

# NIH Public Access

Author Manuscript

*Epidemiology*. Author manuscript; available in PMC 2014 March 01.

#### Published in final edited form as:

Epidemiology. 2013 March ; 24(2): 320-330. doi:10.1097/EDE.0b013e318280e2ac.

# Household levels of nitrogen dioxide and pediatric asthma severity

#### Kathleen Belanger,

Center for Perinatal, Pediatric and Environmental Epidemiology, Yale University School of Public Health, New Haven, CT

#### Theodore R. Holford,

Yale University School of Public Health, New Haven, CT

#### Janneane F. Gent,

Center for Perinatal, Pediatric and Environmental Epidemiology, Yale University School of Public Health, New Haven, CT

#### Melissa E. Hill,

Center for Perinatal, Pediatric and Environmental Epidemiology, Yale University School of Public Health, New Haven, CT

#### Julie M. Kezik, and

Center for Perinatal, Pediatric and Environmental Epidemiology, Yale University School of Public Health, New Haven, CT

#### Brian P. Leaderer

Center for Perinatal, Pediatric and Environmental Epidemiology, Yale University School of Public Health, New Haven, CT

Kathleen Belanger: kathleen.belanger@yale.edu; Theodore R. Holford: theodore.holford@yale.edu; Janneane F. Gent: janneane.gent@yale.edu; Melissa E. Hill: melissa.hill@yale.edu; Julie M. Kezik: julie.kezik@yale.edu; Brian P. Leaderer: brian.leaderer@yale.edu

## Abstract

**Background**—Adverse respiratory effects in children with asthma are associated with exposures to nitrogen dioxide (NO<sub>2</sub>). Levels indoors can be much higher than outdoors. Primary indoor sources of NO<sub>2</sub> are gas stoves, which are used for cooking by one-third of US households. We investigated effects of indoor NO<sub>2</sub> exposure on asthma severity among an ethnically and economically diverse sample of children, controlling for season and indoor allergen exposure.

**Methods**—Children aged 5–10 years with active asthma (n=1,342), were recruited through schools in urban and suburban Connecticut and Massachusetts (2006–2009) for a prospective, year-long study with seasonal measurements of NO<sub>2</sub> and asthma severity. Exposure to NO<sub>2</sub> was measured passively for four, month-long, periods with Palmes tubes. Asthma morbidity was concurrently measured by a severity score and frequency of wheeze, night symptoms and use of rescue medication. We used adjusted, hierarchical ordered logistic regression models to examine associations between household NO<sub>2</sub> exposure and health outcomes.

**Results**—Every 5 ppb increase in NO<sub>2</sub> exposure above a threshold of 6 ppb was associated with a dose-dependent increase in risk of higher asthma severity score (odds ratio= 1.37 [95%

Conflicts of Interest: The authors have no conflicts of interest.

Corresponding Author: Brian P. Leaderer, Yale Center for Perinatal, Pediatric and Environmental Epidemiology, One Church Street, 6<sup>th</sup> Floor, New Haven, CT 06510, Tel: (203)764-9375, Fax: (203)764-9378, brian.leaderer@yale.edu.

confidence interval= 1.01 - 1.89]), wheeze (1.49 [1.09 - 2.03]), night symptoms (1.52 [1.16 - 2.00]) and rescue medication use (1.78 [1.33 - 2.38]).

**Conclusions**—Asthmatic children exposed to  $NO_2$  indoors, at levels well below the US Environmental Protection Agency outdoor standard (53 ppb), are at risk for increased asthma morbidity. Risks are not confined to inner-city children, but occur at  $NO_2$  concentrations common in urban and suburban homes.

Exposure to nitrogen dioxide (NO<sub>2</sub>), a byproduct of combustion and a respiratory irritant,<sup>1,2</sup> can occur both indoors and outdoors. Gas appliances such as gas cooking stoves are primary sources indoors, where children spend large amounts of time. Gas stoves are used by approximately 39% of US households.<sup>3</sup> Indoor levels where NO<sub>2</sub> sources are present can be much higher than outdoors, where the primary source of NO<sub>2</sub> is traffic. Exposure to NO<sub>2</sub> continues to be a public health concern, especially with regard to the respiratory health of children with asthma.

A randomized controlled trial conducted in Australia has provided compelling evidence for an association between indoor NO2 exposure and adverse respiratory outcomes among children with asthma.<sup>4</sup> The study, which involved replacing unflued gas heaters in selected schools with flued or electric heat, found improved average asthma morbidity over a 12week period among students in the intervention schools. Two recent reviews of indoor environmental influences on asthma in children included NO2 as an important potential trigger of asthma morbidity.<sup>5,6</sup> Both reviews summarized key studies dating back to the 1980's and concluded that there is limited but suggestive evidence of associations between indoor NO<sub>2</sub> exposure and asthma morbidity in children. Asthma morbidity measures used in studies of NO<sub>2</sub> exposure include number of symptom-days or nights (wheeze, persistent cough, shortness of breath, chest tightness), frequency of rescue medication use, peak expiratory flow (PEF), upper and lower respiratory tract symptoms, limited speech, and forced expiratory volume (FEV).<sup>4,7–11</sup> Many of these outcomes (especially symptoms and medication use) have limitations because they tend to be associated with access to healthcare and other socioeconomic factors. Confounding by these factors may account for some of the persistent inconsistency of asthma morbidity associations in the indoor NO2-exposure literature.

