

NIH Public Access

Author Manuscript

Pain Med. Author manuscript; available in PMC 2014 June 01.

Published in final edited form as: *Pain Med.* 2013 June ; 14(6): 935–942. doi:10.1111/pme.12080.

Treating Intractable Phantom Limb Pain with Ambulatory Continuous Peripheral Nerve Blocks: A Pilot Study

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Abstract

Background—There is currently no reliable treatment for phantom limb pain (PLP). Chronic PLP and associated cortical abnormalities may be maintained from abnormal peripheral input, raising the possibility that a continuous peripheral nerve block (CPNB) of extended duration may permanently reorganize cortical pain mapping, thus providing lasting relief.

Methods—Three men with below-the-knee (2) or -elbow (1) amputations and intractable PLP received femoral/sciatic or infraclavicular perineural catheter(s), respectively. Subjects were randomized in a double-masked fashion to receive perineural ropivacaine (0.5%) or normal saline for over 6 days as outpatients using portable electronic infusion. Four months later, subjects

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 $^{5^{-6}}$ The majority of study contributions of Drs. Mariano and Loland occurred while working at the University of California San Diego, San Diego, California. Dr. Mariano has since moved to Stanford University, Stanford, California; and, Dr. Loland has since moved to the University of Washington, Seattle, Washington.

Conflict of Interest: None.

Prior Presentation: Presented, in part, as a scientific abstract at the annual meeting of the American Society of Anesthesiologists in Washington D.C. (United States), October 13-17, 2012.

returned for repeated perineural catheter insertion ("crossover"), and received an ambulatory infusion with the alternate solution. Subjects were followed for up to one year.

Results—By chance, all 3 subjects received saline during their initial infusion and reported little change in their PLP. One subject did not receive crossover treatment; but, the remaining 2 subjects reported complete resolution of their PLP during and immediately following treatment with ropivacaine. One subject experienced no PLP recurrence through the 52-week follow-up period; and, the other reported mild PLP occurring once each week of just a small fraction of his original pain (pre-treatment: continuous PLP rated 10/10; post-treatment: no PLP at baseline with average of 1 PLP episode each week rated 2/10) for 12 weeks (lost to follow-up thereafter).

Conclusions—A prolonged ambulatory CPNB may be a reliable treatment for intractable PLP. The results of this pilot study suggest that a large, randomized clinical trial is warranted.

Introduction

Over 200,000 traumatic and surgical amputations occur annually within the United States alone;¹ and, over 1.6-million Americans are currently living with an amputated limb.² The incidence of phantom limb pain (PLP) ranges from 50–95%^{3–5} and is usually described as "shooting, stabbing, boring, squeezing, throbbing, and burning."^{3,6} The economic toll for chronic nonmalignant pain is measured in the billions of dollars annually.¹ There is currently no reliable treatment for PLP.⁷ While more than 43 methods for treating PLP have been described,^{8,9} prolonged relief is experienced by fewer than 10% of treated patients (as well as 6% of untreated patients).¹⁰ Evidence of the intractable nature of phantom pain may be found in a survey of more than 10,000 amputees who, remarkably, reported only a 1% success rate from available treatment options.¹¹ There are few data from randomized trials to guide treatment, leading the authors of a major review to conclude that there remains a substantial "gap between research and practice in the area of phantom limb pain".⁷

Current evidence suggests that when a nerve is severed—as occurs during limb amputation —the barrage of nociceptive input triggers a complex interaction between the peripheral and central nervous systems. Both systems are dynamic, and injury to peripheral nerves provokes changes in the spinal cord, dorsal root ganglia, thalamus, and cerebral cortex which are referred to as "neuronal plasticity".^{12,13} Reorganization at the level of the spinal cord may result in "sensitization" in which responses to peripheral stimulation results in an exaggerated response, leading to residual limb allodynia and hyperalgesia.¹⁴ Additionally, the somatosensory cortex, which "maps" somato-sensory inputs from the body—each location represented in a specific area of the cortex (i.e., homunculus), undergoes plastic changes of this map following deafferentation.¹⁵ For example, the cortical zone representing the fingers may be invaded by adjacent areas following a hand amputation and consequent deafferentation.¹⁶

