

# Molecular Characterization of CTX-M $\beta$ -Lactamase and Associated Addiction Systems in *Escherichia coli* Circulating among Cattle, Farm Workers, and the Farm Environment

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A total of 84 extended-spectrum  $\beta$ -lactamase (ESBL)-producing *Escherichia coli* isolates from cattle, farm workers, and the farm environment isolated from February to September 2008 in the Republic of Korea were investigated. All 84 ESBL-producing isolates carried  $bla_{CTX-M}$  genes that belonged to the CTX-M-1 (n = 35) or CTX-M-9 (n = 49) family. The most predominant CTX-M type identified was CTX-M-14 (n = 49), followed by CTX-M-32 (n = 26). The  $bla_{CTX-M}$  genes were identified most commonly in *E. coli* isolates from feces (n = 29), teats (n = 25), and milk (n = 14). A  $bla_{CTX-M-14}$  gene was also detected in an *E. coli* isolate from a farmer's hand. Transfer of the  $bla_{CTX-M}$  gene from 60  $bla_{CTX-M}$ -positive *E. coli* isolates to the recipient *E. coli* j53 strain by conjugation was demonstrated. Plasmid isolation from  $bla_{CTX-M}$ -positive transconjugants revealed a large (95- to 140-kb) conjugative plasmid. Almost all (82/84)  $bla_{CTX-M}$  genes possessed an insertion sequence, IS*Ecp1*, upstream of the  $bla_{CTX-M}$  gene. Only in the case of the CTX-M-14 genes was IS903 downstream of the gene. The  $bla_{CTX-M}$  genes were associated with seven kinds of addiction systems. Among them, *pndAC*, *hok-sok*, and *srnBC* were the most frequently identified addiction systems in both wild strains and transconjugants. The spread of  $bla_{CTX-M}$  genes was attributed to both clonal expansion and horizontal dissemination. Our data suggest that a combination of multiple addiction systems in plasmids carrying  $bla_{CTX-M}$  genes could contribute to their maintenance in the host cells. To our knowledge, the  $bla_{CTX-M-32}$  gene has not previously been reported in animal isolates from the Republic of Korea.

The major mechanism of resistance to oxyimino-cephalosporins in *Escherichia coli* is the production of extended-spectrum  $\beta$ -lactamases (ESBLs) (1). The TEM- and SHV-type ESBLs were the most common ESBLs during the 1990s. However, CTX-M  $\beta$ -lactamases, a new group of plasmid-mediated ESBLs, have increased dramatically in the past decade and are now the dominant type of ESBL in most areas of the world (2). The global spread and high prevalence of the CTX-M type in *E. coli* is a matter of concern in both human and veterinary medicine.

The genetic basis contributing to the successful global dissemination of CTX-M  $\beta$ -lactamases remains poorly understood. Molecular epidemiological studies have shown a strong association of  $bla_{CTX-M}$  genes with conjugative plasmids and successful bacterial clones (3). It has been suggested that introduction of IncFII plasmids encoding CTX-M-15 into the welladapted ST131-O25:H4 *E. coli* clone and its subsequent spread could be involved in part in the successful dissemination of CTX-M-15-producing *E. coli* clones worldwide (4, 5). It has also been proposed that the plasmids carrying antimicrobial resistance or virulence determinants in bacteria exploit toxinantitoxin gene pairs in order to maintain themselves during host replication. The toxin-antitoxin system, also known as the addiction system, eradicates plasmid-free cells and contributes to intra- and interspecies plasmid dissemination (6).

Recently, multiple addiction systems were reported in plasmids bearing  $bla_{CTX-M}$  genes in *E. coli*, suggesting the contribution of multiple addiction systems to their maintenance in host strains (7). Similarly, the rapid spread of CTX-M-15-producing human clinical *E. coli* isolates in the Republic of Korea was attributed to clones with a high frequency of virulence determinants and addiction systems (8). More recently, we observed the predominance of CTX-M-14-producing *E. coli* isolates among healthy animals in the Republic of Korea (9). Addiction systems responsible for the maintenance and successful spread of CTX-M genes in *E. coli* among humans have been investigated, but their role in animal systems remains unknown. Therefore, the objective of this study was to characterize CTX-M  $\beta$ -lactamase and associated addiction systems in *E. coli* circulating among cattle, farm workers, and the farm environment in the Republic of Korea.

#### MATERIALS AND METHODS

**Sampling and bacterial culture.** A total of 1,536 samples, including quarter milk samples (n = 559), cattle feces (n = 379), farm environmental samples (n = 512), and samples from farmers' hands (n = 43) and noses (n = 43), were collected from 22 different dairy cattle farms from February to September 2008. These farms were located in five different provinces (Jeonnam, n = 3; Jeonbuk, n = 8; Chungnam, n = 4; Gwangwon, n = 3; and Gyeonggi, n = 4) of the Republic of Korea and were chosen on the basis of likelihood of mastitis, farmers' interest, and compliance. Sample collection from each farm was done only once.

The quarter milk samples were taken as eptically from lactating cows directly after milking in sterile snap cap milk collection vials. The environmental samples were obtained from floors (n = 43), fences (n = 44), teats (n = 210), ventilation fans (n = 42), manure (n = 11), water (n = 30), feed (n = 30), and milking cups (n = 102). Fecal samples (20 to 50 g of fresh fecal mass) were collected in sterile stool collection containers. For farmers' nasal sampling, a cotton-tipped swab with Stuart's medium

Received 15 February 2013 Accepted 4 April 2013 Published ahead of print 12 April 2013 Address correspondence to Suk-Kyung Lim, imsk0049@korea.kr. Copyright © 2013, American Society for Microbiology. All Rights Reserved. doi:10.1128/AEM.00522-13 (Becton, Dickinson, Sparks, MD, USA), and for the farmers' hand sampling, four gauze pads (10 cm by 10 cm) wetted with 1% sterile skim milk were used. Drinking water and feed samples were collected in buckets and placed in 50-ml sterile containers. Other environmental samples were collected using four gauze pads wetted with 1% sterile skim milk and placed in sterile plastic bags. The samples were immediately transported to the laboratory in ice-cooled containers and processed within 24 h of collection.

