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Management Strategies for Elderly Patients with Diffuse Large B-Cell Lymphoma

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INTRODUCTION

Diffuse large B-cell lymphoma (DLBCL) is the most commonly occurring form of Non-Hodgkin lymphoma (NHL), accounting for approximately one-third of adult lymphomas. There was an unprecedented rise in NHL incidence (3–4% per year) from the 1970s to the mid-1990s, comparable only to the rise in skin cancers¹. It is estimated that there will be 70,140 new cases in 2012, making NHL the 7th most common cancer². Though there appears to be a stabilization in the incidence of lymphoma since the late 1990s for young patients, this does not appear to be true for elderly patients, the majority of whom present over the age of 65^{3,4}. The median age of the world's population is increasing, with the proportion of the United States (US) population aged ≥ 65 years projected to increase from 12.4% in 2000 to 19.6% in 2030⁵. It is expected that the prevalence of NHL will increase with the aging population and it is well recognized that this patient population would benefit from the most appropriate modern therapy.

Though heterogeneous, DLBCL has an aggressive natural history with a median survival of one year in untreated patients⁶. In the majority of studies conducted before 2000, older patients were systematically considered to be poor candidates for standard therapy and were treated with low-dose regimens^{7,8}. The dilemma in managing elderly patients is that with aggressive treatment DLBCL is curable for the majority of patients, however, providing adequate therapy to this patient population can be complicated by co-morbid conditions, decreased drug metabolism, and worse performance status when compared to younger patients. Herein, we examine emerging trends on the clinical management and biology of DLBCL in the elderly patient population and explore findings from recent meetings and publications that will aid in further defining our management of this disease.

AGE, CLINICAL OR BIOLOGIC PREDICTOR

Age has been one of the most important adverse prognostic features in NHL. Numerous studies have associated older age with inferior outcomes^{9–12}. Age, defined as > 60 years, is a component of the international prognostic index (IPI), developed in the 1990s, as a clinical tool to predict outcome for patients with DLBCL¹³. In addition to age, clinical features that were predictive of overall survival (OS) and relapse-free survival (RFS) were derived from a pooled analysis of more than 2000 patients with aggressive lymphoma (mainly DLBCL) treated with an anthracycline-containing chemotherapy regimen between 1982–1987. These factors include: advanced stage, elevated serum lactate dehydrogenase (LDH), poorer performance status, and number of extranodal disease sites ≥ 2. Patients are scored based on the presence of these clinical features and can then be risk stratified into one of four discrete prognostic groups with 5-year predicted survival rates of 73%, 51%, 43%, and 26%. A more recent analysis of more than 1000 patients treated with chemoimmunotherapy suggests that IPI maintains its relevance in the rituximab era and remains a valid prognostic tool¹⁴. An alternative index, the Elderly IPI (E-IPI) with an age cut off of 70 years rather than 60 has

been reported to provide better discrimination in outcome for patients >60 years with DLBCL treated with R-CHOP¹⁵. These findings were recently validated when the conventional IPI and the E-IPI were compared in the RICOVER-60 data set. Both the IPI and E-IPI provided prognostic discrimination for OS; however, the E-IPI outperformed the conventional IPI¹⁶.

Although age is a relevant clinical predictor of outcome, is it a reflection of a patient characteristic or an intrinsic biological feature of the tumor? Over the past decade there have been significant efforts to define biologically relevant subgroups of DLBCL. Gene-expression profiling (GEP) from DNA microarrays has delineated expression patterns that reflect differences in cell of origin, proliferation rate, and host immune response to the tumor¹⁷. DLBCL can now be subdivided using GEP into at least three molecular subtypes: activated B-cell-like (ABC), germinal center B-cell-like (GCB), and primary mediastinal large B-cell lymphoma (PMBL). The GCB and ABC signatures are biologic determinants of prognosis in patients treated with chemoimmunotherapy, independent of IPI, with the GCB subtype having a significantly better five-year survival rate than ABC DLBCL (OS 60% vs. 35%, $P < 0.001$)¹⁸.

