signal: 1) whether the signal originated from tissue, not blood can be detected, and 2) if detectable, what might be its possible source. The task-induced  $T_{1\rho}$  response was evaluated in three steps. First, to separate the contributions of tissue versus blood and CSF to functional  $T_{1\rho}$  response and to examine the detectability of tissue-originated  $T_{1\rho}$  fMRI signal, high-resolution functional studies were performed on the cat visual cortex without and with suppression of the blood signal by using an intravascular contrast agent. Then, temporal and spatial properties of the  $T_{1\rho}$  response were assessed. Second, to investigate potential sources of functional tissue  $T_{1\rho}$  change,  $T_{1\rho}$  experiments were performed in rats with the suppression of blood signals during hyperoxia, pH-decreasing hypercapnia and global ischemia, and susceptibility variations induced by i.v. injection of iron oxide. Third, to better understand possible source of *in vivo*  $T_{1\rho}$  change,  $T_{1\rho}$  was measured in protein and metabolite phantoms with different

pH and metabolite concentrations. Since  $T_{1\rho}$  of tissue water measured with different SL frequencies are sensitive to molecular motion with different correlation times (Duvvuri et al., 2001; Makela et al., 2001), two or more  $\omega_1$  values were studied to shed light on the underlying mechanism. Throughout the whole text, we will use “tissue  $T_{1\rho}$ ” to indicate  $T_{1\rho}$  measured after the suppression of the blood signal. Preliminary findings were reported as meeting abstracts previously (Jin and Kim, 2009, 2011).

## Materials and Methods

### Theoretical basis of spin-lock fMRI

The pulse sequence for the SL experiment was a double spin-echo echo-planar imaging (EPI) sequence with a non-selective adiabatic SL preparation (Fig. 1A), where the amplitude and frequency modulation of the adiabatic SL preparation is illustrated in Fig. 1B. Namely, a 2-ms adiabatic half passage pulse was followed by a ramp of 0.5 ms, during which the amplitude of radiofrequency (RF) pulse was decreased to the desired SL field ( $B_1$ ) and then held constant for the spin-locking time (TSL) (Grohn et al., 2005; Jin and Kim, 2010). Following the spin locking preparation, transverse spins were refocused using two adiabatic full-passage RF pulses with slice-selection gradients, therefore,  $T_{1\rho}$ -weighted images are additionally weighted by  $T_2$  during the echo time (TE).

If a voxel contains multiple compartments such as blood, tissue and CSF, the TSL-dependent MR signal can be expressed as

$$S(TSL) = \sum_i V_i \cdot M_i \cdot \exp(-TSL/T_{1\rho,i}) \cdot \exp(-TE/T_{2,i}) \quad [1]$$

where  $i$  = arterial blood, venous blood, tissue water and CSF, and  $V$  and  $M$  are the volume fraction and the water proton magnetization of each compartment at TSL and  $TE = 0$ , respectively. In order to determine  $T_{1\rho}$ ,  $T_{1\rho}$ -weighted images are generally acquired with multiple TSL values and then fitted by a mono-exponential function of TSL. The *apparent*  $T_{1\rho}$  obtained will have contributions from all compartments, thus the data interpretation is complex. In particular, the *apparent*  $T_{1\rho}$  may be modulated by a change of  $T_{1\rho}$  value in any compartment or a change of any one of the weighting functions (e.g.,  $V$ ,  $M$ , or  $T_2$ ).

When the blood signal is suppressed, the signal in parenchyma (without CSF) would reduce to a single compartment:

$$S(TSL) = V_{tissue} \cdot M_{tissue} \cdot \exp(-TSL/T_{1\rho,tissue}) \cdot \exp(-TE/T_{2,tissue}) \quad [2]$$

Hence, the tissue  $T_{1\rho}$  can be extracted by multiple TSL measurements from high-resolution fMRI data without CSF. The functional change in total  $T_{1\rho}$  obtained with Eq. [1] may surrogate the change in tissue  $T_{1\rho}$  only if the contributions from other compartments are small and do not change significantly during activation.

$R_{1\rho}$  ( $=1/T_{1\rho}$ ) equals  $R_{2,0} + R_{ex}$  where  $R_{ex}$  is the chemical exchange-mediated relaxation rate, and  $R_{2,0}$  is the transverse relaxation rate in the absence of chemical exchange. For a simple two-pool SL model with asymmetric population approximation (Jin et al., 2011; Trott and Palmer, 2002),  $R_{ex}$  can be described as

$$R_{ex} \approx \frac{p \cdot \delta^2 \cdot k}{\delta^2 + \omega_1^2 + k^2}, \quad [3]$$

or normalized as

$$\frac{R_{ex}}{p\delta} \approx \frac{k/\delta}{1+(\omega_1/\delta)^2+(k/\delta)^2}, \quad [4]$$

where  $p$  is the relative population of the labile proton which is assumed to be much smaller than water (i.e.,  $p \ll 1$ ), and  $k$  and  $\delta$  are the exchange rate and the chemical shift between the labile proton and water, respectively. For a given  $\omega_1/\delta$  value, maximum  $R_{ex}$  occurs when

$k/\delta = \sqrt{1+\omega_1^2/\delta^2}$ . Thus,  $R_{ex}$  at very low  $\omega_1$  is optimized for intermediate exchanges ( $k/\delta \sim 1$ ). To understand the contribution of different chemical exchange rates to  $R_{1\rho}$ ,  $R_{ex}$  as a function of  $\omega_1/\delta$  was calculated from Eq. [4].

### Animal preparation and stimulation

The animal protocol was approved by the Institutional Animal Care and Use Committee at the University of Pittsburgh. Female adolescent cats weighing 1.1 - 1.7 kg were used for visual stimulation studies, while Sprague-Dawley rats weighing 318 - 492 g were used for global modulation studies. Cats were treated with atropine sulfate (0.05 mg/kg, I.M.) and initially anesthetized with a cocktail of ketamine (10-25 mg/kg, I.M.) and xylazine (2.5 mg/kg, I.M.), while rats were initially anesthetized with 5% isoflurane. Then, both species were intubated and mechanically ventilated, and 2.0-2.2% isoflurane in a mixture of 70% N<sub>2</sub> and 30% oxygen was used during surgery. The femoral vein was cannulated to deliver maintenance fluid with pancuronium bromide (0.2 mg/kg per hour) and to inject contrast agent, and the femoral artery was catheterized to monitor the arterial blood pressure and to obtain blood samples for arterial blood gas measurements. During MRI experiments, the isoflurane level was maintained at  $1.1 \pm 0.2\%$  isoflurane for cats and 1.5% for rats. End-tidal CO<sub>2</sub> level was kept within  $3.5 \pm 0.5\%$  and the rectal temperature was controlled at  $38.5 \pm 0.5^\circ\text{C}$  using a water circulating pad. The visual stimuli for cat functional studies were binocular, full-field, black and white, square-wave drifting gratings (spatial frequency 0.15 cycle/degree and temporal frequency 2 cycles/s). A gray screen was presented for the control. For gas challenge studies, a home-built electronic gas switching system was used to switch between two different gas mixtures.

### MR experiments

All MRI experiments were performed on a 9.4 T MR system (Varian, Palo Alto, California, USA). For phantom experiments, a 3.8-cm diameter volume coil (Rapid Biomedical, Ohio) was used for both transmission and reception. For *in vivo* experiments, a custom-made single-loop surface coil with diameter of 1.6 cm was chosen to achieve high spatial resolution and high sensitivity, and also to reduce power deposition induced by a spin-locking pulse. A surface coil setup has been used in many T<sub>1ρ</sub> studies (Borthakur et al., 2004; Grohn et al., 2005; Hakumaki et al., 2002; Koskinen et al., 2006; Makela et al., 2004; Xu et al., 2008), where the adiabatic SL pulse is essential to nutate all spins within our region of interest (ROI) to the transverse plane. The adiabatic SL condition was determined from simulations of the Bloch Equations, and calibrated to ensure that such condition was achieved for most of the primary visual area. This is further confirmed by evaluating the quality of T<sub>1ρ</sub>-weighted images (Fig. 1C vs. 1D), such that no ringing or stripe artifact was observed. The T<sub>1ρ</sub> measurement with the adiabatic SL sequence using a surface coil had been validated previously by phantom studies with a conventional SL sequence using a homogeneous coil (data not shown).

To evaluate the spatial heterogeneity of RF fields, the B<sub>1</sub> map was measured using a square pulse (to replace the SL preparation pulse in Fig. 1), where the pulse length was incremented such that signal intensity oscillated for several cycles in each imaging voxel, and the

nutration frequency of this oscillation ( $\omega_1$ ) was obtained (Jin and Kim, 2010). The spatial heterogeneity of  $B_1$  within our ROIs was negligible for rat studies (see Fig. 5A inset below), but significant for cat experiments where the ROI were much larger. In a representative  $\omega_1$  map of cat brain (Fig. 1E), the  $\omega_1$  frequency was about 600 Hz at a region close to the coil (red square) and 400 Hz at a region distal from the coil (blue square). Nevertheless, the  $R_{1\rho}$  maps showed very small spatial variance within the cat dorsal cortical area because the  $R_{1\rho}$  dispersion is small in this low frequency range (Fig. 1F). To ensure similar  $\omega_1$  was applied to all animals, the middle cortical ROI (see below) was first determined from anatomical images, then the transmit power was adjusted so that the averaged  $\omega_1$  during TSL reached the targeted value, e.g., 500 or 2000 Hz. The averaged  $\omega_1$  and  $R_{1\rho}$  values on each ROI were reported in texts.