Imaging techniques such as functional magnetic resonance imaging have documented a correlation between PLP and cortical <u>re</u>organization—with the most intense phantom pain provoking the greatest cortical changes.¹⁵ Extraordinarily, when neural input from an amputated limb was blocked with a peripheral nerve block in six patients, three had immediate, complete resolution of their phantom pain; and, within minutes, the cortical abnormalities were corrected for these three individuals.¹⁷ Unfortunately, when the single-injection nerve block resolved after a few hours, the phantom pain returned. But, this intriguing result demonstrates that the abnormal mapping—and PLP—that occur with amputation are not necessarily fixed and may be dependent on signaling from the peripheral nervous system. Notably, studies of chronic low back pain demonstrate that cortical thickness and cognitive abilities increased simultaneously 6 months after pain treatment.¹⁸

abnormalities are not only reversible, but that treating chronic pain can restore normal brain function.¹⁸ In other words, chronic PLP and cortical abnormalities may be maintained from abnormal peripheral input, suggesting that a dense, continuous peripheral nerve block (CPNB) of extended duration—lasting days rather than hours—may <u>permanently</u> reorganize cortical pain mapping, thus providing <u>lasting</u> relief from phantom pain. We therefore designed and executed this randomized, double-masked, placebo-controlled, crossover pilot study to systematically investigate this possible intervention.

Materials and Methods

Enrollment

The local Institutional Review Board (University of California San Diego, San Diego, CA) approved all study procedures, and all subjects provided written, informed consent. The trial was prospectively registered at clinicaltrials.gov (NCT00667264). Subjects offered enrollment included adults (18 years or older) with an existing upper or lower limb amputation (including at least one metacarpal or metatarsal bone, respectively) and existing phantom limb and/or residual limb pain described as at least a 2 on a Numeric Rating Scale of pain (NRS) during the previous week, and occurring on a weekly basis over at least the previous month. Additional inclusion criteria comprised subjects' desiring an ambulatory perineural infusion for over 6 days; willingness to avoid additional "new" analgesic interventions from 4 weeks prior to at least 4 weeks following catheter placement, and preferably to 6 months following catheter placement; and the availability of a "caretaker" who would transport the subject home following the procedure and remain with the subject for at least the first night of infusion. Exclusion criteria included any known hepatic or renal insufficiency; allergy to the study medications; pregnancy; incarceration; and, possessing a contraindication to perineural catheter placement and/or perineural local anesthetic infusion. Only subjects whose entire residual limb ("stump") could be anesthetized with the CPNB(s) were included in this pilot study. Subjects' analgesic regimen remained static from 4 weeks prior to at least 4 weeks (preferably 6 months) following catheter placement.

Catheter insertion

Subjects with below-the-knee or -elbow amputations received ultrasound-guided femoral/ popliteal-sciatic or infractavicular perineural catheter(s), respectively (FlexTip Plus, Teleflex Medical, Reading, PA), as previously described.^{19–21}

Upper extremity amputation—Subjects were positioned in the supine position. With a low-frequency curvilinear array ultrasound transducer in a sterile sleeve, the brachial plexus and axillary artery were identified in a transverse cross-sectional (short axis) view. Once the optimal image of the brachial plexus cords was obtained, a local anesthetic skin wheal was raised cephalad to the ultrasound transducer. A 17 gauge, Tuohy-tip needle (FlexTip, Arrow International, Reading, Pennsylvania) was inserted through the skin wheal in-plane beneath the ultrasound transducer and directed caudad until the needle tip was between the axillary artery and the posterior brachial plexus cord. Normal saline (5 mL) was injected *via* the needle to open the perineural space to allow subsequent insertion of a flexible 19 gauge perineural catheter 5 cm beyond the needle tip. The needle was removed over the catheter, the catheter tunneled subcutaneously, and the catheter affixed using liquid adhesive, occlusive dressings, and an anchoring device.