We previously conducted a study of 728 asthmatic children and associations of symptoms with measured indoor  $NO_2$ ,<sup>7</sup> and found increased risks of wheeze and chest tightness associated with increased levels of  $NO_2$ . Risks were confined, however, to children living in multifamily homes, a study characteristic associated with lower socioeconomic status, higher proportion of gas stove use and smaller proportion of asthma maintenance medication use. Analysis was based on a single  $NO_2$  measurement per child and did not account for other important factors such as atopic status or indoor allergen exposure.

The current analysis characterizes the relationship between measured indoor  $NO_2$  and concurrent asthma severity in a repeated measures analysis of a diverse population while considering some common mediating factors such as atopy, allergen exposure, seasonality, and socioeconomic status.

### Methods

#### Participants

The Study of Traffic, Air quality and Respiratory health (STAR) was a prospective, oneyear follow-up study of school-aged children with asthma. From 2006 through 2009, the study enrolled 1,401 children recruited through flyers distributed to schools in 23 cities and towns with gas lines in Connecticut and western Massachusetts. Volunteer families

contacted the office and were screened (n = 2,175) via telephone. Eligible children (n = 1,642) were age 5–10 years, had a caregiver who spoke English and had active asthma defined as two or more of the following: physician diagnosis; asthma symptoms within the past 12 months (wheeze, persistent cough, chest tightness, shortness of breath); use of prescription asthma medication within the past 12 months (short-acting rescue medications and maintenance medications including inhaled steroids, systemic steroids, cromolyn, leukotriene inhibitors). The race/ethnicity distribution of children enrolled (i.e., those who completed a home interview and provided a blood sample) was similar to that of the towns where the children resided. Children (n = 1,342) who had complete information for health outcome measures and successful concurrent monitoring of indoor NO<sub>2</sub> were included in this analysis.

#### Data collection

At the time of enrollment, a research assistant visited the home, obtained consent, and interviewed the mother or primary caregiver (respondent) to obtain demographic data (age, sex, race/ethnicity, mother's education) and medical history of the child. The research assistant also observed and recorded housing type (single- or multi-family) and cooking appliance (gas or electric) of the enrollment residence. The mother was given a calendar to record daily symptoms and medication use.

At the end of each of the four, month-long monitoring periods, a research assistant phoned the respondent to obtain reports of daily symptoms and medication use and data on smoking in the home during the monitoring period. Sampling seasons were defined by winter and summer solstice and vernal and autumnal equinox. The midpoint of the observation period was used to assign the observation to a season.

At the end of one year, an exit interview was conducted via telephone. At this time a detailed address history was collected and the respondent provided housing characteristics such as housing type and type of cooking stove in each residence during the study. Housing type was later confirmed for all addresses with publicly available tax-assessor records.

#### Nitrogen dioxide (NO<sub>2</sub>) measurement

At the enrollment visit, the research assistant placed passive monitors (Palmes tubes)<sup>12</sup> to measure NO<sub>2</sub> in rooms where the child spent the most time awake (dayroom) and asleep (bedroom). After one month, the respondent was contacted via telephone and instructed to cap the NO<sub>2</sub> monitors and return them in a pre-paid mailing envelope provided. Additional monitors were sent at three-month intervals for repeat sampling.

Palmes tubes were analyzed for NO<sub>2</sub> concentration.<sup>12</sup> Duplicate samples and field blanks were used for quality control. Regression analysis of duplicate samples (n=183) produced an adjusted  $R^2 = 0.91$  with a slope = 0.96 and intercept = 0.84. Coefficients of variation for the dayroom, dayroom duplicates, bedroom, and bedroom duplicates were 95.3, 94.5, 120.4 and 116.8 respectively. Dayroom and bedroom concentrations of NO<sub>2</sub> were highly correlated (r = 0.89). In the present analysis, indoor NO<sub>2</sub> concentrations are defined as the average of the two indoor measurements per home for each monitoring period. Measurements matching monitoring periods with complete health data were used for analysis (n = 4,499). Quintile concentration boundaries (in ppb) were 4.02, > 4.02 - 6.02, 6.03 - 8.88, 8.89 - 14.32, > 14.32.

#### Environmental sampling and allergy testing

At the enrollment visit, the research assistant collected dust from the main living area for measurement of common allergens, using a protocol described previously.<sup>7,13,14</sup> Dust

samples were assayed by enzyme-linked immunosorbent assay (ELISA) for detectable levels of dust mite allergens (*Der p* 1  $0.10 \,\mu$ g/g and *Der f* 1  $0.10 \,\mu$ g/g), cat allergen (*Fel d* 1  $0.12 \,\mu$ g/g), dog allergen (*Can f* 1  $0.12 \,\mu$ g/g) and cockroach allergen (*Bla g* 1  $0.60 \,\text{U/g}$ ).