The fecal samples were directly inoculated onto MacConkey agar plates supplemented with 2 µg/ml cefotaxime using cotton swabs and incubated aerobically overnight at 37°C. All gauze pad samples from environmental sources and farmers' hands were individually placed in a sterile stomacher bag containing 50 ml of 1% sterile skim milk and homogenized for 30 s. Nasal swabs, 1 ml of milk, 1 ml of water/feed, and 1 ml of homogenized gauze pad samples were inoculated into 9 ml tryptone soy broth containing 2 µg/ml cefotaxime and incubated for a further 16 to 20 h at 37°C. Then, one loopful (10 µl) of this broth was spread onto Mac-Conkey agar plates supplemented with 2 µg/ml cefotaxime. Based on colony morphology and color, suspected large, smooth, pink colonies were subsequently subcultured on Chromogenic ESBL agar (bioMérieux, Marcy-l'Etoile, France). The identification of E. coli isolates and phenotypic confirmation of ESBL production were done as described previously (9). Altogether, 84 phenotypically ESBL-positive E. coli isolates were identified, which were further characterized in detail in the present study.

Antimicrobial susceptibility test. Antimicrobial susceptibility was tested by the disc diffusion method, in accordance with Clinical and Laboratory Standards Institute (CLSI) guidelines (10) using commercial discs (BBL; Becton, Dickinson and Company, Cockeysville, MD). The antimicrobial drugs tested were selected based on their relevance in veterinary medicine and their importance in human medicine. They include ampicillin (10 µg), amoxicillin-clavulanic acid (20 and 10 µg), cephalothin (30 µg), cefotaxime (30 µg), ceftazidime (30 µg), cefoxitin (30 µg), imipenem (10 µg), chloramphenicol (30 µg), gentamicin (10 µg), neomycin (30 µg), streptomycin (10 µg), tetracycline (30 µg), ciprofloxacin (5 µg), nalidixic acid (30 µg), and trimethoprim-sulfamethoxazole (1.25 to 23.75 µg). MICs of selected antimicrobials were tested using Etest strips (AB Biodisk). The diameters of inhibition zones surrounding the antimicrobial discs and Etest strips were interpreted according to the CLSI guidelines (10). E. coli ATCC 25922 and Pseudomonas aeruginosa ATCC 27853 were used as quality control strains for antimicrobial susceptibility tests.

**β-Lactamase gene identification.** Screening of the  $bla_{CTX-M}$  gene was done as described previously (11). To confirm  $bla_{CTX-M}$  genes, group-specific primers for the CTX-M-1 and CTX-M-9 families were used as described previously (12). Finally, the combination of the CTX-M-1G FL-F (13) or CTX-M-9G FL-R (14) primer and the ISECP1U1 primer (15) was used to amplify and sequence the complete  $bla_{CTX-M}$  gene. For the CTX-M-positive isolates, PCR amplification and sequencing of entire  $bla_{TEM}$  and  $bla_{SHV}$  genes was done as described previously (16). Sequence analyses and comparison with known sequences were performed with the BLAST programs at the National Center for Biotechnology Information website (http://www.ncbi.nlm.nih.gov/BLAST).

**Conjugations.** The abilities of  $bla_{CTX-M}$ -positive *E. coli* isolates to transfer  $bla_{CTX-M}$  genes to sodium azide-resistant *E. coli* J53 by conjugation were determined by broth-mating experiments at 37°C, as described previously (17). Prior to the conjugation experiments, the  $bla_{CTX-M}$ -positive *E. coli* isolates were tested for susceptibility to sodium azide. Transconjugants were selected on MacConkey agar plates supplemented with sodium azide (150 mg/liter; Sigma) and cefotaxime (2 µg/ml). Antimicrobial susceptibility and detection of β-lactamase genes were performed in the presumptive transconjugants as described above to confirm the transfer of β-lactamase genes.

**Plasmid characterization.** Plasmid DNAs were extracted from the transconjugants using the QuickGene plasmid isolation system (Fujifilm Corporation, Tokyo, Japan) following the manufacturer's protocol. Plasmid size was estimated by comparison with the Bac-Tracker Supercoiled

DNA Ladder (Epicentre Bitotechnologies, Madison, WI) after gel electrophoresis in 0.8% agarose gels. Replicon typing of the isolated plasmid DNA was done by a PCR-based replicon-typing (PBRT) method as described previously (18).

**Exploration of the genetic environment of the**  $bla_{CTX-M}$  **gene.** The genetic environment of the  $bla_{CTX-M}$  gene identified in this study was investigated by PCR and by sequencing of the regions surrounding the gene. Forward primers of IS26 (19), ISCR1 (20), or ISEcp1 (15) and the CTX-M reverse consensus (MA2) primer (15) were used to examine regions upstream of the *bla* genes. Similarly, the MA1 primer (15) and reverse primers of IS903, orf477, and *mucA* genes (19) were used to investigate downstream of the *bla* genes.

**Detection of addiction systems.** The presence of eight plasmid addiction systems, PemK-PemI (*pemKI*) (plasmid emergency maintenance), CcdA-CcdB (*ccdAB*) (coupled to cell division), RelB-RelE (*relBE*) (relaxed control of stable RNA synthesis), ParD-ParE (*parDE*) (DNA replication), VagC-VagD (*vagCD*) (virulence-associated protein), Hok-Sok (*hok-sok*) (host killing), PndA-PndC (*pndAC*) (promotion of nucleic acid degradation), and SrnB-SrnC (*srnBC*) (stable RNA), was determined by PCR using primer sets and conditions described previously (7).