Lower extremity amputation—Subjects received two perineural catheters: a femoral and a popliteal-sciatic. The popliteal-sciatic catheter was inserted first with the subject in the prone position, followed by the femoral catheter with the subject in the supine position. With a high-frequency linear array ultrasound transducer in a sterile sleeve, the target nerves

were identified in a transverse cross-sectional (short axis) view: the sciatic nerve within the proximal popliteal fossa cephalad to the sciatic bifurcation, and the femoral nerve at the inguinal crease. For each insertion, a local anesthetic skin wheal was raised lateral to the transducer, and a 17 gauge, Tuohy-tip needle (FlexTip, Arrow International, Reading, Pennsylvania) was inserted through the skin wheal in-plane beneath the ultrasound transducer and directed medially until the needle tip was posterior to each target nerve. For each insertion, normal saline (5 mL) was injected *via* the needle to open the perineural space allowing subsequent insertion of a flexible 19 gauge perineural catheter 5 cm beyond the needle tip. The needle was removed over the catheter, and the catheter affixed as described previously for the infraclavicular catheter above.

Mepivacaine (1.5%, 20 mL) with epinephrine (2.5 µg/mL) was injected via each catheter.

Intervention

Treatment allocation occurred following perineural catheter insertion, with subjects randomized to receive over 6 days of perineural infusion with either ropivacaine (0.4%) or normal saline (placebo). The Investigational Drug Service created computer-generated randomization lists, and prepared all study solutions/pumps to allow investigator/subject masking of treatment group assignments. Perineural infusions were provided on an outpatient basis using portable, programmable, electronic infusion pumps (ambIT PCA, Summit Medical, Salt Lake City, Utah).²² Subjects received a total of 1,100 mL of study fluid from either one (upper extremity) or two (lower extremity) pump(s) and external reservoir combinations. The continuous basal infusion rate was determined by catheter location: femoral 2 mL/h; popliteal-sciatic 5 mL/h; and infraclavicular 7 mL/h. No patient-controlled bolus doses were provided. Subjects or their caretakers removed their perineural catheters one week following insertion. Twelve to 16 weeks following the initial catheter placement, subjects had the option of undergoing this same protocol, only with the alternative ("crossover") treatment (ropivacaine 0.4% or normal saline).

Outcome measurements

We selected outcome measures that have established reliability and validity, with minimal inter-rater discordance, and are recommended for chronic pain clinical trials by the Initiative on Methods, Measurement, and Pain Assessment in Clinical Trials (IMMPACT) consensus statement.²³ Data collection occurred in person immediately prior to catheter insertions (both initial and crossover), and subsequently by telephone on Days 3, 8, and 28, as well as at Weeks 12 and 52. The Brief Pain Inventory was used at each of these time points, with responses specified for PLP in the previous 24 hours. In addition, subjects were asked the number of times that they experienced PLP and to rate the worst and average PLP on the NRS, all within the previous week.²⁴ Phantom pain was defined with each questionnaire application as "painful sensations referred to the lost body part."^{25,26} Residual limb pain, defined as painful sensations existing within the remaining limb, was excluded. The Patient Global Impression of Change scale is a measure allowing patient evaluation of integrated treatment effects compared with their baseline on a 7-point ordinal scale.²³ This measure was applied at each of the post-catheter insertion time points.

Results

Three men with below-the-knee (n=2) or -elbow (n=1) amputations and intractable PLP of at least 12 months duration received ultrasound-guided femoral/sciatic or infraclavicular perineural catheter(s), respectively (Table 1). By chance, all 3 subjects received saline during their initial infusion and reported little change in their PLP (Figure 1). One subject with a below-the-knee amputation returned to active duty overseas prior to receiving his second (crossover) infusion with active drug. However, the remaining 2 subjects reported <u>complete resolution</u> of their PLP during and immediately following treatment with ropivacaine. One subject experienced no PLP recurrence through the 52-week follow-up period; and the other reported mild PLP occurring once each week of just a small fraction of his original pain (pre-treatment: continuous PLP rated 10 on the NRS; post-treatment: no PLP at baseline with average of 1 PLP episode each week rated at 2 on the NRS) for 12 weeks (lost to follow-up at 52 weeks). The Brief Pain Inventory questionnaires and Patient Global Impression of Change ratings reflected these improvements (Table 2).

There were no catheter dislocations, protocol deviations, or adverse events.

Discussion

This randomized, double-masked, placebo-controlled, crossover pilot study suggests that a prolonged ambulatory CPNB may be a reliable treatment for intractable PLP. These results may have major clinical implications given the 1.6-million people currently living with an amputated limb in the United States alone;² high prevalence of PLP following amputation (25–98%, depending on the study);^{6,10,27} decrease in quality-of-life associated with PLP; and the dearth of reliable treatments for this ailment.^{7,10,11} However, a large, randomized, controlled clinical trial is required to investigate treating intractable PLP with CPNB in order to draw definitive conclusions.

