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The CYC7-H2 mutation in the yeast Saccharomyces cerevisiae was caused by insertion of a Ty1 transposable element in front of the iso-2-cytochrome c structural gene, CYC7. The Ty1 insertion places iso-2-cytochrome c production under control of regulatory signals that are normally required for mating functions in yeast cells. We have investigated the regions of the Ty1 insertion that are responsible for the aberrant production of iso-2-cytochrome c in the CYC7-H2 mutant. Five alterations of the CYC7-H2 gene were obtained by specific restriction endonuclease cleavage of the cloned DNA and ligation of appropriate fragments. The CYC7<sup>+</sup>, CYC7-H2, and modified CYC7-H2 genes were each inserted into the yeast vector YIp5 and used to transform a cytochrome c-deficient yeast strain. Expression and regulation of each allele integrated at the CYC7 locus have been compared in vivo by determination of the amount of iso-2-cytochrome c produced. These results show that distal regions of the Ty1 element are not essential for the CYC7-H2 expression and give rise to different phenotypes.

The CYC7-H2 mutation in the yeast Saccharomyces cerevisiae is a regulatory mutation that causes aberrant production of the respiratory protein iso-2-cytochrome c. Initial genetic analysis showed that the CYC7-H2 mutation contains a lesion at the CYC7 locus, which is the nuclear gene encoding iso-2-cytochrome c (41). The CYC7-H2 mutation was caused by insertion of a 5.5-kilobase (kb) sequence in the 5' noncoding region of the CYC7 locus (15, 16). The inserted sequence is a member of the Ty1 family of dispersed repetitive elements found in yeasts (3). About 30 Ty1 elements are present in the haploid genome of most laboratory strains. These elements consist of a central segment denoted epsilon and two terminal repeat elements denoted delta. The delta elements are approximately 0.3 kb in length and occur as direct terminal repeats in Ty1 elements that have been characterized (12, 51).

DNA sequencing of the Ty1 junction region of the CYC7-H2 mutation demonstrated that the Ty1 element is inserted 184 base pairs (bp) in front of the translated portion of the CYC7 gene (26). This junction is 107 bp upstream from the 5' map position of the wild-type mRNA as reported by Montgomery et al. (30). RNA blot analysis of CYC7-H2 and CYC7<sup>+</sup> polyadenylated RNA showed that the sizes of mutant and wild-type RNA were the same (26). These results suggest that the inserted element is regulating the efficiency of transcription at the normal CYC7 site.

The amount of iso-2-cytochrome c produced by CYC7-H2 mutant strains is affected by the constitution of the mating type locus, MAT, and certain STE mutations. The normal function of MAT is to regulate cell type-specific functions including mating and sporulation (46). A number of STE loci have been identified by recessive mutations that prevent mating ability in MATa and  $MAT\alpha$  cells (19, 27). Among these are the *ste7*, *stel1*, and *stel2* mutations which have been shown to concomitantly diminish iso-2-cytochrome c

production in strains carrying the CYC7-H2 mutation (15, 16). Tyl insertion appears to have placed iso-2-cytochrome c production under the control of specific regulatory signals normally required for control of mating functions in yeasts.

The essential features of the CYC7-H2 mutation are shared by other Ty1 insertion mutations in yeast cells, denoted ROAM. Regulatory mutations, including CYP3-4 (6, 30),  $cargA^+O^h$  (10, 23), and five  $ADH2^c$  mutations (5, 53), were caused by insertion of Ty elements in the 5' noncoding region of the corresponding structural loci which are CYC7 (iso-2-cytochrome c), CAR1 (arginase), and ADH2 (alcohol dehydrogenase II). (The CYP3-4 mutation is another CYC7 allele that was independently isolated and characterized.) Each of the above mutations causes constitutive production of the respective gene products, and the amount of each is subject to the MAT control described for the CYC7-H2 mutation (7, 15, 16, 37, 49, 54). It should be noted that not all Ty insertion mutations cause an overproducing phenotype. Among mutations selected for loss of function, Ty insertions have been found at the HIS4 locus (4, 36) as well as at the LYS2 locus (12).

We have begun a systematic investigation of the regions that are responsible for the abnormal regulation of iso-2cytochrome c production in the CYC7-H2 mutant. Five alterations of the cloned CYC7-H2 gene were constructed. The derivative genes were integrated at the CYC7 locus by transformation of a cytochrome c-deficient yeast strain, and the amount of iso-2-cytochrome c produced by the transformed strains was measured. Our results show that the distal two-thirds of the Ty1 element is not essential for overproduction of iso-2-cytochrome c in the CYC7-H2 mutant. Alterations near the proximal Ty1 junction either restore wild-type production of iso-2-cytochrome c or abolish its production completely.