Using blood samples collected at the time of enrollment, serum for allergy testing was analyzed using the UniCAP system to determine total IgE and specific sensitivity to a panel of ten allergens. Atopy was defined as a sensitivity to any of the specific allergens, or as total IgE exceeding age-adjusted levels.<sup>15</sup> For each allergen (*Der p* 1, *Der f* 1, *Can f* 1, *Fel d* 1, *Bla g* 1) a binary variable was used that included allergen-specific sensitivity and allergen-specific exposure<sup>14</sup>: for this analysis "1" indicated a specific sensitivity and detectable allergen in the home, "0" indicated no sensitization to the specific allergen or no detectable allergen in the home.

#### Asthma severity

An asthma severity score based on the Global Initiative for Asthma guidelines<sup>16</sup> was constructed for each observation period. The score was composed of two components: a symptom step and a medication step. We defined symptom steps as (0) no symptoms, (1) 1 – 3 symptom days and 0 –2 nights OR 0 days and any nights, (2) 4 – 19 symptom days OR 1 – 3 symptom days and 3 or more nights, (3) 20 or more symptom days OR 4 – 19 days and 5 or more nights, (4) more than 20 symptom days AND 10 or more nights. Medication steps were defined as (0) no asthma medication use, (1) rescue medication use only, (2) use of one controller medication (3) simultaneous use of two controller medications, (4) simultaneous use of three or more controller medications.

Symptom and medication steps were combined to determine overall asthma severity for each child in each monitoring period. A composite severity score of 0 was possible only if no symptoms were experienced and no asthma medication was used (symptom and medication step combination of (0, 0)). A score of 1 ("mild transient") was assigned for symptom and medication step combinations of (1, 1), (0, 1) or (1, 0) respectively. A score of 2 ("mild persistent") was assigned for symptom and medication step combinations of (2, 0), (2, 1), (0, 2) or (1, 2) respectively. Symptom and medications step combinations of (3, 0), (3, 1), (2, 2), (0, 3), (1, 3), respectively, were assigned a score of 3 ("moderate persistent"). Finally, a score of 4 ("severe persistent") was assigned if either the symptom or medication step was a 4 OR with symptom and medication step combinations of (3, 2), (3, 3), (2, 3). (See Figure 1 in the paper by Gent et al.,  $2012^{14}$ )

Additional outcomes of interest included frequency of wheeze, night symptoms and use of rescue medication. For analysis, we classified these into categories corresponding to symptom steps for the severity score: "0," "1 - 3," "4 - 19," and "more than 19" days per month.

#### Statistical analysis

Descriptive statistics and unadjusted associations between health outcomes, quintiles of  $NO_2$  exposure, and covariates were computed with SAS version 9.2 (Cary, NC). We examined both unadjusted and adjusted associations with ordered logistic regression (proportional odds model). The proportional odds assumption for all outcomes was tested using NLMIXED in SAS in unadjusted models with quintiles of  $NO_2$  exposure.

To allow for repeated measures of the health outcomes and exposure, we used a hierarchical ordered logistic model with a random term for subject. We assumed a normal distribution with unknown variance for subject effects. Associations between health outcomes and  $NO_2$  exposures, both unadjusted and adjusted for covariates, were examined using a Bayesian

approach with a Markov Chain Monte Carlo strategy implemented in OpenBUGS.<sup>17</sup> Bayesian estimates of model parameters were obtained by drawing samples from the posterior distribution using uninformative prior distributions (normal with mean zero and precision  $1.0 \times 10^{-6}$ ) for model parameters in the linear predictor, flat priors with ordered ranges for the ordinal parameters, and a gamma prior (with shape = 0.001 and scale = 0.001) specified for precision for the random-subject effect. Estimates for final models were based on a sample of 10,000 iterations with thinning of 20 following burn-in of 20,000 iterations.

Initially, unadjusted models were constructed with exposure represented as quintiles of NO<sub>2</sub> concentration. We explored the shape of the exposure-response relationships between health outcomes and NO<sub>2</sub> using a natural spline function of the natural log (ln) of NO<sub>2</sub>  $^{18}$ specifying 5 knots (at NO<sub>2</sub> concentrations representing the 10<sup>th</sup>, 25<sup>th</sup>, 50<sup>th</sup>, 75<sup>th</sup> and 95<sup>th</sup> percentiles of the distribution). Posterior means at exposure levels corresponding to the knots indicated that a threshold model would fit the data well and that the threshold was near the boundary of the second and third quintile of the NO<sub>2</sub> distribution. Thus, in adjusted models we combined the bottom two exposure quintiles. Linear trends above the threshold were examined in a fully adjusted model using ln NO<sub>2</sub> concentration as a continuous variable. Adjusted models for asthma severity score included age, sex, atopy, season of monitoring, race/ethnicity, mother's education, smoking in the home and all five variables for combined specific sensitization and exposure to indoor allergens (Der p 1, Der f 1, Fel d 1, Can f 1 Bla g 1). Models for wheeze, night symptoms and rescue medication included age, sex, atopy, season of monitoring, and all five variables for combined specific sensitization and exposure to indoor allergens (Der p1, Der f1, Fel d1, Can f1 Bla g1), as well as maintenance medication use (which represents a critical aspect of disease severity not included in these outcome measures). Due to co-linearity with maintenance medication use, race/ethnicity, mother's education, and smoking in the home were excluded from models for wheeze, night symptoms and rescue medication.

