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RNA-based mechanisms regulating host-virus interactions

Rui Zhou and Tariq M. Rana

Program for RNA Biology, Sanford-Burnham Medical Research Institute, La Jolla, CA, USA

Summary

RNA interference (RNAi) is an ancient process by which noncoding RNAs regulate gene expression in a sequence-specific manner. The core components of RNAi are small regulatory RNAs, ~21 to 30 nucleotides in length, including small interfering RNAs (siRNAs) and microRNAs (miRNAs). The past two decades have seen considerable progress in our understanding of the molecular mechanisms underlying the biogenesis of siRNAs and miRNAs. Recent advances have also revealed the crucial regulatory roles played by small RNAs in such diverse processes as development, homeostasis, innate immunity, and oncogenesis. Accumulating evidence indicates that RNAi initially evolved as a host defense mechanism against viruses and transposons. The ability of the host small RNA biogenesis machinery to recognize viral double-stranded RNA replication intermediates and transposon transcripts is critical to this process, as is small RNA-guided targeting of RNAs via complementary base pairing. Collectively, these properties confer unparalleled specificity and precision to RNAi-mediated gene silencing as an effective antiviral mechanism.

Keywords

RNAi; siRNAs; miRNAs; antiviral immunity

Introduction

RNA interference (RNAi) is an evolutionarily conserved mechanism of gene regulation that functions in organisms as diverse as the unicellular fission yeast *Saccharomyces pombe* and humans (1-6). The central components of RNAi include two classes of short (~21–30 nucleotides) regulatory RNAs termed small interfering RNAs (siRNAs) and microRNAs (miRNAs) (5-8). RNAi can suppress gene expression at the transcriptional level by modulating chromatin structure, and under certain circumstances, small RNAs can also activate gene expression (9-11). However, the siRNAs and miRNAs most often act post-transcriptionally to destabilize and/or inhibit the translation of complementary target mRNAs in a sequence-specific manner. RNAi is a crucial component of the host defense system against exogenous and endogenous ‘parasitic’ nucleic acids in the form of viral genome replication intermediates and transposon transcripts, respectively. In this review, we summarize our progress in understanding the molecular mechanisms underlying the biogenesis of small RNAs and their roles in antiviral immunity. Readers are also directed to several excellent recent reviews that cover various aspects of these topics (12-14). We first outline the similarities and differences in the initiation (biogenesis) and effector steps (target gene repression) of RNAi in various organisms, with particular emphasis on the mechanism of RNAi in *Drosophila*. We then discuss how the siRNA pathway contributes to antiviral

Correspondence to: Tariq M. Rana Program for RNA Biology, Sanford-Burnham Medical Research Institute 10901 North Torrey Pines Road La Jolla, CA 92037 Tel.: +1 858 795 5325 Fax: +1 858 795 5328 trana@sanfordburnham.org.

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immunity in *Drosophila* and describe the diverse strategies employed by viruses to suppress RNAi. Finally, we describe examples of miRNAs that modulate host-virus interactions in mammals, emphasizing their role in combating human immunodeficiency virus (HIV) infection.

Biogenesis and function of miRNAs

miRNAs are endogenous small RNAs expressed in every cell type of higher organisms. miRNAs are encoded in the genome as independent intergenic transcription units, clusters of multiple genes within a single transcription unit, or within intronic regions of protein-coding genes, in which case they are spliced from the host gene transcript. Canonical miRNA biogenesis begins with the transcription, primarily by RNA polymerase II, of long stem-loop transcripts known as primary miRNA transcripts (pri-miRNAs) (Figs 1 and 2) (15). Pri-miRNA transcripts range in length from a few hundred to hundreds of thousands of nucleotides, and they undergo the same maturation steps as conventional pre-mRNA transcripts: namely, addition of a 5' cap structure, removal of introns by the splicing machinery, and polyadenylation at the 3' terminus. In animals, pri-miRNAs undergo initial processing in the nucleus by a microprocessor complex composed of the ribonuclease III (RNase III) Drosha and the double stranded (ds) RNA-binding protein (RBP) Pasha/DGCR8, which liberates ~60–70 nucleotide precursor miRNAs (pre-miRNAs) that carry a dinucleotide overhang at the 3' terminus, a characteristic of RNase III products (16–19). The Exportin 5/Ran-GTP complex specifically recognizes this 3' overhang and transports the pre-miRNAs to the cytoplasm (20–22), where they are processed by another RNase III complex consisting of the RNase III Dicer-1 and the dsRBP Loquacious (Loqs)-PB/TRBP/PACT. This complex produces ~22–24 nucleotide miRNA duplexes with dinucleotide overhangs at both 3' ends (23–27). Both strands feature 5' phosphate and 3' hydroxyl groups, also characteristic of RNase III-mediated cleavage products. Interestingly, plants lack a Drosha ortholog, and in contrast to the two-step compartmentalized miRNA maturation process observed in animals, miRNA biogenesis in plants is carried out in the nucleus by a single Dicer-like 1 (DCL1) RNase III family protein. DCL1 and the RBP hyponastic leaves 1 (HYL1) process pri-miRNAs into miRNA duplexes (28–35). Efficient miRNA processing in plants also requires the zinc finger protein SERRATE, the RBP DAWDLE, and the cap-binding complex (CBC) (36–40). The CBC and Arsenite-resistance protein 2 (Ars2), an ortholog of SERRATE, have also been implicated in miRNA biogenesis in animals (41, 42). A number of additional RBPs, including La, KSRP, SMAD, and Lin28, as well as the terminal uridyl transferases TUT2/4/7 and the nuclease MCPIP1, have been shown to affect miRNA biogenesis (43–48). We expect that the list of proteins involved in miRNA biogenesis and function will continue to grow, as many candidate miRNA factors are currently awaiting functional characterization (49, 50).

To perform their gene regulatory functions, plant and animal miRNAs are incorporated into RNA-induced silencing complexes (RISCs) in a process referred to as loading. RISCs are multiprotein complexes composed of effector and accessory proteins together with the appropriate small RNAs. The key effector proteins of RISCs are the Argonaute (AGO) proteins, which mediate mRNA cleavage and/or translation inhibition. Typically, only one strand of the miRNA duplex, termed the mature miRNA strand, associates with the miRISC, and the remaining strand (known as the miRNA* or star strand) is discarded. There have been descriptions of both the mature miRNA strands and the miRNA star strands joining separate miRISC to carry out gene regulatory functions (51–53). In these cases, the two strands are most likely derived from distinct miRNA duplexes. In mammals, miRNAs randomly associate with one of four AGO proteins, all of which are competent to execute miRNA-mediated gene regulatory function. In contrast, plant miRNAs predominantly associate with AGO1. The *Drosophila* genome encodes two members of the AGO clade

proteins, which bind different classes of small RNAs. AGO1 primarily binds miRNAs, whereas AGO2 tends to associate with siRNAs (54, 55). Exceptions to this bias include miR-277, which preferentially associates with AGO2 because of the high degree of complementary base pairing between the miRNA and miRNA* strand in the duplex (55, 56).

