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Evaluation of a non-thermal plasma needle to eliminate *ex vivo* biofilms in root canals of extracted human teeth

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Abstract

Aim—To evaluate the efficacy of a non-thermal plasma (NTP) at atmospheric pressure on *ex vivo* biofilm in root canals of extracted teeth.

Methodology—Intra-canal contents from three teeth with root canal infections were collected, pooled, and grown in thirty-five microCT-mapped root canals of extracted and instrumented human teeth. One group of teeth was treated with NTP, another with 6% NaOCl, and one set was left untreated. The intra-canal contents from twenty-seven teeth (nine teeth in each group) were plated on agar and colony forming units were determined. Parametric test of one-way Analysis of Variance (ANOVA) was used to analyze statistical significance. The remaining teeth were cut open, stained with LIVE/DEAD[®] and examined with confocal laser scanning microscopy.

Results—The untreated root canals were covered with biofilm of varying thickness. The treatment with the non-thermal plasma decreased the number of viable bacteria in these biofilms by one order of magnitude, while the NaOCl control achieved a reduction of more than four magnitudes. Both the NTP and the NaOCl treatment results were significantly different from the negative control ($P < 0.05$).

Conclusion—The non-thermal plasma displayed antimicrobial activity against endodontic biofilms in root canals, but was not as effective as the use of 6 % NaOCl.

Keywords

Endodontics; non-thermal plasma; biofilm; micro-CT

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Introduction

Several microscopic studies that examined endodontic infections *in situ* have described polymicrobial biofilms (Nair 2004, Nair *et al.* 2005, Carr *et al.* 2009), whose elimination is commonly achieved by a combination of antimicrobial irrigants along with mechanical instrumentation (Haapasalo *et al.* 2005) (). Despite advancements in root canal treatment, the complete removal or inactivation of biofilms within the root canal system remains a demanding procedure with success rates ranging from 68 % to 85 % (Ng *et al.* 2007). For this reason, alternative treatment protocols and devices have been tested, one of which is the non-thermal plasma-based technology (Yu *et al.* 2006, Jiang *et al.* 2009a, Jiang *et al.* 2012). In addition to solid, liquid and gas, plasma represents the fourth state of matter with temperatures usually exceeding thousands of Kelvin. Recently developed atmospheric-pressure non-thermal plasma (NTP) jets, typically in the shape of fine plumes of partially ionized gases, were generated by a proprietary device powered with ultra-short (<200 ns pulse duration) kilovolt electric pulses (Jiang *et al.* 2009a). These plasma jets are highly non-equilibrium and generate efficiently reactive plasma species including ions, ozone, and oxygen radicals by energetic collisions of electrons, while the gas temperature of the plasma remains virtually at room temperature. The interaction of plasma species with the bacterial membrane causes their disruption and consequently the death of bacterial cells (Laroussi *et al.* 2002, Jiang & Schaudinn 2011, Jiang *et al.* 2012). The possibility to gently sterilize surfaces at ambient temperature has made the NTP an attractive tool for a wide range of applications including the sterilization of clinical instruments (Lee *et al.* 2006) and food (Vleugels *et al.* 2005). So far, the efficacy of NTP to kill and remove bacteria or yeasts has been shown on a number of species. In these studies, the targeted bacteria were predominantly grown as single species biofilms in diverse models, for instance on agar in petri-dishes (Sladek *et al.* 2004, Jiang *et al.* 2009b, Rupf *et al.* 2010), membrane filters (Lee *et al.* 2006, Yu *et al.* 2006), hydroxyapatite discs (Jiang & Schaudinn 2011) or dentine slices (Rupf *et al.* 2010) and were therefore directly and easily accessible to the plasma plume. Only a few attempts have been made to tackle biofilms in root canals (Jiang *et al.* 2009a). In a previous study, the poly-microbial biofilm was visibly disrupted, but the effects were limited to the first millimetre of the root canal where the plasma directly reached the biofilm so that the overall reduction was minimal. In this proof-of-concept study, a dental plasma probe was engineered with a needle-fine plasma plume, which made it possible to penetrate the entire length of root canals. The hypothesis was that the NTP “needle” had antimicrobial effect against *ex vivo* multispecies biofilms grown inside root canals of extracted human teeth.