#### Results

Each monitoring period was four weeks long, and all symptom and medication-use day counts were standardized to 28 days. The mean monitoring length was 33 (SD=7) days; median= 30 days; mode= 28 days. This analysis used NO<sub>2</sub> concentrations and health outcomes measured concurrently during 4,499 monitoring period observations contributed by 1,342 subjects. Of these, 870 (65%) subjects contributed complete asthma symptom, medication use and concurrently measured indoor NO<sub>2</sub> data for all monitoring periods; 202 (15%), 143 (11%), and 127 (9%) contributed data for 3, 2 or 1 monitoring periods, respectively. Out of 4,499 monitoring periods, 1,163 (26%) took place in summer, 1,092 (24%) in fall, 1,117 (25%) in winter, and 1,127 (25%) in spring.

Table 1 describes the enrollment characteristics of the study population. Just over half of children were age 5 - 7 years (52%) and male (59%). Two-thirds of the population were considered atopic (66%) and used maintenance medication at some point during the year of follow-up (66%). The population was 40% white, 19% African American, and 36% Hispanic. Only 16% of mothers had less than a high school education, while 29% were college graduates. At the time of enrollment, 10% of respondents reported having a smoker in their home. For four of the five allergens, less than one-third of the population was both sensitized and exposed (*Der p* 1 26%, *Der f* 1 29%, *Fel d* 1 29%, *Can f* 1 27%). Only 7% of children were both sensitized and exposed to cockroach (*Bla g* 1).

The mean daily indoor NO<sub>2</sub> level over all observations was 10.6 (SD=9.4) ppb, with interquartile range 4.5 - 12.5 ppb. Table 2 shows the distribution of all indoor NO<sub>2</sub> measurements (by quintile) over subject characteristics. White respondents were

predominantly in the lower exposure quintiles, while African American and Hispanic families fell in the higher quintiles. Among women who did not complete high school, 7% are in the lowest exposure categories, while 37% are in the highest exposure categories. Among women who completed college, the distribution is reversed. Non-smokers were distributed fairly evenly across exposure quintiles while smokers were more often in the heavily exposed category. Indoor NO<sub>2</sub> measurements in the highest concentration quintile are most likely in the winter and least likely in the summer. For allergens *Der p* 1, *Der f* 1, *Can f* 1, and *Fel d* 1 17% of observations contributed by sensitized and exposed respondents fall into the highest NO<sub>2</sub> exposure categories compared with 34% of those contributed by respondents sensitized and exposed to *Bla g* 1.

Table 3 shows the distribution of asthma severity scores across subject characteristics. The most common level of symptoms was mild persistent (25%), and the least common was mild transient (10%). Atopic children were slightly less likely to be categorized as having no symptoms or medications during a monitoring period than non-atopic participants, but were no more likely to be categorized as severe. There were minor differences by ethnicity. Asthma severity scores were generally lower in the summer months and higher in the fall. Children who were both sensitized and exposed to *Der p* 1, *Der f* 1, *Fel d* 1, and *Can f* 1 were less likely to be in the severity score category 0 than non-sensitized or unexposed children.

Figure 1 displays the seasonal distributions of health outcomes. A comparison of Figure 1A with Figures 1B, 1C and 1D reveals a flat distribution of scores across asthma severity categories compared with the skewed distributions for categorized days of wheeze and night symptoms and somewhat less skewed distribution for rescue medication use. In general, summer is the season with lowest asthma severity (for all outcomes).

Figure 2 shows distributions of asthma severity score, wheeze and both rescue and maintenance medication use stratified by mother's education. The distributions for wheeze (Fig. 2A) and rescue medications (Fig. 2B) are similar: subjects whose mother did not complete high school were more likely to report wheeze (41%) and rescue medication use (54%) compared with children of mothers who completed high school (wheeze 35%, rescue medication use 46%) or college (wheeze 31%, rescue medication 45%). However, children of mothers who completed college were more likely (58%) to report use of maintenance medication compared with children of mothers who did not complete high school (46%) or college (47%) (Fig. 2C). Figure 2D shows that the asthma severity score, which incorporates both symptoms and medication use, is not associated with mother's education. Due to collinearity between maintenance medication and all socioeconomic variables, models for wheeze, night symptoms and rescue medication include maintenance medication use (an important indicator of disease status), but did not include race/ethnicity, mother's education, or smoking in the home.

The proportional odds assumption was satisfied for all outcomes in unadjusted models using quintiles of  $NO_2$  exposure. Table 4 presents the results of Bayesian cumulative logistic regression models of associations between health outcomes and  $NO_2$  exposure. In unadjusted models, compared with the lowest quintile of exposure (Table 4, unadjusted Model 1), the odds ratios for severity score imply a protective effect for exposure to  $NO_2$  levels in the second two quintiles and an increased risk for exposure in the higher quintiles. A similar pattern is seen for night symptoms and rescue medication use and suggests a threshold for health effect. Unadjusted models using the combined lowest two quintiles as the reference group are shown in Table 4, unadjusted Model 2.