Published literature

Two case reports describe a total of three patients with PLP immediately following surgical amputation who were treated with multiple-day hospital-based CPNB. These patients experienced complete resolution of their PLP upon initiation of the CPNB, and no return of the phantom pain during the 7–12 month follow-up period.²⁸ In an uncontrolled series of 19 patients also with PLP treated with hospital-based CPNB in the immediate post-amputation period, pain intensity decreased by approximately 50% at 1 and 6 months.²⁹ However, to our knowledge, the present study is the first to systematically investigate CPNB as a possible intervention. Reports to date include CPNB exclusively in the immediate post-amputation period, as opposed to applying CPNB in patients with temporally-remote amputations who already suffer from intractable PLP.

Ambulatory CPNB

There is limited evidence that for *surgical* amputations, a preemptive local anesthetic infusion *via* an <u>epidural</u> catheter may decrease the incidence of subsequent PLP.^{30,31} However, treating chronic, intractable PLP with an epidural is problematic since an epidural infusion may not be provided for upper extremity amputation; and, even when used for lower extremity amputation, the infusion affects both limbs. Bilateral epidural effects require a low dose of local anesthetic to allow sensation in and ambulation using the uninjured limb; the consequence is inadequate analgesic effects in the amputated limb. Furthermore, epidural infusion often causes urinary retention and sympathectomy-induced postural hypotension;³² epidural analgesia thus usually requires hospitalization for close monitoring and possible intervention. The high cost of hospitalization for epidural infusion is a strong deterrent for both research and practical application.

In contrast, CPNB affects only the target peripheral nerves, may be provided for both upper and lower extremity trauma, has no undesirable side effects (although complications may occur, including local anesthetic toxicity, nerve injury, and infection), and may be provided on an ambulatory basis using small, portable pump(s) to infuse the local anesthetic.²² No healthcare facility admission is required for perineural local anesthetic infusion, enabling individuals to remain in the comfort of their own homes without the expense of a prolonged hospitalization. Recent technological advances in electronic, programmable infusion pumps permit extraordinarily precise flow rates with an infusion duration of over a week in a very small, light, portable pump.³³ While ambulatory CPNB is no longer an "experimental" technique,³⁴ to date it has been used nearly exclusively to treat acute pain immediately following surgery rather than chronic pain states.²²

Relevance

Given chronic pain's enormous costs to individuals and society as a whole—and the intractable nature of PLP—it is imperative that a reliable, effective treatment be developed. Moreover, the optimal current treatment for phantom pain—systemic opioids—is associated with significant risks such as respiratory depression, cognitive impairment,³⁵ and addiction, especially in populations with co-existing psychopathology (e.g., combat stress, depression).³⁶ In addition, alternative treatments/adjuvants such as gabapentinoids and tricyclic antidepressants also have significant drawbacks, such as impairing the ability to work and function. In contrast, CPNB has no addiction potential, produces no side effects, and does not influence cognitive functioning.

In conclusion, a prolonged ambulatory CPNB may be a reliable treatment for intractable PLP. The results of this pilot study suggest that a large, randomized clinical trial is warranted. Such a multicenter study has been funded by the United States Department of Defense through a 4-year Congressionally Directed Medical Research Program grant; will begin enrollment in 2013; and has an anticipated completion date of 2016.

Acknowledgments

Financial Support: Funding for this project provided by the National Institutes of Health grant GM077026 (P.I.: Dr. Ilfeld) from the National Institute of General Medical Sciences (Bethesda, Maryland); the Clinical and Translational Research Institute, University of California, San Diego (San Diego, California), with funding provided by the National Institutes of Health National Center for Research Resources grant UL1RR031980; the University of California Academic Senate (P.I.: Dr. Ilfeld; San Diego, California); the Department of Anesthesiology, University of California San Diego (San Diego, California); the Department of Anesthesiology, University of California San Diego (San Diego, California); and Summit Medical (Salt Lake City, Utah) provided both an unrestricted research grant and the infusion pumps used in this investigation. This company had no input into any aspect of study conceptualization, design, and implementation; data collection, analysis and interpretation; or manuscript preparation. The contents of this article are solely the responsibility of the authors and do not necessarily represent the official views of the funding entities.