***In vivo* experiments**—FLASH or  $T_1$ -weighted spin-echo EPI was used to obtain anatomic images with  $128 \times 128$  matrix. Single slice was chosen for *in vivo* functional studies. In cats, multi-slice scout fMRI was used for the selection of the imaging slice. To suppress the intravascular signal, dextran-coated monocrySTALLINE iron oxide nanoparticles (MION) were injected i.v. to greatly shorten blood  $T_2$ . Five sets of *in vivo* experiments were performed to measure  $R_{1\rho}$  ( $= 1/T_{1\rho}$ ) changes: 1)  $R_{1\rho}$  with and without blood contributions during cat visual stimulation, 2) tissue  $R_{1\rho}$  (without blood contributions) at two  $\omega_1$  values during cat visual stimulation, 3) tissue  $R_{1\rho}$  at four  $\omega_1$  values during two injections of MION, 4) tissue  $R_{1\rho}$  at two  $\omega_1$  values during hypercapnia and hyperoxia, and 5) tissue  $R_{1\rho}$  at multiple  $\omega_1$  values during global ischemia. Specifically, the experimental designs were:

Expt. I:  $T_{1\rho}$  fMRI ( $n = 6$  cats) was performed with  $\omega_1 = 500$  Hz on the visual cortex before and after the injection of 5 mg/kg of MION. The averaged SL frequency was  $\omega_1 = 492 \pm 26$  Hz at a middle cortical ROI (see Fig. 2B below). After the  $T_{1\rho}$  fMRI experiments in 5 out of the 6 animals, the CBV response was measured with injection of 10-13 mg/kg MION using double spin-echo EPI with TE of 40 ms to compare the temporal and spatial characteristics. Each fMRI run consisted of 40 s control, 40 s stimulation, and 60 s control; and there was ~1 minute resting time between each run. Twenty to forty runs were averaged for  $T_{1\rho}$  fMRI experiments and ~10 runs averaged for CBV experiments.

Expt. II: To examine the effect of SL frequency  $\omega_1$ , tissue  $T_{1\rho}$  responses were measured after the injection of 5 mg/kg of MION during cat visual stimulation at two  $\omega_1$  values of 500 and 2000 Hz ( $n = 6$  cats). The stimulation paradigm for cat visual stimulation was 20 s control, followed by 20 s stimulation and then 30 s control.

Expt. III: To confirm that tissue  $R_{1\rho}$  measurement is insensitive to the intravascular susceptibility with  $\omega_1$  values applied in our studies, 2 mg/kg of MION were initially injected to suppress the blood signal. Then, dynamic changes of tissue  $R_2$  and  $R_{1\rho}$  with  $\omega_1$  of 125, 250, 500, and 2000 Hz ( $n = 4$  rats) were measured during two separate injections of 1 mg/kg MION.

Expt. IV: Tissue  $T_{1\rho}$  responses were measured after the injection of 5 mg/kg of MION during hyperoxic (60%  $O_2$ ,  $n = 5$  rats) and hypercapnic stimulations (8%  $CO_2$ ,  $n = 5$  rats) at  $\omega_1$  values of 500 and 2000 Hz. Hyperoxic and hypercapnic stimulations were achieved by inhalation of 60% of  $O_2$  for 3 minutes and 8%  $CO_2$  for 6 minutes, respectively. Hyperoxia challenge induces a drop in cerebral blood flow (CBF) and CBV (Lu et al., 2009), as well as an increase in the intravascular and extravascular oxygen level. On the other hand, hypercapnia challenge induces an increase of CBF and CBV, and a decrease in tissue pH.



Expt. V: Tissue  $T_{1\rho}$  responses were measured after the injection of 1-5 mg/kg of MION during global ischemia induced by KCl injection, with  $\omega_1$  values of 250, 500, 1000, 2000 and 4000 Hz ( $n = 6$  rats).

Although measurement of more TSL values would allow better accuracy for  $T_{1\rho}$  quantification, it increases scan time and reduces the temporal resolution; therefore, only two TSL values were chosen for dynamic functional studies. In each fMRI experiment, TSL = 0 and TSL = 50 ms images for each  $\omega_1$  value were acquired in an interleaved manner. For experiments with multiple runs (Expt. I, II, and IV), the order of the images was alternated for different runs. Imaging data were acquired using a  $2 \times 2$  cm<sup>2</sup> field of view and a 2 mm slice thickness for cat visual stimulation (Experiment I and II) and rat hypercapnic experiments (Experiment IV), and a  $2.56 \times 2.56$  cm<sup>2</sup> field of view and a 4 mm slice thickness for rat hyperoxia (Experiment IV) and global ischemia experiments (Experiment V). The spin-echo time was TE = 25 ms, and the repetition time (TR) was 2.5 s for global ischemia and 2 s for all other experiments. Although 1-2 mg/kg of MION is already sufficient to suppress the blood signal (Kim and Kim, 2005), in fMRI experiments a higher dose of 5 mg/kg were chosen so that the BOLD effect would be roughly canceled by an increase in the MION susceptibility due to vessel dilation (Lu et al., 2007), minimizing any residue effect from susceptibility change to our tissue  $R_{1\rho}$  measurement. The intravascular half-life for MION was measured to be 2.5 to 6 hour for cat while ~10 hours for rat. Thus, in all cat experiments with MION injection, the susceptibility effect was frequently monitored by measuring parenchyma  $T_2$  values, and additional doses were injected when necessary to maintain a relatively stable MION level.

**Phantom experiments**—At SL frequencies usually applied for *in vivo* studies,  $R_{1\rho}$  relaxation is highly sensitive to chemical exchange process between water and the labile non-water protons of protein and metabolites (Duvvuri et al., 2001; Jin et al., 2011; Makela et al., 2001). An acidosis-induced  $T_{1\rho}$  increase has been suggested by Magnotta et al. as the dominant contributor to the functional signal, which was also illustrated in pH-varied protein phantoms and explained by a slowdown of chemical exchange between amide protons of protein backbone and water (Magnotta et al., 2012). It should be noted that  $R_{1\rho}$  relaxation is not specific and has contributions from all relaxation pathways including chemical exchange processes from all labile protons. Besides proteins,  $T_{1\rho}$  is also very sensitive to metabolites which may have different pH-dependence on SL frequencies. For example, we have shown that  $T_{1\rho}$  is much more sensitive to labile protons with intermediate exchange rate, e.g., amines or hydroxyls groups, as compared to the slow exchanging amides (Jin et al., 2011). In particular, the amine-water proton exchanges from free metabolites are likely much faster than the overall chemical exchange rate of proteins (Zong et al., 2013).

Since chemical exchange contribution to  $R_{1\rho}$  relaxation is dependent on pH, to further examine the possible sources of *in vivo*  $R_{1\rho}$  change, three different pH phantoms were studied at 37°C:

- #1.1. 8% of native bovine serum albumin (BSA) with four pH values of 6.0, 6.5, 7.0, and 7.5,
- #1.2. 4% native egg-white albumin (EWA) with three pH values of 6.2, 6.8, and 7.4, and
- #1.3. 4% EWA and 30 mM glutamate (Glu) with three pH of 6.2, 6.8, and 7.4.

All Phantoms above were dissolved in phosphate buffer saline (PBS) containing 10 mM of phosphate with 0.1 mM  $MnCl_2$ .

The purpose of Phantoms #1.1-#1.3 experiments is to qualitatively compare the pH-dependence of protein-only phantom versus protein phantom containing faster chemical exchanging metabolites. Because there are many amine-containing metabolites in brain, 30 mM Glu was chosen to roughly represent the total amine concentration from free metabolites.

The chemical exchange contributions to  $R_{1\rho}$  from metabolites are mostly arisen from amine and hydroxyl protons. In order to separate the contributions of amine vs. hydroxyl proton exchanges to  $R_{1\rho}$ , two concentration-dependent phantom experiments were performed at 37°C as

#2.1. Glu with amine protons of 0, 10 and 20 mM in 2.2% agarose with 0.07 mM of  $MnCl_2$  at pH = 7.0, and

#2.2. glucose (Glc) with hydroxyl protons of 0, 5, 10, 20 mM in PBS with 0.1 mM  $MnCl_2$  at pH = 7.0.

The  $R_{1\rho}$  dispersion properties of metabolites with hydroxyl versus amine protons will be compared to determine whether they can be differentiated by  $R_{1\rho}$  measurements at 500 and 2000 Hz. The exchange-mediated relaxation rate  $R_{ex}$  is linearly proportional to the metabolite concentration (Jin et al., 2011). Thus, instead of measuring a small concentration of metabolites directly, where the accuracy may be limited because of the small  $R_{ex}$  compared to a much larger intrinsic  $R_{2,0}$  in our phantoms with agarose or  $MnCl_2$ , we chose an alternative approach to obtain  $R_{ex}$  per mM of metabolite by fitting to data with three to four different concentrations.