Figure 3 illustrates, for fully adjusted models, the exposure-response relationships between NO<sub>2</sub> and health outcomes using a constrained, natural spline function of ln NO<sub>2</sub> and 95% confidence limits, as well as threshold functions for each outcome. In adjusted models of NO<sub>2</sub> exposure as quintiles (Table 4), levels greater than 14.3 ppb compared with the reference level (6 ppb, the threshold value) resulted in an increased risk of a one-level increase in asthma severity score (OR= 1.43 [95% CI= 1.08 – 1.88]). These same exposures were also associated with increased risks of wheeze (1.53 [1.16 – 2.02]), night symptoms (1.59 [1.24 – 2.01]) and rescue medication use (1.74 [1.34 – 2.26]). In the fully adjusted threshold models, every 5-ppb increase in NO<sub>2</sub> exposure above 6 ppb was associated with a dose-dependent increase in asthma severity score (1.37 [1.01 – 1.89]) as well as asthma morbidity measured by wheeze (1.49 [1.09 – 2.03]), night symptoms (1.52 [1.16 – 2.00]) and rescue medication use (1.78 [1.33 – 2.38]).

#### Discussion

In this study of school-aged children we observed an association of increasing  $NO_2$  concentration in the home with asthma severity assessed by a 5-level score, as well as with asthma morbidity measured by days of wheeze, night symptoms and rescue medication use. Analyses were based on repeated measures of both  $NO_2$  and asthma outcomes controlling for atopic status and common household allergen exposures.

These associations are consistent with findings in the literature suggesting an association between NO<sub>2</sub> exposure at both relatively low and high levels, and increased asthma severity and morbidity.<sup>4,7,9–11,19</sup> The mean indoor NO<sub>2</sub> level over all 4,499 observations was 10.6 (SD=9.4) ppb and was 15.6 (10.4) ppb among observations from homes with gas stoves. Figure 3D (rescue medication use) displays a histogram of NO<sub>2</sub> levels measured in all subjects' homes as well as in homes with gas stoves. In our previous study, the mean indoor NO<sub>2</sub> for all observations was 14.5 (SD=15.2) ppb and was 25.8 (SD=18.1) ppb in homes with gas stoves.

Figure 1 in that publication<sup>7</sup> describes the distribution of NO<sub>2</sub> with respect to both stove type and housing type. The lower NO<sub>2</sub> levels in our current study reflects the expanded use of high-efficiency gas appliances, which can reduce residential gas usage by up to 30 percent.<sup>20</sup> Differences among studies in NO<sub>2</sub> distributions also can be attributed to variations in recruitment strategies. We enrolled both urban and suburban children residing in homes with either electric or gas stoves, and found a wide distribution of household NO<sub>2</sub> exposures.

In our previous study of children with asthma,<sup>7</sup> indoor NO<sub>2</sub> was associated with respiratory symptoms but only among children in multifamily housing (an indicator of lower socioeconomic status). To compare the two studies, we explored associations between housing type and respiratory symptoms in the current study and found that children living in multifamily housing were 75% more likely to wheeze, 68% more likely to have night symptoms, and twice as likely to use rescue medication (data not shown). However, we did not find a differential effect of housing type on the asthma severity score.

An important confounder of the association of indoor  $NO_2$  exposure with asthma morbidity is socioeconomic status. Higher  $NO_2$  concentrations were found in homes of minority children and children whose mothers reported the fewest years of education (Table 2). These children also reported less use of maintenance medication (Fig. 2). Three of our four outcome measures (frequency of wheeze, night symptoms and rescue medication use) represent only part of a child's disease status. For example, a child reporting no wheeze who is not also taking controller medication will have less severe asthma than a child with no

wheeze who is taking maintenance medication. In order to control for this aspect of disease severity (which is not included in the outcome measure), we included maintenance medication use as a covariate in models exploring associations between symptoms and NO<sub>2</sub> exposure. Because use of maintenance medication is also associated with socioeconomic status, we did not include additional socioeconomic-status variables in the adjusted models for these outcomes. When these additional variables are added, the odds ratios for the association with NO<sub>2</sub> exposure are attenuated and the confidence intervals widen (for wheeze, OR= 1.03 [95% CI= 0.75 - 1.42]; night symptoms 1.16 [0.87 - 1.54]; and rescue medication use 1.24 [0.91 - 1.68]).

A strength of our study is that one of our outcome measures, the asthma severity score, incorporates both symptom frequency and medication use. The asthma severity score is not associated with the socioeconomic status variables (Table 3) included as covariates in adjusted models.

In the Inner City Asthma Study<sup>10</sup> among non-atopic children, those with high NO<sub>2</sub> exposure were more likely to have more than four symptom days in a two-week period, and more likely to have peak flow values < 80% of predicted values. That study found no association between NO<sub>2</sub> exposure and symptoms or peak flow among atopic children. In our study, atopic children were no less likely to experience an increased risk of asthma morbidity associated with increased NO<sub>2</sub> than their non-atopic counterparts. This finding is in agreement with the Baltimore Indoor Environment Study of Asthma in Kids,<sup>9</sup> whichfound that atopy did not modify the association between NO<sub>2</sub> and asthma symptoms.