# MATERIALS AND METHODS

Yeast strains and genetic procedures. Conventional yeast genetic procedures of crossing, sporulation, tetrad analysis,

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Strain no. Integrated plasmid		Genotype			
E480-1D		MATa cyc1-363 cyc7-28 ura3-52 leu2-3,112			
E458-4B		MATa cycl CYC7-H3 canl ura3-52 hisl trp1-289 his4			
E378-1A		MATa cycl-363 CYC7-H2 cryl hisl lys2			
KZ8-5C		MATa cycl-1 CYC7 <sup>+</sup> his4 ural			
KZ8-1D		MATa cycl-1 CYC7 <sup>+</sup> his4 ural			
E646-6B		MATa ste7 cyc1 CYC7-H2 ura3-52 leu2-3,112 trp2			
E648-6B		MATa stel2 cycl CYC7-H2 ura3-52 leu2-3,112 trp2 tyrl			
E480-P5	pAB57	MATa cyc1-363 cyc7-28 CYC7-P5 ura3-52 leu2-3,112			
E480-P33	pAB45	MATa cyc1-363 cyc7-28 CYC7-P33 ura3-52 leu2-3,112			
E480-P54	pAB50	MATa cyc1-363 cyc7-28 CYC7-P54 ura3-52 leu2-3,112			
E480-P71	pAB51	MATa cyc1-363 cyc7-28 CYC7-P71 ura3-52 leu2-3,112			
E480-P102	pAB52	MATa cyc1-363 cyc7-28 CYC7-P102 ura3-52 leu2-3,112			
E480-P112	pAB56	MATa cyc1-363 cyc7-28 CYC7-P112 ura3-52 leu2-3,112			
E480-P150	pAB58	MATa cyc1-363 cyc7-28 CYC7-P150 ura3-52 leu2-3,112			

and scoring of nutritional markers were used for construction of strains with desired genotypes (31, 39). Complete genotypes for yeast strains used in these studies are given in Table 1.

(i) CYC1 and CYC7 markers. All strains utilized in these studies are completely deficient in iso-1-cytochrome c. The cvc1-1 and cvc1-363 mutations are deletions of the iso-1cytochrome c structural gene and have been described previously (34, 43, 45). Other cycl alleles are not specified but cause complete absence of iso-1-cytochrome c. Hence, the spectroscopic procedure for cytochrome c determinations (described below) measures only iso-2-cytochrome c. The cyc7-28 allele prevents production of iso-2-cytochrome c. This allele was isolated and shown to revert at a frequency of  $<10^{-8}$  (K. Zaret, unpublished data). DNA sequence analysis identified the lesion as a UGA mutation corresponding to amino acid position 39 within the CYC7 coding region (T. Cardillo, unpublished data). The combination of the cyc1-363 and cyc7-28 alleles causes complete deficiency in cytochrome c. The CYC7-H3 mutation is a 5-kb deletion that encompasses the 5' noncoding region of the CYC7 locus and the closely linked RAD23 and ANP1 loci (29). The CYC7-H3 deletion causes sensitivity to the compound 2-amino-1-[pnitrophenyl]-1,3-propanediol (ANP). This CYC7-H3 allele was used in genetic crosses where it was desirable to score segregation of CYC7 alleles independently of iso-2-cytochrome c production. CYC7-H3 segregants are unable to grow on complete medium (yeast extract, peptone, glucose [YPD]) containing 1 mM ANP, whereas segregants containing other CYC7 alleles are ANP1<sup>+</sup> and will grow on ANP medium (29).

(ii) Sterile mutant alleles. The conditional ste7 and ste12 alleles were derived from strains 381-11-1-26a and 381-11-10a, respectively, which are part of the sterile mutant collection described by Hartwell (19). Crosses with these conditional mutations were made by standard procedures at the permissive temperature for mating (22°C). Segregants carrying either the ste7 or ste12 allele were identified by their nonmating phenotype which is observed at the nonpermissive temperature (34°C). Mating phenotypes were determined by the ability to form prototrophs with tester strains (KZ8-5C and KZ8-1D) having complementing nutritional markers. Segregants to be tested were spotted onto two sets of complete medium (YPD) plates that had been spread with one or the other tester strain. The duplicate plates were incubated at 22 or 34°C for 24 to 36 h and then replicated onto minimal medium (synthetic glucose [SD]). These plates were incubated at 30°C for 24 to 36 h and scored for the presence or absence of prototrophs.

Media. Yeast media including complete medium (YPD), synthetic minimal medium (SD), and various omission media used for growth and scoring nutritional markers are described in Sherman et al. (39). Luria broth, Luria broth plus ampicillin medium, and M9-C medium used for growth of *Escherichia coli* were prepared as described in Maniatis et al. (28).

Yeast transformation procedure. Recombinant plasmid molecules used for these experiments each contain fragments derived from the CYC7-H2 or CYC7<sup>+</sup> region inserted into the vector YIp5. This vector is a derivative of pBR322 that contains the yeast URA3<sup>+</sup> selectable marker but does not contain sequences permitting autonomous replication in yeasts (47). Transformation with this vector occurs at a low frequency and requires homologous recombination between genomic sequences of the recipient and the yeast sequences inserted in YIp5 (20).

Yeast strain E480-1D was used as the recipient strain for all transformations described. The ura3-52 allele of this strain permits selection of YIp5-transformed strains by requiring growth on synthetic medium lacking uracil. E480-1D was transformed with plasmid DNA by the following procedure. Spheroplasts were obtained by treatment of 10<sup>8</sup> cells per ml in 1 M sorbitol-10 mM Tris (pH 7.5) with 3% Glusulase (Endo-labs) for 60 to 90 min at 30°C. After transformation treatment, carried out essentially as described by Hinnen et al. (20), the polyethylene glycol suspension of spheroplasts was added directly into 100 ml of melted selection medium at 45°C and poured into four petri dishes. The selection medium used was uracil omission medium (39) prepared with 3% (wt/vol) Bacto-Agar (Difco Laboratories)-1 M sorbitol and 10 mM sodium phosphate, pH 7. Plates were incubated for 3 to 4 days at 30°C. Frequencies of transformation ranged between 0.4 and 12 Ura<sup>+</sup> transformants per  $\mu g$  of DNA.