In all phantoms, agarose and/or  $MnCl_2$  was added to decrease the  $T_2$  of water closer to *in vivo* values, but without changing the chemical exchange contributions to  $R_{1\rho}$  (Jin and Kim, 2012). For each phantom,  $R_{1\rho}$  values were measured for eleven  $\omega_1$  values of 125 Hz to 4000 Hz, by eleven TSL values (the range was varied for different phantoms, from 0 ms to approximately two  $T_2$  values).

## Data analysis

Data was analyzed with in-house Matlab® programs and STIMULATE software (Strupp, 1996). For functional experiments, images were first zero-filled to  $128 \times 128$  and smoothed with a Gaussian filter with a full-width-half-maximum of 3 pixels. The EPI images were then compared with the anatomical image, if in-plane motions were more than 1 pixel, then those runs were excluded for signal averaging. Runs with same  $\omega_1$  and TSL values were grouped together and averaged. Then, *in vivo*  $R_{1\rho}$  images for each time point were calculated from mono-exponential fitting of signal intensities on the two TSL-values (0 and 50 ms), as  $R_{1\rho} = \ln(S_{TSL=0}/S_{TSL=50ms})/50ms$ , and  $\Delta R_{1\rho}$  was calculated from the difference between the  $R_{1\rho}$  values averaged over the activation and baseline periods. In each experiment,  $T_2$ -weighted BOLD with TSL of 0 ms,  $T_{1\rho}$ -weighted fMRI with TSL of 50 ms, and  $R_{1\rho}$  fMRI runs were obtained. For Expt. III, the  $\rho R_2$  time course was also calculated from the linear relationship between the relative signal change and TE as:  $\Delta R_2 = -(S - S_0)/S_0/TE$  (Ogawa et al., 1993), where the baseline signal  $S_0$  was defined as the averaged signal before the MION injection.

For fMRI, the Student's *t*-test was performed on a pixel-by-pixel basis to detect the activated area. First, a *p*-value threshold was chosen (*p* < 0.01 for experiment I - III, and *p* < 0.05 for experiment IV, uncorrected for multiple comparisons), and a minimal cluster size of eight pixels was applied. To calculate the CBV fMRI response, a correction of BOLD effect was performed (Zhao et al., 2006). Quantitative analyses were performed on the ROI determined from the anatomic images, regardless of whether these pixels pass the statistical threshold.

Two ROIs were chosen at the middle and surface of the visual cortex for cat studies, respectively, while one ROI was selected at the middle of the cortex for rat studies. To measure the rising times, the time courses of BOLD, CBV, and  $R_{1\rho}$  before and after MION for each animal were interpolated to 200 ms temporal resolution, and the time from stimulus onset to 50% of the peak change was obtained, and paired Student's  $t$ -test was performed to evaluate the difference in rising time of the BOLD, CBV, and  $R_{1\rho}$  responses. The averaged data were reported by mean and standard deviation (SD), and standard error of mean (SEM) for all time courses in the figures.

## Results

### Functional change of the $T_{1\rho}$ without and with suppression of blood signals

Since an imaging voxel contains blood, extravascular tissue and CSF with different  $T_{1\rho}$  values, the change in the relative composition and/or the  $T_{1\rho}$  of these compartments will modulate the measured  $T_{1\rho}$  value (see Eq. [1]), and it has been reported in human visual stimulation and rat hypercapnia challenge studies that vessel dilation will lengthen  $T_{1\rho}$  (Hulvershorn et al., 2005; Kettunen et al., 2002). Thus, it is critical to separate functional  $T_{1\rho}$  changes originating from blood and tissue. To address this issue, we performed fMRI studies on six animals with and without suppression of blood signals by MION injection (Experiment I). A well-established layer model of the cat visual cortex was employed to examine whether functional  $T_{1\rho}$  changes are localized to the most active sites, as suggested by Magnotta et al. (Magnotta et al., 2012). The middle cortical layer (layer IV) is known to have the highest change in neuronal activities and in metabolic rate during stimulation (Price, 1983; Tieman and Tumosa, 1983) and can be visualized as a slightly bright band (indicated by arrows) in the cat visual cortex (outlined by green contours) in  $T_1$ -weighted inversion recovery echo-planar images (Fig. 2A) (Jin and Kim, 2008c; Kim and Kim, 2011).

The  $T_2$ -weighted fMRI map before MION injection shows significant stimulation-induced signal increase in gray matter (outlined in green) within the visual cortex (Fig. 2C) as expected from BOLD contrast (Zhao et al., 2006). When  $T_{1\rho}$ -weighting with  $\omega_1$  of 500 Hz was applied (Fig. 2D), a higher percentage signal change was detected within the parenchyma. Functional  $R_{1\rho}$  maps calculated from  $T_2$ -weighted and  $T_{1\rho}$ -weighted fMRI show a decrease in  $R_{1\rho}$  (*i.e.*, increase in  $T_{1\rho}$ ) in the parenchyma for without (Fig. 2E) and with blood suppression (Fig. 2F), indicating that extravascular tissue  $R_{1\rho}$  change indeed exists. Note that negative fMRI signal changes (blue/purple pixels in Fig. 2C and 2D) and  $R_{1\rho}$  increases (red/yellow pixels in Fig. 2E and 2F) were observed at the boundary of the cortical surface and CSF. This is most likely due to a reduction of CSF partial volume caused by functional vessel dilation (Jin and Kim, 2010; Piechnik et al., 2009; Scouten and Constable, 2008) because  $R_2$  and  $R_{1\rho}$  values of CSF are much smaller than those of tissue water (*e.g.*,  $R_{1\rho}$  of about  $2.2 \text{ s}^{-1}$  vs.  $21 \text{ s}^{-1}$  at 9.4 T (Jin and Kim, 2010)).

Fig. 2G compared the functional  $\Delta R_2$  and  $\Delta R_{1\rho}$  from an ROI drawn at the middle cortical layer disregarding the statistical thresholds for activation (Fig. 2B). Large difference in the functional  $\Delta R_2$  before and after MION ( $-0.343 \pm 0.029 \text{ s}^{-1}$  vs.  $-0.022 \pm 0.031 \text{ s}^{-1}$ ) were observed, because for the latter case the BOLD effect was nearly canceled by the vessel dilation-induced increase of MION concentration (Lu et al., 2007). In contrast ~63% of the functional  $\Delta R_{1\rho}$  remains after the blood signal is suppressed ( $0.081 \pm 0.013 \text{ s}^{-1}$  vs.  $0.051 \pm 0.008 \text{ s}^{-1}$ ), indicating that even though intravascular signals contribute to functional  $\Delta R_{1\rho}$ , tissue  $R_{1\rho}$  fMRI signals dominate during visual stimulation at 9.4 T.



## Temporal properties of $T_{1\rho}$ fMRI signal at the middle cortical layer

Characteristics of dynamic functional changes can provide insights into the source of  $R_{1\rho}$  fMRI. The averaged time courses ( $n = 6$  animals) of the fMRI response at the middle cortical ROI (see Fig. 2B) were shown for both conditions without (Fig. 3A) and with MION (Fig. 3B). In both cases the runs with TSL = 50 ms and  $\omega_1$  of 500 Hz (red) have larger positive signal changes than those with TSL = 0 (blue), whereas the post-stimulus undershoots are similar. To compare with  $R_{1\rho}$  and BOLD fMRI time courses, CBV fMRI studies were also performed in five animals after an intravascular MION injection of 10-13 mg/kg. During the 40-s stimulation period,  $R_{1\rho}$  without MION, BOLD and CBV responses decreased gradually following its peak (Fig. 3D), while tissue  $R_{1\rho}$  with MION almost remained on a plateau (Fig. 3C). After the stimulus offset, the post-stimulus undershoot was prominent in BOLD and CBV (Fig. 3D), but not in tissue  $R_{1\rho}$  with MION (Fig. 3C). To further evaluate their temporal behavior, the normalized time courses were compared for the SE-BOLD, CBV, and  $R_{1\rho}$  before and after MION (Fig. 3E). The time to 50% of the peak occurs in the order of tissue  $R_{1\rho}$ ,  $R_{1\rho}$  (without MION), BOLD, and CBV (dotted line). The rising time of tissue  $R_{1\rho}$  is 1.1 s and 1.7 s faster than the BOLD ( $n = 5$ ,  $p < 0.05$ ) and CBV ( $p < 0.01$ ) responses, respectively (Fig. 3F). These temporal characteristics suggest that the tissue  $R_{1\rho}$  change induced by stimulation has origins different from hemodynamics as in BOLD and CBV fMRI.