Strengths of the current study include large sample size, seasonal repeated measurements of NO<sub>2</sub> concurrent with measurements of asthma symptoms and medication use and an asthma severity score not associated with socioeconomic variables. Associations between NO<sub>2</sub> and asthma were consistent across all outcome measures. Allergy testing and household-allergen sampling at the time of enrollment permitted inclusion of additional important household asthma triggers.<sup>14</sup> In addition, the hierarchical analysis permitted estimates of associations between, rather than within, subjects, across homes with different levels of exposure.

The focus of our analysis was on the health effects of indoor exposure to NO2 measured with passive monitors placed in a child's home where they spend the major portion of their time. One limitation of the passive monitoring method is that it results in an integrated average NO<sub>2</sub> concentration and does not allow for measurement of peak exposures. Sources of NO<sub>2</sub> were not part of the statistical model, and in homes without indoor sources (such as gas appliances), the only source of NO<sub>2</sub> would be outside the residence. The current study included passive monitors placed outside of the residence.<sup>21</sup> It remains for future analyses to model the complex relationship between outdoor and indoor levels of NO2 and health effects. For example, when outdoor levels are added as a variable to the adjusted, threshold model for asthma severity score (bottom of Table 4), the odds ratio for indoor  $NO_2$  exposure became 1.21 (0.88 - 1.67) and 1.31 (0.95 - 1.83) for outdoor NO<sub>2</sub> exposure. One could argue that indoor levels of  $NO_2$  already account for a child's home exposure to outdoor  $NO_2$ and adding NO<sub>2</sub> concentrations measured outside of a residence results in overcontrolling for indoor levels. An alternative model might be one that adds only "residual" amounts above what is measured indoors. In this alternative model, where only "extra" NO2 not accounted for in the indoor measurement is added, the odds ratio for indoor  $NO_2$  exposure on the asthma severity score is 1.52 (1.06 - 2.18), and the odds ratio for outdoor NO<sub>2</sub> exposures is 1.20 (0.98 - 1.46). The child's exposure away from home was not assessed either through personal monitoring or by taking measurements in other environments such as school. We would not expect children to be exposed to sources of NO<sub>2</sub> (e.g. gas stoves, unvented gas heaters) in schools or other non-residential environments in our study area.

Our results contribute to a growing body of literature associating low levels of NO<sub>2</sub> exposure with adverse respiratory outcomes in asthmatic children. Further, the apparent threshold for these effects in asthmatic children (6 ppb indoors) was comparable to the  $10^{\text{th}}$  percentile of mean levels measured outdoors<sup>22</sup> – far below the US EPA 53 ppb standard – and with increasing risk of adverse respiratory morbidity above that level.

### Acknowledgments

**Source of Funding:** This work was funded by the NIH National Institutes of Environmental Health Sciences grant ES05410 (Brian P. Leaderer, PI; brian.leaderer@yale.edu).

We gratefully acknowledge Rashele Yarborough and the staff of the Center for Perinatal, Pediatric and Environmental Epidemiology for their contributions to the collection and analysis of study data.

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#### Figure 1.

Distribution of health outcomes: observations by season of monitoring for asthma severity score (A), days of wheeze (B), night symptoms (C) and rescue medication use (D).

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#### Figure 2.

Distribution of any wheeze (A), rescue medication use (B), maintenance medication use (C) and asthma severity score (D): observations for all monitoring periods by mother's education level.



#### Figure 3.

Exposure-response relationships between health outcome and NO<sub>2</sub> (log concentration as a continuous variable) illustrated with constrained, natural spline functions (solid lines) with 95% confidence limits (small dashed lines) and threshold function (bold dashed line) from fully adjusted, hierarchical ordered logistic regression models for asthma severity score (A), wheeze (B), night symptoms (C), and rescue medication use (D). Also shown is a histogram of NO<sub>2</sub> levels measured in subjects' homes (panel D) for all observations (thin border) and observations taken in homes of gas stove users (bold border).

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#### Table 1

Characteristics of 1,342 asthmatic children enrolled from Connecticut and Massachusetts, 2006–2009.

Enrollment Characteristics	(n=1342) No. (%)
Age (yrs)	
5 – 7	703 (52)
8-10	639 (48)
Sex	
Boys	786 (59)
Girls	556 (41)
Atopic <sup>a</sup>	
No	451 (34)
Yes	886 (66)
Maintenance medication use $^{b}$	
No	460 (34)
Yes	882 (66)
Race/Ethnicity	
White	538 (40)
African American	260 (19)
Hispanic	477 (36)
Mixed, Other	67 (5)
Mother's education (yrs)	
< 12	219 (16)
12 – 15	729 (55)
16	393 (29)
Smoking in the home	
No	1199 (90)
Yes	136 (10)
Allergens: Combined exposure sensitization status	
Dust mites	
Der p 1 (µg/g)	
< 0.10 or allergy absent	964 (74)
0.10 and allergy present	345 (26)
Der f 1 (µg/g)	
< 0.10 or allergy absent	919 (71)
0.10 and allergy present	380 (29)
Pets	
Fel d 1 (µg/g)	
< 0.12 or allergy absent	934 (71)
0.12 and allergy present	376 (29)
$Can f 1 (\mu g/g)$	
< 0.12 or allergy absent	952 (73)

Enrollment Characteristics	(n=1342) No. (%)
0.12 and allergy present	360 (27)
Cockroach	
<i>Bla g 1</i> (U/g)	
< 0.60 or allergy absent	1210 (93)
0.60 and allergy present	89 (7)

 $^{a}$ General atopy defined as a positive response to any of the panel of allergens tested, or total IgE response above age-adjusted levels.