Once the mature miRNA, AGO, and accessory proteins are assembled into the miRISC, the target mRNAs are engaged via complementary base pairing between the seed region (positions 2–8) of the mature miRNA strand and the miRNA-binding sites (primarily in the 3' UTR) of the target mRNAs. Gene expression is repressed by mRNA destabilization and/or translation inhibition, as described further below (57–62). The endoribonuclease or 'slicer' activity of AGO proteins is dependent on substantial miRNA–mRNA complementarity. In animals, miRNA–target mRNA base pairing is typically imperfect and inhibition of translation is the primary mode of miRNA-mediated target gene repression. This subsequently leads to mRNA destabilization by removal of the 5' cap and 3' polyA tail (63, 64). In contrast, plant miRNAs often display perfect complementarity with their target mRNAs. Thus, it has been proposed that plant miRNAs repress target gene expression predominantly through mRNA destabilization. Interestingly, a recent study reported widespread translation inhibition in plants (65). It remains to be determined whether translation inhibition precedes or takes place in parallel with mRNA destabilization. Consistent with the different mechanisms of miRNA-mediated gene silencing in plants and animals, plant AGO1 mainly binds miRNAs and possesses robust slicer activity (66); in contrast, *Drosophila* AGO1 has poor slicer activity (55). In mammals, the four AGO proteins all inhibit translation, but the catalytic core residues required for slicer activity are preserved only in AGO2 (67). Plants and animals also differ in the 3' modifications of miRNAs. During miRNA biogenesis in plants, both duplex strands are 2'-O-methylated at the 3' end by the methyltransferase Hua Enhancer 1 (HEN1) (68–70). The biological relevance of this 3' modification had been unclear but was recently found to be required to maintain the stability of miRNAs. In *Drosophila*, the 3' terminal 2'-O-methyl group is missing from miRNAs associated with AGO1, but is present on those bound to AGO2. Consequently, AGO1-bound miRNAs are subject to 3' deadenylation and subsequent degradation upon perfect complementary base pairing with target mRNAs (71), whereas the AGO2-bound miRNAs remain stable. Similar observations have been made for mammalian miRNAs (71). These findings confirmed that the HEN1-mediated 3' modification is critical for maintaining the stability of plant miRNAs, which predominantly show perfect base pairing with their target mRNAs.

miRNAs can also be generated through several non-canonical pathways; for example, the biogenesis of a subset of miRNAs occurs in a microprocessor-independent and Dicer-dependent manner. In *Caenorhabditis elegans*, *Drosophila*, and vertebrates, an miRNA subset called mirtrons are derived from short group II introns. These introns, in the form of lariat structures that are excised from the canonical splicing reaction, are linearized by debranching enzymes, refolded into stem-loop pre-miRNA configurations, exported into the cytoplasm by Exportin 5/Ran-GTP, and further processed into mature miRNAs by Dicer proteins (72–74). In a variation of this process, some atypically longer mirtron precursors are first trimmed from the 5' end by a currently unidentified nuclease(s) or from the 3' end by exosomes before being processed into mirtrons by Dicer proteins (75–77). The precursor of tRNA-Ile/mir-1983, as well as certain small nucleolar RNAs (snoRNAs), can also adopt pre-miRNA-like hairpin conformations and are similarly exported into the cytoplasm and processed into miRNAs (76, 78). These small RNAs appear to be *bona fide* miRNAs. For example, snoRNA-derived RNAs (sdrRNAs) can associate with AGO proteins and are capable of repressing expression of the complementary target mRNAs (78). Furthermore, some tRNA-derived RNAs (tdRNAs) that are processed by Dicer, as well as certain 3'

trailer fragments released by tRNAse Z cleavage during tRNA biogenesis, can associate with AGO proteins, thus resembling functional miRNAs (79). Moreover, miRNAs encoded by murine γ -herpesvirus 68 (MHV68) reside immediately downstream of a tRNA. The 5' and 3' ends of these miRNAs are defined by tRNAse Z cleavage and RNA polymerase III transcriptional termination, respectively (80-82). Finally, the biogenesis of a small number of miRNAs requires AGO, but not Dicer proteins. For example, microprocessor-mediated processing of the primary transcripts of miR-451, a conserved miRNA in vertebrates, releases pre-miRNAs that have unusually short stem-loop structures and are thus suboptimal substrates for Dicer proteins. Instead, the second step of miRNA processing is performed by the slicer activity of AGO2 followed by 3' end maturation by a currently unidentified nuclease(s) (83-85).

Biogenesis and function of siRNAs

siRNAs are typically derived from dsRNA or hairpin RNA precursors (Figs 1 and 2). These precursor RNAs may be produced from exogenous sources such as viral RNA replication intermediates or from endogenous sources such as retrotransposon transcripts, which form dsRNAs, at least in part, by hybridization of sense and antisense transcripts in *trans*. In *Drosophila*, some transcripts can adopt extensively structured dsRNA configurations. Furthermore, the overlapping regions of convergently transcribed RNAs can hybridize to form dsRNAs (86-89). In mouse oocytes, dsRNAs can be generated by pairing between pseudogene transcripts and genic transcripts or between sense and antisense transposon transcripts (90, 91). Endogenous small hairpin RNAs have also been discovered in mouse embryonic stem cells (76). Regardless of their origin, dsRNAs and hairpin RNAs are processed by Dicer proteins to generate siRNAs. In worms and mammals, a single Dicer protein, Dicer-1, is responsible for the biogenesis of both siRNAs and miRNAs, whereas in *Drosophila*, Dicer-2 is dedicated to siRNA biogenesis. Dicer proteins are assisted by the RBPs Loqs-PD/TRBP, which associate with Dicer and play a critical role in defining the substrate specificity of Dicer proteins and in maintaining the precision of Dicer-mediated small RNA biogenesis (92-94). For example, R2D2, the binding partner for *Drosophila* Dcr-2, acts in concert with inorganic phosphate to inhibit Dcr-2-mediated processing of pre-miRNAs, thus restricting Dcr-2 activity to siRNA biogenesis (95). In addition, *Drosophila* Loqs-PB and mammalian TRBP contribute to the precise processing of a subset of miRNAs by Dicer proteins (96, 97).