Material and Methods

Tooth preparation

Appropriate Institutional Review Board approval (USC UPIRB #UP-10-00182) was obtained. Thirty-five single-rooted human teeth were collected after surgical extraction, and stored in 10 % formalin. The extracted teeth were non-restorable and non-salvageable and removed as part of necessary and routine clinical care of patients at the Ostrow School of Dentistry of USC. All patients gave informed consent for the procedure. The root canals of all teeth were enlarged employing the ProTaper rotary system (Dentsply Tulsa Dental, Tulsa, OK, USA) to a F2 size 25, 08taper with 1 mL NaOCl (6 %) used between each instrumentation step. All teeth were finally rinsed with 5 mL EDTA (17 %) (Roth Int. Chicago, IL, USA) for smear layer removal.

Micro- Computed Tomography (micro-CT) analysis

To investigate tooth morphology and endodontic surface area, virtual endoscopy was performed on each single tooth before the actual experiment started using high-resolution micro-computed tomography (Inveon™, Siemens Medical Solutions, Knoxville, TN, USA). The specimens were placed in a sample holder in the posterior-anterior direction and scanned using a high-resolution micro-CT system at a spatial resolution of 18.676 µm (voxel dimension) and 1,536×1,536 pixel matrices. After scanning, the 2D image data were stored in the Digital Imaging and Communications in Medicine (DICOM) format, transferred to a computer and a 3D reconstruction and analysis was performed (Freire *et al.* 2011). Microtomographic slice images were reoriented as volume of interest (VOI) using Amira™ software. Threshold equal 480 HU was used to investigate the teeth. The volume and surface area of interior the root canal was determined using OnDemand3D (Cybermed Inc. Irvine, CA, USA).

Biofilm growth conditions

After sterilization of all specimens by autoclaving, the root canals were coated with (10 mg/mL) bovine dermal type I collagen (Gibco®, Invitrogen, Carlsbad, CA, USA) by injecting the collagen into the canals and incubated overnight at 4 °C (Shen *et al.* 2009). Three teeth were extracted from three patients with acute pain and the diagnosis of irreversible pulpitis. Intra-canal contents were carefully sampled canals with sterile paper points in a laminar hood directly after the extraction. At first, each sample was cultivated separately at 37 °C under anaerobic conditions (BD GasPak™ 100 System, Franklin Lakes, NJ, USA) in brain heart infusion broth (BHI) (HiVeg™ Media, Mumbai, India), which was supplemented with 1 µg/mL vitamin K, 10 µg/mL haemin and 1 % l-cysteine. These *ex vivo* cultures were then combined and grown for 24 h, as described above. The culture was concentrated to 10¹⁰ cells/mL, filled with a 25-5/8 gauge needle in the root canals until the liquid started to drip out of foramen of the tooth, and pre-incubated for 4 h at 37 °C under anaerobic conditions to allow the bacteria to adhere. Subsequently, the root canals were rinsed with 1 mL media for one minute to remove unbound bacteria and placed in six-well plates. The wells were filled with 8 mL supplemented BHI, and cultivated at 37 °C under anaerobic conditions for 14 days. Fifty percent of the media was changed every third day.

