

# FORUM REVIEW ARTICLE

# Mitochondrial Plasticity in Obesity and Diabetes Mellitus

Tomas Jelenik<sup>1</sup> and Michael Roden<sup>1,2</sup>

# Abstract

*Significance:* Insulin resistance and its related diseases, obesity and type 2 diabetes mellitus (T2DM), have been linked to changes in aerobic metabolism, pointing to a possible role of mitochondria in the development of insulin resistance. *Recent Advances:* Refined methodology of *ex vivo* high-resolution respirometry and *in vivo* magnetic resonance spectroscopy now allows describing several features of mitochondria in humans. In addition to measuring mitochondria function at baseline and after exercise-induced submaximal energy depletion, the response of mitochondria to endocrine and metabolic challenges, termed mitochondrial plasticity, can be assessed using hyperinsulinemic clamp tests. While insulin resistant states do not uniformly relate to baseline and post-exercise mitochondrial function, mitochondrial plasticity is typically impaired in insulin resistant relatives of T2DM, in overt T2DM and even in type 1 diabetes mellitus (T1DM). *Critical Issues:* The variability of baseline mitochondrial function in the main target tissue of insulin action, skeletal muscle and liver, may be attributed to inherited and acquired changes in either mitochondrial quantity or quality. In addition to certain gene polymorphisms and aging, circulating glucose and lipid concentrations correlate with both mitochondrial function and insulin sensitivity, the question of a causal relationship between compromised mitochondrial plasticity and insulin resistance in the development of obesity and T2DM remains to be resolved. *Antioxid. Redox Signal.* 19, 258–268.

# Introduction

**O**BESITY IS DRAMATICALLY INCREASING in various regions of the world and has evolved as one of the leading risk factors for premature mortality, mainly due to accelerated atherosclerosis and macrovascular disease. Impaired insulin action (*i.e.*, insulin resistance) and inadequate insulin secretion are frequently observed in obesity. Particularly, insulin resistance also precedes the onset of type 2 diabetes mellitus (T2DM) by many years (78), indicating its predominant role in linking obesity, T2DM, and cardiovascular disease. The pathogenesis of obesity-related insulin resistance is a complex and multifactorial process involving the sequential interplay of several tissues. The current key hypotheses explaining the underlying mechanisms are: (i) the fatty acids hypothesis (lipotoxicity); (ii) the endocrine/inflammatory hypothesis; (iii) the overflow hypothesis, and (iv) mitochondrial hypothesis.

Increased availability of lipids and inflammatory processes contribute to the pathogenesis of obesity-related insulin resistance and T2DM (40). Moreover, proper mitochondrial functioning seems to contribute to the regulation of insulin sensitivity and secretion. Consequently, processes impairing mitochondrial function would lead to disturbed energy homeostasis with insulin resistance and deficiency (42). Indeed, impaired mitochondrial function has been associated with alterations of (i) glucose and fatty acid metabolism, (ii) production of electron transport system (ETS)-related reactive oxygen species (ROS), (iii) ATP-mediated insulin secretion from  $\beta$ -cells, (iv) synthesis of ATP for energy-consuming functions (*i.e.*, insulin-stimulated glucose uptake), (v) exerciseinduced production of ATP and aerobic capacity and, finally, (vi) calcium homeostasis which impacts on exercise-mediated glucose uptake (51).

Despite these associations supporting a critical role of mitochondria in glucose metabolism, the literature also provides controversial data, which could result from using different methods and terminologies (75). The present review summarizes the recent data on the associations between alterations in muscular and hepatic mitochondrial plasticity and insulin resistance in humans. In particular, some relevant endocrine and metabolic effects on mitochondrial plasticity will be reviewed, while the molecular regulation during aging and exercise training is beyond the scope of this review and will not be addressed.

# **Mitochondrial Plasticity**

In animals, mitochondria are responsible for oxidative phosphorylation (OXPHOS), the main process of energy and fuel homeostasis regulation. Normally, mitochondria are

<sup>&</sup>lt;sup>1</sup>Institute for Clinical Diabetology, German Diabetes Center, Düsseldorf, Germany.

<sup>&</sup>lt;sup>2</sup>Department of Metabolic Diseases, University Clinics Düsseldorf, Heinrich-Heine University, Düsseldorf, Germany.

"plastic" (*i.e.*, they respond rapidly and adequately to metabolic alterations to meet the actual needs of the respective tissues). Recently, the term mitochondrial plasticity was introduced to define changes of mitochondrial activity or oxidative capacity in response to various metabolic conditions created by diet, exercise, insulin, and drugs (75). Of note, these changes could result from alterations of individual mitochondrial function and/or overall mitochondrial content.