As is observed for miRNAs, siRNA duplexes are incorporated into AGO-containing siRISCs. The molecular mechanism of siRISC formation is best characterized in *Drosophila*, where the Dcr-2/R2D2 heterodimer plays a critical role in gauging the thermodynamic stability of both ends of the siRNA duplex, which results in a polarized binding pattern. R2D2 binds the 5' end with the greatest double-stranded character; that is, the more thermodynamically stable end, whereas Dcr-2 favors the end with less stable base pairing, thereby orienting the heterodimer on the siRNA duplex to form the RISC-loading complex (98, 99). Given the involvement of Dcr-2 in the processing of long dsRNAs into siRNAs, which typically starts from the ends of the dsRNA and proceeds progressively, it is conceivable that the processed siRNA duplexes are first released from Dcr-2 and then rejoin the Dcr-2/R2D2 heterodimer in the RISC-loading complex in an orientation dictated by the relative thermodynamic stability of the duplex ends. A number of other proteins then associate with the RISC-loading complex, including AGO2 and the Hsc70/Hsp90 chaperone machinery, to form the pre-RISC (100). Assembly of the pre-RISC, or the handover of the siRNA duplex from Dcr-2/R2D2 to AGO2, is an ATP-dependent process. It has been proposed that the chaperone machinery induces an ATP-dependent conformational change in AGO2 to accommodate the bulky siRNA duplex (100). Subsequently, the passenger strand (complementary to the guide strand) of the duplex is cleaved by the slicer activity of

AGO2, a process referred to as passenger strand nicking (101-104). Finally, the siRNA duplex is unwound in an ATP-independent manner, resulting in the formation of a mature RISC containing only the siRNA guide strand. Duplex unwinding and removal of the sliced passenger strand are facilitated by the Mg^{2+} -dependent endoribonuclease complex C3PO, consisting of Traslun and Trax (105-107). Target mRNAs are recruited to the mature AGO2-containing siRISC via perfect base pairing with the siRNA guide strand. AGO2 slices the target mRNA at the position complementary to bases 10 and 11 of the siRNA guide strand (67, 108, 109). *Drosophila* AGO2 can also repress target gene expression by translation inhibition (110). As was described for miRNAs in plants, AGO2-bound small RNAs in *Drosophila* (primarily siRNAs and a subset of miRNAs) are 2'-O-methylated at their 3' ends by the methyltransferase DmHen1/Pimet (111, 112). A key difference between the two species is that in *Drosophila*, the 3' end is modified after removal of the passenger strand, ensuring that only the guide strand is modified. In contrast, both strands of the duplex are modified in plants. Among the four mammalian AGO proteins, only AGO2 possesses slicer activity and siRNA-mediated gene silencing in mammals is therefore mediated primarily by AGO2.

In addition to primary siRNAs, worms and plants can generate secondary siRNAs through the action of RNA-dependent RNA polymerases (RdRPs) and/or Dicer proteins. In worms, secondary siRNAs are synthesized *de novo* in a non-primed, Dicer-independent manner. These secondary siRNAs feature a 5' triphosphate group (113, 114). In contrast, secondary siRNA biogenesis in plants occurs via RdRP-dependent synthesis of long dsRNAs that are subsequently processed by Dicer. The resulting secondary siRNAs resemble primary siRNAs in that they carry monophosphate and hydroxyl groups at their 5' and 3' termini, respectively. Although *Drosophila* and mammals are not known to express RdRPs, a recent study has identified a complex capable of producing dsRNAs. The complex was composed of the catalytic subunit of human telomerase reverse transcriptase and the RNA component of the mitochondrial RNA-processing endoribonuclease, and the resulting dsRNAs were subsequently processed into siRNAs by Dicer (115). Thus, it seems likely that secondary siRNAs may be produced in other organisms, although this remains to be confirmed.

Crosstalk between the siRNA and miRNA biogenesis and effector pathways

As is evident from the preceding sections, there are several points of overlap and crosstalk between the siRNA and miRNA biogenesis and/or effector pathways, and the extent of crosstalk varies considerably in different organisms. At first glance, the siRNA and miRNA pathways of *Drosophila* appear to be relatively compartmentalized, and their biogenesis and effector machineries are distinct. For example, Dcr-1 and AGO1 operate in the miRNA pathway and Dcr-2 and AGO2 operate in the siRNA pathway. Moreover, multiple Loqs RBP isoforms are produced by alternative splicing and polyadenylation of mRNAs. Loqs-PB preferentially associates with Dcr-1 and participates in miRNA biogenesis, whereas Loqs-PD specifically binds Dcr-2 and plays a critical role in siRNA biogenesis (24, 25, 92, 93). In addition, newly formed miRNAs and siRNAs partition between AGO1- and AGO2-containing RISCs according to the 5' modification and the sequence complementarity at the center of the duplex, thus dictating the distinct modes of gene silencing (51-53, 55, 56). Despite their seemingly disparate characteristics, recent studies have revealed several layers of crosstalk between the miRNA and siRNA pathways. Some RNAs and proteins are present in both siRISCs and miRISCs, which results in competition between the pathways when the concentrations of key components are limiting. For example, depletion of AGO2 can enhance miRNA-mediated gene silencing (49), presumably because miRISC formation is increased when previously limiting factors become available to complex with AGO1. Furthermore, both exogenous siRNA and endogenous siRNA pathways (referred to as siRNAs and endo-siRNA pathways, respectively) may be operating simultaneously. The

biogenesis and function of siRNAs and endo-siRNAs are mediated through the same sets of proteins, which results in three-way competition for components between the siRNA, endo-siRNA, and miRNA pathways (116). Finally, our recent genome-wide RNAi screening effort in cultured *Drosophila* cells has identified a number of proteins that are implicated in the siRNA, endo-siRNA, and miRNA pathways, further supporting the notion of crosstalk between all three pathways of RNAi (49).