Determination of cytochrome c content in yeast strains. Strains were grown under derepressed conditions (42), using solid medium containing 1% (wt/vol) sucrose (instead of melibiose), 1% (wt/vol) yeast extract, 2% (wt/vol) Bacto-Peptone (Difco), and 2% (wt/vol) Bacto-Agar. Intact cells were examined by the low-temperature ( $-196^{\circ}$ C) spectroscopic procedure of Sherman and Slonimski (40). The amount of cytochrome c was estimated by comparison of the  $c_{\alpha}$  band absorption intensities with those for strains having known amounts of cytochrome c. Values are reported relative to the amount found in standard cycl CYC7<sup>+</sup> strains which corresponds to 10 to 20 mg of iso-2-cytochrome c per kg (dry weight) of yeast cells (42).

Preparation of DNA. Yeast DNA was isolated according to



FIG. 1. Restriction sites in the cloned region of the CYC7-H2 gene and in the CYC7<sup>+</sup> region of chromosome V. The plasmid designated pAB35 is composed of the 8.9-kb CYC7-H2 HindIII fragment inserted into pBR322. pAB45 (not shown) contains the same CYC7-H2 HindIII fragment inserted into yIp5. YIp5 contains the 1,1-kb, dC-tailed,  $URA3^+$  fragment joined to dG-tailed, AvaI-cleaved pBR322 (47). The orientation of the CYC7-H2 HindIII fragment in pAB45 is opposite to that in pAB35 with respect to the flanking pBR322 sequences. Cleavage sites were determined with the following restriction enzymes: BamHI (B), BgIII (G), EcoRI (E), HindIII (H), KpnI (K), PstI (P), SaII (S), and XhoI (X). The solid box in the CYC7<sup>+</sup> region of chromosome V and in the CYC7-H2 plasmids are represents the translated portion of the CYC7 locus. The epsilon and delta sequences of the Ty1 element in the CYC7-H2 plasmids are represented by open boxes and hatched boxes, respectively. A portion of vector sequences flanking the CYC7-H2 fragment in each plasmid is indicated by wavy lines. Various fragments containing the CYC7<sup>+</sup> gene were used as hybridization probes in these studies. These fragments, indicated at the bottom, are the 2.2-kb EcoRI fragment (6), the 1.5-kb XhoI-EcoRI fragment (7), and the 2.7-kb XhoI fragment (8).

the "DNA miniprep" procedure of Roeder and Fink (36). In some instances the DNA was further purified by equilibrium density centrifugation in ethidium bromide-cesium chloride gradients. Isolates of *E. coli* strain HB101 carrying recombinant plasmids were grown as described by Norgard et al. (32). Plasmid DNA was purified by ethidium bromidecesium chloride density gradient centrifugation as described by Clewell and Helinski (8).

Restriction endonuclease digestions and analyses of plasmid DNA. Treatment of DNA with the various restriction endonuclease enzymes was carried out under conditions recommended by the vendor (Bethesda Research Laboratories or New England BioLabs). The products of endonuclease digestion were separated by electrophoresis for approximately 16 h at 1 V/cm on 0.7 to 1% agarose gels (20 by 13 by 0.3 cm) containing TAE buffer (40 mM Tris, 5 mM sodium acetate, 1 mM EDTA, pH 7.9). Fractionated DNA was visualized by fluorescence after staining gels with ethidium bromide at 1  $\mu$ g per ml of TAE. Fragments obtained by digestion of lambda DNA with *Hind*III endonuclease or  $\phi$ X174 replicative form DNA with *Hae*III endonuclease were used as standard size markers.

Hybridization analysis of yeast genomic DNA. Total yeast DNA was digested with the specified restriction enzymes, and the fragments were separated by electrophoresis on 0.7% agarose gels as described above. DNA fragments were transferred to nitrocellulose sheets by the procedure of Southern (44). Several different restriction fragments encompassing the CYC7<sup>+</sup> gene (fragments 6, 7, and 8 indicated in Fig. 1) were isolated from plasmid DNA and used as DNA probes for various experiments. These fragments were labeled with <sup>32</sup>P by the "nick translation" procedure of Rigby et al. (35). Hybridization of DNA probes to the nitrocellulose sheets was carried out in 2× SSC (1× SSC is 150 mM sodium chloride plus 15 mM sodium citrate, pH 7)–1% (wt/vol) sodium dodecyl sulfate–100 µg of sonicated calf thymus DNA per ml at 70°C for 18 h. The filters were then washed in  $2 \times SSC-1\%$  (wt/vol) sodium dodecyl sulfate with successive changes at temperatures and times as follows: 5 min at 45°C; 60 min at 45°C; and twice for 10 min at room temperature. The filters were dried under vacuum at 70°C and autoradiographed at -70°C with the use of Dupont Cronex intensifying screens and Kodak XAR X-ray film.