## SL frequency dependence of tissue $R_{1\rho}$ changes during visual stimulation

Since  $T_{1\rho}$  is sensitive to molecular motions with correlation time  $\tau$  close to  $1/2\pi\omega_1$ , SL frequency dependency studies may provide insights into functional  $R_{1\rho}$  changes. Thus,  $T_{1\rho}$  fMRI studies were performed with  $\omega_1$  of 500 and 2000 Hz after the suppression of blood signals with MION during 40-s visual stimulation ( $n = 6$  cats; Expt. II). The baseline  $R_{1\rho}$  values at the middle cortical ROI were  $21.0 \pm 0.14 \text{ s}^{-1}$  and  $17.55 \pm 0.13 \text{ s}^{-1}$  for  $\omega_1 = 500$  and 2000 Hz, respectively, similar to previous values measured at the rat cortex (Makela et al., 2004). At  $\omega_1 = 500$  Hz, the temporal variation of  $R_{1\rho}$  in the baseline period is  $0.012 \pm 0.002 \text{ s}^{-1}$  ( $n = 6$ ). When  $\omega_1$  increases from 500 Hz to 2000 Hz for visual stimulation studies, the increase of  $R_{1\rho}$  remains similar at the boundary of the cortical surface and CSF, while the decrease of  $R_{1\rho}$  at the middle of the cortex almost disappears (Fig. 4A and 4C for 500 Hz vs. 4B and 4D for 2000 Hz). Since the  $R_{1\rho}$  change at the cortical surface is dominated by changes to the CSF volume fraction, it is to be expected that it is insensitive to SL frequencies. However, the functional  $R_{1\rho}$  decrease at the middle cortical ROI for 500 Hz is  $0.049 \text{ s}^{-1}$  and 2.7 times larger ( $p < 0.005$ ) than at 2000 Hz (Fig. 4E). This experiment indicates tissue  $R_{1\rho}$  change is highly dependent on SL frequency, suggesting its source is from the slow molecular motion of tissue water rather than vascular response.

## Tissue $T_{1\rho}$ is insensitive to intravascular susceptibility changes

Functional  $R_{1\rho}$  change may be influenced by blood susceptibility effects due to insufficient spin locking. To achieve effective spin locking, the  $B_1$  field should be much higher than the local magnetic field inhomogeneity. If  $B_1$  is close to 0, the transverse spins are not locked and would relax by diffusion across the inhomogeneous field; therefore,  $T_{1\rho}$  approaches  $T_2$ . With high spin-locking frequency ( $\omega_1 = 2500$  Hz), it has been reported that the  $T_{1\rho}$  of extravascular water is almost unaffected by intravascular susceptibility effects (Kettunen et al., 2002), such as from a variation of paramagnetic deoxyhemoglobin, *i.e.*, the BOLD effect. However, this may not be true for lower  $\omega_1$  values usually applied for *in vivo* studies due to the limitation of RF power deposition. To assess whether a change of intravascular susceptibility may affect  $T_{1\rho}$  of tissue water for our imaging parameters,  $T_{1\rho}$  was measured in the rat cortex under two intravascular injections of 1 mg Fe/kg MION (Expt. III,  $n = 4$  rats). Before each experiment, 2 mg Fe/kg MION was injected *i.v.* to fully suppressed the intravascular signal. In the extravascular tissue, the susceptibility change induced a large

modulation in  $R_2$  but much smaller change in  $R_{1\rho}$  with  $\omega_1 = 125$  Hz (Fig. 5A), and the change in  $R_{1\rho}$  is minimal for  $\omega_1 = 500$  Hz, indicating that tissue  $R_{1\rho}$  is insensitive to changes in blood susceptibility for SL frequencies applied in our functional  $T_{1\rho}$  experiments.

### Tissue $T_{1\rho}$ is insensitive to hyperoxia-induced hemodynamic changes

In addition to a change of vascular susceptibility, hemodynamics also induces small change in tissue microstructure/microenvironment such as a modulation of the extracellular oxygenation level, perfusion, and local cellular structural distortion caused by vessel volume change. Whether these vascular-originated effects will alter extravascular  $T_{1\rho}$  is examined by a hyperoxia challenge with the suppression of blood (Exp. IV,  $n = 5$  rats). During inhalation of 60%  $O_2$ , a large decrease of tissue  $R_2$  (i.e., an increase in BOLD signal) was observed due to reduction of intravascular susceptibility (Fig. 5B). In contrast, the change in tissue  $R_{1\rho}$  change is minimal for both  $\omega_1$  of 500 and 2000 Hz. This experiment suggested that in addition to intravascular susceptibility, the tissue  $R_{1\rho}$  should also be insensitive to modulations of extracellular oxygenation level, perfusion, and local cellular structural distortion caused by vessel volume change.

### Tissue $R_{1\rho}$ changes during hypercapnic challenge and global ischemia

Tissue  $R_{1\rho}$  decreases (i.e.,  $T_{1\rho}$  increases) may be caused by a change of chemical exchange between water and exchangeable protons resulting from a suggested drop in pH during stimulation; a similar change was observed during pH-decreasing hypercapnic challenge at 3 T (Magnotta et al., 2012). However, similar hemodynamic changes, i.e., increase of CBV and CBF, occur for both cases, potentially leading to similar  $R_{1\rho}$  changes if the intravascular contribution is significant (Hulvershorn et al., 2005). Thus, it is important to compare tissue  $R_{1\rho}$  changes induced by visual stimulation and hypercapnic challenge, after the suppression of intravascular contribution. Unlike the observation during visual stimulation in our studies (Figs. 2 – 4), during hypercapnic challenge the tissue  $R_{1\rho}$  *increases* (i.e.,  $T_{1\rho}$  decreases) at the rat cortex for  $\omega_1$  of 500 Hz, but slightly *decreases* for  $\omega_1$  of 2000 Hz at 9.4 T (Fig. 6A). The averaged time course of  $R_{1\rho}$  obtained from the middle cortical ROI shows a large positive change for  $\omega_1 = 500$  Hz, but a small negative change for 2000 Hz (Fig. 6B).

While an  $\omega_1$ -dependence of  $R_{1\rho}$  was expected and had been reported in previous ischemia studies at 4.7 T (Grohn et al., 2000; Kettunen et al., 2002), the observation of opposite polarity of  $R_{1\rho}$  change for the two  $\omega_1$  values of 500 and 2000 Hz is surprising. To examine whether this is a distinct property for tissue pH decrease at our high field of 9.4 T, we measured the tissue  $R_{1\rho}$  response for more  $\omega_1$  values during the initial period of rat global ischemia induced by potassium chloride injection which is well-known to cause tissue acidosis ( $n = 6$  animals; Experiment V) (Kettunen et al., 2002). Tissue  $R_{1\rho}$  increases rapidly after KCl injection for  $\omega_1 = 1000$  Hz and decreases for  $\omega_1 = 2000$  Hz, similar to the results for hypercapnic challenge, albeit of much larger magnitudes. The change in tissue  $R_{1\rho}$  reached a maximum at  $\sim 3$  minutes post-KCl then showed a similar rate of decrease for all the  $\omega_1$  values in following 15 minutes or so. These observations are quite different from visual stimulation studies, in particular, tissue  $R_{1\rho}$  change with  $\omega_1 = 500$  Hz is negative for visual stimulation (Fig. 3C), but positive for tissue acidosis (global ischemia and hypercapnia) (Fig. 6B-C), indicating that neural stimulation-induced tissue  $R_{1\rho}$  decrease is not due to tissue acidosis.

### $T_{1\rho}$ measurements of protein and metabolite phantoms

To gain more insight into the difference of tissue  $R_{1\rho}$  changes during tissue acidosis and neural stimulation,  $\omega_1$ -dependent phantom experiments were performed and compared to theoretical models. Using Eq. [4],  $R_{ex}/(p\delta)$  was plotted against  $\omega_1/\delta$  at different exchange

rates ( $k/\delta$ ) (Fig. 7A). Note that an increase in  $R_{ex}$  leads to an increase in  $R_{1\rho}$ . In the case of tissue acidosis (i.e., a decrease of  $k/\delta$ ), if  $k/\delta$  decreases from intermediate exchange to slow exchange ( $k/\delta \ll 1$ ),  $R_{ex}$  would decrease for all  $\omega_1$  values (Fig. 7A, e.g., dashed green downward arrow). On contrary, if  $k/\delta$  decreases from fast exchange ( $k/\delta \gg 1$ ) to intermediate exchange, the  $R_{ex}$  measured at a small  $\omega_1$  would increase (solid green upward arrow), and  $R_{ex}$  measured at a large  $\omega_1$  would decrease (solid orange downward arrow), exhibiting an opposite polarity of  $R_{1\rho}$  change.

The  $R_{1\rho}$  dispersions of BSA and EWA decrease similarly with pH in the  $\omega_1$  range of 125 to 4000 Hz (Fig. 7B and 7C). While proteins have many different labile protons with different exchange rates, these pH-dependence results suggest that the overall proton exchange of these proteins can be considered as in the slow to intermediate exchange regime at 9.4 T. The addition of Glu to EWA enhances  $R_{1\rho}$  dispersion significantly and also changes its pH-dependence (Fig. 7C), suggesting that the amine-water proton exchange from Glu are much faster than those from proteins and falls into the intermediate to fast exchange category. When pH is decreased from 7.4 to 6.8,  $R_{1\rho}$  increases for  $\omega_1 = 1414$  Hz whereas  $R_{1\rho}$  decreases for  $\omega_1 = 2000$  Hz. This pH-dependent  $R_{1\rho}$  change at 500 vs. 2000 Hz is qualitatively similar to hypercapnia (Fig. 6B) and early ischemia results (Fig. 6C), but is different from the neural stimulation-induced  $R_{1\rho}$  changes (Fig. 4E).

