Biological Function of Nuclear Receptor Tyrosine Kinase Action

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Receptor tyrosine kinases (RTKs) were believed until recently to act at the cell membrane in a singular fashion (i.e., binding of ligands on the extracellular domain would activate the intrinsic tyrosine kinase activity in the intracellular domain), which would then start a cascade involving other intracellular signaling molecules that would act as effectors. However, new evidence indicates that some RTKs can signal through a different modality; they can move into the nucleus where they directly exert their actions. Although some studies have showed that the proteolytically released intracellular domain of several RTKs can move to the nucleus where they influence gene expression and cell function, others suggest that RTKs can also move to the nucleus as holoproteins. The identification of this novel signaling mechanism calls for a critical reevaluation of the mechanisms of action of RTKs and their biological roles.

Receptor tyrosine kinases (RTKs) are type I Rtransmembrane proteins that typically transduce signals originating at the cell surface, which then influence numerous cellular processes, in many cases by ultimately impacting on gene expression. For years, it had been believed that RTKs could only signal by what now should be referred to as "canonical RTK signaling" (Fig. 1), a multistep process involving: (1) the binding of their cognate ligands (most frequently growth factors), (2) hetero- or homodimerization of the receptor leading to activation of the intrinsic tyrosine kinase, (3) cytoplasmic domain auto- or transphosphorylation that generates binding sites for intracellular adaptor proteins, and (4) activation of intracellular signaling cascades that impact on the cell's biology (Lemmon and Schlessinger 2010). However, more recent studies have uncovered a novel signaling mechanism used by RTKs (i.e., direct nuclear signaling) (Fig. 2). In this modality, following receptor activation, instead of involving a multicomponent cytoplasmic signaling cascade, the cleaved intracellular domain transits to the nucleus to influence transcription. Some studies have also reported the translocation of full-length RTKs to the nucleus, but whether this actually occurs and whether it has a signaling role is still in debate (Schlessinger and Lemmon 2006). Here we summarize the current

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Figure 1. Canonical receptor tyrosine kinase signaling. Activation of a receptor tyrosine kinase at the cell surface leads first to dimerization, followed by activation and phosphorylation of the receptor itself in its intracellular domain. The activated receptor then recruits downstream cytoplasmic signaling molecules, in many cases other kinases. Activation of the downstream signaling cascade frequently leads to changes in gene expression. TKD, tyrosine kinase domain.

understanding of the wide array of mechanisms and biological roles that have been attributed to RTK nuclear signaling, with a particular emphasis on the ErbB receptors, the RTK family for which nuclear signaling has been characterized most extensively.

ErbB4 AND NUCLEAR SIGNALING

The ErbB4 RTK, as the name implies, was the fourth member of the EGF (or ErbB) receptor family to be identified (Plowman et al. 1993). Alternative splicing in the extracellular juxtamembrane (JM) and cytoplasmic domains of erbB4 generates several isoforms (Elenius et al. 1997, 1999). The splicing in both regions influences the biology of the receptor, but most germane to the discussion here is the variation that occurs in the juxtamembrane region. Two juxtamembrane isoforms exist, JMa and JMb (Elenius et al. 1997), which result in ErbB4 isoforms that differ in their susceptibility to targeted proteolytic cleavage. Stimulation of ErbB4-JMa by its ligand neuregulin 1 (NRG1) (Zhou and Carpenter 2000) or the activation of protein kinase C by TPA (12-O-tetradecanoylphorbol-13-acetate) (Vecchi et al. 1996; Elenius et al. 1997) promotes cleavage in the extracellular juxtamembrane region, causing the release of a 120-kD ectodomain fragment and leaving behind a transmembrane-tethered 80-kD intracellular domain fragment (mE4ICD). In contrast, ErbB4-JMb is uncleavable (Rio et al. 2000). ErbB4-JMa cleavage can be blocked by TAPI, an inhibitor of tumor necrosis factor-y-converting enzyme (TACE), and does not occur in TACE-deficient cells (Rio et al. 2000) linking this metalloprotease (also known as α -secretase,



Figure 2. Direct nuclear signaling through a released RTK intracellular domain. Following activation by ligand, specific proteolytic cleavages lead to the release of an activated tyrosine kinase-containing intracellular domain (ICD). Known examples of nuclear signaling by RTK-ICDs include: (a) formation of a cytoplasmic complex containing the ICD and other effector molecules, which then translocates to the nucleus (e.g., ErbB4), and (b) direct nuclear translocation of a released RTK-ICD (e.g., Ryk). Both examples can lead to changes in gene expression.