Construction of CYC7-H2 derivative genes. Two deletions of the CYC7-H2 region were made with plasmid pAB35, which contains the 8.9-kb CYC7-H2 HindIII fragment inserted into pBR322 (Fig. 1) (15). pAB35 was partially digested with SalI endonuclease. The mixture of resulting fragments was treated with T4 DNA ligase (New England Biolabs) at dilute DNA concentration (0.1  $\mu$ g/25  $\mu$ l) under conditions recommended by the vendor. The mixture was used to transform E. coli strain HB101 by the CaCl<sub>2</sub>-thymidine procedure as described in Davis et al. (9). Two ampicillinresistant clones were chosen for further study. One contained a 10.3-kb plasmid molecule, and the other contained an 8.0-kb plasmid. The size of EcoRI and HindIII restriction fragments indicated that these plasmids have deletions corresponding to SalI fragments designated 1 and 2, respectively, in Fig. 1. By standard procedures, the 5.3-kb HindIII-BamHI fragment from the larger plasmid and the 3.7-kb HindIII-Sall fragment from the smaller plasmid were transferred to the yeast vector YIp5 (47). Analysis with BamHI, EcoRI, HindIII, PstI, SalI, and XhoI restriction endonucleases verified the described constructions in the resulting plasmids, designated pAB50 and pAB51, respectively.

A deletion corresponding to SalI-XhoI fragment 3 (Fig. 1) was made in pAB51. It should be noted that the XhoI site, which defines the proximal endpoint of this deletion, lies in the normal CYC7 sequence at a position 140 bp upstream from the coding region. pAB51 was digested sequentially with XhoI and SalI endonucleases. The resulting mixture was treated with T4 DNA ligase under dilute DNA condi-

tions as before and used to transform *E. coli* strain HB101 to ampicillin resistance. Plasmid DNA from one of the clones was designated pAB58. pAB58 was characterized on the basis of size of *Pst*1, *Hind*III, and *Eco*RI restriction fragments. As expected, pAB58 was not cleaved by either *Xho*I or *Sal*I endonuclease. (The fusion of the complementary ends resulting from digestion with this enzyme pair does not preserve the recognition sequence for either.)

A deletion and inversion of XhoI fragment 4 (Fig. 1) was made in the plasmid designated pAB45 that contains the 8.9kb CYC7-H2 HindIII fragment inserted in YIp5. The proximal endpoint of both rearrangements is at the XhoI site 140 bp upstream from the CYC7 coding region. pAB45 was digested to completion with XhoI endonuclease, and a portion of the mixture was treated with T4 DNA ligase at dilute DNA concentration. The plasmid designated pAB52 was recovered after transformation of E. coli strain HB101. pAB52 was shown to lack XhoI fragment 4 by restriction cleavage site analysis with EcoRI, HindIII, KpnI, PstI, SalI, and XhoI endonucleases. Another portion of the pAB45-XhoI restriction mixture was treated with T4 DNA ligase at high DNA concentration and then used to transform E. coli strain HB101. Approximately 3,000 transformed colonies were screened by colony hybridization (18), using a lambda phage containing the CYC7-H2 clone as the radioactively labeled DNA probe. Plasmid DNA from two colonies which gave a strong positive signal was subjected to XhoI and EcoRI restriction endonuclease analysis. The size of fragments established that both clones contained a recombinant plasmid with an inversion of *XhoI* fragment 4. One of these, designated pAB56, was further characterized by BamHI, BglII, EcoRI, PstI, and XhoI restriction endonuclease analyses.

A 7.5-kb HindIII fragment from pAB25 (29) that encompasses the  $CYC7^+$  region of chromosome V (fragment 5, Fig. 1) was transferred to the HindIII site of YIp5. The resulting 13.1-kb plasmid, designated pAB57, was characterized with BamHI, EcoRI, HindIII, KpnI, PstI, and XhoI restriction endonucleases. The pAB57 plasmid was used in these studies to provide a reference for iso-2-cytochrome c expression in transformed strains. Some strains do not have the HindIII site shown in parentheses in Fig. 1. The CYC7<sup>+</sup> fragment in pAB57 is derived from one such strain and therefore contains an additional 4 kb of yeast sequence 3' to the CYC7 coding region. The chromosome V region of strain E480-1D is also missing this HindIII site.

# RESULTS

Identification of transformed strains with one copy of the  $CYC7^+$ , CYC7-H2, or CYC7-H2 derivative plasmid integrated at the CYC7 locus. To characterize the regions responsible for overproduction of iso-2-cytochrome c in the CYC7-H2 mutant, a DNA fragment containing the CYC7-H2 gene was altered by producing a number of deletions and rearrangements. Plasmids containing the wild-type  $CYC7^+$  gene and the mutant CYC7-H2 gene were compared with plasmids with the modified CYC7-H2 fragments by transformation of a cytochrome c-deficient strain and examination of the phenotypes of resulting strains containing single and multiple copies of the plasmids integrated at the normal chromosomal site.

Yeast strain E480-1D was transformed with the  $CYC7^+$  control plasmid pAB57, the CYC7-H2 plasmid pAB45, and the CYC7-H2 derivative plasmids pAB50, pAB51, pAB52, pAB56, and pAB58. The CYC7 regions of these plasmids are

compared in Fig. 2. Transformed strains were selected on the basis of the vector  $URA3^+$  marker and not a cytochrome c phenotype. Independent colonies from transformation with each plasmid were picked, grown on complete medium (YPD), and then tested for ability to grow on medium lacking uracil. More than 95% of the colonies showed a Ura<sup>+</sup> phenotype after nonselective growth, indicating that the plasmid had become stably integrated in the genome of these transformed strains. Each of the Ura<sup>+</sup> colonies was purified by subcloning on uracil omission medium, and the subclones were tested for iso-2-cytochrome c production. Each isolate produced a discrete amount of iso-2-cvtochrome c, but the amounts were not the same for all strains that were derived from transformation with a given plasmid. Several factors contributed to these variations. We have found in these studies and others have reported (33, 48; T. Petes and H. Klein, personal communication cited in reference 21) that tandem integration of multiple plasmid molecules is frequently observed in transformations. Strains producing amounts of iso-2-cytochrome c greater than the amount characteristic of a particular plasmid reflect gene dosage due to such tandem insertions. Rearrangements or alterations of plasmid sequences were also found to occur in the transformation process. Therefore, comparison of gene expression of CYC7-H2 and CYC7-H2 derivatives required the identification of transformed strains in which one complete copy of the plasmid is integrated at the CYC7 locus.