Since  $R_{1\rho}$  is also sensitive to the concentration of labile protons (Eq. [3]), the small decrease of  $R_{1\rho}$  observed for neural stimulation might be caused by a reduction in the concentration of metabolites. In Fig. 8A and 8B, the  $R_{1\rho}$  of both amine-containing Glu and hydroxyl-containing Glc phantoms increases linearly with concentration and decreases with  $\omega_1$ , but their relative sensitivity at 500 vs. 2000 Hz is different due to the different chemical shifts and exchange rates of amine and hydroxyl protons (Jin et al., 2011; Liepinsh and Otting, 1996; van Zijl and Yadav, 2011). Although the concentrations of these metabolites are quite different from *in vivo* conditions, possible contributions from amine- or hydroxyl containing metabolite can be roughly estimated from 1) the linear-dependence of  $R_{ex}$  (and hence  $R_{1\rho}$ ) on metabolite concentration (Eq. [3]), and 2) the difference of  $R_{1\rho}$  for the two  $\omega_1$  values (500 and 2000 Hz) which is correlated with the exchange rate. The slope of  $R_{1\rho}$  vs. Glu and Glc concentration is  $0.11$  and  $0.067 \text{ s}^{-1} \cdot \text{mM}^{-1}$  for  $\omega_1$  of 500 Hz and  $0.088$  and  $0.024 \text{ s}^{-1} \cdot \text{mM}^{-1}$  for  $\omega_1$  of 2000 Hz, respectively (Fig. 8C). The high sensitivity of  $R_{1\rho}$  on metabolites indicates that the observed tissue  $R_{1\rho}$  change of  $0.051 \text{ s}^{-1}$  at 500 Hz during visual stimulation could be explained by only sub-millimolar decrease of these metabolites. The ratio of slopes at  $\omega_1$  of 500 Hz to 2000 Hz is only 1.25 for Glu, but 2.8 for Glc which is very similar to the ratio of 2.7 observed in the visual stimulation studies. These results suggest that sub-millimolar decreases in metabolite concentrations, especially hydroxyl-containing metabolites such as glucose, potentially give rise to a plausible source of functional  $R_{1\rho}$  decrease.

## Discussions

Our results show that the source of activation-induced  $T_{1\rho}$  change is complex and has both vascular and tissue originated components. The vascular-originated component is mostly due to a local volume redistribution effect in an imaging voxel, because there is a large difference between the  $T_{1\rho}$  values of blood, tissue and CSF water. Specifically, vessel dilation would lengthen the *apparent*  $T_{1\rho}$  in the parenchyma but would shorten it at the boundary of cortex and CSF. After the suppression of vascular signal, the observed tissue  $T_{1\rho}$  signal in parenchyma is likely caused by an activation-induced change of tissue metabolism, based on following reasons. Tissue  $T_{1\rho}$  response shows (i) a better localization to the middle cortical layer than BOLD response, is (ii) sensitive to the SL frequency, is (iii) faster than both BOLD and CBV, and has (iv) no post-stimulus undershoot unlike BOLD

and CBV. Its non-hemodynamic origin is also supported by the observation that tissue  $R_{1\rho}$  is unaffected by tissue oxygenation, CBF and CBV changes induced by hyperoxia shown in Fig. 5B (Lu et al., 2009). Even though there have been intense efforts to develop non-hemodynamic tissue-specific fMRI methods for the last two decades (Bandettini et al., 2005; Chu et al., 2004; Jin and Kim, 2008b; Le Bihan et al., 2006; Miller et al., 2007; Parkes et al., 2007; Tang et al., 2008; Xiong et al., 2003), our results showed for the first time that with complete suppression of blood, a tissue-originated fMRI signal, while the sensitivity is much weaker than BOLD (Fig. 2G), can be consistently detected in brain *in vivo* using spin-lock fMRI.

$T_{1\rho}$  relaxation is highly dependent on experimental condition and experimental parameters such as  $B_0$ . Since the difference in  $T_{1\rho}$  between the blood, tissue and CSF is dependent on magnetic field strength ( $B_0$ ), the vascular contribution to functional change of  $T_{1\rho}$  would also be  $B_0$ -dependent. Similarly, a functional change in tissue  $T_{1\rho}$ , if it is mainly caused by the proton-exchange effect, would also be  $B_0$ -dependent because a high field increases the chemical shifts between labile protons and water, and consequently the exchange-mediated relaxation rate ( $R_{ex}$  increases with  $\delta$  in Eq. [3]). Thus, we postulate a larger tissue-originated  $T_{1\rho}$  signal in the parenchyma at a higher  $B_0$ . Our results at 9.4 T showed that the *vascular contribution* to activation-induced  $T_{1\rho}$  change is about 37% with  $\omega_1 = 500$  Hz at the brain parenchyma. At 3 T, an increase in end-tidal  $CO_2$  (e.g., hypercapnia) decreases tissue pH and increases  $T_{1\rho}$  value measured with  $\omega_1$  of 400 Hz, and it was recently suggested by Magnotta et al. that *in vivo*  $T_{1\rho}$  increase during human visual stimulation is also due to tissue acidosis (Magnotta et al., 2012). While a correlation between tissue acidosis and  $T_{1\rho}$  increase was established for hypercapnia, there was no evidence that the increase of  $T_{1\rho}$  is mostly from the tissue compartment. Importantly, both visual stimulation and hypercapnia increase CBV, which will consequently lengthen the measured  $T_{1\rho}$  due to longer  $T_{1\rho}$  of blood relative to tissue. Indeed, the vascular contribution with  $\omega_1 = 500$  Hz was estimated to be dominant (> 90%) in a human  $T_{1\rho}$  fMRI study at 3 T according to the estimation of Hulvershorn et al. (Hulvershorn et al., 2005). In our case with suppression of blood signal, tissue  $T_{1\rho}$  at 500 Hz increases during visual stimulation but decreases for tissue acidosis, disagree with the interpretation of functional  $T_{1\rho}$  change by Magnotta et al. (Magnotta et al., 2012).

$T_{1\rho}$  relaxation is also highly dependent on  $\omega_1$ , and  $T_{1\rho}$  dispersion studies with more than one  $\omega_1$  value would be necessary to shed light on the underlying mechanisms. During rat global ischemia at 4.7 T, Kettunen et al. reported an increase of  $T_{1\rho}$  at  $\omega_1 = 2500$  Hz, but marginal  $T_{1\rho}$  change for  $\omega_1$  of  $\sim 850$  Hz (Kettunen et al., 2001). In our experiments with suppression of blood signals at 9.4 T,  $T_{1\rho}$  values during hypercapnia and the initial period of global ischemia decrease for  $\omega_1 = 1000$  Hz, while increase for  $\omega_1 = 2000$  Hz. This opposite polarity of  $T_{1\rho}$  changes for low and high  $\omega_1$ , especially the increase of  $R_{1\rho}$  at a small  $\omega_1$  with decreasing pH, can be qualitatively explained by a proton-exchange mechanism where  $k$  is much faster than  $\delta$  of contributing exchangeable protons relative to water at normal physiological pH, and becomes closer to  $\delta$  during tissue acidosis (e.g.,  $k/\delta$  decreases from 3 to 1 in Fig. 7A). From phantom experiments (Fig. 7C), contributing protons with fast to intermediate exchanges can be mainly from metabolites, such as free amino acids. In our recent rat focal brain ischemia study (Zong et al., 2013), we have investigated the properties of amine-water proton exchange using off-resonance SL technique measured at a frequency offset 2.5 ppm from water. An increase in the off-resonance  $R_{1\rho}$  with  $\omega_1$  of 500 Hz was observed due to slowdown of chemical exchanges during stroke, and the change of  $R_{1\rho}$  is strongly correlated with pH and the concentration of glutamate and  $\gamma$ -aminobutyric acid (GABA). These findings are in good agreement with the on-resonance  $R_{1\rho}$  results observed in current pH-dependent studies (Fig. 7C).

While a small local tissue acidosis might occur during visual stimulation (Lin et al., 2012; Magnotta et al., 2012), the difference in tissue  $T_{1\rho}$  responses during visual stimulation versus hypercapnic challenge and global ischemia indicated that tissue acidosis is unlikely the major contributor to the neuronal activation-induced  $T_{1\rho}$  signal. One plausible mechanism may be a local drop in metabolites concentration due to elevated consumption. For example, a decreased glucose concentration ranging from 0.13 to 0.51  $\mu\text{mol}\cdot\text{g}^{-1}$  has been reported in human magnetic resonance spectroscopy (MRS) studies during sustained visual stimulation of several minutes or more (Chen et al., 1993; Frahm et al., 1996; Lin et al., 2012; Mangia et al., 2007). The averaged change in  $T_{1\rho}$  during our cat visual stimulation studies would correspond to a reduction in glucose concentration of  $\sim 0.6 \mu\text{mol}\cdot\text{g}^{-1}$  (considering 0.83 g water/g tissue) for the middle cortical ROI, and  $\sim 0.3 \mu\text{mol}\cdot\text{g}^{-1}$  for a larger ROI including part of white matter area (not shown). This concentration decrease estimated from functional  $T_{1\rho}$  change falls well into the range of MRS results whose voxel should also have significant partial volume effect. Besides chemical exchange, there may be other contributions to the  $T_{1\rho}$  fMRI signal. For example, the tissue component itself might also be sub-compartmentalized into different  $T_{1\rho}$  pools, hence, a relative volume change between sub-compartments or variation in the rate of exchange in these sub-compartments, which has been suggested for the  $T_{1\rho}$  contrast during ischemia (Jokivarsi et al., 2009), may occur as a results of neuronal cell-swelling and alter the observed  $T_{1\rho}$ . Further investigations are needed to determine the exact source of tissue-originated signals.