In mammals, the lone Dicer protein Dcr-1 is responsible for the biogenesis of both siRNAs and miRNAs, but there is little additional evidence of crosstalk between the two pathways. Indeed, endogenous siRNAs have been identified only in oocytes and embryonic stem cells thus far (76, 90, 91). A similar lack of crosstalk between the siRNA and miRNA pathways is apparent in *C. elegans*. Of the >300 genes identified in two extensive parallel genome-wide RNAi screens of this organism, only two genes affected the biogenesis of both siRNAs and miRNAs, one of which was Dcr-1 (50, 117). In contrast, multiple layers of crosstalk between the siRNA and endo-siRNA pathways have been uncovered in *C. elegans*. For example, proteomic analysis of the Dcr-1 complex identified four proteins, including ERI-1, mutations in which are associated with enhanced RNAi in response to exogenous dsRNAs. ERI proteins are also required for the biogenesis of endogenous siRNAs (116, 118), suggesting that ERI proteins may be examples of the 'limiting factors' in the siRNA and endo-siRNA pathways in worms that could influence the relative activities of the two pathways.

The role of the siRNA pathway in antiviral immunity

RNAi regulates the expression of diverse target mRNAs and thus plays a key role in numerous biological processes including cancer, development, homeostasis, and antiviral defense. Although RNAi was discovered in *C. elegans* as a gene silencing process that unifies miRNA-mediated regulation of developmental gene expression and dsRNA-mediated gene silencing, it seems likely that the process evolved as a sequence-specific defense mechanism against viruses and transposons (119). In fact, some of the first siRNAs discovered were virus-derived small RNAs from tobacco cells infected by a pathogenic RNA virus, potato virus X (4).

Viruses are obligate intracellular parasites that rely on the host organism for successful replication and dissemination. Upon infection of the host cell, viruses subvert the host protein and nucleic acid synthesis machinery to facilitate viral genome replication and subsequent particle packaging and release. Organisms have evolved various defense mechanisms to combat viral infection and RNAi has emerged as an integral and critical component of antiviral defense. siRNAs and miRNAs have been shown to modulate host-virus interactions in a variety of organisms ranging from plants to mammals.

With the exception of retroviruses, all RNA viruses generate dsRNA intermediates during replication in the cytoplasm of host cells. These dsRNAs are perfect substrates for Dicer complexes and are thus readily destroyed. This process is well documented in *Drosophila*. Flies carrying mutations in Dcr-2 and R2D2 succumb more rapidly than wildtype flies to flock house virus (FHV) and cricket paralysis virus (CrPV) infections. The hypersensitivity of these mutants correlated with the accelerated accumulation of viral genomic RNAs and coat proteins (120, 121). Consistent with a role for RNAi in control of infection, 21-nucleotide viral siRNAs (vsiRNAs) were detected in cultured FHV-infected *Drosophila* cells (122). The zinc finger protein Ars2 is required for optimal Dcr-2-mediated siRNA biogenesis and is also critical for viral defense in *Drosophila*. Flies or fly cells depleted of Ars2 accumulate viral genomic RNAs and proteins and are more susceptible to infection by a number of RNA viruses, including *Drosophila* C virus (DCV), FHV, vesicular stomatitis

virus, and Sindbis virus (41). Similarly, cultured *Drosophila* cells depleted of Cbp80 and Cbp20, components of the Dcr-2–interacting CBC important in siRNA biogenesis, support more robust viral replication than control cells (41). Finally, *Drosophila* cells infected with positive (+) strand viruses contain approximately equal numbers of (+) and negative-strand (–) vsRNAs, despite the fact that (+) strands are >50-fold more abundant than (–) strands during the genome replication cycle (123–125). This is in good agreement with the notion that vsRNAs are derived from the viral dsRNA replication intermediates, rather than from the single-stranded viral genomic RNAs. In the case of FHV, the vsRNAs do not map uniformly across the viral genome but instead are derived mainly from specific hot spots, one of which is the 5′-terminal 400-bp region of the (+) strand viral RNA1. This finding suggests that the predominant source of FHV vsRNAs is the dsRNA region of the viral replication intermediates between the nascent 5′-terminal region of the progeny (+) RNA1 and the 3′-terminal region of the (–) RNA1 template formed during initiation of the progeny (+) RNA synthesis (126). Collectively, these data illustrate the critical contribution of Dcr-2–mediated destruction of viral dsRNA replication intermediates to antiviral immunity in *Drosophila*.

Host organisms also mobilize the effector step of RNAi in antiviral defense. vsRNAs processed by Dicer proteins associate with AGO-containing RISCs to target viral genomic RNAs with exquisite specificity. In FHV-infected *Drosophila* cells, for example, a significant proportion of AGO2-associated siRNAs was shown to be derived from the viral genome (86). In addition, flies carrying homozygous mutations in AGO2 are more susceptible to lethal infection by DCV and CrPV than are wildtype animals, despite the presence of wildtype Dcr-2 (127). This hypersensitivity also correlated with higher viral RNA levels and viral titers (127). In addition, flies carrying mutations in genes encoding Rm62 or VIG, components of siRISCs, are hypersensitive to infection by *Drosophila* X virus (DXV), a bisegmented dsRNA virus (128). These data clearly indicate that the RNAi effector step is required for effective antiviral immunity in *Drosophila*. Of note, no viral RNA cleavage products of AGO2-containing siRISCs have yet been identified. This may be due to the diverse sequences of vsRNAs. Thus, the abundance of cleavage products from individual vsRNA-programmed RISCs may be too low to detect. Alternatively, only a very small fraction of vsRNAs may be loaded into AGO2. The latter possibility is supported by observations with *Drosophila* S2 cells persistently infected by FHV, in which even the most abundant vsRNAs failed to repress the expression of a perfectly complementary sensor mRNA transcript. Furthermore, the bulk of these vsRNAs failed to load into either AGO1 or AGO2 (125). In another study, cultured *Drosophila* cells were acutely infected with a mutant FHV that lacked B2, a suppressor of RNAi. In these cells, only a minor fraction of vsRNAs was loaded into AGO2 siRISCs, and these vsRNAs were 2′-O-methylated at their 3′ ends (126). Thus, Dicer-mediated destruction of viral RNA replication intermediates appeared to be the major antiviral mechanism in S2 cells persistently infected with FHV, whereas the RNAi effector step contributes to antiviral defense during acute infection. It will be interesting to determine whether the differential requirement for Dicer and AGO proteins in *Drosophila* antiviral immunity results from fundamental differences in the host cellular responses to acute and persistent viral infection.