Representative transformed strains from the different groups were genetically analyzed to identify integrations that occurred at the CYC7 locus. Whereas pAB57 and pAB58 contain YIp5 inserts homologous with only the CYC7 region, the other plasmids contain sequences which would permit homologous recombination with either CYC7 sequences or Ty1 and delta sequences dispersed throughout the genome. CYC7 and other site integrations were distinguished by the standard methods of tetrad or random-spore analysis. Transformed strains (ANP1<sup>+</sup> cyc7-28 ura3-52 CYC7-P URA3-P) were each crossed to tester strain E458-4B (CYC7-H3 ura3-52). Resulting diploid strains were isolated by prototroph selection and were sporulated. The Anp and Ura phenotypes of the meiotic segregants were used to determine whether or not the integrated plasmid  $(URA3^+)$  was tightly linked to the recipient CYC7 locus (ANP1<sup>+</sup>). Strains which were shown genetically to have plasmid molecules integrated at the CYC7 locus were further analyzed by restriction endonuclease and hybridization analyses of genomic DNA to identify transformants that carry one intact copy of each plasmid. Strains E480-P5, E480-P33, E480-P54, E480-P71, E480-P102, and E480-P150 were identified as containing one copy of the respective pAB57, pAB45, pAB50, pAB51, pAB52, and pAB58 molecules integrated at the CYC7 locus. pAB56transformed strain E480-P112 appears to contain two copies of pAB56 at the CYC7 locus. The amount of iso-2-cytochrome c produced by each strain together with the corresponding genetic analysis and genomic restriction fragment sizes are summarized in Table 2. These data support the conclusion that this group of transformed strains represents the expression of the integrated structures schematically shown in Fig. 2.

**Iso-2-cytochrome** c production in transformed strains. The amount of cytochrome c produced by the selected transformed strains provides a direct measure of expression for the plasmid  $CYC7^+$ , CYC7-H2, and CYC7-H2 derivative genes. The relative amounts of iso-2-cytochrome c produced by each of the transformed strains selected for further study are compared in Table 2 and Fig. 2. Strain E480-P5 contains



FIG. 2. Expression and regulation in the control and transformed strains carrying integrated copies of  $CYC7^+$ , CYC7-H2, and CYC7-H2 derivative plasmids. Schematic structures show the CYC7 region in control and transformed strains. The solid box represents the coding region of the normal CYC7 region. The striped box represents the defective cyc7-28 coding region in the recipient strain and transformed strains. The epsilon and delta sequences of the Tyl element are represented by an open box and a hatched box, respectively. Flanking yeast sequences from the CYC7 regions are represented by thin lines, and vector sequences are represented by wavy lines. Restriction endonuclease sites are specified as in the legend to Fig. 1. Diagrams are not drawn to scale. The relative amounts of iso-2-cytochrome c are taken from Table 2 and Fig. 3.

the CYC7<sup>+</sup> plasmid, pAB57, and produces amounts of cytochrome c comparable to wild-type  $CYC7^+$  strains. Strain E480-P33 contains pAB45 which carries the complete CYC7-H2 gene. This strain produces an amount of iso-2cytochrome c that is comparable to amounts produced by bona fide CYC7-H2 strains. We conclude that vector sequences do not interfere with the plasmid  $CYC7^+$  and  $CYC7^-$ H2 gene expression. CYC7-H2 amounts of iso-2-cytochrome c are produced by strains E480-P54 and E480-P71 which contain the CYC7-H2 derivative plasmids pAB50 and pAB51, respectively. These results show that the Ty1 segment labeled d (Fig. 2) is sufficient for the CYC7-H2 overproducing phenotype. Strains E480-P102 and E480-P150 carry the CYC7-H2 derivative plasmids pAB52 and pAB58, respectively. These two modifications abolish overproduction of iso-2-cytochrome c characteristic of the CYC7-H2 phenotype but do not prevent production of CYC7<sup>+</sup> amounts. In the case of pAB58, we have confirmed that the  $CYC7^+$ expression is not due to a gene conversion event that could restore a functional coding region adjacent to the normal CYC7 control region. A strain containing a CYC7 allele with a 0.4-kb deletion was transformed with pAB58. Restriction

site analysis of genomic DNA from three independent transformed strains producing CYC7<sup>+</sup> amounts of iso-2-cytochrome c confirmed the presence of the deletion allele and one copy of the integrated pAB58 plasmid in all three strains. This observation confirms that the pAB58 structure produces  $CYC7^+$  amounts of iso-2-cytochrome c. Strain E480-P112 carries two copies of pAB56, the CYC7-H2 derivative in which CYC7-H2 XhoI fragment 4 (Fig. 1) has been inverted. In contrast to deletion of segments b to d (Fig. 2, E480-P102), the effect of b-d inversion (Fig. 2, E480-P112) is to prevent iso-2-cytochrome c production. Because two deletion derivatives (pAB52 and pAB58) with a proximal endpoint at the same *XhoI* site do not share this phenotype, we conclude that the arrangement of sequences in the CYC7-H2 inversion derivative are specifically preventing iso-2cytochrome c production.