## Conclusion

Functional  $T_{1\rho}$  responses can be contributed by changes in blood, CSF and/or tissue signals. In order to detect tissue-originated functional responses, it is critical to remove the CSF contamination, and also to separate contributions of blood and tissue signals. While  $T_{1\rho}$  fMRI with blood contribution has already been shown in human studies to offer better tissue-localization than the BOLD response with large contributions from draining veins (Hulvershorn et al., 2005; Magnotta et al., 2012), its utility may not be better than CBF and CBV-based fMRI which are also more specific to neural active sites than BOLD fMRI (Jin and Kim, 2008a; Zhao et al., 2006). In addition to the difficulty of fully removing the intravascular signal as well as the CSF contamination, the application of *tissue*  $T_{1\rho}$  to human studies may be challenging due to the limitation on specific adsorption rate which increases quadratically with magnetic field strength, and also due to the reduced sensitivity compared to BOLD. On the other hand, functional tissue  $T_{1\rho}$  change, albeit the sensitivity is still much lower than BOLD, can be consistently observed at 9.4 T. Tissue-originated  $T_{1\rho}$  signal is faster than hemodynamic responses, has no post-stimulus undershoot unlike BOLD and CBV fMRI, and shows high specificity to middle cortical areas. Therefore, tissue  $T_{1\rho}$  fMRI may potentially be exploited as a non-hemodynamic imaging tool for animal research.

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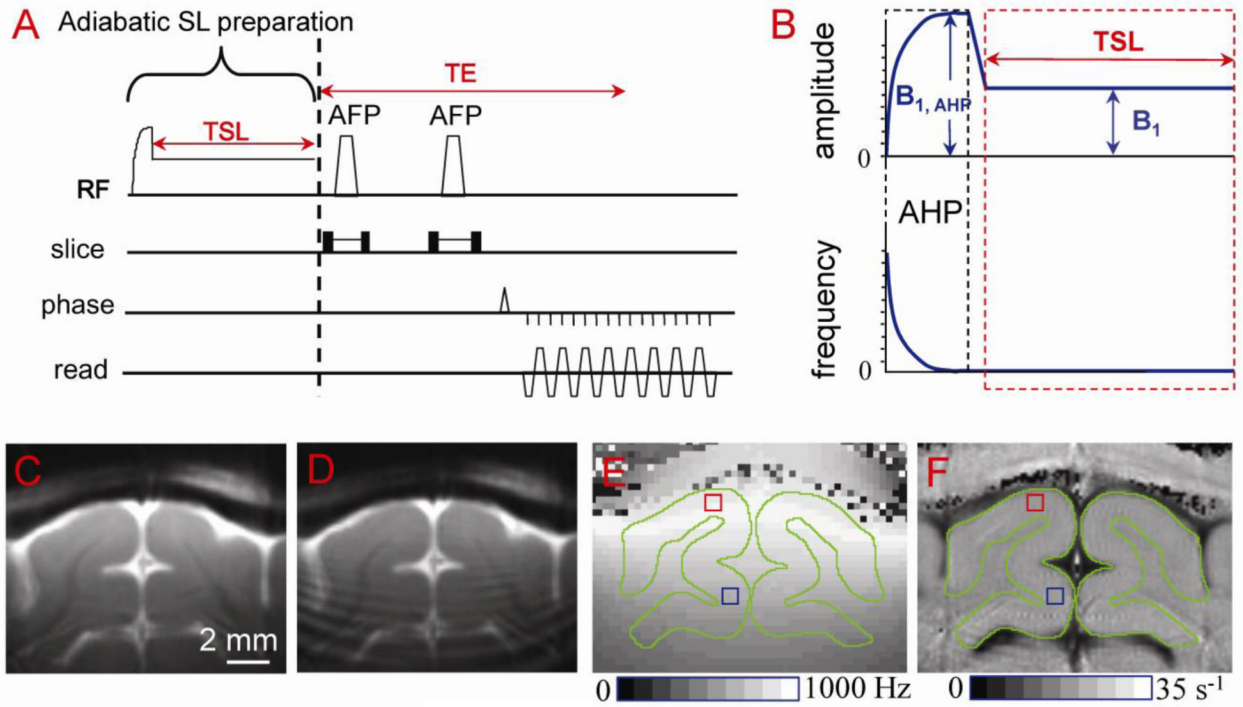
### Highlights

Activation-induced change of  $T_{1\rho}$  has both vascular- and tissue-originated components.

$T_{1\rho}$  fMRI signal is dependent on magnetic field strength and spin-locking pulse parameters.

Functional tissue  $T_{1\rho}$  change shows distinct non-hemodynamic characteristics.

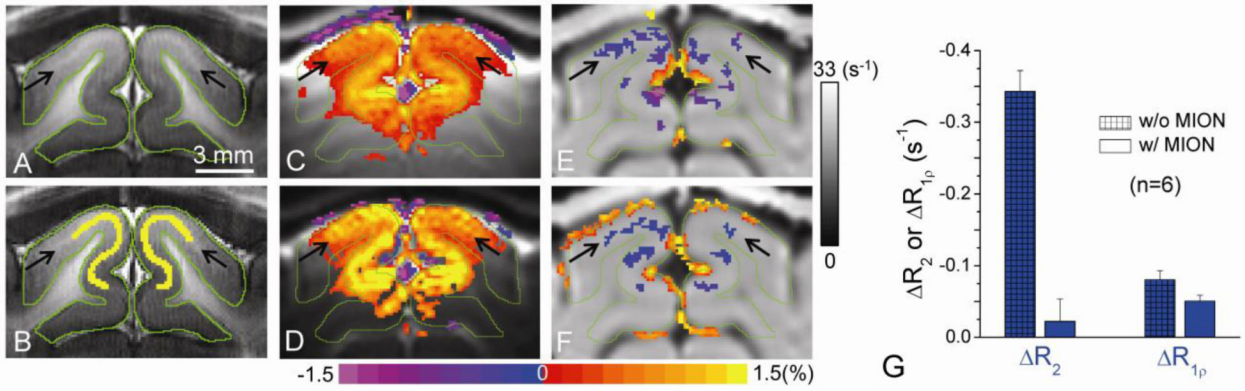
Tissue  $T_{1\rho}$  fMRI signal may be caused by a change of metabolite concentration rather than pH.