**PFGE.** Pulsed-field gel electrophoresis (PFGE) of XbaI (TaKaRa Bio Inc., Shiga, Japan)-digested genomic DNA of CTX-M  $\beta$ -lactamase-producing *E. coli* strains was carried out as described previously (21) using a Chef Mapper apparatus (Bio-Rad Laboratories, Hercules, CA). The PFGE conditions of XbaI macrorestriction analysis were 6 V/cm for 19 h with pulse times ranging from 2.16 to 54.17 s at a temperature of 14°C and an angle of 120°. The similarities of the restriction fragment length polymorphisms were analyzed using Bionumerics software, version 4.0 (Applied Maths, Sint-Martens-Latem, Belgium) to produce a dendrogram. Clustering was carried out by the unweighted-pair group method with arithmetic averages (UPGMA), based on the Dice similarity index.

#### RESULTS

Antimicrobial susceptibility. An antimicrobial susceptibility test showed that all 84 ESBL-producing isolates were resistant to ampicillin, cephalothin, and cefotaxime but that all of them were susceptible to imipenem and cefoxitin. Among the non- $\beta$ -lactam drugs tested, resistance was most frequently observed to streptomycin (64/84; 76.5%) and tetracycline (64/84; 76.5%), followed by trimethoprim-sulfamethoxazole (55/84; 65.5%), nalidixic acid (50/84; 59.5%), neomycin (43/84; 51.2%), gentamicin (33/84; 39.3%), chloramphenicol (32/84; 38.1%), and ciprofloxacin (32/ 84; 38.1%). MIC ranges for cefotaxime, ceftazidime, cefepime, and imipenem were 4 to  $\geq 16 \mu g/ml$ ,  $\leq 0.5$  to 32  $\mu g/ml$ , 0.5 to 16  $\mu g/ml$ , and 0.19 to 0.25  $\mu g/ml$ , respectively.

**Characterization of**  $\beta$ **-lactamase genes.** All 84 ESBL-producing *E. coli* isolates carried  $bla_{CTX-M}$  genes. The predominant CTX-M type identified was CTX-M-14 (n = 49), followed by CTX-M-32 (n = 26). Isolates producing CTX-M-15 (n = 9) were also identified. The distribution of 84  $bla_{CTX-M}$  genes according to sources of isolation is shown in Table 1. The  $bla_{CTX-M}$  genes were identified most commonly in *E. coli* isolates from feces (n = 29), followed by teats (n = 25), milk (n = 14), and floor samples (n = 5). A  $bla_{CTX-M-14}$  gene was also detected in an *E. coli* isolate from a farmer's hand. Fifty-eight of these  $bla_{CTX-M}$ -positive isolates also carried  $bla_{TEM-1}$ , but none of them was positive for the  $bla_{SHV}$  gene.

**Transferability of**  $bla_{CTX-M}$  genes. Transfer of the cefotaxime resistance phenotype and  $bla_{CTX-M}$  gene from 60  $bla_{CTX-M}$ -positive *E. coli* isolates to the recipient *E. coli* strain J53 by conjugation was successful. The characteristics of conjugation-positive *E. coli* isolates carrying  $bla_{CTX-M-14}$  and  $bla_{CTX-M-32}$  genes are shown in Table 2 and Table 3, respectively. Conjugative-transfer frequen-

	No. of	No. of isolate	Tables			
Source	samples investigated	CTX-M-14	CTX-M-15	CTX-M-32	Total no. (%)	
Feces	379	16	7	6	29 (34.5)	
Teat	210	18		7	25 (29.7)	
Milk	559	6	1	7	14 (16.7)	
Floor	43	3		2	5 (5.9)	
Fence	44	2		2	4(4.8)	
Ventilation fan	42	1		1	2 (2.4)	
Feed	30	1			1 (1.2)	
Water	30		1		1 (1.2)	
Manure	11			1	1 (1.2)	
Farmers' hands	43	1			1 (1.2)	
Farmers' noses	43					
Milking cups	102	1			1 (1.2)	
Total	1,536	49	9	26	84 (100)	

TABLE 1 Distribution of  $bla_{CTX-M}$  genes identified in this study according to sources of isolation

cies varied from  $5.0 \times 10^{-4}$  to  $4.9 \times 10^{-2}$  transconjugants per recipient. PCR analysis showed the presence of the respective  $bla_{\text{CTX-M}}$  genes from all the transconjugants. However, the  $bla_{\text{TEM-1}}$  gene from only 14 out of the 58 *E. coli* isolates that carried both the  $bla_{\text{CTX-M}}$  and  $bla_{\text{TEM-1}}$  genes was transferred to the recipient *E. coli* strain J53. In addition to the cephalosporin resistance phenotype, resistance traits to non- $\beta$ -lactam antibiotics also transferred along with  $bla_{\text{CTX-M}}$  genes.

Analysis of  $bla_{CTX-M}$  plasmids. Plasmid DNA preparation from  $bla_{CTX-M}$ -positive *E. coli* J53 transconjugants revealed a large conjugative plasmid, ranging in size from 95 to 140 kb. Various replicon types, including IncF, Incl1-I $\gamma$ , IncN, IncFIB, and IncP, either alone or in combination, were identified. All but one plasmid harboring  $bla_{CTX-M-14}$  genes were positive for a single replicon or were nontypeable (Table 2), whereas plasmids harboring  $bla_{CTX-M-32}$  genes were multireplicon plasmids, with the exception of eight IncN plasmids (Table 3). Twenty-one of 60 conjugative plasmids were nontypeable for the 18 incompatibility groups sought by the PBRT method. All of them encoded CTX-M-14, except one that encoded CTX-M-32.