Several unique features of RNAi illustrate the remarkable specificity of RNA-based antiviral defense. First, at the initiation phase of RNAi, Dicer recognition of the unique double-stranded structure of the viral RNA replication intermediates allows the host RNAi machinery to control viral RNA replication in a sequence-independent manner. Second, at the effector phase of RNAi, vsRNAs are mobilized to target viral RNAs with perfectly complementary sequences. This ensures that viral genomes are targeted for destruction with remarkable precision even when the viral genome is extensively mutated, which is a common strategy to evade the host antigen-specific response to viral proteins. Third, RNAi-

based antiviral defense mechanisms in *Drosophila* show characteristics reminiscent of the recall response in adaptive immunity. Thus, exposure to viral dsRNAs or a sublethal dose of virus can confer protection against a subsequent lethal dose of the same virus, essentially ‘vaccinating’ the flies (129).

Both the host and virus are under intense selective pressure to evolve more effective defense and counter-defense mechanisms, respectively, as supported by the observation that genes encoding RNAi-related factors are among the fastest evolving genes in *Drosophila* (130). On the other hand, viruses have evolved counter-defense strategies to evade or suppress the host RNAi machinery (Fig. 3). For example, one evasion strategy is for the virus to create a physical barrier within the host cell. Replication of the FHV genome in cultured *Drosophila* cells takes place in viral RdRP-containing membranous vesicles called spherules, which form on the outer mitochondrial membrane (131-133). Such compartmentalization serves to shield the viral RNA replication intermediates from the cellular RNAi machinery. In addition, many viruses encode viral suppressors of RNAi (VSR) in their genome, indicating that RNAi exerts active selective pressure on viruses. VSR-mediated binding and sequestration of components of the host RNAi machinery appears to be an evasion strategy employed by many viruses. The 1A protein encoded by DCV specifically binds to long dsRNAs, but not siRNAs, and consistent with this, DCV-1A inhibits Dcr-2-mediated processing of dsRNAs into siRNAs, but leaves siRNA-mediated gene silencing intact (127). In contrast, the FHV-B2 protein sequesters viral dsRNAs and suppresses Dcr-2-mediated destruction of viral RNA replication intermediates. FHV-B2 adopts a four-helix bundle conformation that allows it to bind to one side of an A-form RNA duplex independently of the RNA sequence or length (134-136). In addition, FHV-B2 binding and sequestration of vlsiRNAs blocks their association with AGO2, thereby suppressing siRISC-mediated cleavage of viral genomic RNAs (136). The Cymbidium ringspot virus P19, on the other hand, adopts a head-to-tail homodimeric conformation that permits exclusive binding of 21-bp duplex siRNAs and prevents their loading into siRISCs (137, 138). VSRs operate not only by interfering with vlsiRNA biogenesis and siRISC assembly but also by suppressing the catalytic activity of siRISCs. CrPV-1A physically associates with AGO2 and inhibits its slicer activity but does not affect siRNA loading or assembly of the mature siRISC (139). Many other counter-defense mechanisms are mediated through VSRs, ranging from targeted degradation of AGO proteins to degradation of vlsiRNAs (140-142). Collectively, these observations highlight the diverse strategies employed by viruses to suppress the antiviral RNAi pathway in *Drosophila*. FHV-B2 also functions as a potent VSR in non-host plant cells, underscoring the functional conservation of VSRs in countering the host RNAi-based defense system (122).

In plants, worms, and flies, RNAi can operate at sites remote from primary site of gene silencing, a process known as systemic RNAi. For instance, silencing signals in plants can travel between cells through plasmodesmata and over longer distances through the phloem (143). In *C. elegans*, dsRNA uptake and transport between cells is mediated by dedicated RNA transporter proteins such as SID-1 (144-146). In flies, receptor-mediated endocytosis is essential for systemic RNAi. This process mediates cellular uptake of long RNAs but not short RNAs of the lengths typically observed in siRNAs, suggesting that systemic antiviral RNAi in flies depends on long dsRNAs (129, 147). The ability of long viral dsRNAs and short vlsiRNAs to mediate systemic silencing in plants, worms, and flies underscores the remarkable versatility of RNAi-dependent antiviral mechanisms in combating invading viruses at both the primary infection site and remote sites throughout the organism.

In contrast to the well-documented role of the siRNA pathway in antiviral immunity in plants and invertebrates, the role played by siRNAs in mammalian antiviral defense is less clear. This is due in part to the dominant role played by the mammalian interferon (IFN)

response to viral infection. Cells of the innate immune system detect viral infection predominantly through cell surface or intracellular pattern recognition receptors such as Toll-like receptors (TLRs), nucleotide-oligomerization domain receptors (NLRs), and the RNA helicase family of retinoic acid-inducible gene I-like receptors (RLRs). Two RLRs, retinoic acid-inducible gene I (RIG-I) and melanoma differentiation-associated gene 5 (MDA5), play particularly important roles in RNA virus defense. RIG-I detects viral RNAs that carry 5' triphosphates and adopt short dsRNA conformations with base-paired 5' ends, as opposed to the cap structure in host cellular mRNAs (148, 149). MDA5 is thought to bind long dsRNAs such as viral RNA replication intermediates (150). Interestingly, *Drosophila* Dcr-2 shows greater sequence homology at the helicase domain to RIG-I and MDA5 than to other RNA helicases (151). The dominant role played by IFN in mammalian antiviral immunity is illustrated by the fact that endogenous siRNAs derived from long dsRNA precursors have been identified only in oocytes and embryonic stem cells, and notably, the IFN pathway is less active in these cells (76, 90, 91). In other cell types, long dsRNAs are readily detected by the innate receptors, which trigger the IFN response. Therefore, it seems likely that the RNAi machinery in mammals is primarily dedicated to miRNA biogenesis and function, and not to antiviral defense. In support of this notion, a recent study identifying HIV-encoded small RNAs in virus-infected cells is the only convincing evidence for the existence of vsiRNAs in mammalian cells (152), and furthermore, VSRs encoded by viruses that specifically infect mammals have not yet been identified. Thus, although the siRNA pathway first evolved as the primary antiviral defense mechanism in lower eukaryotes, this role has been assumed by the more effective IFN response in mammals.