Mitochondrial plasticity can be assessed by comparing the following three parameters under basal conditions and during stimulation (*e.g.*, by exogenous lipids or exercise training) (75):

- (i) In vivo mitochondrial activity, assessed by <sup>31</sup>P-magnetic resonance spectroscopy (MRS) (69), represents unidirectional flux through ATP synthase and thereby resting oxidative phosphorylation at low ADP concentrations, depending on substrate/oxygen availability and demand.
- (ii) In vivo submaximal ADP-stimulated oxidative phosphorylation, also assessed by <sup>31</sup>P-MRS, represents the rate of phosphocreatine (PCr) re-synthesis (35) upon submaximal exercising and is also influenced by substrate/oxygen flux controlling processes.
- (iii) Ex vivo oxidative capacity, assessed from oxygen consumption rates in isolated mitochondria or permeabilized fibers, represents maximal ADP-stimulated oxidative phosphorylation reflecting maximal energy demand at unlimited substrate/oxygen supply (23).

#### Mitochondrial Plasticity in Insulin-Resistant States

As insulin resistance is predicting and preceding the onset of T2DM (78), it is of interest to compare mitochondrial function with insulin sensitivity in cohorts of variable insulin sensitivity and at increased risk of T2DM.

# Mitochondrial plasticity during aging

The aging-related decline in insulin sensitivity is held responsible for the greater risk of T2DM in the elderly (52, 70). Impaired insulin sensitivity was found in some elderly cohorts (52, 76), who were matched for physical activity to younger controls, but physical activity was not assessed using the state-of-the-art method, maximal oxygen uptake (Vo<sub>2</sub>max). However, studies successfully matching the groups for Vo<sub>2</sub>max revealed no difference in insulin sensitivity between young and elderly (14), supported by observation that insulin sensitivity as well as mitochondrial oxidative capacity improve after exercise in elderly insulin-resistant patients with T2DM and age-matched normoglycemic controls (55). In contrast to insulin sensitivity, mitochondrial function is consistently associated with aging, even after matching groups for physical activity. In vivo mitochondrial activity (52), insulin-stimulated mitochondrial plasticity (76), as well as ex vivo mitochondrial oxidative capacity (70), are impaired in elderly, which is in some cases accompanied with increased intra-myo/hepatocellular (52) or body fat content (70). Other reports on the impact of age on mitochondrial plasticity at different levels have been recently reviewed (25) and suggest that decreased mitochondrial function directly results from biological aging. Indeed, mitochondrial hypotheses of aging postulate that oxidative damage of mitochondrial DNA and

enzymes accumulates during life, with subsequent negative effects on mitochondrial processes such as ETS and ATP synthesis (24). Thus, unlike mitochondrial plasticity, impaired insulin sensitivity in elderly seems to result from decreased physical activity and increased body fat content, suggesting that compromised mitochondrial function and insulin resistance are not necessarily causally interrelated in aging.

# Mitochondrial plasticity in first-degree relatives of patients with T2DM

First-degree relatives (FDR) are frequently insulin resistant and at greater risk of T2DM. Young, lean, but severely insulinresistant FDR have  $\sim$  30% lower *in vivo* flux through muscular ATP synthase than insulin-sensitive otherwise matched controls in line with impaired basal mitochondrial activity (53). FDR with marginally lower insulin sensitivity only show a nonsignificant tendency of lower in vivo submaximal oxidative phosphorylation and ex vivo mitochondrial oxidative capacity than age-matched controls (56). Likewise, we reported that insulin-sensitive FDR have similar in vivo mitochondrial activity rather than carefully matched controls (29). Of note, both studies found that associations of mitochondrial function with  $Vo_2max$  were strong, but weaker (29) or absent (56) with insulin sensitivity. Also, higher age and different gender distribution, both known to modulate mitochondrial function (25, 32), could contribute to the heterogeneous results obtained in FDR. Furthermore, lower mitochondrial function related to lower mitochondrial content assessed by electron microscopy (45), but not to changes in mitochondrial DNA content (45, 56) or citrate synthase activity (56). The key regulators of mitochondrial biogenesis, PGC-1 $\alpha$  and PGC-1 $\beta$ , were decreased in older and overweight FDR (50), but not altered in young and lean FDR (45). Thus, other factors need to be taken into account to explain lower mitochondrial content in insulin resistant FDR. Noteworthy, lean young FDR have lower mRNA and protein levels of muscle lipoprotein lipase (LPL), which further positively correlates with mitochondrial density (46). Moreover, knocking-down either LPL or peroxisome proliferator–activated receptor (PPAR)- $\delta$  in human myocytes reduces mitochondrial density, expression of mitochondrial proteins, and oxidative capacity (46), suggesting that decreased free fatty acid (FFA)-mediated PPAR- $\delta$  activation could contribute to impaired mitochondrial function in FDR (Fig. 8A). Impairment of myocellular mitochondrial activity also associates with decreased mitochondrial substrate oxidation, TCA activity and augmented intramyocellular content of lipids such as diacylglycerols (4, 53), which in turn relates to increased serine phosphorylation of insulin receptor substrate (IRS) (45). This led to the hypothesis that an inherited defect in the activity of mitochondrial oxidative phosphorylation causes dysregulation of myocellular fatty acid metabolism with subsequently impaired insulin signalling (4, 65) (Fig. 1).