Whether there is a relationship between aging and the distribution of these two gene expression profiles has not been clearly defined. Rosenwald et al. reported a trend towards a higher proportion of ABC subtype in patients over the age of 60 years¹⁹. A retrospective analysis studied this further. GEP was performed on 131 de novo DLBCL patients > 50 years of age (median 68 years, range 50–91) and reported a significant increase in ABC subtype in proportion to increasing age²⁰. To strengthen their results, a similar analysis was done on data accessed from the Lenz study²¹. Again, they found a similar increase in the proportion of ABC subtype associated with age (average increase of 13.7% in ABC for every 10 years after age 50 vs. 7.5% in the Lenz series)²⁰. In contrast to adults, children with DLBCL have excellent prognosis. Oschlies et al. found a striking predominance of GCB in 63 cases of pediatric DLBCL which may explain their better outcomes and again suggests a relationship between DLBCL subtype distribution and age²².

To counter these claims, a retrospective analysis of very elderly patients (> 80 years) reported that clinical and biologic characteristics of older patients with lymphoma at presentation are similar to younger patients, but there were significant differences in disease management⁸. No specific chromosomal or genetic abnormalities have been described in elderly patients; however, the majority of studies have been focused on defining the level of heterogeneity between different subtypes not heterogeneity between age groups. Further speculation on the pathogenesis of poor prognosis in elderly patients with DLBCL includes the finding that B-cell diversity decreases dramatically with age, and this loss of diversity is characterized by clonal expansion of B-cells in vivo²³. A well-recognized predisposing risk factor for the occurrence of NHL is immunosuppression²⁴. Host factors that have been implicated in this increased risk include a high prevalence of EBV and defects in immune regulation with resultant cytokine production. EBV-related DLBCL are almost exclusively reported in the elderly, including very old patients and portends a poor prognosis with a median survival of two years²⁵. Further study into the relationship of age and tumor biology is warranted.

ASSESSING THERAPEUTIC OPTIONS FOR ELDERLY PATIENTS

One of the challenges faced with this particular patient population are age-related factors that must be considered when discussing treatment options with patients. It is well known that with increasing age, the likelihood of co-morbid disease also increases. For example, in one survey, 61% of the patients 70 years or older had at least one co-morbid condition

compared with 20% of patients younger than 60 years²⁶. Of particular importance is the prevalence of heart disease which may hinder therapeutic options such as anthracyclines. Co-morbid conditions also frequently results in polypharmacy. Elderly patients may have alterations in drug absorption, distribution, metabolism, and clearance which alters the pharmacodynamics of therapeutic agents and attention to appropriate dosing of drugs is imperative²⁷. Hematopoietic reserve is also different from younger patients and myelotoxicity can be increased with standard regimens²⁸.

Determining performance status, though subjective, carries prognostic implications, assessing the degree of functional dependence and frailty in elderly patients is important. Age and performance status are currently the most frequently used criteria to select patients for standard chemotherapy, but the final decision is generally left to the clinical judgment of the treating physician²⁹. There is a large body of geriatric literature that tries to address this problem of subjectivity by designing specific comprehensive geriatric assessment scores as a more effective and objective tool than clinical decision making. Though, the use of such assessments has not been shown to affect OS. Assessing the tolerability of systemic therapy in elderly patients is complex. Clinicians must be objective about the process as decreasing dosage or withholding potentially curative therapy for putative increased toxicity results in worse outcomes^{30,31}.

DLBCL TREATMENT AND OUTCOMES IN THE ELDERLY

Developed in the 1970's, the anthracycline-based chemotherapy regimen cyclophosphamide, doxorubicin, vincristine, and prednisone (CHOP) was found to be better tolerated and have similar OS to more intensive regimens leading CHOP to become the standard therapy for DLBCL^{32,33}. Several attempts to decrease the chemotherapy doses in the standard CHOP regimen or substitute less toxic drugs in the combination for elderly patients have decreased toxicity but did not improve survival^{11,34,35}. An important development in the treatment of aggressive lymphomas occurred when rituximab (R) demonstrated activity in phase II studies,^{36,37} leading to the development of phase III trials across the world comparing R-CHOP to standard CHOP. One of the earliest reports of survival benefit from the addition of R was from the Groupe d'Etude des Lymphomas de l'Adulte (GELA) demonstrating that patients over the age of 60 years with DLBCL treated with R-CHOP had superior outcomes compared to patients treated with CHOP where both regimens were given every 21 days³⁸. This study did not include patients over 80 years of age but did show a similar benefit for patients aged 60–70 years, 71–75 years, and 76–80 years³⁹. A US Intergroup trial in older patients (> 60 years), randomized to R-CHOP-21 or CHOP-21 with a second randomization in responders to maintenance R or observation demonstrated similar results^{40,41}. The 3-year failure-free survival rate was 53% for R-CHOP patients and 46% for CHOP patients (P=0.04) and no benefit was seen with maintenance R in the R-CHOP arm. R-CHOP has been well established as the standard induction regimen in DLBCL.