Treatment of endodontic biofilms

Prior to treatment, the liquid in the root canals was largely removed by tapping the root canal orifices on sterile filter paper. In order to minimize the strong, foul odour, the biofilm on the outer surfaces of all teeth was carefully removed with 70 % ethanol-soaked swabs, while the openings to the root canals were both plugged with sterile parafilm during this procedure. Twelve teeth were randomly chosen and treated with the NTP for 30 min (3 × 10 min with 2 min pauses in between). The NTP was powered by 6.5 kV, 150 ns voltage pulses at 1.5 kHz. The average power of the plasma device was maintained below 0.5 W throughout the treatment. A laminar gas flow (1 l/min) of a He/O₂ (99:1) mixture (Airgas, Lakewood, CA, USA) was used to support the 1 mm-in-width plasma plume. Eleven teeth were randomly chosen and filled for 30 min with 500 µL 6 % NaOCl. The remaining twelve teeth were filled with 500 µL sterile 0.9 % saline (pH 7.2) by injecting the liquid in the root canals with 25-5/8 gauge needles. In some cases, excess of liquid dripped out of the foramen of the tooth. Although the needles were inserted completely into the canals (up to a length of 14–15 mm from the canal orifices), they did not reach the end of the canal. After treatment, all NaOCl-treated root canals were rinsed with 1 mL 5 % sodium thiosulfate to inactivate NaOCl, while the plasma-treated and untreated root canals were rinsed with 1 mL sterile 0.9 % saline.

Colony forming units (CFU) and imaging

Nine randomly selected teeth of each group were subsequently flushed thoroughly with 1 mL of supplemented BHI into an Eppendorf tube, by inserting 25-5/8 gauge needles into the root canals. The samples were then diluted to 10^{-8} , all dilution steps were plated on supplemented BHI agar, incubated for 24 hours and the colony forming units were counted. At least one randomly selected tooth from each group was cut transversally using a diamond disc (911H Hyperflex disc, Brasseler, Savannah, GA, USA), while another tooth from each group was cut longitudinally. All tooth fragments were stained with Live/Dead® BacLight™ according to the manufacturer's instructions (Molecular Probes®, Invitrogen™, Carlsbad, CA, USA) and imaged with the cLSM (LSM710, Carl Zeiss Microimaging, LLC, Thornwood, NY, USA) in chamber slides (Lab-Tek®, Electron Microscopy Sciences, Hatfield, PA, USA).

Statistical analysis

The samples were grouped as listed in Table 1. Each treatment group contained 9 specimens for the microbiology analysis. The area density of CFU counts (CFUs/mm²) was calculated using the data obtained with micro-CT and transformed by \log_{10} in order to make the treatment results comparable and reduce the error in variance of specimens.

The CFU area density values were presented as mean and standard deviation. The parametric test of one-way Analysis of Variance (ANOVA) was used to analyze statistical significance, and the results were considered statistically significant when $P < 0.05$.

Results

The three-dimensional micro-anatomy of root canals was characterized by micro-CT (Figs. 1a–d). Root canal morphology was characteristic for each respective tooth used in the study, making them representative samples of teeth that would be encountered clinically. A small subset of teeth demonstrated secondary or accessory canals, which can also be encountered clinically in some instances. Canal shape for all teeth was predominantly linear to curvilinear since molar teeth were not used. The majority of teeth had a single apical foramen, and apical foramina were patent in all teeth. There were no incidences of root fusion, internal resorption or periapical cysts or lesions associated with the teeth.

Quantitative evaluation allowed measurement of the root canal lengths, surface area, and volume with accuracy (Fig. 1e). The average root canal length was calculated to be $19.7 \text{ mm} \pm 2.7 \text{ mm}$, the radius $0.75 \text{ mm} \pm 0.09 \text{ mm}$, the average root canal surface area $93.03 \text{ mm}^2 \pm 19.95 \text{ mm}^2$, and the average root canal volume $18 \text{ mm}^3 \pm 3.9 \text{ mm}^3$. Individual measurements have also been presented (Table 2, supporting information). In order to visualize the complex anatomical structures, such as secondary canals, virtual endoscopy was performed on all root canals (representative example video, supporting information).