**Genetic environment of the**  $bla_{CTX-M}$  **gene.** PCR identified IS*Ecp1* upstream of  $bla_{CTX-M}$  genes in 82 out of 84 *E. coli* isolates and in their 60 corresponding transconjugants. Similarly, IS903 was identified downstream of  $bla_{CTX-M}$  genes in 48 *E. coli* isolates. These 82 isolates bearing IS*Ecp1* upstream of the *bla* gene were classified into two different types based on their genetic environments. Type I (n = 47) was characterized by the presence of IS*Ecp1* and IS903 elements upstream and downstream of the  $bla_{CTX-M}$  gene, respectively, whereas type II (n = 35) showed a genetic environment identical to that of type I in the upstream region of the  $bla_{CTX-M}$  gene, but the downstream IS903 was absent.

**Prevalence of addiction systems.** Altogether, 188 plasmid addiction systems were detected among the CTX-M-producing *E. coli* strains. Of the eight addiction systems sought, seven different kinds, namely, *pemKI*, *ccdAB*, *relBE*, *vagCD*, *pndAC*, *hok-sok*, and *srnBC*, were identified (Table 4). None of these strains harbored the *parDE* system. The mean number of addiction systems detected was highest (3.3) among the CTX-M-15-producing *E. coli* isolates, followed by CTX-M-32-producing (2.7) and CTX-M-14producing (1.8) isolates. Overall, 46 addiction systems were detected in the transconjugants. However, only the *pndAC*, *hok-sok*, and *srnBC* systems were identified among the transconjugants. The mean numbers of addiction systems detected among  $bla_{\text{CTX-M-32}}$ - and  $bla_{\text{CTX-M-14}}$ -positive transconjugants were 1.1 and 0.5, respectively.

**PFGE analysis.** XbaI PFGE analysis of a subset of 47 *E. coli* strains carrying  $bla_{CTX-M-14}$  (n = 22) and  $bla_{CTX-M-32}$  (n = 25) genes from two different farms (farm L and farm N) in which the isolation rate of *E. coli* strains producing CTX-M β-lactamase was highest demonstrated at least 13 arbitrary (designated I through XIII) pulsotypes (Fig. 1), based on the similarity value of 0.85. The 22 CTX-M-14-producing strains represented 10 pulsotypes, including five singleton types. In contrast, 25 CTX-M-32-producing strains represented three predominant pulsotypes, X (n = 14), XII (n = 8), and XI (n = 3). DNA from two strains consistently autodigested. Thus, a cluster formed by these strains is ignored throughout this paper.

## DISCUSSION

The phenotypic and genotypic characteristics of ESBL-producing E. coli strains isolated from cattle, farm workers, and the farm environment from February to September 2008 in the Republic of Korea were investigated in this study. Overall, E. coli isolates from 84 (5.5%) of the 1,536 samples examined demonstrated CTX-Mtype ESBL production. This percentage is higher than that recently found in fecal samples from healthy cattle (1/654; 0.2%) in the Republic of Korea (9). Of these, 35 (41.7%) belonged to the CTX-M-1 family and 49 (58.3%) to the CTX-M-9 family. Our results are consistent with previous molecular epidemiological studies of ESBL-producing E. coli strains reporting dissemination of E. coli isolates harboring bla<sub>CTX-M</sub> genes of the CTX-M-1 or CTX-M-9 family among food animals from the Republic of Korea (9), China (22), Hong Kong SAR (23, 24), and Europe (25, 26, 27). Similarly, these findings mirror the trend observed in humans in the Republic of Korea, where the significant increase in the incidence of ESBLs in human clinical isolates of E. coli was attributed to the dissemination of the CTX-M-1 and CTX-M-9 families of B-lactamases (28).

In this study, a total of three  $bla_{\rm CTX-M}$  alleles (CTX-M-14, -15, and -32) were detected. Except for  $bla_{\text{CTX-M-32}}$ , the  $bla_{\text{CTX-M}}$  genes identified in this work were previously observed in E. coli isolates from animals in the Republic of Korea (9, 29, 30). Recently, we also reported bla<sub>CTX-M-14</sub> and bla<sub>CTX-M-15</sub> in nontyphoid Salmonella isolates among food animals and humans (31). However, CTX-M-32-producing organisms are relatively infrequent on a worldwide basis. CTX-M-32 was first reported in 2004 from the northern part of Spain and has mostly been reported from Spain (32, 33). The amino acid sequence of CTX-M-32 differs from that of CTX-M-1 by a single Asp240-Gly substitution, which is responsible for its enhanced level of resistance to ceftazidime (32). The results of our study, which showed a higher MIC value for cefotaxime, as well as ceftazidime, among isolates producing CTX-M-32, support this fact. Although a single clinical E. coli isolate producing CTX-M-32 was previously observed in human medicine (34), to our knowledge, the  $bla_{\text{CTX-M-32}}$  gene has not previously been reported in animal isolates from the Republic of Korea.