Given improvement in outcomes with the addition of R, the German High Grade Non-Hodgkin Lymphoma Study Group (DSHNHL) explored a dose-intense regimen incorporating R based on previous data demonstrating improved outcomes in older patients treated with CHOP given every 14 days (CHOP-14)⁴². The RICOVER-60 trial randomized elderly (61–80 years) patients to receive 6 or 8 cycles of CHOP-14 with or without R. Six cycles of R-CHOP-14 significantly improved EFS, PFS, and OS in comparison to 6 cycles of CHOP-14. In addition, 6 cycles of R-CHOP-14 was superior to 8 cycles of R-CHOP-14⁴³. This established R-CHOP-14 as the preferred regimen for elderly patients in Germany. A phase II study also assessed the feasibility and efficacy of a biweekly regimen, R-COMP-14 (rituximab, cyclophosphamide, non-pegylated liposome-encapsulated

doxorubicin, vincristine and prednisone) in elderly patients (median age 73, range 62–82) with poor-risk DLBCL who also had moderate to high cardiac comorbidity⁴⁴. Times to progression and OS at 4-years were 77% and 67%, respectively. The Age-adjusted Charlson Comorbidity Index (aaCCI) correlated with treatment failures with patients scoring 7 having a longer time to treatment failure (66% vs. 29%; $P=0.009$). Incidence of cardiac grade 3–5 adverse events was 17%. This demonstrates that R-COMP-14 is feasible in patients with cardiac co-morbidity.

The results of a randomized study comparing R-CHOP-14 to the standard R-CHOP-21 were presented by at the American Society of Clinical Oncology (ASCO) conference in 2011⁴⁵. In this trial, DLBCL patients (52% > 60 years of age) were randomized to either 8 cycles of standard R-CHOP-21 or 6 cycles of R-CHOP-14 plus granulocyte colony-stimulating factor (G-CSF) with two additional cycles of single agent R (similar to RICOVER-60). Overall response rates were similar between the 2 arms, and after a median follow-up of 37 months, PFS and OS were not significantly different. Subgroup analyses failed to identify a population of patients that benefited from the dose-dense R-CHOP. In particular, there was no benefit for R-CHOP-14 in the older age subgroup of DLBCL patients. At the 2011 American Society of Hematology (ASH) meeting, Dr. Pfreundschuh described a pharmacokinetically-optimized schedule of R-CHOP-14 that achieves high R levels early and maintains optimal serum levels over a prolonged period of time with 8 doses of R (SMARTE-R-CHOP-14)⁴⁶. This trial showed a benefit of SMARTE-R-CHOP-14 when compared to historical controls (RICOVER-60) and suggested that prior trials of R-CHOP-14 may have been compromised by the shorter exposure to R when 8 applications are given every 2 weeks. However, randomized data are needed to determine whether pharmacokinetically-optimized R-CHOP-14 may provide benefits for elderly patients over R-CHOP-21.

Important to highlight is the reference to elderly patients in these trials, often defined by older than 60 years of age and less than 80 years. GELA has performed a prospective, multicenter, phase II study of low-dose CHOP chemotherapy regimen and R in patients aged 80 years and older (median 83, range 80–95) with DLBCL⁴⁷. Patients received 6 cycles of R-miniCHOP (375 mg/m² rituximab, 400 mg/m² cyclophosphamide, 25 mg/m² doxorubicin, and 1 mg vincristine on day 1 of each cycle, and 40 mg/m² prednisone on days 1–5) at 3 week intervals. The intention to treat population included 149 patients. After a median follow-up of 20 months, median OS was 29 months, 2-year OS was 59%. Median progression-free survival (PFS) was 21 months, with a 2-year PFS of 47%. The majority of deaths (57%) were secondary to lymphoma progression, 12 of 58 (21%) deaths were attributed to treatment toxicity. The most frequent side-effect was hematological toxicity (grade 3 neutropenia 40%, febrile neutropenia 7%). Prophylactic G-CSF was left to the discretion of the treating physician. However, in the event of severe neutropenia or neutropenic fever, G-CSF was recommended from day 6 to 13 of the subsequent cycle or until neutrophils were $1.0 \times 10^9/L$. In multivariate analysis, the only parameter associated with worse outcome was low serum albumin concentration. Included in the initial assessment was the instrumental activities of daily living (IADL) scale. Without systematic comparison and the absence of a control arm, this study suggests that in selected patients older than 80 years with a good performance status (0–2), R-miniCHOP offers a compromise between efficacy and safety. However, PFS and OS at 2-years in this trial was lower than would be expected for standard CHOP-21 in patients >60 years, thus, this approach would benefit from comparison to standard CHOP-21 in this population given that most deaths were due to lymphoma progression.