For the actual NTP treatment, the randomly chosen teeth were placed one by one in a plastic holder directly beneath the NTP needle, as shown in Fig. 2.

The mean \log_{10} (CFU counts/mm²) data in the different treatment groups (Fig. 3) revealed that both the NTP and the 6 % NaOCl treatment groups are significantly different from the negative control group ($P < 0.001$). While the results of the NTP treatment group was only one order of magnitude lower than the untreated group, the NaOCl treatment group achieved a reduction of four orders of magnitude. This indicated that NaOCl was more effective ($P < 0.05$) at biofilm removal compared to the NTP for the same exposure time.

The longitudinally-sectioned as well as the transversely-sectioned tooth fragments, which were left untreated, revealed dense, unevenly distributed biofilm in the root canal with a strong green signal, indicating the viability of the bacteria (Fig. 4a, 4b). Depending on the focal plane, the dentine in all samples showed green, yellow and orange autofluorescence, in particular at the edge of the canal (Fig. 4b). The NTP-treated samples displayed areas with dead bacteria (red signal), damaged cells (yellow signal), and also living bacteria (green signal) (Figs. 4c, 4d), when imaged with the cLSM. Large parts of the NTP-treated root canals (also in the apical region) did not reveal traces of a signal, suggesting that the biofilms of these areas were removed. The NaOCl-treated root canals revealed only scattered, dead bacteria throughout the entire length of the root canals (Figs. 4e, 4f). Nonetheless, despite the NaOCl treatment, Live/Dead® staining also exposed vital biofilm in a number of small side canals in at least one of the examined teeth (Fig. 4f).

Discussion

The *ex vivo* biofilm model

This study sought to assess the potential of a recently engineered NTP needle to kill and remove microbial biofilms in root canals. Laboratory methods for testing the efficacy of root canal treatment is challenging because of the lack of a commonly accepted model. Previous work has been based on extracted teeth to evaluate different treatment protocols against *E. faecalis* in dentinal tubules (Berber *et al.* 2006, Estrela *et al.* 2009) or involved the collection of subgingival plaque or intra-canal content grown *ex vivo* on either collagen coated hydroxyapatite discs (Shen *et al.* 2009) or hemi-sections of root canal apices (Clegg *et al.* 2006). The benefits of both models, the use of *ex vivo* biofilms as well as the employment of extracted teeth, were combined to test the NTP under more challenging conditions than are posed by flat, horizontal surfaces and mono-species biofilms. Concentrations of 6 % NaOCl were shown to efficiently kill and remove biofilm in *in vitro* experiments (Clegg *et al.* 2006, Dunavant *et al.* 2006).

The advantages of using micro-CT

The use of micro-CT enabled the depiction of the topographic challenges to be confronted by the NTP needle. This approach would have allowed a possible explanation, if aberrant results had occurred. Additionally, micro-CT imaging permitted the root canal surface area of each tooth to be determined. This enabled the viable bacterial load before and after the treatment - counted as CFUs - to be normalized to a standard area.

Limitation of the *ex vivo* model

The *ex vivo* model had a number of limitations. It is well known that only about 50 % of the oral bacterial species are culturable (Kroes *et al.* 1999). A shift from the natural habitat to an *ex vivo* system would inevitably never represent the original microbial community. Furthermore, certain species of the oral microbial communities show only slow growth, at least in *in vitro* systems such as agar plates. Hence, a twenty-four hour cultivation, as performed for the CFU counts, is necessarily biased by these fast-growing microorganisms.