 $^{b}$ Use of any maintenance medications during any of the four, month-long, monitoring periods during the year-long study.

Table 2

Distribution of subject characteristics for quintiles of indoor nitrogen dioxide (NO<sub>2</sub>).

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			N	<b>D2 Exposure Quintile (p1</b>	p)a	
		4.02 (n=899)	> 4.02 - 6.02 (n=900)	> 6.02 - 8.88 (n=900)	> 8.88–14.32 (n=900)	> 14.32 (n=900)
Characteristic	Observations (n=4499) No.	%	%	%	%	%
Age (yrs)						
5 - 7	2345	21	21	20	19	19
8 - 10	2154	18	19	21	21	21
Sex						
Boys	2665	20	21	20	20	19
Girls	1834	20	19	20	20	21
Atopic						
No	1490	20	19	18	20	23
Yes	2990	20	20	21	20	19
Race/Ethnicity						
White	1963	31	26	20	15	8
African American	817	10	15	20	23	32
Hispanic	1490	11	15	21	23	30
Mixed, Other	229	15	18	17	28	22
Mother's education (yrs)						
< 12	685	7	12	19	25	37
12 - 15	2363	16	18	21	22	23
16	1448	33	27	19	14	7
Smoking in the home						
No	4114	21	20	20	20	19
Yes	365	L	16	17	23	37
Season						
Summer	1163	18	21	24	23	14
Fall	1092	25	20	17	18	20
Winter	1117	20	18	19	19	24
Spring	1127	17	21	20	20	22

			N	<b>O2 Exposure Quintile (p</b>	pb)"	
		4.02 (n=899)	> 4.02 - 6.02 (n=900)	> 6.02 - 8.88 (n=900)	> 8.88–14.32 (n=900)	> 14.32 (n=900)
Characteristic	Observations (n=4499) No.	%	%	%	%	%
Allergens: Combined exposure sensitization status						
Dust mites						
Der p I (µg/g)						
< 0.10 or allergy absent	3206	20	19	20	20	21
0.10 and allergy present	1177	20	22	21	20	17
$DerfI$ ( $\mu g/g$ )						
< 0.10 or allergy absent	3055	18	20	20	19	23
0.10 and allergy present	1291	23	20	21	21	15
Pets						
FeldI(µg/g)						
< 0.12 or allergy absent	3084	18	20	20	20	22
0.12 and allergy present	1299	22	20	20	21	17
$Can f I (\mu g g)$						
< 0.12 or allergy absent	3133	19	19	20	20	22
0.12 and allergy present	1256	22	22	21	19	16
Cockroach						
$Bla\ g\ I\ (U/g)$						
< 0.60 or allergy absent	4063	21	20	20	20	19
0.60 and allergy present	279	7	15	20	24	34
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Table 3

Distribution of subject characteristics by asthma severity score.

				Asthma Severity S	score <sup>a</sup>	
		None (n=1087)	Mild Transient (n=431)	Mild Persistent (n=1133)	Moderate Persistent (n=952)	Severe Persistent (n=896)
Characteristic	Observations (n=4499) No.	0/0	0/0	%	0/0	0⁄0
Age (yrs)						
5 - 7	2345	26	6	23	21	21
8 - 10	2154	22	11	28	21	18
Sex						
Boys	2665	25	6	24	22	20
Girls	1834	23	10	27	20	20
Atopic						
No	1490	28	6	24	19	20
Yes	2990	22	10	26	22	20
Race/Ethnicity						
White	1963	23	8	26	24	19
African American	817	25	13	25	19	18
Hispanic	1490	26	10	23	19	22
Mixed, Other	229	17	5	28	25	25
Mother's education (yrs)						
< 12	685	24	11	23	19	23
12 - 15	2363	25	10	25	20	20
16	1448	22	8	27	25	18
Smoking in the home						
No	4114	24	10	25	21	20
Yes	365	22	13	28	20	17
Season						
Summer	1163	31	10	27	18	14
Fall	1092	21	6	23	23	24
Winter	1117	22	6	26	22	21
Spring	1127	22	11	25	21	21

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Asthma Severity Score<sup>a</sup>

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Characteristic     C       Allergens: Combined exposure sensitization status     C       Dust mites     Dust mites       Der p I (µg/g)     < 010 or allerov				Mild Persistent (n=1133)	Moderate Persistent (n=952)	Severe Persistent (n=896)
Allergens: Combined exposure sensitization status Dust mites $Der p I (\mu g/g)$ < 0.10  or allerov	Observations (n=4499) No.	%	%	0⁄0	0⁄0	%
Dust mites Der p 1 (µg/g) < 0 10 or allerov						
<i>Der p 1</i> (μg/g) < 0.10 or allerev						
< 0.10 or allerov						
absent	3206	26	6	25	20	20
0.10 and allergy present	1177	20	10	25	25	20
$DerfI$ ( $\mu g/g$ )						
< 0.10 or allergy absent	3055	26	6	24	21	20
0.10 and allergy present	1291	20	10	28	23	19
Pets						
$Fel d I (\mu g/g)$						
< 0.12 or allergy absent	3084	27	6	24	20	20
0.12 and allergy present	1299	19	10	27	24	20
$Can f I (\mu g/g)$						
< 0.12 or allergy absent	3133	26	6	25	20	20
0.12 and allergy present	1256	19	10	27	25	19
Cockroach						
Blag I (U/g)						
< 0.60 or allergy absent	4063	25	6	25	21	20
0.60 and allergy present	279	24	13	26	15	22

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<sup>a</sup>Health data (asthma severity score based on symptoms and medication use) were collected during four, month-long monitoring periods, one per season.