Insulin-resistant FDR also showed lower mitochondrial plasticity from diminished insulin-stimulated *in vivo* flux through ATP synthase, which further associated with lower insulin-stimulated rises of myocellular  $P_i$  but not PCr/ATP concentrations (54). This suggests that reduced  $P_i$  supply, possibly due to decreased phosphate transport, accounts for the compromised mitochondrial plasticity in insulin-resistant FDR. We further studied mitochondrial plasticity in a cohort of FDR with low degree of insulin resistance employing short-

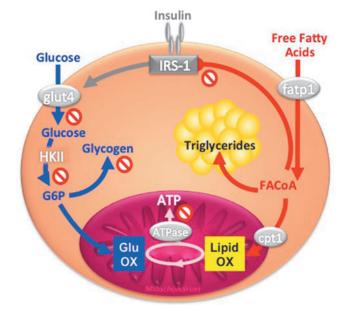


FIG. 1. Interaction between glucose and lipids at the level of insulin signaling and mitochondrial function in skeletal muscle. Glucose is taken up *via* glucose transporter 4 (glut4) into the myocyte, activated to glucose-6-phosphate (G6P), and then oxidized in the mitochondria or stored as glycogen. Free fatty acids are taken up *via* fatty acid transporter protein 1 (fatp1) into the myocyte, activated to fatty acyl coenzyme A (FACoA), and then transported by the carnitine palmitoyl-transferase 1 (cpt1) into mitochondria for oxidation (OX), or stored as triyglycerides, or inhibit insulin signaling by serine phosphorylation of IRS-1. Both glucose and lipid OX fuel the tricarboxylic acid cycle and serve to produce ATP *via* ATP synthase (ATPase). To see this illustration in color, the reader is referred to the web version of this article at www .liebertpub.com/ars

and long-term exercise training (29, 30). *In vivo* flux through ATP synthase increased with both training interventions, while insulin sensitivity improved only upon short-term exercise training. The beneficial effects on mitochondrial plasticity were present only in some FDR and did not depend on common single nucleotide polymorphisms of PGC1 $\alpha$  but on the G/G gene polymorphism of the NADH dehydrogenase (ubiquinone) 1ß subcomplex (NDUFB6) rs540467 gene, a component of complex I of the ETS. These data show that the role of mitochondrial plasticity for the adaptation of insulin sensitivity to environmental demand may depend on inherited factors. However, it remains unclear whether impaired plasticity results from primary inherited mitochondrial defects or from cellular accumulation of deleterious lipids (Fig. 8A).

### Mitochondrial Plasticity in Overt T2DM

T2DM is a heterogeneous disease, which is currently defined by a certain degree of hyperglycemia and explained by insulin resistance and relative insulin deficiency. Here, we focus on mitochondrial function in liver and muscle (75).

#### Skeletal muscle

Already 40 years ago, evidence was provided for decreased activity of mitochondrial TCA enzymes in skeletal muscle of

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humans with T2DM (3). However, not all subsequent studies found compromised mitochondrial function in muscles of insulin-resistant patients with T2DM. While mitochondrial area and activity of ETS enzymes were decreased in T2DM, and the size of mitochondria positively correlated with insulin sensitivity (33), such correlation disappeared after matching subjects for their physical activity (13), known to stimulate mitochondrial density (79). Human muscle biopsies revealed that mRNA expression of genes encoding key enzymes of oxidative metabolism and mitochondrial function, such as PGC-1 $\alpha$ , PGC-1 $\beta$ , and nuclear respiratory factor-1 (NRF-1)-dependent genes (50), as well as activity of NADH oxidase (63), are lower in patients with T2DM (Fig. 8A). Another modulator of mitochondrial activity is mammalian target of rapamycin complex 1 (mTORC1) pathway. Inhibition of mTORC1 resulted in decreased mRNA of PGC-1 $\alpha$  and its target genes along with lower oxygen consumption in C2C12 myotubes (15). Patients with T2DM have increased muscle mTORC1 levels, again implying that mitochondrial function can dissociate from insulin sensitivity and/or is under control of other signaling pathways (39). Interestingly, fasting (22) or administration of resveratrol (59) induce PGC-1a, mitochondrial genes, and fatty acid oxidation in myotubes by activating AMP-activated protein kinase (AMPK) and sirtuins, a family of NAD<sup>+</sup>-dependent acyltransferases (Fig. 8A). Although resveratrol improves insulin sensitivity (7), the relationship between insulin resistance in human T2DM and sirtuins or AMPK is not yet clear.

In addition to studies on enzyme activities and expression levels, ex vivo studies reported lower oxidative capacity in T2DM. Also, ADP-stimulated state 3 respiration was lower in permeabilized muscle fibers from patients with T2DM than in matched controls (56), but not after normalizing the rate of oxygen consumption to mitochondrial DNA copy number (5). But even mitochondria isolated from muscles of patients with T2DM had decreased pyruvate plus malate-driven state 3 mitochondrial oxidative capacity (44). While the majority of these data suggest impaired mitochondrial density and/or function in overt T2DM, ex vivo rates of ATP synthesis and OXPHOS capacity were similar in mitochondria isolated from muscles of healthy humans and Asian Indians with T2DM (48). Although the Asian Indians were more insulin resistant, their OXPHOS capacity was even greater compared with Northern European Americans, again indicating dissociation of mitochondrial function and insulin sensitivity.