Comparison with other studies

Due to the limited use of NTP in endodontic research, the specific experimental approach used allows only limited comparisons to be made. However, some interesting parallels do appear. Vianna *et al.* (2006) examined endodontic biofilms in infected root canals *in situ*. The median amount of microorganisms that were recovered from the infected root canals before the treatment ranged between 2.8×10^6 and 7.6×10^6 depending on the testing assay. In the present *ex vivo* test model, the median arrived at 4.7×10^6 bacteria per root canal (in

absolute numbers), which is well within the range reported. Furthermore, the *in situ* NaOCl treatment of root canals (2.5 % NaOCl, 20 min) by Vianna *et al.* achieved bacterial reduction rates of 99.93 % (mean) and 100 % for the median. The 30 min 6 % NaOCl treatment in the present study reached similar reduction rates of 99.998 % for the mean and 99.999 % in case of the median.

NTP results and future development

Although the endodontic test model seemed to produce fairly reliable results, the key question regarding the efficacy of the NTP needle remains. In a number of pre-experiments it was ensured that the NTP plume would penetrate the entire length of any root canal shorter than three centimetres. However, it is clear from the results that the visible presence of the plasma plume in the root canal is not sufficient to effectively eliminate bacterial biofilm. Previous experiments have shown that direct, short-distance exposure of bacteria to NTP killed, destroyed and removed the bacteria cells within minutes (Yu *et al.* 2006, Jiang *et al.* 2009b, Jiang *et al.* 2012). The observed limitation of the NTP plume in the root canal is obviously due to its inability to act on bacteria over a longer distance. As a consequence, the next generation of NTP needle needs to be flexible and capable of insertion into the root canal so that the plasma jet directly impinges on the biofilm bacteria. The construction of such a micro-NTP, based on a flexible 30-gauge irrigating needle, is currently under way. Nonetheless, this proof-of-concept approach represents a necessary step in the successful disinfection of hard-to-access biofilms in endodontic root canals.

Conclusion

The antimicrobial effect of the needle-shaped NTP against endodontic biofilms in human root canals was demonstrated. However, the efficacy of biofilm removal by the NTP was less than achieved in the treatment with 6% NaOCl. The effectiveness of the NTP-based treatment needs to be improved to be comparable to conventional irrigation methods.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

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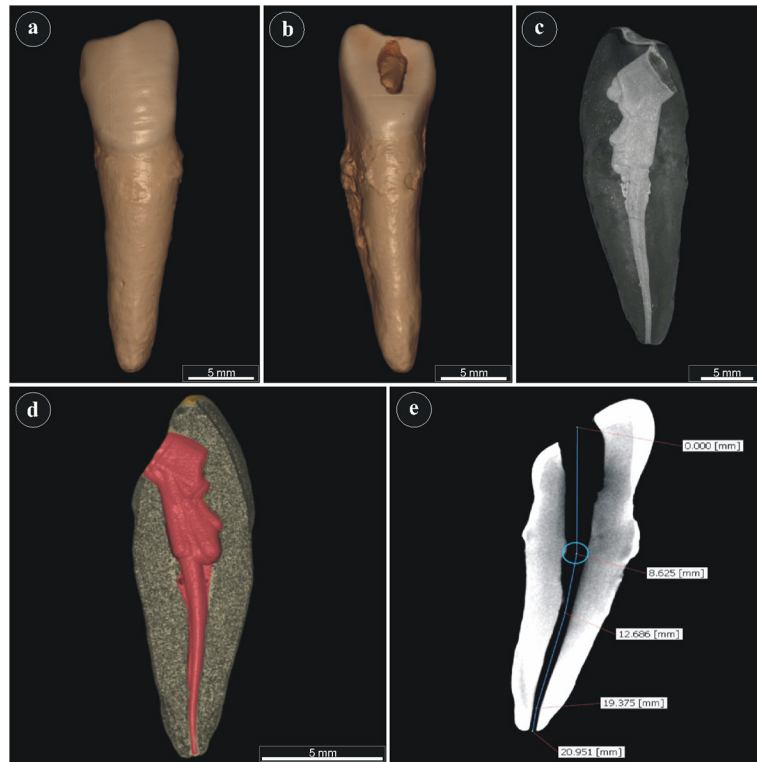


Figure 1. Three-dimensional reconstruction of morphological features of single rooted teeth by micro-CT scan. Different planes are illustrated, including (a) facial, (b) lingual, (c) longitudinal endodontic canal cross-section, (d) endodontic canal volume in red, (e) distance measurements.