In the present study, all  $bla_{CTX-M-32}$  genes and a majority of  $bla_{CTX-M-14}$  genes (34/49; 69.4%) transferred to a recipient *E. coli* strain, along with the ESBL phenotype, by conjugation. However, none of the  $bla_{CTX-M-15}$  genes were transferred to the recipient strain, which indicates that this genetic determinant may be lo-

			Etest M	MIC (mg/	liter)		Other		Plasmid	Non-β-lactam resistance	
Isolate	Farm	Origin	CT	CTL	ΤZ	TZL	β-lactamase	Addiction system(s) <sup><math>b</math></sup>	Inc type	pattern	
M9-7	L	Cattle feces	>16	0.023	≤0.5	≤0.064	<u>TEM-1</u>	ccdAB, <u>pndCA</u> , srnBC	I1-Iγ	GEN STR	
M9-8	L	Calf feces	>16	0.032	≤0.5	0.064	TEM-1	ccdAB	NT	GEN <u>STR</u>	
M9-9	L	Calf feces	16	0.032	$\leq 0.5$	0.064	TEM-1	srnBC	NT	GEN <u>STR</u>	
M9-10	L	Calf feces	>16	0.023	≤0.5	0.064	TEM-1	pndCA, srnBC	NT	GEN STR SXT TET	
M9-13	М	Calf feces	>16	0.023	≤0.5	0.094		hok-sok, pndAC, srnBC	F	NEO STR TET	
M9-14	М	Calf feces	>16	0.023	≤0.5	0.064	TEM-1	ccdAB, vagCD, srnBC	F	NEO NAL SXT TET	
M9-15	Ν	Calf feces	16	0.047	≤0.5	0.125		pndCA	I1-Iγ	APR CIP NEO NAL ST SXT TET	
M9-16	Ν	Calf feces	>16	0.023	≤0.5	0.094	TEM-1	ccdAB, vagCD, srnBC	NT	<u>CHL</u> CIP <u>GEN</u> NEO NAL <u>STR SXT</u> TET	
M9-17	L	Feed	>16	0.032	$\leq 0.5$	0.064	<u>TEM-1</u>	pndCA, srnBC	NT	NEO <u>STR</u> TET	
M9-18	L	Ground floor	12	0.032	0.5	0.094	TEM-1	ccdAB, srnBC	NT	NEO NAL <u>STR</u> SXT TE	
M9-19	L	Ground fence	12	0.032	≤0.5	0.064	TEM-1	pndCA, srnBC	NT	APR CIP NEO NAL <u>STI</u> SXT TET	
M9-20	L	Parlor fence	16	0.023	$\leq 0.5$	0.064	TEM-1	pndCA, srnBC	NT	NEO <u>STR</u> TET	
M9-21	L	Parlor floor	>16	0.032	$\leq 0.5$	0.064		pndAC, srnBC	NT	NEO NAL <u>STR</u> SXT TE	
M9-22	L	Milking cup	>16	0.023	≤0.5	0.064	TEM-1	ccdAB, pndAC, srnBC	NT	APR CIP NEO NAL <u>ST</u> SXT TET	
M9-23	L	Teat	16	0.032	0.5	0.064	TEM-1	pndAC, srnBC	NT	NEO <u>STR</u> TET	
M9-24	L	Teat	>16	0.023	≤0.5	0.064	TEM-1	ccdAB, pndAC, srnBC	NT	NEO NAL <u>STR</u> SXT TE	
M9-25	L	Teat	8	0.023	≤0.5	0.064	TEM-1	pndAC, srnBC	NT	APR CIP NEO NAL <u>ST</u> SXT TET	
M9-26	L	Teat	16	0.032	$\leq 0.5$	0.064	TEM-1	pndAC, srnBC	NT	NEO NAL <u>STR</u> SXT TE	
M9-27	L	Teat	16	0.016	≤0.5	$\leq 0.064$	TEM-1	ccdAB, srnBC	NT	NEO NAL <u>STR</u> SXT TE	
M9-28	L	Teat	16	0.032	≤0.5	0.094	TEM-1	ccdAB, srnBC	NT	APR CIP NEO NAL <u>ST</u> SXT TET	
M9-29	L	Teat	8	0.032	0.5	0.094	TEM-1	ccdAB, srnBC	NT	NEO NAL <u>STR</u> TET	
M9-30	L	Teat	16	0.023	$\leq 0.5$	0.064	TEM-1	pndAC, srnBC	NT	NEO NAL <u>STR</u> SXT TE	
M9-31	L	Teat	12	0.023	≤0.5	0.064	TEM-1	ccdAB, srnBC	NT	APR CIP, NEO NAL <u>ST</u> SXT TET	
M9-32	L	Farmer's hand	12	0.023	$\leq 0.5$	0.064	<u>TEM-1</u>	pndAC, srnBC	NT	NEO <u>STR</u> TET	
M9-33	М	Ventilation	>16	0.032	0.5	0.094	TEM-1	ccdAB, vagCD, srnBC	F	NEO NAL <u>STR</u> SXT TE	
M9-34	М	Teat	>16	0.047	≤0.5	0.094	TEM-1		F	APR CIP, NEO NAL ST SXT TET	
M9-35	М	Milk	>16	0.032	≤0.5	0.064	TEM-1	ccdAB, vagCD, srnBC	F	NAL STR TET	
M9-36	Р	Cattle feces	8	0.032	≤0.5	0.064	TEM-1	hok-sok, pndAC, <u>srnBC</u>	F	NEO NAL STR SXT TE	
M9-37	Р	Cattle feces	8	0.032	≤0.5	0.064	TEM-1	hok-sok, pndAC, srnBC	F	APR CIP NEO NAL ST SXT TET	
M9-41	Р	Teat	4	0.023	≤0.5	0.064		<u>hok-sok, pndAC, srnBC</u>	F, I1-Ιγ	TET	
M9-42	Р	Teat	>16	0.023	≤0.5	0.064		hok-sok, srnBC	F		
M9-43	Р	Teat	16	0.023	≤0.5	0.064		hok-sok, srnBC	F		
M9-44	Р	Teat	16	0.032	≤0.5	0.064		hok-sok, srnBC	F		
M9-45	Р	Teat	12	0.023	≤0.5	0.064		hok-sok, srnBC	F		

<sup>*a*</sup> Etest, Epsilometer test; NT, not typeable by PCR-based replicon typing; CT, cefotaxime; CTL, cefotaxime-clavulanic acid; TZ, ceftazidime; TZL, ceftazidime-clavulanic acid; APR, apramycin; CHL, chloramphenicol; CIP, ciprofloxacin; GEN, gentamicin; NEO, neomycin; NAL, nalidixic acid; STR, streptomycin; SXT, trimethoprim-sulfamethoxazole; TET, tetracycline.