**Fig. 1. Surface-coil  $T_{1\rho}$  pulse sequence (A, B) and applications to cat primary visual cortex studies (C-F)**

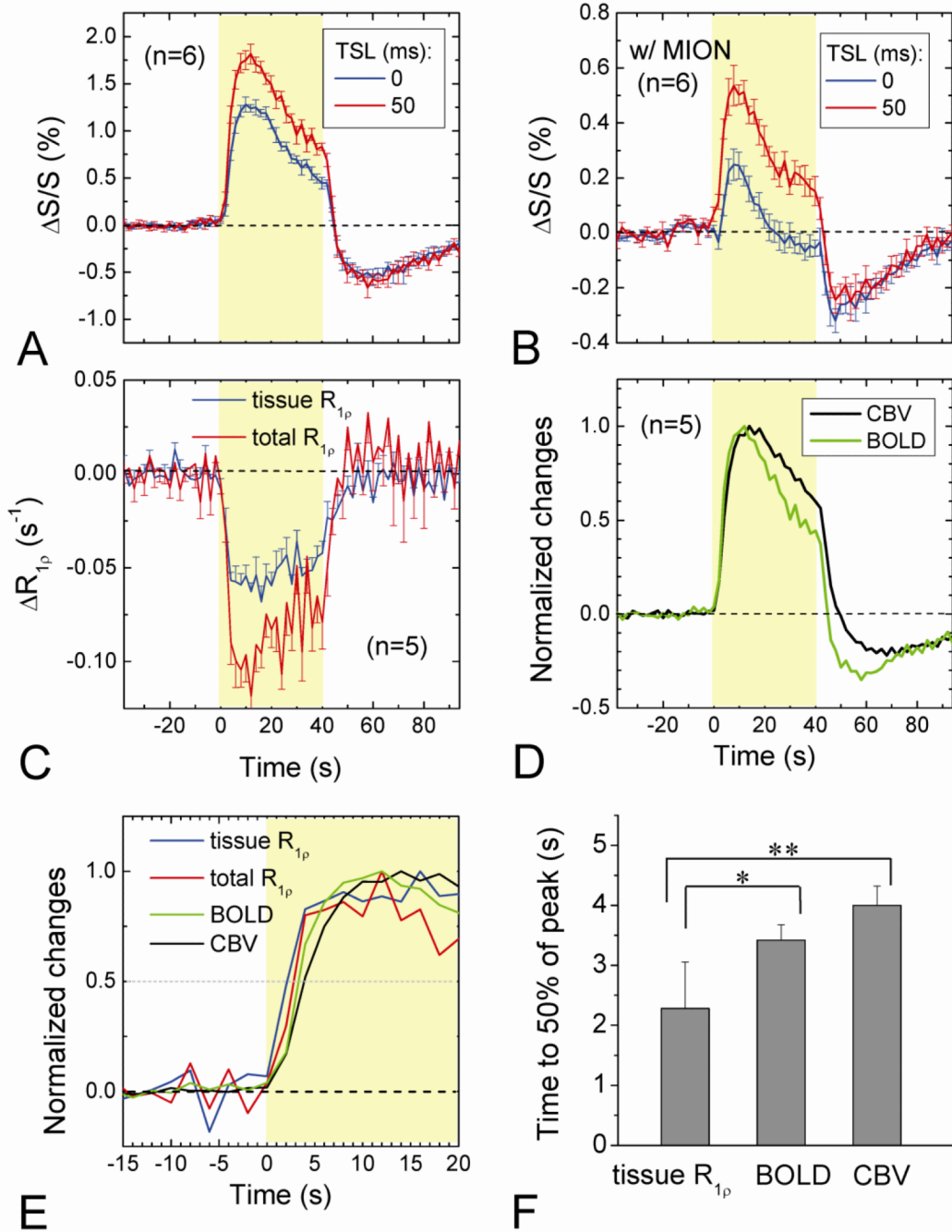
The pulse sequence (A) is a double spin-echo EPI acquisition with a non-selective spin-locking (SL) preparation (B). Adiabatic half passage (AHP, 2 ms in length with amplitude  $B_{1, \text{AHP}}$ ) ensures that spins nutate into the transverse plane over a relatively large volume of  $B_1$  inhomogeneity. The RF amplitude is then immediately ramped to the desired SL level ( $B_1$ ) and held constant for the spin-lock time (TSL); the transmit frequency of the pulse remains the same during the short ramp and TSL. Following the spin-lock preparation, transverse spins are refocused by two adiabatic full-passage (AFP) pulses with slice-selection gradients. High quality  $T_{1\rho}$ -weighted image ( $\omega_1 = 500$  Hz and TSL = 40 ms) can be obtained when adiabatic SL condition is satisfied (C), but signal oscillations appear otherwise (D). (E) The spin-locking nutation frequency  $\omega_1$  map shows  $B_1$  inhomogeneity. For our conditions,  $\omega_1$  at an area proximal to the coil (red square) is  $\sim 600$  Hz, while at a distal area (blue square) it is  $\sim 400$  Hz. Gray matter areas are outlined in green. (F) The map of  $R_{1\rho} (=1/T_{1\rho})$  shows little spatial variations within visual cortex because the  $T_{1\rho}$  dispersion is very small within the narrow  $\omega_1$  range (e.g., 400-600 Hz).





**Fig. 2. Intravascular and extravascular contributions to functional  $R_{1\rho}$  change in the cat visual cortex**

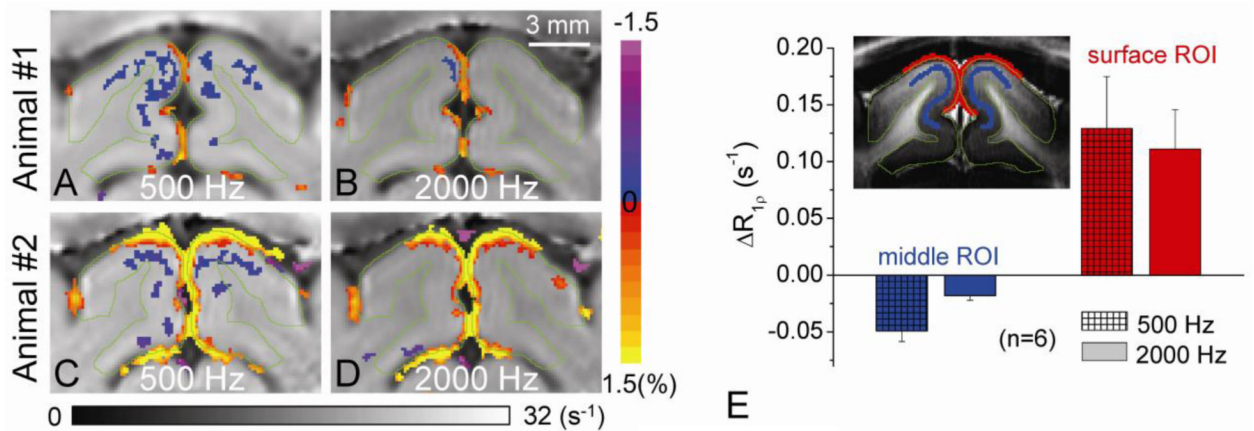
A slightly bright band (indicated by black arrows) within the gray matter (outlined in green) in high-resolution  $T_1$ -weighted EPI image (A) indicates cortical layer IV (Kim and Kim, 2011). Pixels along the white band (yellow) were chosen for quantitative analyses of cat's functional studies (B). All active pixels were overlaid in color on their respective baseline images (C-F), and the vertical grayscale bar indicates the baseline  $R_{1\rho}$  values (for E and F). Functional percentage signal change maps were obtained by  $T_2$ -weighted (TSL = 0, C) and  $T_{1\rho}$ -weighted fMRI (TSL = 50 ms and  $\omega_1 = \sim 500$  Hz, D) without MION during visual stimulation. Higher changes were observed in  $T_{1\rho}$ -weighted fMRI, indicating an increase in  $T_{1\rho}$  (decrease in  $R_{1\rho}$ ). To determine the blood contribution,  $R_{1\rho}$  change maps obtained without (E) and with (F) 5 mg/kg MION were compared. An increase in  $R_{1\rho}$  at the surface of the cortex is due to a volume fraction change between tissue and CSF, while a decrease in  $R_{1\rho}$  is located at the middle of the cortex. (G) At the middle cortical ROI (yellow pixels in B), the functional  $R_2$  change ( $\Delta R_2$ ) is nearly suppressed with MION injection, whereas  $\Delta R_{1\rho}$  only decreases slightly.



**Fig. 3. Dynamic changes in BOLD, T<sub>1</sub>ρ-weighted fMRI, CBV, and R<sub>1</sub>ρ without and with MION during visual stimulation**

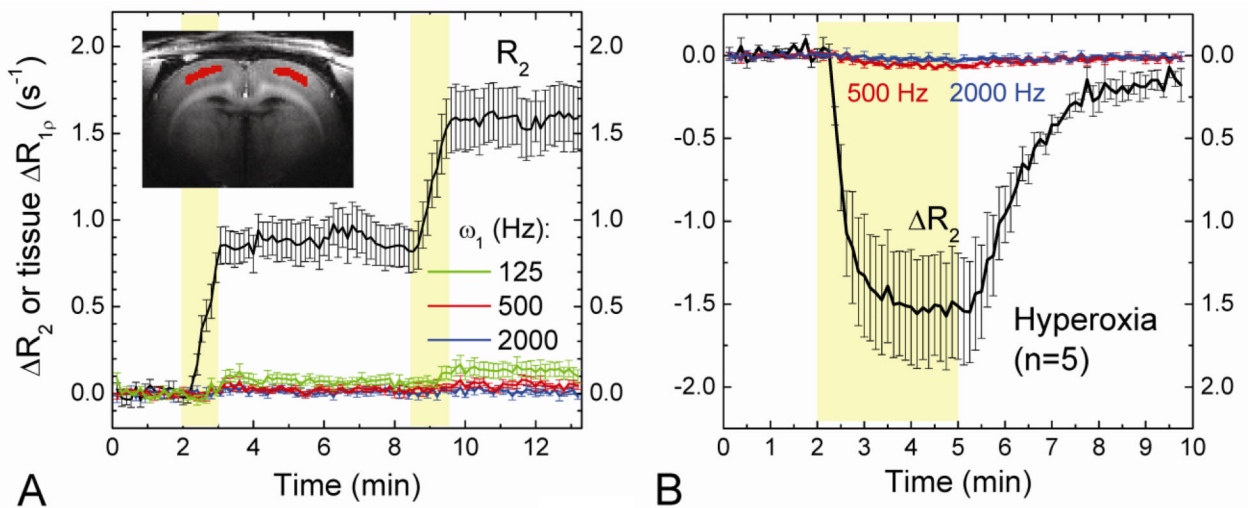
Averaged time courses of T<sub>2</sub>-weighted (TSL = 0), T<sub>1</sub>ρ-weighted fMRI (TSL = 50 ms), and R<sub>1</sub>ρ change were obtained from the middle cortical ROI during visual stimulation without and with blood signal suppression with MION (A-C). The normalized time courses of BOLD and CBV show a significant undershoot after the stimulus offset (D), unlike those time courses of the two R<sub>1</sub>ρ responses (C). The BOLD, CBV, total R<sub>1</sub>ρ (without MION) and tissue R<sub>1</sub>ρ responses were normalized and their initial rising periods during stimulation were compared in (E). The rising time, defined as the time from the stimulus onset to 50%

of the peak change, is faster for the tissue  $R_{1\rho}$  than BOLD ( $p < 0.05$ ,  $n = 5$ ) and CBV ( $p < 0.01$ ) responses (**F**).