Further studies measured features of myocellular mitochondria in vivo, which offer the possibility to examine mitochondrial function in their intact cellular and metabolic environment. Submaximal oxidative phosphorylation was also decreased in T2DM compared to age- and BMI-matched controls (56) and associated with insulin resistance, but not with intramyocellular lipids. PCr recovery kinetics did not differ between controls and patients at early and advanced stages of T2DM (16). Of note, submaximal oxidative phosphorylation positively correlated with insulin sensitivity across a larger cohort, but this relationship was lost within T2DM (2). Discrepancies between these studies may result from differences in exercise tolerance or load used to deplete PCr, variable degree of muscular acidosis, which may affect the rate of PCr re-synthesis. Of note, in vivo flux through ATP synthase at rest was lower in T2DM than in young, but not carefully matched humans without T2DM (76). Nevertheless, the observed heterogeneity could reflect the multifactorial pathogenesis of T2DM.

#### MITOCHONDRIAL PLASTICITY IN OBESITY AND DIABETES

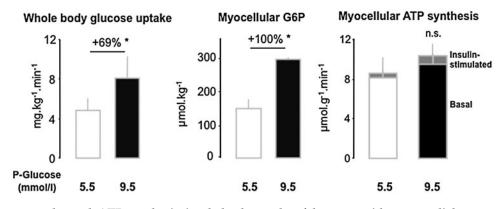
These studies did not clarify whether mitochondrial function per se or density might be impaired or compensatory altered in T2DM. But even normalization of mitochondrial function for mitochondrial content revealed functional impairment in some (44, 56, 63) but not all studies in T2DM (5, 33). These discrepancies might be due to the use of indirect markers of density such as mitochondrial DNA content or citrate synthase activity, which is affected by acute exercise (18) and hyperinsulinemia (73). Direct quantification of mitochondrial content in situ by transmission electron microscopy showed lower mitochondrial content in insulin-resistant humans with as well as without T2DM. This was explained by reduced intermyofibrillar mitochondrial subpopulations (13), in contrast to a study previous showing reduced subsarcolemmal mitochondrial content in T2DM (64). Again, the observed discrepancy could be the result of methodological differences (13).

Only a few studies specifically address mitochondrial plasticity (e.g., by measuring the effects of insulin on myocellular mitochondrial function) in T2DM. Mitochondria isolated from skeletal muscle of young, lean, healthy subjects respond to high physiologic insulin concentrations with increases in ATP synthesis and expression of several ETS enzymes, both of which were absent in mitochondria obtained from T2DM patients (73). Similarly, we observed that insulin does not stimulate unidirectional flux through ATP synthase in vivo in insulin-resistant patients with T2DM compared to both body mass- and physical activity-matched controls at comparable or younger age (76). Myocellular ATP synthetic rate did not rise during hyperinsulinemic-hyperglycemic clamp conditions, which doubled rates of whole-body glucose uptake and myocellular glucose-6-phosphate (G6P) levels (Fig. 2A and 2B). Thus, impaired stimulation of ATP turnover did not simply result from lower substrate availability but rather from diminished mitochondrial plasticity in T2DM (Fig. 2C) (76).

# Liver

Proteomic analysis revealed distinct tissue-dependent variability in mitochondrial protein composition, suggesting that mitochondria may also differ functionally (21). Indeed, both ex vivo studies in isolated mitochondria and in vivo <sup>31</sup>P-MRS showed that the OXPHOS pathway is thermodynamically more efficient (10) with 3-fold greater ATP turnover in liver than in skeletal muscle (68). Deterioration of mitochondrial OXPHOS capacity could lower lipid oxidation and raise lipid accumulation, thereby causing or contributing to hepatic insulin resistance and steatosis. Using a noninvasive <sup>31</sup>P/<sup>1</sup>H-MRS technique, we found that hepatic energy metabolism is impaired in longstanding T2DM (74). Impaired whole-body insulin sensitivity in these subjects was associated with decreased liver ATP content compared to young, lean as well as age- and BMI-matched controls (Fig. 3A and 3B). Furthermore, basal ATP synthesis was also lower in T2DM (69) and negatively correlated with hepatic insulin resistance (Fig. 3C). Obese patients with T2DM also have lower hepatic expression of OXPHOS genes and exhibit a negative correlation of  $\gamma$ -subunit of ATP synthase with hepatic fat content (57). In other obese subjects, insulin resistance not only correlated with hepatic diacylglycerol content but also with markers of endoplasmic reticulum stress (38), which can impair mitochondrial function (41). However, less obese patients with T2DM can have greater hepatic OXPHOS expression than glucose-tolerant humans (43). Although this does not necessarily reflect greater hepatic mitochondrial function, more studies are needed to study the dynamic role of hepatic mitochondrial function during the development of obesity and T2DM. Of note, animal studies provide evidence for various modulators of hepatic mitochondrial function; for example, impaired insulin signaling in the liver-specific IRS-1/IRS-2 knock-down mice dysregulates mitochondrial biogenesis, morphology, and function by inhibition of forkhead box O1 (Foxo1) (12) and high-fat diet (HFD)-induced steatosis associates with decreased activity of sirtuins and components of ETS (36) (Fig. 8B).