The role of miRNAs in modulating host-virus interactions

The interaction between host and virus is crucial for successful viral replication. Host factors may have beneficial or detrimental effects on virion production and infectivity, and viral regulation of the expression of host factors is a key component in ensuring its survival. Although viruses encode proteins that inactivate or suppress most steps of the host antiviral immune response, they have also evolved miRNA-based mechanisms as a complementary strategy to evade host responses. In invertebrates, viral miRNAs have not yet been identified in infected cells, making the potential role of this pathway unclear. Moreover, there are no reports of invertebrate miRNAs that can effectively target viral RNAs. In contrast, there is a large body of literature documenting the role of host and viral miRNAs as modulators of host-virus interactions in mammals. Many viruses encode miRNAs and exploit the host processing machinery for their biogenesis. Viral infections also influence miRNA production by the host cell. Host and viral miRNAs use a number of mechanisms to regulate the viral life cycle and to evade the host immune system, respectively. For example, viral infection may downregulate expression of host miRNAs that participate in antiviral pathways or that are barriers to establishing latency or immune evasion. In turn, host cells may respond to viral infection by upregulating antiviral miRNAs or miRNAs that interfere with viral latency and replication. Some examples of these mechanisms will be discussed below.

Virus-encoded miRNAs

The first report of virus-encoded miRNAs was made in the human γ -herpesvirus Epstein-Barr virus (EBV) (153). Subsequently, viral miRNAs have been found in a large number of DNA viruses, including other herpesviruses, polyomaviruses, and human adenoviruses. For the most part, viral miRNA biogenesis is analogous to that of host miRNAs, and proceeds through RNA polymerase II-mediated transcription of pri-miRNAs and Drosha- and Dicer-mediated production of mature miRNAs (154). The few exceptions to this mechanism include RNA polymerase III-mediated transcription of viral miRNA precursor transcripts

and tRNase Z-mediated processing of mature miRNAs (82). In contrast to the numerous reports of miRNAs expressed by DNA viruses, only a few RNA virus-derived miRNAs have been documented (152, 155, 156), and the biological significance of these findings is under debate (80, 157, 158). Viral miRNA biogenesis invariably results in cleavage of the primary transcripts encoding them and sacrifices large segments of genomic RNA, which seems a remarkably inefficient way to produce ~22–24-nucleotide miRNAs. Furthermore, most RNA viruses replicate their genomes in the cytoplasm, separated from the primarily nuclear microprocessor complexes. Interestingly, a recent study reported the production of virus-derived pri-miRNAs by a microprocessor-like activity in the cytoplasm (159). Further studies will be necessary to determine whether miRNAs can be derived from an RNA virus and, if so, to unravel the molecular mechanisms that underlie their biogenesis.

Virus-encoded miRNAs can modulate the expression of both viral and host mRNAs. One class of host target mRNAs encodes proteins that increase the sensitivity of virus detection by the host innate or adaptive immune systems or encode pro-apoptotic proteins that contribute to the elimination of virally infected host cells. In contrast, the target viral mRNAs primarily encode proteins that promote entrance into the lytic replication cycle, and thus tilt the balance away from latency.

Host mRNA targets

MICB mRNA is a target of miR-UL112, an miRNA expressed by human cytomegalovirus (hCMV), a member of the β -herpesvirus subfamily (160). MICB encodes a stress-induced ligand of the natural killer (NK) cell receptor NKG2D, which plays a crucial role in activating NK cell-mediated lysis of virus-infected cells (161). MICB is also regulated by miRNAs from a number of other herpesviruses, including the Kaposi's sarcoma-associated herpesvirus (KSHV) miR-K7 and the EBV miR-BART2 (162). Another EBV miRNA, miR-BART5, decreases the expression of the mRNA encoding the pro-apoptotic protein PUMA (p53 upregulated modulator of apoptosis) (163), which renders the infected cells less sensitive to pro-apoptotic agents. Accordingly, inhibition of miR-BART5 by an anti-miR-BART5 oligonucleotide promotes apoptosis of the host cells. This effect is reversed by concomitant siRNA-mediated repression of PUMA (163), establishing PUMA as a *bona fide* target of miR-BART5. Bcl-2 interacting mediator of cell death (Bim), another pro-apoptotic protein, is repressed by multiple EBV-encoded miRNAs (164). Finally, the pro-apoptotic protein Bcl2-associated factor 1 (BCLAF1) is also a target of multiple miRNAs, including miR-K5, miR-K9, and miR-K10, encoded by the γ -herpesvirus KSHV (165). Collectively, these studies highlight the role of viral miRNAs in reducing the production of proteins involved in the host immune response and in suppressing apoptosis of virally infected host cells.

Viral mRNA targets

The α -herpesviruses herpes simplex viruses 1 and 2 (HSV-1 and HSV-2) maintain lifelong latency in their animal hosts. The HSV-1 latency-associated noncoding transcript (LAT) is a precursor of several miRNAs in infected cells. Among these is miR-H2, which is transcribed in an antisense orientation relative to ICP0, a viral immediate-early transcriptional activator that is important for productive HSV-1 replication and reactivation from latency. Such perfect base-pairing between miR-H2 and ICP0 mRNA suggests that miR-H2 represses ICP0 most likely by slicer-mediated mRNA cleavage and destabilization (166, 167). In addition, the seed region of the HSV-1 miR-H6 undergoes base pairing with ICP4 mRNA and inhibits its expression. ICP4 is a second HSV-1 transcription factor required for expression of most HSV-1 genes during productive infection (167). Thus, virus-encoded miRNAs contribute to the establishment and maintenance of viral latency.

Host-encoded miRNAs

A number of cellular miRNAs also modulate host-virus interactions. The most notable example is the liver-specific miR-122, which plays a key role in hepatitis C virus (HCV) genome replication through interactions between its seed region and partially complementary sites at the 5' UTR of the HCV RNA genome (168, 169). In addition, miR-122 enhances the translation of HCV mRNAs through the same binding sites (170). These observations reveal that functional interactions between miR-122 and HCV genomic RNA contribute to the tissue tropism of HCV. Viruses have also developed effective strategies to compromise the action of antiviral cellular miRNAs and to promote viral replication. *Herpesvirus saimiri* (HVS), a simian virus closely related to KSHV, expresses high levels of small noncoding RNAs called HSURs (*H. saimiri* U-rich RNAs). HSUR1 and HSUR2 interact with cellular miR-27 through partially complementary sites. HSUR expression significantly reduces miR-27 levels and concomitantly upregulates expression of cellular miR-27 target genes (171). HSURs are thought to serve as natural miRNA sponges by sequestering miRNAs from their normal cellular mRNA targets. In another example, a recent report showed that m169, a spliced and highly abundant transcript from murine cytomegalovirus (MCMV), binds through its 3' UTR to cellular miR-27a and miR-27b and facilitates their degradation (172). Remarkably, mutant viruses in which the m169–miR-27a/b interaction was disrupted showed significantly attenuated replication *in vivo* (172).