FUTURE DIRECTIONS

Prospective studies directed at elderly patients are needed particularly for guiding treatment decisions. A particular area of need is in the relapsed or refractory setting. Younger patients who experience relapse will be evaluated for salvage therapy and high-dose therapy (HDT) with autologous stem cell transplant (ASCT). With better supportive care, consideration of HDT-ASCT in those who are medically fit is an option⁴⁸⁻⁵⁰. Standard salvage therapy can be difficult to administer in this patient population due to the feasibility of treatment with platinum therapy with diminished creatinine clearance. Given the limited options for elderly patients, referral for clinical trials is appropriate for many patients. Several small molecule inhibitors, antibodies, and other approaches are under study, and these therapies may provide the advantage of minimal toxicity⁵¹.

Several novel agents are under investigation many of which are rationally designed from knowledge gained from GEP analysis. Enzastaurin, a protein kinase c-beta inhibitor has been demonstrated in phase II study to prolong PFS in a subset of patients⁵² and a phase III trial incorporating this drug in first remission maintenance has been completed and is awaiting analysis. Several other pathway inhibitors have demonstrated activity in DLBCL targets include spleen tyrosine kinase (syk), Brutons tyrosine kinase, PI3K, and mTOR. Navitoclax is a high affinity small molecule inhibitor of the anti-apoptotic activity of BCL-2 and BCL-XL under investigation in DLBCL. Studies of aurora kinase inhibitors both as single agents and in combination are ongoing, based on the finding that aurora kinases are upregulated by c-Myc⁵³. Overexpression of NF- κ B is a potential target of the ABC subtype of DLBCL and bortezomib has been used to exploit this concept⁵⁴. Lenalidomide has shown significant activity in relapsed DLBCL, again higher responses are seen in the non-GCB subtype⁵⁵. As discussed these strategies hold promise for elderly patients as most appear to have limited toxicity or toxicity that does not overlap considerably with prior chemotherapy.

CONCLUSIONS

Though a number of retrospective analyses have been performed reporting outcomes in elderly patients, prospective data is limited. With the aging population, the prevalence of DLBCL particularly in elderly patients is expected to increase. Future study is needed not only to address treatment strategies but to define the biologic heterogeneity between younger and older patients with DLBCL so that more rational therapeutic design can be investigated. Several issues arise when addressing worse outcomes seen with elderly patients compared to their younger counterparts. Elderly patients often have more co-morbid illnesses, worse performance status, less hematologic reserve, and altered pharmacokinetics related to decreased metabolism and clearance of drugs. Clinical judgment, clearly contributes to determination as to whether patients are fit for aggressive treatment. Since it is well established that aggressive chemoimmunotherapy can provide cure to elderly patients, what is needed are objective tools to identify patients who safely benefit from aggressive therapy. Currently, there are no validated methods to prospectively identify elderly patients fit enough to receive the same treatment as younger patients. The first question that should be asked by providers is whether or not their patient can be treated with R-CHOP as this is the current standard induction therapy for medically fit patients. For those who are not candidates for R-CHOP, prospective data support the use of R-miniCHOP or R-COMP-14 as possible alternatives. Supportive measures such as prophylaxis with G-CSF are recommended. Novel agents that are currently under investigation are promising in that they offer a rational approach for biologically-targeted therapy with the advantage of improved tolerability. Prospective studies are needed that include elderly patients (including those over the age of 80) to further guide treatment decisions.

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