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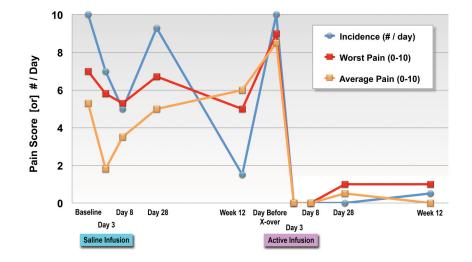


Figure 1.

Effects of ambulatory perineural normal saline (placebo) and ropivacaine ("active") infusions on *phantom limb pain* severity and incidence (within the previous week, or since the previous time point, whichever was less). Pain severity indicated using a Numeric Rating Scale of 0–10, with 0 equivalent to no pain and 10 equivalent to the worst imaginable pain. Data are expressed as means. "X-over": crossover treatment with ropivacaine.

Table 1

Subject characteristics

Subject Number:	1	2	3
Age (yr)	25	27	47
Height (cm)	183	188	180
Weight (kg)	114	80	114
Body mass index (kg/m ²)	34	23	35
Amputation (below-the-)	Knee	Elbow	Knee
Amputation side (right vs. left)	Right	Right	Right
Amputation etiology	Traumatic	Surgical	Surgical
Years since original amputation (yr)	2	1	1
Duration of PLP (yr)	2	1	1
Surgical revisions (#)	1	15	3
Average incidence of phantom pain/day (#)	Continuous	7	5
Worst PLP in week before intervention (NRS)	10	6	7
Average PLP in week before intervention (NRS)	10	5	5
PLP immediately prior to intervention (NRS)	8	3	2
PLP 15 after mepivacaine bolus (NRS) *	0	0	0

*Initial single-injection mepivacaine 1.5% peripheral nerve block

PLP: Phantom limb pain

NRS: Numeric Rating Scale of pain (0-10; 10 equivalent to the worst imaginable pain)

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Table 2

Brief Pain Inventory and Patient Global Impression of Change scale (mean values)

Time Point Following:	Initial (atheter]	Insertion	Initial Catheter Insertion (Placebo Infusion)	nfusion)	Crossove	Crossover Catheter Insertion *	Insertion * (* (Ropivacaine Infusion)	e Infusion)	Initial
Time Point (Days):	0	3	~	28		0	3	8	28		
Time Point (Weeks):					12					12	52
$\mathbf{N} =$	3	3	3	3	3	2	2	2	2	2	1
PLP in previous 24 hours:											
Least (NRS)	1	3	2	3	1	2	0	0	0	0	0
Average (NRS)	5	2	4	5	3	6	0	0	1	0	0
Worst (NRS)	7	4	5	7	5	6	0	0	1	1	0
PLP at present time (NRS)	4	2	3	9	3	5	0	0	0	0	0
Relief provided by treatment in the last 24 hours (%/10)		0	0	0	0		10	10	10	5	10
Pain interferes with (0–10): \hat{s}											
General activity	9	3	1	5	3	7	0	0	0	0	0
Mood	8	2	2	5	3	9	0	0	0	0	0
Walking ability	2	3	1	5	3	6	0	0	0	0	0
Normal work	3	4	3	5	3	7	0	0	0	0	0
Relationships	1	2	0	3	1	5	0	0	0	0	0
Sleep	9	4	2	8	5	7	0	0	0	0	0
Enjoyment of life	5	4	4	8	5	9	0	0	0	0	0
Patient Global Impression of Change scale (1–7) ϕ		4	4	4	4		L	7	7	7	7
36											

* Optional second—or "crossover"—catheter insertion occurred between 12–16 weeks following the initial catheter insertion

PLP: Phantom limb pain

NRS: Numeric Rating Scale of pain (0–10; 10 equivalent to the worst imaginable pain)

 $\overset{S}{\scriptstyle 0}$ equivalent to no interference; 10 equivalent to the maximum interference imaginable

 ϕ 1 equivalent to "very much worse"; 4 equivalent to "ho change"; and 7 equivalent to "very much improved"