Independent of differences resulting from measurement, genes, or aging, the metabolic environment could contribute to alterations of mitochondrial function in insulin-resistant states. In detail, *in vivo* insulin-stimulated myocellular ATP synthesis correlates inversely with both degree of hyperglycemia as assessed from hemoglobin A1c levels (Fig. 4A) and of



**FIG. 2. Glucose uptake and ATP synthesis in skeletal muscle of humans with type 2 diabetes mellitus.** Insulinstimulated whole body glucose disposal is about 50% lower in type 2 diabetes than in humans with normal glucose tolerance, which might lead to lower myocellular concentrations of substrates for oxidation such as glucose-6-phosphate (G6P) and thereby lower rates of ATP synthesis (*white columns*). However, when plasma glucose levels were increased from 5.5 to 9.5 mmol/l during hyperinsulinemic-hyperglycemic clamp tests, the doubling to myocellular G6P, insulin-stimulated ATP synthesis (mitochondrial plasticity) did not improve (*black columns*) (76).

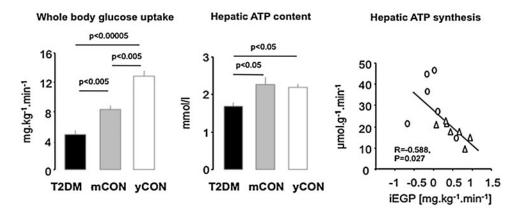


FIG. 3. Glucose uptake and hepatocellular ATP content and synthesis in humans with type 2 diabetes mellitus (T2DM), in age, sex, and body mass-matched (mCON) and in young (yCON) healthy humans. Whole body glucose uptake is about 50% lower in T2DM (*black column*) than in mCON (*gray column*), and substantially lower than in yCON (*white column*). Myocellular ATP content is reduced in T2DM and ATP synthesis correlates inversely with hepatic insulin resistance as given by endogenous glucose production during suppression by insulin (iEGP) (69, 74).

hyperlipidemia, as assessed from postabsorptive plasma FFA concentrations (Fig. 4B).

#### **Glucose-Induced Changes in Mitochondrial Plasticity**

Chronic hyperglycemia is currently held responsible for the vast majority of microvascular and, to a minor degree, for macrovascular consequences of diabetes, but also for promoting insulin resistance and ß-cell dysfunction (67). Uncontrolled (excessively hyperglycemic) patients with type 1 diabetes mellitus (T1DM) display lower ex vivo rates of ATP production and altered mitochondrial gene expression profile in skeletal muscle (31). Of note, ex vivo mitochondrial respiration negatively correlates with fasting plasma glucose levels and near-normalization of glycemia by insulin improved respiration in T2DM patients with severe hyperglycemia (>15 mmol/l) (61). Recently, we showed that even near-normoglycemic patients with longstanding T1DM show substantial insulin resistance with impaired insulinstimulated myocellular G6P concentrations, as well as compromised mitochondrial plasticity (28) (Fig. 5A and 5B). Moreover, in vivo myocellular ATP synthesis correlated positively with insulin sensitivity and negatively with glycemic control, as assessed from hemoglobin A1c levels (Fig.

4A). Thus, chronic hyperglycemia *per se* may deteriorate mitochondrial plasticity and thereby contribute to insulin resistance.

# Lipid- and Obesity-Induced Changes in Mitochondrial Plasticity

Hypercaloric nutrition and obesity are frequently associated with hyperlipidemia (*i.e.*, elevation of plasma triglycerides and FFA) and insulin resistance, and may lead to T2DM (65). Specifically, circulating FFA and/or their intracellular metabolites inhibit insulin signaling (Fig. 1) by several mechanisms including lipotoxic and inflammatory pathways (65, 66). Because of their critical role for fat oxidation, mitochondria may also be involved in lipid-induced insulin resistance, which occurs rapidly in the presence of high FFA (acute hyperlipidemia) or may evolve slowly during the development of obesity (chronic hyperlipidemia).

### Effect of acute hyperlipidemia

*In vitro*, primary human myocytes respond to physiologically increased palmitate concentrations with decreased ATP synthesis, oxygen consumption, mitochondrial complex activities, and membrane potential (1). Likewise,

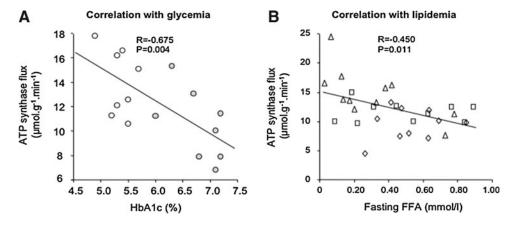
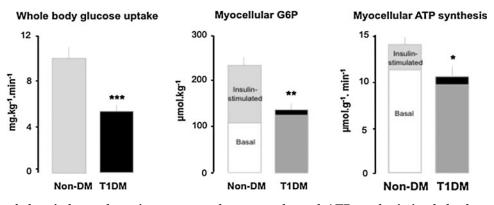


FIG. 4. Correlation of mitochondrial plasticity with glycemia and lipidemia. Insulin-stimulated myocellular unidirectional ATP synthase flux (mitochondrial plasticity) negatively correlates with (A) glycemic control as assessed from hemoglobin A1c (28), and with (B) plasma concentrations of free fatty acids (FFA) in the fasted state (76).