<sup>b</sup> Underlined *bla* genes, addiction system and resistance markers transferred to the recipient *E. coli* J53 strain by conjugation.

cated only on a nonconjugative plasmid or in the chromosome. Moreover, each transconjugant apparently carried a single large conjugative plasmid. The replicon typing of these transconjugant plasmids demonstrated significant plasmid diversity, which is consistent with that of  $bla_{\rm CTX-M}$  plasmids previously reported from the Republic of Korea (9) and the United Kingdom (35). In general, IncN and IncF were the predominant replicon types among the plasmids carrying  $bla_{\rm CTX-M-32}$  and  $bla_{\rm CTX-M-14}$  genes, respectively, suggesting their roles in the horizontal dissemination of these genes. Consistent with our findings, Novais et al. previously reported IncN plasmids bearing  $bla_{\rm CTX-M-32}$  from various

human clinical *E. coli* strains from Spain (33). As in this study,  $bla_{CTX-M-14}$  genes were mostly carried on IncF plasmids in the previous reports from the Republic of Korea (9, 36). In contrast, the spread of  $bla_{CTX-M-14}$  among *E. coli* isolates in Spain has been reported to be mainly mediated by IncK plasmids (37). Nevertheless, dissemination of IncN plasmids, which are typically conjugative and broad-host-range plasmids, may contribute to the further spread of isolates producing CTX-M-32 enzymes among other members of the *Enterobacteriaceae* (33).

ISEcp1 is frequently found in the upstream region of *bla*<sub>CTX-M</sub>type genes and plays a critical role in the efficient capture, expres-

		Etest MIC (mg/liter)			;)	Other		Transconjugant plasmid Inc	
Isolate <sup>b</sup>	Origin	CT	CTL	ΤZ	TZL	β-lactamase	Addiction system(s) <sup>c</sup>	type(s)	Non-β-lactam resistance pattern
M1-4	Cattle feces	>16	0.023	12	0.094	TEM-1	hok-sok, pndAC, srnBC	F, FIB, N, P, I1-Ιγ	<u>CHL</u> CIP GEN <u>NEO</u> NAL STR SXT <u>TET</u>
M1-5	Cattle feces	>16	0.023	32	0.125	TEM-1	hok-sok, pndAC, srnBC	Ν	CHL CIP GEN NEO NAL STR SXT TET
M1-6	Cattle feces	>16	0.023	16	0.094	TEM-1	hok-sok, srnBC	Ν	CHL CIP NEO NAL STR SXT TET
M1-7	Cattle feces	>16	0.023	32	0.023		relBE	Ν	CHL CIP NEO NAL STR SXT TET
M1-8	Cattle feces	>16	0.023	12	0.094	TEM-1	hok-sok, pndAC, srnBC	Ν	CHL CIP GEN NEO NAL STR SXT TET
M1-9	Calf feces	>16	0.032	16	0.125	TEM-1	hok-sok, <u>pndAC</u> , srnBC	Ρ, Ι1-Ιγ	AMC CIP <u>NEO</u> NAL STR SXT <u>TET</u>
M1-11	Manure	>16	0.023	12	0.094	TEM-1	hok-sok, <u>pndAC</u> , srnBC	N, Ρ, Ι1-Ιγ	CHL CIP <u>NEO</u> NAL STR SXT <u>TET</u>
M1-12	Ventilation	>16	0.023	12	0.125	TEM-1	hok-sok, srnBC	F, FIB, N	<u>CHL</u> CIP <u>NEO</u> NAL STR SXT <u>TET</u>
M1-13	Ground floor	>16	0.023	12	0.094	TEM-1	hok-sok, <u>pndAC</u> , srnBC	N, Ρ, Ι1-Ιγ	CHL CIP <u>NEO</u> NAL STR SXT <u>TET</u>
M1-14	Ground fence	>16	0.016	8	0.094	TEM-1	hok-sok, srnBC	Ν	CHL CIP NEO NAL STR SXT TET
M1-15	Parlor floor	>16	0.016	12	0.094	TEM-1	<u>hok-sok, pndAC, srnBC</u>	F, FIB, N, P, I1-I $\gamma$	<u>CHL</u> CIP <u>NEO</u> NAL STR SXT <u>TET</u>
M1-16	Parlor fence	>16	0.016	12	0.094	TEM-1	<u>pndAC</u>	N, Ρ, Ι1-Ιγ	CHL CIP GEN <u>NEO</u> NAL STR SXT <u>TET</u>
M1-17	Teat	>16	0.023	12	0.094	TEM-1	hok-sok, pndAC, srnBC	Ν	CHL CIP NEO NAL STR SXT TET
M1-18	Teat	>16	0.023	8	0.125	TEM-1	hok-sok, pndAC, srnBC	F, FIB, N, P, I1-I $\gamma$	<u>CHL</u> CIP <u>NEO</u> NAL STR SXT <u>TET</u>
M1-19	Teat	>16	0.023	12	0.125	TEM-1	hok-sok, pndAC, srnBC	Ν	CHL CIP GEN NEO NAL STR SXT TET
M1-20	Teat	>16	0.023	8	0.094	TEM-1	hok-sok, pndAC, srnBC	NT	CHL CIP NEO NAL STR SXT TET
M1-21	Teat	>16	0.023	12	0.125	TEM-1	<u>hok-sok</u> , srnBC	F, FIB, N	AMC <u>CHL</u> CIP <u>NEO</u> NAL STR SXT <u>TE</u>
M1-22	Teat	>16	0.023	8	0.094	TEM-1	<u>hok-sok, pndAC</u> , srnBC	F, FIB, N, P, I1-I $\gamma$	<u>CHL</u> CIP <u>NEO</u> NAL STR SXT <u>TET</u>
M1-23	Teat	>16	0.016	12	0.094	TEM-1	hok-sok, <u>pndAC</u> , srnBC	N, Ρ, Ι1-Ιγ	CHL CIP <u>NEO</u> NAL STR SXT <u>TET</u>
M1-24	Milk	>16	0.032	16	0.032	TEM-1	hok-sok, <u>pndAC</u> , srnBC	FIB, N, P, I1-Iγ	CHL CIP <u>NEO</u> NAL STR SXT <u>TET</u>
M1-25	Milk	>16	0.023	32	0.125	TEM-1	<u>hok-sok, pndAC</u> , srnBC	FIB, N, P, I1-Iγ	<u>CHL</u> CIP <u>NEO</u> NAL STR SXT <u>TET</u>
M1-26	Milk	>16	0.032	8	0.094	TEM-1	hok-sok, pndAC, srnBC	Ν	CHL CIP NEO NAL STR SXT TET
M1-27	Milk	>16	0.023	8	0.094	TEM-1	hok-sok, pndAC, srnBC	F, FIB, P, I1-Iγ	$\underline{CHL}$ CIP GEN $\underline{NEO}$ NAL STR SXT $\underline{TET}$
M1-28	Milk	>16	0.023	12	0.125	TEM-1	<u>hok-sok, pndAC</u> , srnBC	F, FIB, N, P, I1-I $\gamma$	APR <u>CHL</u> CIP <u>NEO</u> NAL STR SXT <u>TET</u>
M1-29	Milk	>16	0.023	12	0.125	TEM-1	<u>hok-sok</u> , srnBC	F, FIB, I1-Iγ	<u>CHL</u> CIP <u>NEO</u> NAL STR SXT <u>TET</u>
M1-30	Milk	>16	0.023	8	0.094	TEM-1	<u>hok-sok</u> , <u>pndAC</u> , srnBC	F, FIB, P, I1-Iγ	<u>CHL</u> CIP <u>NEO</u> NAL STR SXT <u>TET</u>