ADAM17) to the ectodomain shedding of ErbB4. Following this cleavage event, the mE4ICD fragment serves as a substrate for regulated intramembrane proteolysis by the Presenilin-1 (PS1)/ γ -secretase complex, releasing a soluble intracellular domain (sE4ICD), which has a nuclear localization signal that mediates its import to the nucleus (Ni et al. 2001). This adds ErbB4 JMa to a growing list of membrane proteins, including the β -amyloid precursor protein (β APP) and Notch, that are cleaved sequentially by different secretase enzymes, specifically generating a soluble cytoplasmic domain, which can then enter the nucleus (reviewed by Fortini 2002).

Signaling via ErbB4 has been implicated in a number of both normal and pathological processes in multiple cell types; however, the functional importance of a nuclear-localized E4ICD is only beginning to be fully appreciated. Given that erbB4 is necessary for breast development and lactation (Jones et al. 1999; Long et al. 2003; Tidcombe et al. 2003), and that it is involved in neoplastic breast tissue development (Sundvall et al. 2008), breast-derived tissue and cell lines have served as a rich area for investigation of the role of nuclear ErbB4 signaling. As milk production is a recognized sign of mammary epithelium differentiation, molecular events that regulate the expression of milk components are often viewed as proxies for mammary differentiation. In this context, Williams et al. (2004) found that stimulation of ErbB4 by neuregulin-1 (NRG1) in a breast cancer cell line leads to the association of sE4ICD and STAT5A with the promoter of the β -casein gene inducing its activity. Another study using breast cancer cells showed that activation of ErbB4 by NRG1 leads to the tyrosine phosphorylation of the largely nuclear localized c-Abl target Hdm2, and that this phosphorylation is sensitive to both inhibitors of ErbB receptor kinases and of TACE or γ -secretase,

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implying that sE4ICD generation is required (Arasada and Carpenter 2005). A recent study of resected breast tumors showed that the subcellular location of ErbB4 is a critical prognostic indicator, with tumors displaying membranous ErbB4 associated with better clinical outcomes, whereas the presence of nuclear-localized sE4ICD is associated with higher proliferative potential and greater transcription at genes bearing an estrogen response element (ERE) (Junttila et al. 2005). The association between E4ICD and estrogen responsive gene expression has been extended by a study showing that E4ICD is found along with ERα at the promoters for the progesterone receptor and SDF-1 genes, whereas the noncleavable mutant ErbB4 V673I fails to coactivate ERα-dependent gene transcription (Zhu et al. 2006).

Nuclear ErbB4 signaling has also emerged as an important signaling modality in the nervous system, one of the sites originally identified as expressing the cleavable JMa isoform (Elenius et al. 1997). A study examining oligodendrocytes showed that NRG1-induced changes in the maturation of these cells, including the induction of myelin basic protein expression, are dependent on both γ -secretase activity and sE4ICD nuclear translocation (Lai and Feng 2004). Sardi et al. (2006) found that, during brain development, ErbB4 nuclear signaling plays a critical role in the process of astrogenesis. This study showed that NRG1-induced ErbB4 activation in neural precursor cells induces the release of sE4ICD, which forms a transcriptional repressor complex with TAB2 and the nuclear corepressor N-CoR. After nuclear translocation, this complex binds to promoter elements of astrocytic-associated genes, repressing their expression. These data provide a mechanism for the precocious astrogenesis that was observed in mice lacking nervous system ErbB4 expression. More recently, Allison et al. (2011) have shown that NRG1 treatment of cultured hippocampal neurons leads to a dramatic increase in nuclear E4ICD in a presentiin- $1/\gamma$ -secretase-dependent manner. Using global pathway analysis, this study also showed that nuclear sE4ICD signaling has a major effect on the expression of genes important to the morphogenesis of dendritic spines, perhaps lending credence to the suspected involvement of the neuregulin-1/ErbB4 signaling pathway in the pathobiology of schizophrenia.

Ryk, NUCLEAR SIGNALING AND THE Wnt PATHWAY

The ligands of the Wnt family play critical roles in the establishment of the body map during embryogenesis in all animals (van Amerongen and Nusse 2009). Whereas a great deal is known about the canonical Wnt signaling pathway acting through the Frizzled/LRP receptor complex (Nusse 2005), much less is known about signals that are transduced by selected Wnt family members through the Ryk tyrosine kinase. Recent work has shown that this receptor controls aspects of neuronal differentiation in the developing brain (Lyu et al. 2008). In a manner that parallels the mechanism described above for ErbB4, once Ryk is activated by Wnt, its intracellular domain is released by a y-secretase-dependent proteolytic cleavage. During cortical development, Ryk-ICD accumulates in the nucleus where it increases production of neurons from undifferentiated precursor cells. Suitably, the level of Ryk-ICD is highest at the peak of neurogenesis and decays thereafter (Lyu et al. 2008). It is interesting that both ErbB4 and Ryk presenilin-dependent nuclear signaling appear to enhance neurogenesis, the first by restricting astrogenesis, the latter by increasing neuronal differentiation.