# Table 4

Results from ordered logistic regression models<sup>a</sup> of unadjusted and adjusted associations between exposure to indoor NO<sub>2</sub> (nitrogen dioxide) and risk of increased asthma severity (asthma severity score, wheeze, night symptoms and rescue medication use).

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				Health of	rcome			
	Asthma	Severity Score		Wheeze	Nigł	t Symptoms	Rescue	Medication Use
$\mathrm{NO}_2$ exposure $\mathrm{ppb}^{\mathcal{C}}$	OR	(95% CI)	OR	(95% CI)	OR	(95% CI)	OR	(95% CI)
Unadjusted								
Model 1								
$0 - 4.00^{d}$	1.00		1.00		1.00		1.00	
4.00 - 6.02	0.83	(0.67 - 1.03)	1.05	(0.81 - 1.36)	0.89	(0.71 - 1.12)	0.92	(0.73 - 1.16)
6.02 - 8.88	0.89	(0.70 - 1.12)	1.08	(0.81 - 1.43)	1.08	(0.84 - 1.37)	1.09	(0.85 - 1.40)
8.88 - 14.30	1.04	(0.81 - 1.34)	1.31	(0.98 - 1.75)	1.20	(0.94 - 1.54)	1.21	(0.94 - 1.59)
> 14.30	1.21	(0.92 - 1.59)	1.38	(1.02 - 1.87)	1.40	(1.09 - 1.81)	1.53	(1.16 - 2.02)
Model 2								
$0-6.02^{d}$	1.00		1.00		1.00		1.00	
6.02 - 8.88	1.00	(0.82 - 1.21)	1.04	(0.83 - 1.31)	1.15	(0.94 - 1.41)	1.17	(0.93 - 1.42)
8.88 - 14.30	1.16	(0.94 - 1.44)	1.27	(1.00 - 1.63)	1.28	(1.03 - 1.59)	1.27	(1.02 - 1.61)
> 14.30	1.34	(1.06 - 1.71)	1.34	(1.03 - 1.73)	1.49	(1.18 - 1.87)	1.61	(1.25 - 2.06)
Adjusted <sup>e</sup>								
$6.02 \ d$	1.00		1.00		1.00		1.00	
6.02 - 8.88	1.15	(0.94 - 1.42)	1.15	(0.90 - 1.45)	1.36	(1.09 - 1.68)	1.29	(1.04 - 1.60)
8.88 - 14.30	1.31	(1.04 - 1.66)	1.44	(1.11 - 1.86)	1.41	(1.12 - 1.78)	1.43	(1.12 - 1.81)
> 14.30	1.43	(1.08 - 1.88)	1.53	(1.16 - 2.02)	1.59	(1.24 - 2.01)	1.74	(1.34 - 2.26)
Threshold $model^{f}$	1.37	(1.01 - 1.89)	1.49	(1.09 - 2.03)	1.52	(1.16 - 2.00)	1.78	(1.33 - 2.38)

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b Asthma severity score has 5 levels: 0 (no symptoms, no medication use), 1 (mild transient), 2 (mild persistent), 3 (moderate persistent), 4 (severe persistent). Health outcomes wheeze, night symptoms, and rescue medication use have 4 levels: 0 (no days of symptoms/medication use), 1 (1 – 3 days), 2 (4 – 19 days), 3 (> 19 days).

<sup>C</sup>Exposure to quintiles of NO2 (ppb) were compared to the lowest quintile (for unadjusted Model 1) or threshold value (6.02 ppb, combined first and second quintiles, for unadjusted Model 2 and adjusted

model). Separate models were run for each health outcome.

 $d_{
m Reference}$  category.

indoor allergens (Der p 1, Der f 1, Fel d 1, Can f 1, Bla g 1), maintenance medication use. Because of colinearity with maintenance medication use, socioeconomic status variables (race/ethnicity, mother's mother's education, smoking in the home. Models for wheeze, night symptoms and rescue medication use were adjusted for: age, sex, general atopy, season, specific sensitization and exposure to five <sup>e</sup>Model for asthma severity score adjusted for: age, sex, general atopy, season, specific sensitization and exposure to five indoor allergens (Der p 1, Der f 1, Fel d 1, Can f 1, Bla g 1), race/ethnicity, education, smoking in the home) were not included for these three outcomes.

 $f_1$  Interact trend of exposure-response relationship with the exposure as a continuous variable representing ln NO2 values greater than the threshold (6.02 ppb). Odds ratios given as a 1.6 increase ln NO2 concentration (5-fold increase in NO2).

OR indicates odds ratio; CI, confidence interval.