TABLE 3 Characteristics of 26 conjugation-positive E. coli isolates carrying bla<sub>CTX-M-32</sub> genes described in this study<sup>a</sup>

<sup>*a*</sup> Etest, Epsilometer test; NT, not typeable by PCR-based replicon typing; CT, cefotaxime; CTL, cefotaxime-clavulanic acid; TZ, ceftazidime; TZL, ceftazidime-clavulanic acid; AMC, ampicillin-clavulanic acid; APR, apramycin; CHL, chloramphenicol; CIP, ciprofloxacin; GEN, gentamicin; NEO, neomycin; NAL, nalidixic acid; STR, streptomycin; SXT, trimethoprim-sulfamethoxazole; TET, tetracycline.

<sup>b</sup> All E. coli strains carrying bla<sub>CTX-M-32</sub> genes were isolated from farm N.

<sup>c</sup> Underlined *bla* genes, addiction systems and resistance markers transferred to the recipient *E. coli* J53 strain by conjugation.

sion, and mobilization of  $bla_{CTX-M}$  genes (15, 38). In agreement with previous studies (9, 31, 32), ISEcp1 was detected in 97.6% of wild strains and in all the corresponding transconjugants. In addition, the absence of IS26 or ISCR1 upstream of the  $bla_{CTX-M}$ genes indicates that these elements were not involved in the mobilization of CTX-M genes, as has been previously reported (26). IS903 was detected downstream of the  $bla_{CTX-M-14}$  gene in all but one isolate carrying the gene. However, *orf477* and *mucA*, sought downstream of the  $bla_{CTX-M}$  gene, were not detected in any isolate in this study. In contrast, IS903 and *orf477* have previously been reported downstream of most CTX-M-9 and CTX-M-1 family genes, respectively (19, 36, 39). Plasmid addiction systems presumably contribute to the stability and maintenance of plasmids in colonized hosts and facilitate further dissemination even in the absence of antibiotic selection (6, 35, 40). Although seven different addiction systems were detected associated with *bla*<sub>CTX-M</sub> genes in this work, *pndAc*, *hoksok*, and *srnBC* were the most frequently identified addiction systems in both the CTX-M-producing wild *E. coli* strains and their transconjugants. Interestingly, there was complete absence of certain addiction systems in the transconjugants compared to wild strains in this study. This might, in part, be explained by the failure of transfer of CTX-M-15-positive plasmids bearing some of these addiction systems. Furthermore, it implies that some types of ad-

TABLE 4 Nature and number of addiction systems according to *bla*<sub>CTX-M</sub> type identified in *E. coli* strains and their transconjugants

	CTX-M type		No. of strains with the indicated addiction system									
Origin		n	pemKI	ccdAB	relBE	parDE	vagCD	hok-sok	pndAC	srnBC	Total	Mean
Wild strains	CTX-M-14	49	1	15	0	0	6	8	20	33	87	1.8
	CTX-M-15	9	4	4	0	0	4	6	3	9	30	3.3
	CTX-M-32	26	0	0	1	0	0	25	20	25	71	2.7
	Any	84	5	19	1	0	10	39	43	67	188	2.2
Transconjugants	CTX-M-14	34	0	0	0	0	0	7	3	7	17	0.5
	CTX-M-32	26	0	0	0	0	0	11	14	4	29	1.1
	Any	60	0	0	0	0	0	18	17	11	46	0.8