TrkA NUCLEAR LOCALIZATION IN THE LIVER

The tropomyosin-related kinase (Trk A, B, C) family of RTKs is well known for its involvement in the transduction of signals induced by the neurotrophins (NGF, BDNF, NT-3, and NT-4) and for their effects on neuronal survival and differentiation in the developing and mature nervous system (Reichardt 2006). However, it is the liver where nuclear localization of TrkA ICD was observed by Bonacchi et al. (2008). Using immunostaining, this group found nuclear TrkA in hepatic stellate cells of the injured

liver. Because the signal was detected by antibodies against TrkA's intracellular domain but could not be detected with antibodies directed against its extracellular domain, they concluded that the released ICD was responsible for the labeling. Interestingly, a nuclear signal was also detected using phospho-TrkA-specific antibodies, suggesting that the nuclear TrkA-ICD might be in an active state. The mechanism by which TrkA is cleaved in this context remains to be determined. Previous research identified these same cells in the liver as a source of NGF that is modulated by injury (Cassiman et al. 2001). Together with the observation that NGF induces hepatic cell migration, these findings suggest the possibility that an autocrine loop involving NGF-mediated nuclear TrkA signaling might play a role in the response of the liver to injury (Bonacchi et al. 2008).

NUCLEAR TRANSLOCATION AND FUNCTION OF ErbB2

ErbB2 was originally identified as a cellular oncogene (Schechter et al. 1984) and has been found to be mutated or overexpressed in many human tumors. Unlike the other members of the ErbB family, ErbB2 remains an orphan receptor with no bona fide ligand. Nevertheless, ErbB2 becomes active in cell surface signaling through heterodimerization with other ErbB family members (Hynes and MacDonald 2009). Whereas nuclear ErbB2 has been detected in many tumors, there is limited information regarding what induces its translocation and what genes it may influence. Using both immunofluorescence and subcellular fractionation techniques, Wang et al. (2004) identified ErbB2 holoreceptor in the nucleus of both tumor tissue and cultured tumor cells and found that it interacts with a sequence in the promoter for the cyclooxygenase-2 gene, an important modulator of cytokine expression (Fig. 3). This interaction appears to depend on a transactivation domain in the carboxy-terminal cytoplasmic region of the receptor. Regarding how the receptor gains entry to the nucleus, Giri et al. (2005) suggested a mechanism involving a presumptive nuclear localization signal in ErbB2 that mediates interaction with importin β 1. Similarly, Chen et al. (2005) identified a putative nuclear localization signal in the cytoplasmic domain of ErbB2 and showed that it was sufficient to drive the nuclear entry of a fusion construct created with green fluorescent protein. More recently, Béguelin et al. (2010) have shown that ErbB2, the progesterone receptor (PR), and the transcription factor Stat3 interact and that together they can regulate the expression of the cyclin D1 gene. Importantly, ErbB2 nuclear translocation depended not only on forming a complex with PR but also required the nuclear localization signal in the cytoplasmic domain of ErbB2. Understandably, the potential that nuclear localized ErbB2 regulates the expression of genes related to tumor progression has been a driving force for studying this process. However, a recent study suggests a potential for a much larger impact of nuclear ErbB2 signaling on cell behavior. Li et al. (2011) reported that nuclear ErbB2 regulates the expression of genes encoding ribosomal RNA by a mechanism involving RNA polymerase-I and that this interaction also affects cell translation and consequently cell size and overall growth, and that these effects occur independently of the canonical downstream activation of PI3 kinase and extracellular signal-regulated kinase (ERK).