**FIG. 5.** Effect of chronic hyperglycemia per se on glucose uptake and ATP synthesis in skeletal muscle of T1DM. Insulin-stimulated whole body glucose disposal is about 50% lower in T1DM (*dark bars*) than in humans with normal glucose tolerance (Non-DM, *light bars*). In Non-DM, stimulation by insulin markedly increases myocellular concentrations of sub-strates for oxidation such as glucose-6-phosphate (G6P) and thereby rates of ATP synthesis (mitochondrial plasticity). In T1DM, both G6P and ATP synthesis do not adequately rise during insulin stimulation (28).

palmitate-overloading impaired  $\beta$ -oxidation and promoted intramyocellular lipid accumulation in myotubes from patients with T2DM compared with controls (37). In order to examine the time course of FFA-dependent changes in insulin sensitivity and mitochondrial plasticity, we monitored whole body glucose uptake (Fig. 6A), in vivo G6P levels and rates of ATP synthesis (Fig. 6B) in skeletal muscle during 6-hour lipid infusions in healthy humans. Within 3 hours, plasma FFA elevation impaired insulin sensitivity by inhibiting insulinstimulated glucose uptake and myocellular G6P but did not affect ATP synthesis (9). Only at 6 hours, insulin-stimulated ATP synthesis was  $\sim 24\%$  lower, indicating that impaired mitochondrial plasticity is a consequence of insulin resistance during acute hyperlipidemia (8). Furthermore, 48 hours of lipid infusion decreased the expression of PGC-1 and nuclear encoded mitochondrial genes, along with decreased glucose uptake (62) and 3-day HFD downregulated muscular OXPHOS genes, ETS components, mitochondrial carrier proteins, and stimulators of mitochondrial biogenesis, even in

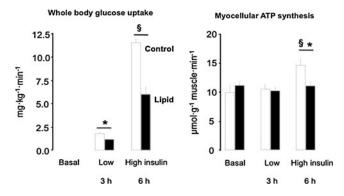


FIG. 6. Effect of hyperlipidemia on glucose uptake and myocellular ATP synthesis in healthy humans. Whole body glucose uptake increases depending on the concentration of the plasma insulin (insulin sensitivity) under control conditions (*white columns*), but is about 50% lower in the presence of elevated serum triglycerides and free fatty acids (insulin resistance, *black columns*). Myocellular ATP synthesis (mitochondrial plasticity) increases only at a high insulin concentrations (8, 9).

lean humans (72). Intermediate duration of HFD revealed inconsistent data, showing greater muscular expression of genes regulating lipid oxidation after 5 days (6) and no effect on *in vivo* submaximal ADP-stimulated oxidative phosphorylation after 7 days (17). However, these dietary interventions also failed to show effects on insulin sensitivity. Thus, the available evidence indicates that acute hyperlipidemia first causes insulin resistance followed by impaired mitochondrial plasticity in skeletal muscle of lean humans.

# Effect of chronic hyperlipidemia associated with obesity

Unlike lean, obese people are metabolic inflexible in that they do not respond appropriately to metabolic stimuli such as dietary fat intake with greater fat oxidation (34). Thus, HFD neither increases whole-body lipid oxidation nor expression of genes of lipid oxidation (6) in obese humans. Similar to some T2DM cohorts, obese individuals display decreased size (33) and content of muscular mitochondria (13, 64) without evidence for changes in one specific subpopulation of mitochondria. Transcription levels of genes encoding components of OXPHOS were also lower in adipose tissue of obese than in their non-obese monozygotic twins (47), pointing to the predominant role of acquired factors driving abnormalities in energy metabolism. Reduced mitochondrial function was confirmed in obese humans ex vivo in some studies on oxidative capacity (11) but not by bioluminescence assays (32). Interestingly, already obese children may have reduced in vivo submaximal ADP-stimulated oxidative phosphorylation, which associates with insulin resistance but not with obesity per se (20).