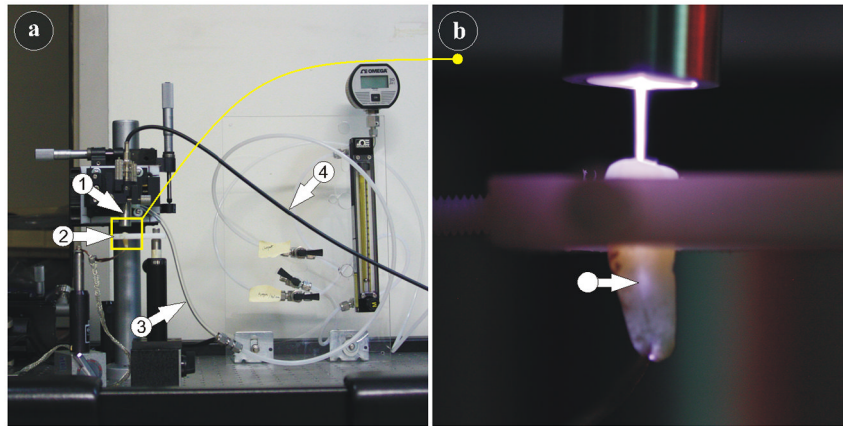


Figure 2. Setup of the dental plasma probe: consisting of the plasma dental probe (arrow 1), the tooth holder (arrow 2), the gas-flow tubing (arrow 3) and the power supply cable (arrow 4). (b) The needle-like NTP enters and illuminates (arrow) the root canal of an extracted tooth.

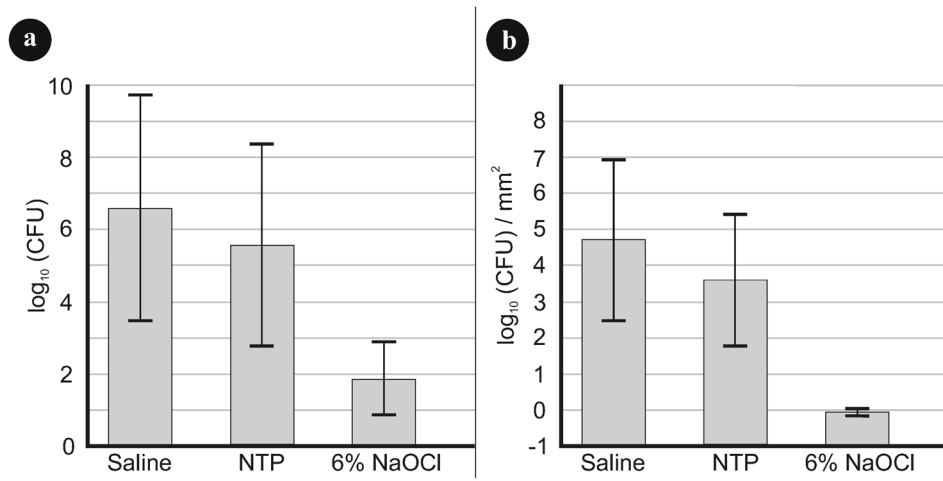


Figure 3. Microbiology analysis: (a) Viable bacteria counts (CFU) and (b) standardized viable bacteria counts (CFU/mm²) of the endodontic biofilms for all treatment protocols after log transformation (ANOVA, P < 0.05).