**Regulation of CYC7-H2 derivatives.** Transformed strains E480-P33, E480-P54, and E480-P71 which carry the plasmid alleles CYC7-P33, CYC7-P54, and CYC7-P71, respectively, produce CYC7-H2 amounts of iso-2-cytochrome c (Table 2; Fig. 2). The question remains as to whether the observed production of iso-2-cytochrome c in the transformed strains

Yeast strain	CYC7 allele	Plasmid	Copy no.	Units of cytochrome c"	No. of tetrads"		Genomic DNA analysis			
					PD	NPD	Т	Enzyme(s)	Fragment size(s) (kb) <sup>c</sup>	Probed
KZ8-5C	<i>CYC7</i> <sup>+</sup>			1						
E378-1A	СҮС7-Н2			20						
E480-1D	сус7-28			0				EcoRI	2.2	6
	-							<i>Hin</i> dIII	7.5	6, 7
								Pstl	2.3, 1.2	6
								Sall	16.5	7
								BglII/SalI	14.0	8
								Kpnl/Pstl	1.4, 1.2, 0.6	6
E480-P5	СҮС7-Р5	pAB57	1	1	9	0	1	Sall/Bgl11	18.3, 8.5	7
E480-P33	СҮС7-Р33	pAB45	1	20	9	0	0	HindIII	14.3, 3.3	7
								<i>Eco</i> RI	5.0, 2.5, 2.2	6
								Pstl	3.0, 2.3, 1.8, 1.2	6
E480-P54	CYC7-P54	pAB50	1	20	9	0	0	HindIII	14.2, 3.3	6
								Sall	14.0, 12.5	7
E480-P71	СҮС7-Р71	pAB51	1	20	9	0	0	<i>Hin</i> dIII	14.5, 3.5	7
E480-P102	CYC7-P102	pAB52	1	1	11	0	0	<i>Hin</i> dIII	7.4, 3.4	6
		-						Sall	19.5, 8.8	7
								Kpnl/Pstl	2.0, 1.4, 1.2, 0.7, 0.6	6
E480-P112	CYC7-P112	pAB56	2	0	8	0	0	HindIII	13.7, 9.0	7
								Pstl	6.0, 1.8, 1.2	6
								<i>Eco</i> RI	4.3, 3.2	6
E480-P150	CYC7-P150	pAB58	1	1.5	8	0	0	HindIII	12.0, 3.5	6

TABLE 2. Units of cytochrome c in transformed strains containing plasmids integrated at the CVC7 locus

<sup>a</sup> One unit corresponds to the normal CYC7<sup>+</sup> amount.

<sup>b</sup> Transformed strains (*ANPI*<sup>+</sup> cyc7-28 ura3-52 CYC7-P URA3-P) were crossed to tester strain E458-4B (*CYC7-H3 ura3-52*). Resulting diploid strains were sporulated, and meoitic segregants were scored for Anp and Ura phenotypes. The segregant phenotypes associated with each tetrad type are as follows: parental ditype (PD), 2 Anp<sup>+</sup> Ura<sup>+</sup>: 2 Anp<sup>-</sup> Ura<sup>-</sup>; tetratype (T), 1 Anp<sup>+</sup> Ura<sup>+</sup>: 1 Anp<sup>+</sup> Ura<sup>+</sup>: 1 Anp<sup>-</sup> Ura<sup>+</sup>; 1 Anp<sup>-</sup> Ura<sup>+</sup>; 1 Anp<sup>+</sup> Ura<sup>+</sup>: 2 Anp<sup>-</sup> Ura<sup>+</sup>.

<sup>c</sup> Observed fragment sizes are consistent with sizes predicted by using values derived from Fig. 1 for the integrated plasmids as illustrated in Fig. 2.

<sup>d</sup> Numbers refer to restriction fragments encompassing the CYC7<sup>+</sup> gene as indicated in Fig. 1.

is sensitive to the genetic constitutions that affect production in bona fide CYC7-H2 strains. One level of control is exhibited by certain of the unlinked STE loci. The amount of iso-2-cytochrome c produced in ste7 CYC7-H2 and ste12 CYC7-H2 strains is much lower than the amount produced in STE<sup>+</sup> CYC7-H2 strains (15, 16). The response of the modified CYC7-H2 alleles CYC7-P54 and CYC7-P71 to the ste7 and stel2 mutations was compared with that of the control CYC7-P33 allele and with the unmodified CYC7-H2 allele. Strains E480-P33 (MATa CYC7-P33 STE<sup>+</sup>), E480-P54 (MATa CYC7-P54 STE<sup>+</sup>), and E480-P71 (MATa CYC7-P71  $STE^+$ ) were crossed to strains E646-6B (MAT  $\alpha$  CYC7-H2 ste7) and E648-6B (MATa CYC7-H2 stel2). Each of these diploid strains was sporulated and subjected to tetrad analysis. The amount of iso-2-cytochrome c in a number of segregants having the various possible combinations of CYC7 and STE genotypes from each pedigree was determined. The data are presented in Fig. 3. Note that this method of comparison randomizes genetic background which causes fluctuations in cytochrome c production. It also provides internal controls for comparisons with CYC7-H2 STE<sup>+</sup> and CYC7-H2 ste<sup>-</sup> strains in the same genetic background. The CYC7-P33 allele responds to the ste7 and stel2 mutations identically to the CYC7-H2 allele segregating in the two respective pedigrees. The amount of iso-2cytochrome c in CYC7-P54 ste7, CYC7-P54 ste12, CYC7-P71 ste7, and CYC7-P71 ste12 segregants is significantly reduced compared with CYC7-P54 STE<sup>+</sup> and CYC7-P71  $STE^+$  segregants in the respective pedigrees. We conclude that Ty1 segment d is sufficient for the regulation by STE loci observed for the CYC7-H2 mutant.