Viral evolution

To determine whether miRNAs might also play a role in immunity to the human immunodeficiency virus HIV-1, Nathans *et al.* (173) examined miRNA expression in infected human T lymphocytes. A subset of miRNAs was upregulated in these cells, including miR-29a, which specifically targets the HIV-1 3' UTR region. Inhibition of miR-29a enhanced HIV-1 viral production and infectivity, and conversely, expression of a miR-29a mimic suppressed viral replication. In addition, the interaction between miR-29a and HIV-1 mRNAs enhanced viral mRNA association with RISCs and cytoplasmic structures called P bodies. RNAi-mediated disruption of P bodies released the suppression of translation and enhanced HIV-1 production and infectivity. P bodies could play a role in modulating viral replication by several mechanisms. For example, as supported by the results of Nathans *et al.*, viral mRNA translation could be suppressed by miRNAs and the mRNAs shuttled to P bodies. In addition, viral mRNAs located in P bodies could be released by host or environmental cues to activate viral replication (Fig. 4). This possibility is supported by the observation that cellular proteins such as APOBEC3G are localized to P bodies and assembled into RNP complexes for packaging into viral particles (174, 175).

Since miR-29 is a conserved miRNA that emerged many millions of years before HIV-1, the findings of Nathans *et al.* (13) raise the possibility that HIV-1 has evolved to exploit miR-29a to modulate its own life cycle. For example, miRNA-mediated suppression of HIV-1 mRNAs could act as an essential checkpoint in the cycle of viral latency to activation. Such mechanisms would allow viruses to evade the immune system or create viral reservoirs shielded from chemotherapy. This hypothesis can be tested by analyzing miRNA target-site sequence conservation in various HIV-1 subtypes or viral sequences isolated from patients and correlating them with viral infectivity. Interestingly, HIV-1 group O viruses contain non-conserved nucleotides in the region predicted to interact with the 5' end of the miR-29a seed region, suggesting that this HIV-1 group would not be suppressed by miR-29a. Notably, the main HIV-1 group responsible for the global AIDS epidemic, group M, is about 100-fold more infectious than HIV-1 group O strains, which are endemic only in western and central Africa (176). Similarly, HIV-1 strains with deletions in *nef* and in the U3 region of the LTR, which contains a miR-29a target-site deletion, failed to cause

disease in humans 10 to 14 years after infection (177). Taken together, these findings raise the possibility that the loss of infectivity of HIV-1 group O and nef-deleted HIV-1 may be linked to the reduced ability of viral mRNAs to be targeted by miR-29a for transport to P bodies.

Viruses have thus evolved to exploit the ancient RNAi antiviral defense mechanism by subverting the host RISC machinery to regulate various stages of their own life cycles. A deeper understanding of RNA-based host-virus interactions will not only provide insights into the fundamental mechanisms by which viruses evade the immune response but could also aid in the development of new broad-spectrum therapeutics and vaccines that exploit RNA-based immunity.

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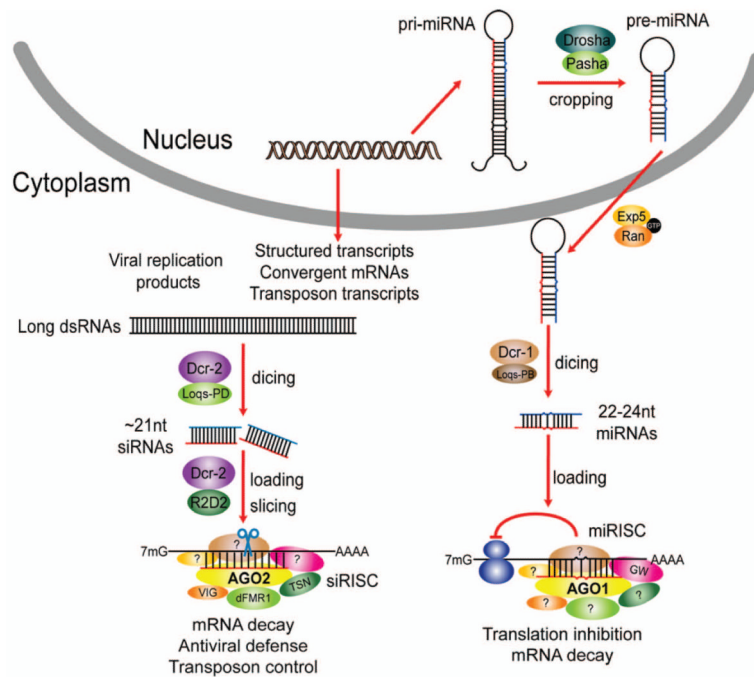


Fig. 1. Outline of the siRNA and miRNA pathways in *Drosophila*

Exogenous dsRNAs (viral RNA replication intermediates or experimental reagents) or endogenous dsRNAs (structured transcripts, transposon transcripts, and convergently transcribed mRNAs that hybridize) are processed by the Dcr-2/Loqs-PD complex into siRNAs, which are then incorporated into AGO2-containing siRISCs in a Dcr-2/R2D2-dependent manner. There, siRNAs target mRNAs by complementary base pairing, which leads to degradation of the target mRNA. Primary miRNA transcripts are processed in the nucleus by the Drosha/Pasha complex into pre-miRNAs, which are subsequently exported to the cytoplasm by Exportin 5/Ran-GTP and further processed by the Dcr-1/Loqs-PB complex into miRNA duplexes. The miRNA strands are selectively incorporated into AGO1-containing miRISCs, where they target mRNAs via complementary base pairing between the miRNA seed sequence and miRNA-binding sites in target mRNAs, leading to translation inhibition and target mRNA destabilization.