Dice (Tol 1.0%-1.0%) (H>0.0% S>0.0%) [0.0%-100.0%] 09 ESBL 09 ESBL

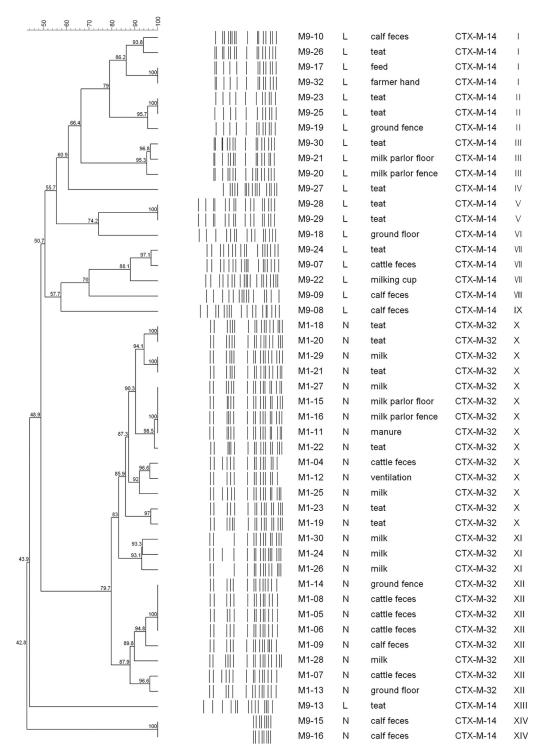


FIG 1 Dendrogram showing the cluster analysis of XbaI PFGE patterns of 47 CTX-M-producing *E. coli* strains isolated from cattle, farm workers, and the farm environment in the Republic of Korea. The cluster analysis was performed by using the Dice coefficient and the unweighted-pair group method with arithmetic averages. Details given in the first two columns are % similarity and PFGE banding pattern, respectively. The numbers at the nodes indicate % similarity. Details given in the third through seventh columns from the left are the strain number, farm investigated, source of isolation of the *bla*<sub>CTX-M</sub> gene, CTX-M type, and pulsotype for each strain. XbaI macrorestriction analysis yielded few or no DNA banding patterns in the two *E. coli* strains due to constant autodigestion of the genomic DNA during agarose plug preparation, and thus, a cluster formed by these strains is ignored throughout this paper.

			olates with addiction				
Inc/replicon type	п	hok-sok	pndAC	srnBC	Total	Mean	
CTX-M-14 plasmids							
F	11	6	0	6	12	1.1	
I1-Iγ	2	0	2	0	2	1	
F, I1-Ιγ	1	1	1	1	3	3	
Unidentified	20	0	0	0	0		
CTX-M-32 plasmids							
Ν	8	0	0	0	0		
Ρ, Ι1-Ιγ	1	0	1	0	1	1	
F, FIB, I1-Iγ	1	1	0	0	1	1	
F, FIB, N	2	2	0	1	3	1.5	
N, Ρ, Ι1-Ιγ	4	0	4	0	4	1	
N, P, FIB, I1-Iγ	2	1	2	0	3	1.5	
F, FIB, P, I1-Ιγ	2	2	2	0	4	2	
F, N, P, FIB, I1-I $\gamma$	5	5	5	3	13	2.6	
Unidentified	1	0	0	0	0		
Total	60	18	17	11	46	0.77	

<b>TABLE 5</b> Distribution of addiction systems according to plasmid	
replicon type among transconjugants	

diction systems may be located in the chromosome or nonconjugative plasmids, in addition to addiction systems present in the conjugative plasmids bearing resistance markers. Mnif et al. reported multiple addiction systems in plasmids bearing the bla<sub>CTX-M</sub> gene among E. coli isolates producing CTX-M-15 or CTX-M-9 from France (7), which is similar to our results. Moreover, multiple addiction systems were identified in multiresistant IncF plasmids bearing bla<sub>CTX-M</sub> genes among clinical E. coli isolates in the United Kingdom (35). However, the prevalence and nature of addiction systems have never been reported before among CTX-M-producing isolates from animals across the globe. Further analysis of addiction systems among the transconjugants showed that *hok-sok* and *srnBC* systems were more commonly associated with the IncF replicon, whereas the pndAC system was associated with the Inc I1-Iy replicon (Table 5). Mnif et al. also reported an association between the vagCD and hok-sok systems and FIA and FIB replicons (7). Thus, these findings suggest linkage between addiction systems and specific plasmid backbones.

PFGE analysis showed that the *bla*<sub>CTX-M-32</sub>-positive strains were genetically homogeneous, suggesting clonal dissemination of CTX-M-32-producing *E. coli* strains within the farm. In contrast, CTX-M-14-producing *E. coli* strains were genetically more heterogeneous, indicating that the spread of these strains may be attributed to a combination of both clonal expansion and horizontal transmission. Furthermore, a CTX-M-14-producing *E. coli* isolate from a farmer's hand was indistinguishable from an isolate from a feed sample, which was, in turn, very closely related to *E. coli* isolates from teats and calf feces (Fig. 1), suggesting clonal dissemination of specific clones of CTX-M-producing *E. coli* isolates among animals, humans, and the farm environment, which constitutes a potential public health threat, especially to farmers, ranchers, and animal handlers.

In conclusion, we identified and characterized CTX-M  $\beta$ -lactamase and associated addiction systems among *E. coli* isolates from animals, farmers, and the farm environment. Our data suggest that a combination of multiple addiction systems in plasmids carrying *bla*<sub>CTX-M</sub> genes could contribute to maintenance of these plasmids in their host cells.

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