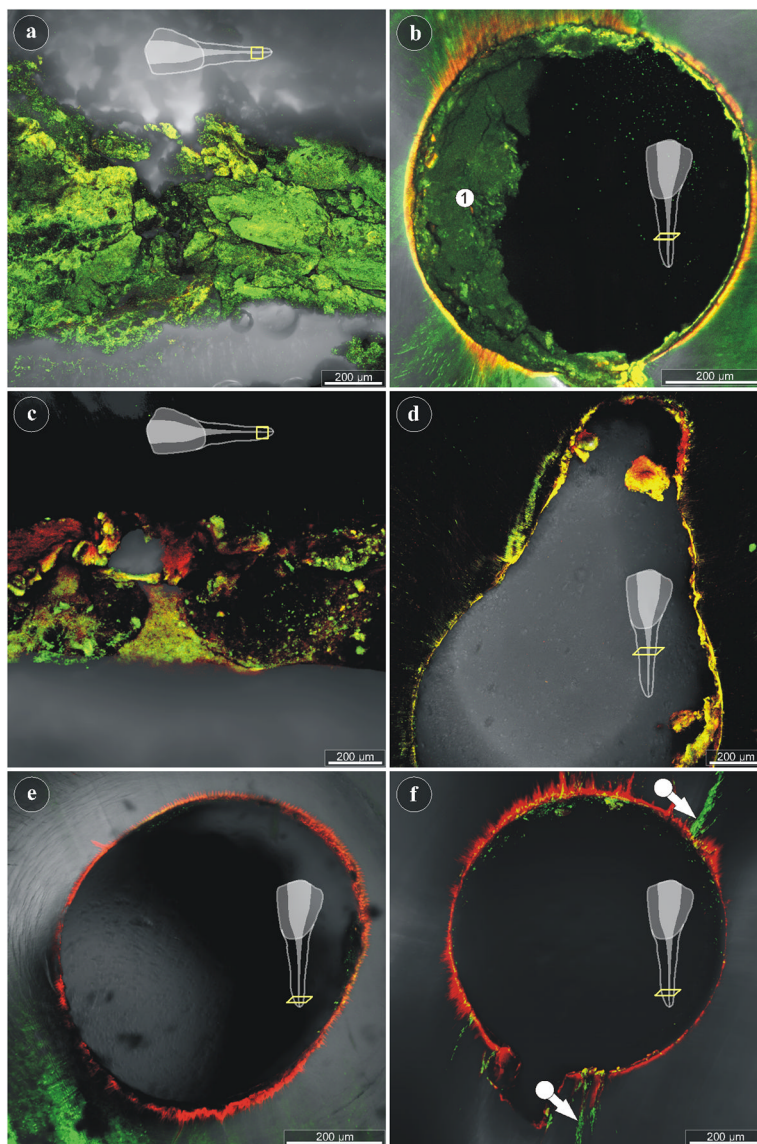


Figure 4. cLSM imaging of untreated and treated root canals: (a) Longitudinal-section through the apical part of a root canal, as indicated in the scheme. Live/Dead® staining of an untreated specimen revealing vital biofilm (green signal). (b) The cross-section through a root canal of an untreated tooth also shows vital biofilm (area 1). (c) The longitudinal-section through the apical part of a plasma-treated root canal reveals areas with live (green) and dead (red) bacteria, while large regions have no signal and remain black. (d) Cross-section through the middle part of a plasma-treated root canal, showing little biofilm with mostly compromised bacteria (yellow signal). (e, f) The apical regions of two NaOCl-treated root canals demonstrate the almost complete removal of biofilm from the root canals walls. Only few small side-canals show persisting biofilm with viable bacteria (f).

Table 1

Overview on sample processing pathways

Control group (12 teeth)	NTP group (12 teeth)	NaOCl group (11 teeth)
CFU (9 teeth)	CFU (9 teeth)	CFU (9 teeth)
cLSM : (3 teeth) transversally cut (2 teeth) longitudinally cut (1 tooth)	cLSM : (3 teeth) transversally cut (2 teeth) longitudinally cut (1 tooth)	cLSM : (2 teeth) transversally cut (1 tooth) longitudinally cut (1 tooth)