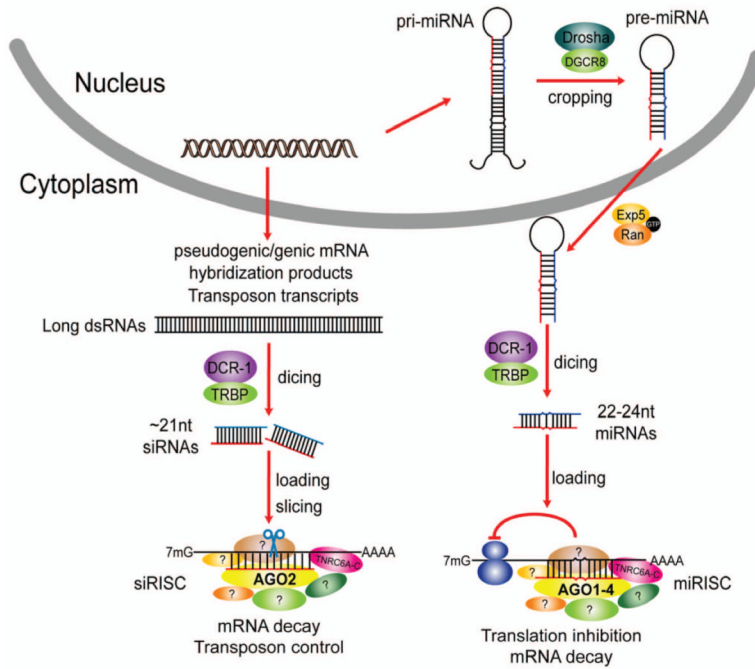


Fig. 2. Gene silencing pathways in mammals

In oocytes and embryonic stem cells, dsRNAs are formed by hybridization between antisense pseudogenic transcripts and spliced genic mRNAs or between sense and antisense transposon transcripts. The dsRNAs are processed by Dicer into siRNAs, which are then incorporated into AGO2-containing siRISCs where they silence the expression of complementary target mRNAs or transposon transcripts via the slicer activity of AGO2. Guide strand perfectly matches the accessible sites in a target mRNA (178), forming an A-form helix required for the slicer activity of AGO2 (179-181). In all cell and tissue types, primary miRNA transcripts are processed in the nucleus by the Drosha/DGCR8 complex into pre-miRNAs, which are exported to the cytoplasm by Exportin 5/Ran-GTP and further processed into miRNA duplexes by the DCR-1/TRBP complex. The miRNA strand of the duplex is randomly partitioned among the four AGO proteins to form miRISCs. miRNAs guide the targeting of mRNAs via complementary base pairing between the miRNA seed sequence and miRNA-binding sites in target mRNAs, leading to translation inhibition, localization to cytoplasmic structures P bodies (182), and target mRNA destabilization (183).

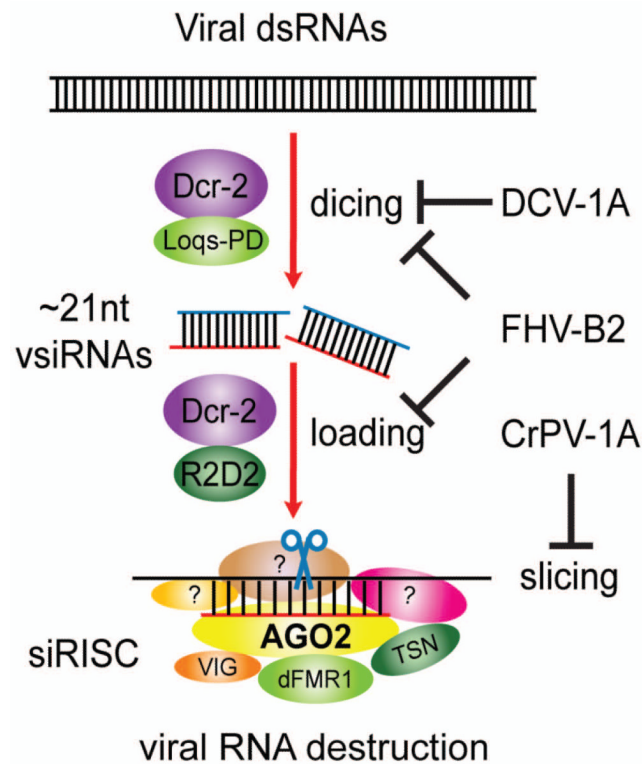


Fig. 3. Viral suppressors of RNAi impinge on various steps in RNAi

DCV-1A selectively associates with long dsRNAs and inhibits Dcr-2-mediated processing of viral dsRNAs into vsiRNAs. FHV-B2 binds both siRNAs and long dsRNAs, thereby inhibiting Dcr-2-mediated viral dsRNA destruction and the loading of vsiRNAs into AGO2. CrPV-1A associates with AGO2 and inhibits its slicer activity, thereby impairing the effector step of RNAi.

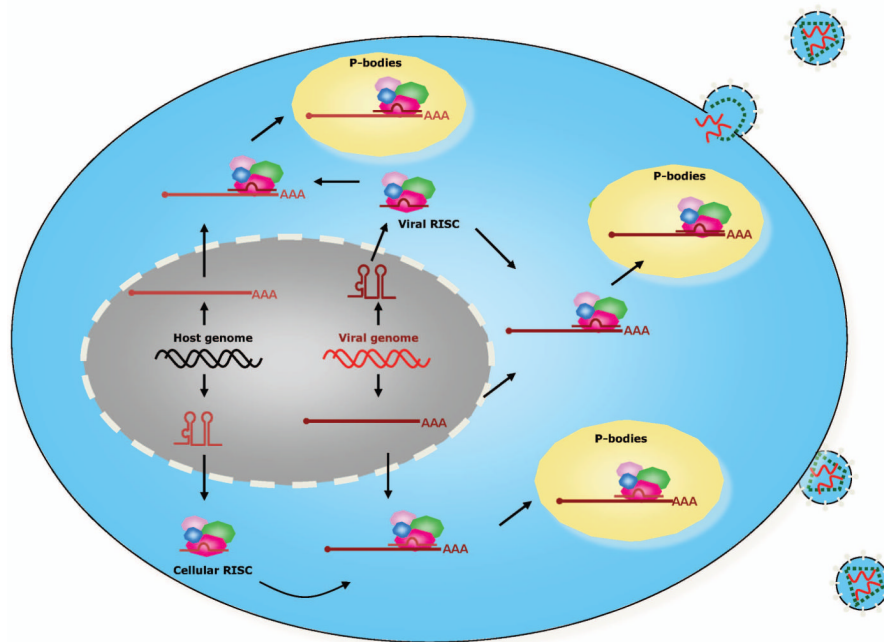


Fig 4. Plausible mechanisms for modulation of host-virus interactions by miRNAs

Viruses encode miRNA genes and use the host RNAi machinery to assemble viral RISCs that can target host and viral mRNAs. Viral infections also affect the expression of cellular miRNAs and the concentrations of specific miRISCs. Cellular RISCs can target viral mRNAs as part of the host antiviral response. RISCs suppress translation and localize mRNA-RISC RNPs to P bodies. Depending on the prevailing cellular conditions, the suppressed mRNAs may be destroyed or re-enter the translation process. Another mechanism (not shown) could use cellular RISCs to suppress cellular mRNAs integral to the host antiviral response, which would allow the virus to evade the immune response.