FUNCTIONS OF NUCLEAR ErbB3

As a result of its identification (Kraus et al. 1989), ErbB3 has posed somewhat of a conundrum regarding the mechanism by which it signals. Because of the presence of specific amino acids at key sites in the presumed kinase domain, this RTK has largely been believed to be inactive and only able to function through heterodimerization with other ErbB receptors (Citri et al. 2003), but recent data showed that ErbB3 has tyrosine kinase activity (Shi et al. 2010). Studies of prostate cancer tissue samples as well as cell lines derived from prostate tumors have been a significant resource for characterizing the nuclear localization of ErbB3. A study that analyzed prostate neoplasms of varying pathological grades found that nuclear ErbB3 localization is associated with malignant growths but



Figure 3. Nuclear signaling by holoreceptor tyrosine kinase. It has been proposed that following activation by its ligand, some intact activated RTKs can be internalized through endocytic or other yet unknown mechanisms, followed by their translocation to the nucleus. It is unknown whether this involves monomer or dimerized RTKs; some reports suggest that ligand-bound RTKs can be found in the nucleus.

not with normal tissue or tissue derived from benign prostatic hypertrophy, and that nuclear expression can also be used to differentiate between hormone-responsive versus nonresponsive growths (Koumakpayi et al. 2006). The implications of these data are that the presence of nuclear ErbB3 is associated with cells that are undergoing abnormally enhanced growth.

The nature of the ErbB3 molecule in the nucleus (holoreceptor vs. ICD) and how it gets there remains unclear. Koumakpayi et al. (2011), reported the presence of full-length ErbB3 holoprotein in the nuclei of prostate cancer cells and that it moves from the cell surface to the nucleus via a nonclathrin-, noncaveolin-dependent endocytic pathway that is sensitive to the effects of amiloride, a macropinocytosis inhibitor. In a line of immortalized, nonmalignant human mammary epithelial cells, Offterdinger et al. (2002) found ErbB3 nuclear localization to be mediated by its COOH terminus and an

apparent nuclear localization signal. Furthermore, the nuclear localization of ErbB3 was enhanced by allowing for the establishment of epithelial cell polarity. Additionally, treatment with NRG1 shifted ErbB3 localization from the nucleus into the nucleoplasm and ultimately into the cytoplasm, suggesting that both the extent of epithelial polarization and activation by NRG1 influence the subcellular localization of this RTK. Recently, Adilakshmi et al. (2011) detected in primary rat Schwann cells a nuclear variant of ErbB3 (nuc-ErbB3) that results from an alternative transcription initiation site. The nuc-ErbB3 isoform contains a functional nuclear localization signal sequence and it binds to chromatin, regulating transcriptional activity from the promoters of the ezrin and HMGB1 genes, and suppression of nuc-ErbB3 expression both reduces myelination and alters the distribution of ezrin in the nodes of Ranvier.

BIOLOGICAL FUNCTIONS OF NUCLEAR EGF RECEPTOR (ErbB1)

Numerous studies have reported the localization of the full-length epidermal growth factor receptor (EGFR, ErbB1) in the nucleus, where evidence suggests it can influence transcription, cell proliferation, and DNA repair. Activated nuclear EGFR has been shown to bind to AT-rich response sequences within the promoters of the genes for cyclin D1, B-Myb, iNOS, and Aurora-A, and nuclear EGFR has been shown to cooperate with several transcription factors including E2F1 and STAT5, ultimately modifying target gene expression (Lin et al. 2001; Lo et al. 2005; Hanada et al. 2006; Hung et al. 2008). More recently, it has been found that nuclear EGFR can interact with the STAT3 transcription factor to drive the expression of COX-2 in glioblastomas (Lo et al. 2010). Furthermore, nuclear localized EGFR also has been shown to phosphorylate the proliferating cell nuclear antigen (PCNA) at Tyr211, an event that increases its stability (Wang et al. 2006). Thus, nuclear EGFR signaling may contribute to cell proliferation independently of transcriptional regulation.

Exposure of cells to ionizing radiation leads to nuclear translocation of holo-EGFR in a ligand-independent manner, an event associated with an increase in the activity of DNA-dependent protein kinase and enhanced levels of DNA repair (Dittmann et al. 2005). This group also found that the nonsteroidal anti-inflammatory drug celecoxib increases radiosensitization of tumor cells by inhibiting ligand-independent nuclear EGFR transport in response to radiation exposure, and these effects are independent of the inhibitory effects of celecoxib on COX-2 activity as well as modulation of prostaglandin E2 levels (Dittmann et al. 2008). Furthermore, Hsu et al. (2009) showed that nuclear EGFR localization is necessary for tumor resistance to DNA damage caused by the alkylating agent, cisplatin. Whereas the exact molecular mechanisms as to how nuclear EGFR impacts on DNA repair in normal cells are still unclear, nuclear EGFR has been shown to associate with p53 and the MDC1 protein, essential proteins for the recruitment of DNA repair foci (Dittmann et al. 2008). These data suggest that nuclear EGFR may be beneficial for normal cells responding to DNA damage caused by radiation but it might negatively impact tumor-targeting therapies.