# DISCUSSION

Wild-type S. cerevisiae cells contain two iso-cytochromes c that perform similar functions but differ in primary struc-

ture. Iso-2-cytochrome c is the minor species, comprising only 5% of the total cytochrome c complement in normal veast strains. Overproduction of iso-2-cytochrome c has been associated with mutations that are cis dominant and have extended alterations in the 5' region adjacent to the CYC7 structural gene. Proximal endpoints of these alterations were localized to a region between 184 and 285 bp upstream from the translated portion of the CYC7 region (26, 30). In addition to mutations in the control region of the CYC7 locus, recessive mutations at four unlinked loci are also found to cause overproduction of iso-2-cytochrome c (6, 7, 26). Presumably, a negative regulatory region at the CYC7 locus interacts with components of a control network involving these loci. RNA blot analysis of the two classes of overproducing mutants has shown there is an increased amount of normal-sized CYC7 mRNA (26, 30). Hence, the increased production of iso-2-cytochrome c is caused either by the presence of abnormal sequences at the 5' regulatory region or by mutation of components involved in regulation of CYC7 transcription.

The DNA alteration of the CYC7-H2 mutation was characterized by restriction endonuclease analysis of the cloned segment, by heteroduplex analysis of  $CYC7^+$  and CYC7-H2 segments, and by DNA sequence of the pertinent regions (15, 16; B. Kosiba, unpublished data). The results demonstrated that a Ty1 element was inserted at a site 184 bp in front of the translated portion of the gene. This junction is upstream from the normal iso-2-cytochrome c mRNA start site which was reported by Montgomery et al. to be at position -77 with respect to the ATG initiation codon (30). These results together with the results from RNA blot analysis indicate that sequences within the Ty1 element are affecting the efficiency of normal iso-2-cytochrome c transcription. In vitro modification of the cloned CYC7-H2 DNA was undertaken to identify regions of the Ty1 element



FIG. 3. Concentrations of iso-2-cytochrome c in  $ste^-$  and  $STE^+$ segregants. Low-temperature (-196°C) spectroscopic examination of intact cells was used to estimate the relative amount of iso-2cytochrome c in the following: (A) CYC7-H2 (solid bars) and ste7 CYC7-H2 (open bars) segregants from diploid strain E659 (E646-6B × E480-P33) (CYC7-H2 and ste7 CYC7-H2 segregants from diploid strains E660 and E661 [see C and D, respectively] gave similar results); (B) CYC7-P33 (solid bars) and ste7 CYC7-P33 (open bars) segregants from E659 (E646-6B × E480-P33); (C) CYC7-P54 (solid bars) and ste7 CYC7-P54 (open bars) segregants from E660 (E646- $6B \times E480$ -P54); (D) CYC7-P71 (solid bars) and ste7 CYC7-P71 (open bars) segregants from E661 (E646-6B  $\times$  E480-P71); (E) CYC7-H2 (solid bars) and stel2 CYC7-H2 (open bars) segregants from diploid strain E662 (E648-6B × E480-P33) (CYC7-H2 and stel2 CYC7-H2 segregants from diploid strains E663 and E664 [see G and H, respectively] gave similar results); (F) CYC7-P33 (solid bars) and stel2 CYC7-P33 (open bars) segregants from E662 (E648-6B  $\times$ E480-P33); (G) CYC7-P54 (solid bars) and stel2 CYC7-P54 (open bars) segregants from E663 (E648-6B × E480-P54); (H) CYC7-P71 (solid bars) and stel2 CYC7-P71 (open bars) segregants from E664 (E648-6B × E480-P71). The absorption intensities of the  $c_{\alpha}$  bands of segregants were visually compared with the  $c_{\alpha}$  band intensities of strains with known amounts of iso-2-cytochrome c. The values of the intensities were assigned to discrete units indicated by thick lines under the abscissa. Strains were grown at 34°C, the temperature preventing conjugation of the ste segregants. These results indicate that ste7 and ste12 regulation of the modified alleles is identical to that of the CYC7-H2 allele.

responsible for this effect. These studies rely on the ability to determine in vivo expression of the CYC7-H2 derivative genes by transformation of a cytochrome c-deficient yeast strain. Control studies with the cloned CYC7<sup>+</sup> and CYC7-H2 alleles demonstrate that this approach is valid when one copy of the recombinant plasmid is integrated at the recipient CYC7 locus. A comparison of expression observed for CYC7<sup>+</sup>, CYC7-H2, and CYC7-H2 derivative alleles in transformed strains that satisfy the above conditions is summarized in Fig. 2.