Aside from longstanding obesity, the large variety of diets due to unlimited combinations of dietary FFA species will have various effects on lipid bioavailability and distribution with complex consequences for cellular energy metabolism. There is growing evidence from mouse studies that lipid class, saturation index, and/or chain length of FFA differently affects insulin sensitivity and mitochondrial plasticity. For example, treatment of rat muscle cells with fatty acids of varying degree of saturation revealed that only saturated fatty acids, such as palmitate, impair both insulin response and ATP synthesis (26). The mono-unsaturated fatty acid oleate dose-dependently protected from palmitate-induced inhibition of insulin signaling and induction of ROS production in rat hepatocytes (49). Combining HFD enriched in n-3 polyunsaturated fatty acids (n-3 PUFA) and caloric restriction resulted in synergistic increase in mitochondrial oxidative capacity and lipid catabolism in adipocytes of mice (19). Eicosapentaenoic fatty acid rescued impaired mitochondrial oxidative capacity in LPL-deficient myotubes, probably *via* PPAR- $\delta$ -mediated activation of mitochondrial biogenesis (46). We showed that raising the ratio of dietary n-3 PUFA prevents HFD-induced reduction of palmitate oxidation in mouse hepatocytes (Fig. 7), which was dependent on (AMPK) and associated with improvements of insulin sensitivity and liver lipid content (27).

In summary, short-and long-term hyperlipidemia can impair muscular mitochondrial function and plasticity. But these changes do not seem to cause lipid-mediated insulin resistance but rather arise as a consequence of the altered cellular metabolism.

### **Conclusions and Future Directions**

Some nondiabetic but insulin-resistant cohorts such as severely insulin-resistant FDR show reduced myocellular mitochondrial density, function, and plasticity (4, 45, 53, 54, 56). In less insulin-resistant FDR (29, 56) or women with a history of gestational diabetes, pGDM (60) do not show abnormal basal mitochondrial function. Thus, abnormalities of mitochondrial function do not necessarily precede insulin resistance or T2DM, which is supported by findings that muscle- and liver-specific deficiency of OXPHOS does not cause insulin resistance, at least in mice (58, 80). However, it is uncertain whether insulin-sensitive FDR and pGDM also show altered mitochondrial plasticity or will develop T2DM later in their lives. At least, in some certain variants of

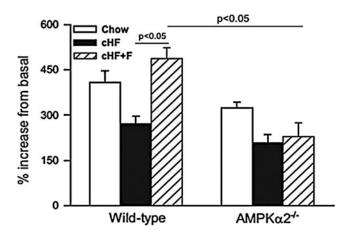


FIG. 7. Diverse effects of high-fat diets differing in the degree of saturation on palmitate oxidation in hepatocytes and involvement of AMPK. Stimulation of palmitate oxidation by AMPK activator 5-aminoimidazole-4-carbox-yamide ribonucleoside (AICAR) is decreased in primary hepatocytes isolated from wild-type mice fed high-fat diet (cHF, *black columns*) for 9 weeks compared to low-fat diet (Chow, *white columns*) fed mice. Addition of polyunsaturated fatty acids into diet (cHF+F, *dashed columns*) prevents this decrease. Beneficial effects of feeding by cHF+F diet are lost in mice lacking  $\alpha$ 2 subunit of AMPK (AMPK $\alpha$ 2-/- mice) (27).

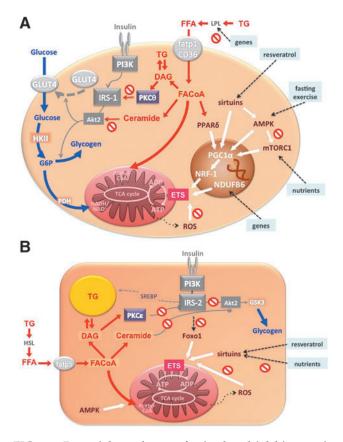


FIG. 8. Potential regulators of mitochondrial biogenesis and function. In the myocyte (A), glucose is taken up via glucose transporter 4 (glut4), activated to glucose-6-phosphate (G6P), and then oxidized in the mitochondria or stored as glycogen. Free fatty acids are taken up via LPL and fatty acid transporter proteins (fatp1, CD36) and activated to fatty acyl coenzyme A (FACoA). FACoA can be oxidized in the mitochondria or stored as triglycerides or can favor the formation of diacylglycerols (DAG) and/or ceramides, thus inhibiting insulin signaling by protein kinase C- $\theta$  (PKC $\theta$ )/IRS-1 pathway and/or protein kinase B-2 (Akt2) phosphorylation, respectively. Both glucose and lipid oxidation fuel the tricarboxylic acid cycle and serve to produce ATP. Black dashed arrows represent genetic predispositions and lifestyle interventions affecting mitochondrial biogenesis/function via different mechanisms (white arrows): inherited factors associate with decreased LPL activity and PPAR $\delta$ -mediated mitochondrial biogenesis; single nucleotide polymorphism of NDUFB6 gene predisposes to impaired mitochondrial plasticity in response to exercise; and resveratrol, fasting/exercise, and nutrients increase mitochondrial biogenesis/function by increasing PGC1a activity via sirtuins, AMPK, and mTORC1, respectively. ROS have been associated with decreased mitochondrial function. In hepatocytes (B), free fatty acids are taken up via fatty acid transporter protein 5 (fatp5), activated to FACoA, and undergo similar metabolic pathway as in the myocyte. Decreased activity of IRS-2 associates with lower Foxo1 and mitochondrial function. Resveratrol increases while overloading with nutrients decreases sirtuins and mitochondrial biogenesis. Finally, ROS impact negatively on the function of mitochondria. Acetyl-CoA, acetyl coenzyme A; ADP, adenosine diphosphate; GSK3, glycogen synthase kinase 3; HKII, hexokinase II; HSL, hormone sensitive lipase; PDH, pyruvate dehydrogenase; PI3K, phosphatidylinositol 3 kinase; SREBP, sterol regulatory element binding protein; TG, triglyceride. To see this illustration in color, the reader is referred to the web version of this article at www.liebertpub.com/ars