**Fig 4. Functional tissue  $R_{1\rho}$  changes at two spin-locking frequencies**

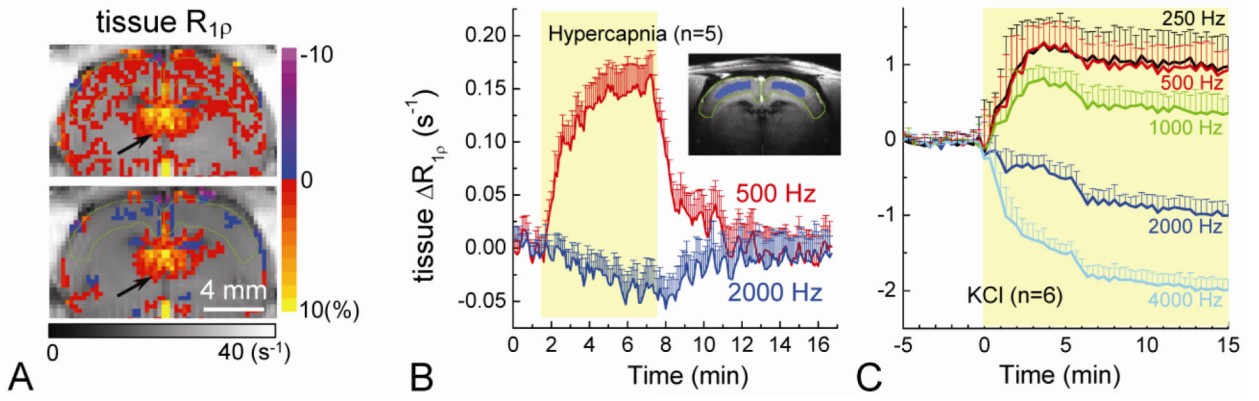
Visual stimulation-induced tissue  $R_{1\rho}$  changes were measured after 5 mg/kg MION for two SL frequencies  $\omega_1 = 500$  and 2000 Hz. Tissue  $R_{1\rho}$  change maps of two representative animals were shown for  $\omega_1 = 500$  Hz (A, C) and 2000 Hz (B, D), where the horizontal grayscale bar indicates the baseline  $R_{1\rho}$  values and the vertical color bar indicates the functional change. The  $R_{1\rho}$  decrease at the parenchyma is significantly reduced for  $\omega_1 = 2000$  Hz, whereas the  $R_{1\rho}$  increasing pixels at the surface of the cortex are similar for the two frequencies. The averaged change ( $n = 6$  cats) in tissue  $R_{1\rho}$  at  $\omega_1 = 500$  Hz is 2.7 times larger than that at 2000 Hz (E).



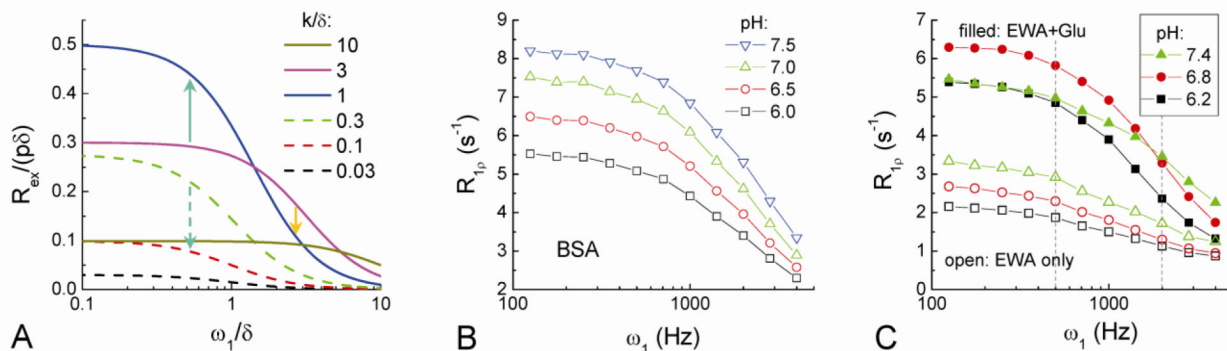
**Fig. 5. Effects of intravascular susceptibility variation and hyperoxia challenge on extravascular water  $R_{1\rho}$**

In order to detect the contribution of intravascular susceptibility changes to tissue  $R_{1\rho}$ , the blood signal was suppressed with the injection of 5 mg/kg MION before experiments. Dynamic changes in tissue  $R_2$  and  $R_{1\rho}$  were obtained during two injections of 1 mg/kg MION (A, n = 4 rats) and 3 minutes inhalation of 60%  $O_2$  (B, n = 5 rats) indicated by the yellow shaded regions. Time courses were obtained from the red pixels within the cortex (Inset). When spin-locking frequencies were measured at 500 Hz, a variation in intravascular susceptibility does not contribute to tissue  $R_{1\rho}$  measurements.



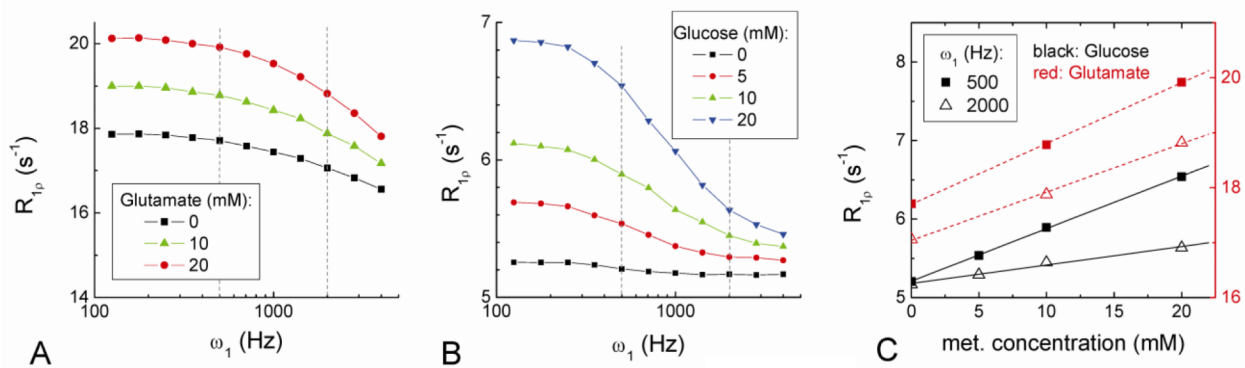


**Fig. 6. Spin-locking frequency-dependent tissue  $R_{1\rho}$  change during tissue acidosis**  
 Tissue  $R_{1\rho}$  changes were measured during hypercapnia and global ischemia after 5 mg/kg MION injection. Tissue  $R_{1\rho}$  change maps for  $\omega_1 = 500$  Hz and 2000 Hz are shown for hypercapnic challenge in one rat (A). Green contour indicates the cortical area. Unlike visual stimulation, an increase in tissue  $R_{1\rho}$  was observed for  $\omega_1 = 500$  Hz. Note that the increases of  $R_{1\rho}$  near the ventricle area are similar in the two maps and can be attributed to a change of CSF volume fraction (arrows). The averaged time course ( $n = 5$  rats) of the tissue  $R_{1\rho}$  response obtained the cortical ROI (Inset) shows a significant increase for 500 Hz whereas a small decrease for 2000 Hz (B). The averaged tissue  $R_{1\rho}$  responses ( $n = 6$  rats) for  $\omega_1$  from 250 to 4000 Hz during KCl injection (C), which induces tissue acidosis, show spin-locking frequency-dependent changes. These are qualitatively similar to hypercapnia.



**Fig. 7. Calculated  $R_{ex}$  dispersion (A) and the measured  $R_{1\rho}$  dispersion of pH-dependent phantoms (B-C)**

$R_{ex}$  dispersion was calculated with Eq. [4] as a function of exchange rate  $k$ . Upward and downward arrows indicate changes in  $R_{ex}$  when the exchange between labile protons and water is slow down due to pH decrease (see texts). The  $R_{1\rho}$  dispersion of 8% bovine serum albumin (BSA) decreases with pH values (B). The  $R_{1\rho}$  dispersion of 4% egg white albumin (EWA) only (open symbols) and 4% EWA with 30 mM of glutamate (filled symbols) were both measured for three pH values (C). Vertical dashed lines (C) indicate spin-locking frequencies of 500 and 2000 Hz used for *in vivo* studies. The addition of glutamate (Glu) changes the pH-dependence of  $R_{1\rho}$  dispersion.



**Fig. 8.  $R_{1\rho}$  dispersions of concentration-dependent amine and hydroxyl metabolite phantoms**  
 Three amine-containing glutamate concentrations in agarose with 0.07 mM  $MnCl_2$  (A), and four hydroxyl-containing glucose (Glc) concentrations in PBS with 0.1 mM  $MnCl_2$  (B) were used.  $R_{1\rho}$  is linearly dependent on Glu and Glc concentration, and the slope for  $\omega_1 = 500$  Hz is only slightly larger than that for  $\omega_1 = 2000$  Hz for Glu, but is much larger for Glc (C). The ratio of  $R_{1\rho}$  at  $\omega_1 = 500$  Hz to 2000 Hz is similar for glucose phantom (2.8) and for *in vivo* functional response (2.7).