Comparison of CYC7-H2 and CYC7-H2 derivative gene expression. The pAB45-, pAB50-, and pAB51-transformed strains which carry the CYC7-P33, CYC7-P54, and CYC7-P71 alleles, respectively, each produce CYC7-H2 amounts of iso-2-cytochrome c. The 20-fold overproduction of iso-2cytochrome c in the CYC7-H2 mutant as well as in this group of transformed strains is diminished by the ste7 and stel2 mutations. These observations show that the 1.8-kb Ty1 segment d contains sequences sufficient for overproduction and STE regulation of Ty1-mediated gene expression.

Three other derivative genes involve rearrangements at the  $-140 \ XhoI$  site located in the normal CYC7 sequence. The pAB58-transformed strain carrying the CYC7-P150 allele produces  $CYC7^+$  amounts of iso-2-cytochrome c. This result indicates that sequences upstream from position -140are not required for production of wild-type amounts of iso-2-cytochrome c. The structure of the integrated pAB58, CYC7-P150, can be viewed as a 6.6-kb insertion that includes 5.1 kb of YIp5 vector and 1.5 kb of cyc7-28 sequences (Fig. 2). The production of wild-type amounts of iso-2cytochrome c in pAB58-transformed strains argues against the possibility that overproduction in the CYC7-H2 mutant is merely a consequence of an insertion that displaces regions of negative control. The modification carried by plasmid pAB52 can be viewed as a replacement of CYC7 sequence from -140 to -184 by CYC7-H2 segment a (Fig. 2). Segment a consists of one-third of the Ty1 distal delta element. pAB52-transformed strain E480-P102 also produces wildtype amounts of iso-2-cytochrome c, showing that this portion of a delta element is not sufficient to cause overproduction of the adjacent structural gene. The pAB56 construction inverts the XhoI fragment that includes Ty1 segments b, c, and d along with 44 bp of CYC7 flanking DNA (Fig. 2). The CYC7-P112 allele resulting from pAB56 integration abolishes CYC7 gene expression. Because this phenotype is not observed for the two deletions that have the same XhoI endpoint, it is possible the specific DNA sequence at the junction of the pAB56 inversion is inhibiting iso-2cytochrome c production. It is also possible that the orientation of Tv1 segment d or its position with respect to intervening segment c-b in the pAB56 construction or both are responsible for the inhibition.

**Relationship to Ty DNA transcription.** Elder et al. (14) have identified and characterized abundant RNA homologous to Ty1 DNA. The major population is approximately 5.7 kb in size and is regulated by the mating type locus identically to the regulation of iso-2-cytochrome c in the CYC7-H2 mutant. More recently, it has been shown that Ty1 transcripts are also regulated by the STE7 locus (11). The 5' and 3' ends of the major Ty1 transcripts have been mapped (13). Ty1 transcription initiates in one delta repeat at a position 92 to 95 bp from the epsilon junction and terminates in the opposite delta approximately 20 to 40 bp from flanking DNA sequences. The orientation of the Ty1 element in the CYC7-H2 mutation is such that the delta element proximal to the CYC7 region corresponds to the "promoter" delta. If the Ty1 element in the CYC7-H2 mutation is transcribed, the polarity would be opposite to that of CYC7 transcription. Our results show that the promoter end of Ty1 is important for overproduction of iso-2-cytochrome c in the CYC7-H2 mutant. The overproduction is abolished by modifications of the CYC7-H2 Ty1 that delete the proximal delta, as in pAB52, or that change the orientation and position of the Ty1 promoter region with respect to the CYC7 structural sequences, as in pAB56.

Relationship to other Ty insertion mutations. ROAM mutations designated CYP3-4 (6, 7, 30),  $cargA^+O^h$  (10, 11, 23), and ADH2<sup>c</sup> (5, 49, 52-54) were caused by insertion of Ty elements in the 5' noncoding region of the corresponding structural loci. Each of these mutations causes production of the respective gene products that respond to signals normally controlling mating type functions in yeast cells. Characterization of DNA cloned from this group of mutants has shown that the orientation of the Ty elements is the same as that in the CYC7-H2 mutant. In each case the junctions of the Tv insertions were located within a region between 125 and 600 bp upstream from the corresponding coding sequences. In all of the above mutations, the steady-state mRNA for the corresponding gene products is increased or made constitutively, but the 5' map position or size or both are not affected (11, 26, 30, 52). These results show that the Tv1 elements are not providing a new transcription initiation site but are regulating transcription from various upstream positions. This effect is similar in certain respects to the action of enhancer sequences of the simian virus 40 promoter (1, 2, 17, 17)50). However, unlike enhancer sequences, the orientation of the Ty1 sequences inserted at the 5' end of affected genes appears to be crucial.

Although the majority of the ROAM mutations characterized to date involve insertions belonging to class 1 elements (Ty1), the ADH2-3<sup>c</sup> mutation was caused by insertion of a class 2 element (Ty2) (49, 51, 52). Kingsman et al. (24) have shown that the two classes of Ty elements differ by large substitutions within the epsilon segment of the Ty elements. Our studies have shown that Ty1 segment d is sufficient for the overproduction of iso-2-cytochrome c in the CYC7-H2 mutant. Homology shared by Ty1 and Ty2 elements in region d is limited to the delta segment and approximately 200 bp of the adjacent epsilon region. We predict that required sequences for regulation of adjacent structural genes would be limited to this region of the Ty elements.

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