VASCULAR ENDOTHELIAL GROWTH FACTOR RECEPTOR 2 (VEGFR2 OR KDR) AND NUCLEAR ACTIVITY

The regulation of angiogenesis plays a key role throughout the course of development, and inappropriate vascularization is a frequent occurrence in multiple disease states, especially cancer. One of the best known positive modulators of angiogenesis is vascular endothelial growth factor (VEGF), which acts largely through its receptor VEGFR2, making these molecules important targets for cancer treatment (Folkman 2007). Investigation of the responses of endothelial cells to in vitro wounding identified nuclear translocation of an activated VEGFR2/VEGF complex as a key component of the cellular response to injury (Santos et al. 2007). Intriguingly, more recent work has shown that nuclear VEGFR2 may actually play a role in the regulation of expression of the VEGFR2 gene itself. This has been strengthened by the observation that a VEGFR2/Sp1 complex interacts with the VEGFR2 promoter (Domingues et al. 2011).

TYPE I IGF RECEPTOR, POSTTRANSLATIONAL MODIFICATION AND NUCLEAR TRANSLOCATION

Unlike all other receptors discussed here, the type 1 insulin-like growth factor receptor (IGF-1R) is not a single chain type I transmembrane protein. Like the insulin receptor, it exists as a heterodimer containing extracellular ligandbinding α subunits paired with transmembrane dimerized β subunits. Signaling via this RTK influences cell growth, size, and maintenance of many cell populations throughout the organism (LeRoith and Roberts 2003). A recent study showed that the complete receptor complex translocates to the nucleus in a manner that is dependent on the posttranslational and activation-dependent addition of the small ubiquitin-like modifier (SUMO) to the kinase-containing β -subunit (Sehat et al. 2010). Immunoprecipitation/Western blot analysis showed that, as occurs for many of the RTKs discussed here, the IGF-1R only enters the nucleus after activation, as judged by the presence of phosphorylated tyrosine residues. Using an unbiased approach with synthetic random sequence oligonucleotides in electrophoretic mobility shift assays, followed by assays using chromatin immunoprecipitation, Sehat et al. (2010) also find that the nuclear IGF-1R binds to chromatin and modifies transcriptional activity of many genes.

FIBROBLAST GROWTH FACTOR RECEPTOR 1

The fibroblast growth factor (FGF) family has many members that signal through four different high-affinity RTKs (FGFR1-4). The ligands also can interact with heparin sulfate, an association that can influence receptor signaling in a wide range of cells and tissues (Harmer 2006). The four FGF RTKs share similar domain structures (i.e., a single transmembrane domain, a split TK domain, and a carboxy-terminal domain). Currently, FGFR1 is the only FGF receptor reported to be present in the nucleus, where it is present in its full-length form and in association with FGF2 (Stachowiak et al. 1996a,b; Myers et al. 2003). Although it remains controversial as to how the ligand reaches the receptor, there is some evidence that this pathway has biological effects on neural precursors. Nuclear FGFR1 signaling has been implicated in the differentiation of neural precursor cells in culture, inducing expression of neuron-specific enolase and Neurofilament-L (Stachowiak et al. 2003) as well as tyrosine hydroxylase (Peng et al. 2002), possibly acting downstream of BMP signaling (Horbinski et al. 2002; Stachowiak et al. 2003). In the subventricular zone (SVZ) of the developing mouse brain, which contains proliferating progenitor cells, FGFR1 immunoreactivity is localized in a predominantly nonnuclear manner, whereas in differentiating cells outside of the SVZ, FGFR1 accumulates in the nuclei (Fang et al. 2005). Consequently, it has been proposed that nuclear FGFR1 signaling is involved in the differentiation of neuronal populations.

CONCLUDING REMARKS

Whereas early observations of nuclear translocation of RTKs, either as fragments or holoproteins, raised the possibility that RTKs had the ability to signal directly to the nucleus, the mechanism and biological roles for this type of signaling are just now beginning to emerge. As this new arena for RTK action is explored in greater detail, several important questions will need to be addressed: (1) If activated holoreceptors move from the plasma membrane to the nucleus, do they maintain the same conformation? (2) Do different pools of a specific RTK participate in cytoplasmic versus nuclear signaling, or can one molecule perform both? (3) Given the role of γ -secretase in the release of soluble RTK-ICDs, should their processing and subsequent nuclear signaling be evaluated in the context of Alzheimer's disease? (4) How do nuclear RTKs or RTK-ICDs interact with chromatin and what are their partners and targets? (5) And, of course, what are the biological roles of this new signaling modality? We believe that these studies will provide important insights into the basic mechanisms of cell biology, developmental biology, and disease.

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