mitochondrial diabetes, the inherited mtDNA mutation seems to cause both impaired myocellular mitochondrial plasticity and insulin resistance (77). Of note, patients with congenital mutations of insulin receptor and severe insulin resistance can secondarily develop impaired *in vivo* mitochondrial function (71). Similarly, mice with liver-specific downregulation of IRS-1/IRS-2 are insulin resistant and show dysregulated mitochondrial biogenesis and function (12), supporting the hypothesis that insulin resistance leads to impaired mitochondrial function (Fig. 8B).

Acutely, excessive lipid availability induces insulin resistance, which can be followed by lower mitochondrial plasticity (Fig. 6) (8, 9). Chronic elevation of lipid availability during the development of obesity seems to induce an adaptation of mitochondrial oxidative capacity in that it increases proportionally with the degree of obesity in insulinsensitive humans but falls in the most obese at the onset of insulin resistance (11). The concept of such adaptation has been supported by findings in animal models on HFD and in human athletes in whom myocellular lipid accumulation is associated with high oxidative capacity and insulin sensitivity. HFD- and obesity-induced activity of mTORC1, a positive regulator of mitochondrial biogenesis in muscle (15), could be a link between nutrient overload and increased mitochondrial function (39) (Fig. 8A). However, all these models do not mirror human hyperlipidemia and obesity, which may exhibit differences in amount and composition of cellular lipids inhibiting insulin signaling (e.g., diacylglycerols or ceramides), and in production of ROS and lipid peroxides. For example, ex vivo treatment of skeletal muscle cells with physiological concentrations of palmitate suppressed, while lower palmitate levels improved ATP production (1).

Finally, the contributions of various insulin-sensitive tissues to the pathogenesis of T2DM may vary. In glucosetolerant women with pGDM, liver lipid storage rather than muscular mitochondrial activity correlated with insulin sensitivity (60), indicating that abnormal hepatic rather than muscular energy metabolism might be present in certain groups at increased risk of T2DM, as suggested for overt T2DM (69, 74).

In conclusion, insulin resistance can impair mitochondrial function or vice versa, but both abnormalities are not always causally related. The different findings cannot be exclusively attributed to differences in the employed methods or protocols, but also result from the multifactorial alterations underlying the heterogeneous condition, currently defined as T2DM. Alterations of the intracellular lipid profile, maybe resulting from inadequate fatty acid oxidation, can impair insulin signaling and thereby serve as a causal link between abnormal mitochondrial function and insulin resistance.

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# Author Disclosure Statement

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Address correspondence to: Dr. Michael Roden Institute for Clinical Diabetology German Diabetes Center Department of Metabolic Diseases University Clinics Heinrich-Heine University Auf m Hennekamp 65 D-40225 Düsseldorf Germany

E-mail: michael.roden@ddz.uni-duesseldorf.de

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# **Abbreviations Used** AICAR = 5-aminoimidazole-4-carboxyamide ribonucleoside AMP = adenosine monophosphate AMPK = AMP-activated protein kinase ATP = adenosine triphosphate ATPase = ATP synthase BMI = body mass index cpt1 = carnitine palmitoyltransferase 1 ETS = electron transport system FACoA = fatty acyl coenzyme A fatp1 = fatty acid transporter protein 1 FDR = first-degree relatives FFA = free fatty acids Foxo1 = forkhead box O1 G6P = glucose-6-phosphateglut4 = glucose transporter 4 HFD = high-fat dietiEGP = insulin-supressed endogenous glucose production IGT = impaired glucose tolerance IRS-1 = insulin receptor substrate-1 LPL = lipoprotein lipase mCON = body mass-matched controls MRS = magnetic resonance spectroscopy mTOR C1 = mammalian target of rapamycin complex 1 n3-PUFA = n-3 polyunsaturated fatty acids NADH = nicotinamide adenine dinucleotide NAFLD = non-alcoholic fatty liver disease NGT = normal glucose tolerance NRF-1 = nuclear respiratory factor-1 OX = oxidationOXPHOS = oxidative phosphorylation $P_i = phosphate$ PCr = phosphocreatinePGC = peroxisome proliferator-activated receptor-gamma coactivator pGDM = previous gestational diabetes mellitus PPAR = peroxisome proliferator-activated receptor ROS = reactive oxygen species SFA = saturated fatty acids T1DM = type 1 diabetes mellitus T2DM = type 2 diabetes mellitus TCA = tricarboxylic acid cycle

- UFA = unsaturated fatty acids
- $Vo_2max = maximal oxygen uptake$ 
  - yCON = young controls