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Psychophysical Properties of Low-Frequency Hearing: Implications for Perceiving Speech and Music via Electric and Acoustic Stimulation

René H. Gifford^a, Michael F. Dorman^b, and Christopher A. Brown^b

^aDepartment of Otorhinolaryngology, Mayo Clinic, Rochester, Minn.

^bArizona State University, Tempe, Ariz., USA

Abstract

We have investigated the psychophysical properties of low-frequency hearing, both before and after implantation, to see if we can account for the benefit to speech understanding and melody recognition of adding acoustic stimulation to electric stimulation. In this paper, we review our work and the work of others and describe preliminary results not previously published. We show (a) that it is possible to preserve normal or near-normal nonlinear cochlear processing in the implanted ear following electric and acoustic stimulation surgery – though this is not the typical outcome; (b) that although low-frequency frequency selectivity is generally disrupted following implantation, some degree of frequency selectivity can be preserved, and (c) that neither nonlinear cochlear processing nor frequency selectivity in the acoustic hearing ear is correlated with the gain in speech understanding afforded by combined electric and acoustic stimulation. In another set of experiments, we show that the value of preserving hearing in the implanted ear is best seen in complex listening environments in which binaural cues can play a role in perception.

Combined electric and acoustic stimulation (EAS) of the same cochlea can occur when acoustic hearing is preserved following insertion of an electrode array. Multiple studies have documented, for most patients, the preservation of low-frequency thresholds following electrode insertions of 10–20 mm. On average, mean thresholds at 125–500 Hz are within 10–20 dB of preinsertion levels depending on the electrode array and the nature of the surgical technique [1–10]. Successful hearing preservation surgery allows electric stimulation of basal neural tissue and acoustic stimulation of apical hair cells that transmit low-frequency acoustic information [1–6, 9–12]. EAS has been shown to improve speech understanding in quiet and in noise beyond that achieved by aided acoustic hearing alone or electric hearing alone [2, 3, 6, 8, 9, 13, 14]. Performance in the EAS condition is commonly much higher than the linear sum of the scores in the electric-only condition and in the acoustic-only condition.

Over a period of several years, we have investigated the psychophysical properties of low-frequency hearing, both before and after implantation, to see if we can account for the benefit to speech understanding and melody recognition of adding acoustic stimulation to electric stimulation. In this paper we (a) review our work and the work of others and (b) describe preliminary results not previously published.

Auditory Thresholds

To date, the only commonly used measure of auditory function before and after surgery has been the audiogram. Unfortunately, the audiogram does not predict the benefit gained from adding acoustic to electric stimulation in the same ear [10, 15] or in different ears [16, 17]. Additionally, a number of researchers have shown that patients with comparable ranges and

degrees of residual low-frequency hearing do not enjoy comparable benefit from EAS [10, 18]. These data suggest that the pure-tone audiogram may not be the most useful tool for identifying listeners who could benefit the most from adding acoustic to electric stimulation. Motivated by this logic, we have exploited measures of auditory processing beyond tonal detection, i.e., measures of nonlinear cochlear processing and frequency selectivity, to determine whether those measures will assist us in understanding the synergisms associated with EAS.

Nonlinear Cochlear Processing

It is well known that the basilar membrane response in a healthy cochlea is highly compressive with a slope of 0.2 dB/dB. This translates to a 2-dB increase in basilar membrane output for every 10-dB increase in signal input – or a 5:1 compression ratio. This high degree of compression allows for a broad dynamic range of over 120 dB for the healthy cochlea. This compressive function is due to the electromotile properties of healthy outer hair cells which are known to enhance basilar membrane movement yielding a compressive nonlinear system. A number of recent studies have examined whether the degree of basilar membrane compression is equivalent along the length of the cochlear partition. Behavioral estimates of nonlinear cochlear function using psychophysical masking have shown similar estimates of compression at both low (250 Hz) and high (4,000 Hz) frequencies [19, 20]. Using physiologic measures of basilar membrane function with distortion product otoacoustic emissions, Gorga et al. [21] also demonstrated similar degrees of compressive growth for low- (500 Hz) and high-frequency (4,000 Hz) stimuli.

The presence of compression – a byproduct of nonlinear cochlear processing – for the apical cochlea is relevant for EAS since it is the apical cochlea that receives acoustic stimulation in EAS. The cochlear nonlinearity is responsible for several aspects of normal cochlear function, i.e., high sensitivity, a broad dynamic range, sharp frequency tuning, and enhanced spectral contrasts via suppression. Thus, any reduction in the magnitude of the nonlinearity could result in one or more functional deficits, including impaired speech perception.

Gifford et al. [15] examined whether it was possible to preserve nonlinear cochlear function following hearing preservation surgery for 6 recipients of the 20-mm MED-EL EAS array and for 7 recipients of the 10-mm Nucleus Hybrid array. Nonlinear cochlear processing was evaluated at signal frequencies of 250 and 500 Hz using Schroeder phase maskers [19, 22, 23] with various indices of masker phase curvature. We found that it is possible, but not common, to preserve normal nonlinear processing in the apical cochlea following the surgical insertion of electrode arrays 10 and 20 mm into the scala tympani. Only one subject exhibited *completely* normal nonlinear cochlear function postoperatively at 250 Hz. However, most subjects had *some* residual nonlinearity (more so at 250 than 500 Hz). Thus, most patients will enjoy some of the benefits of nonlinear cochlear function at low frequencies following hearing preservation surgery.

Nonlinear Auditory Function and Speech Understanding with Electric and Acoustic Stimulation

In the same study we found that variations in nonlinear cochlear processing did not predict the gain in speech understanding for EAS patients when acoustic stimulation was added to electric stimulation. That is to say, patients with no evidence of nonlinear cochlear processing showed as much benefit in speech recognition when acoustic stimulation was added to electric stimulation as patients with normal, or near-normal, nonlinear cochlear processing.

A Sensitive Test for Cochlear Damage following Surgery

Although the Schroeder masking functions used by Gifford et al. [15] did not provide insight into the speech perception benefit gained when acoustic stimulation was added to electric stimulation, the masking functions were a very sensitive measure of damage following insertion of the electrode array. For 5 out of 13 subjects, there was no significant change in low-frequency audiometric thresholds following surgery. These same subjects, however, demonstrated considerable reduction in the degree of nonlinear cochlear processing. Thus, Schroeder phase masking is a very sensitive index of surgically related damage to the cochlea and may be the most appropriate tool to evaluate the success of ‘soft surgery’ for hearing preservation.

Frequency Selectivity

We have obtained estimates of frequency resolution at 500 Hz both before and after implantation for 5 EAS patients. Two subjects were implanted with the MED-EL 20-mm array and 3 subjects were implanted with the Nucleus Hybrid 10-mm array. Mean age was 43.6 years with a range of 34–71 years. In addition to the 5 EAS subjects, we obtained estimates of frequency selectivity for 15 listeners with normal hearing. The mean age of the normal-hearing group was 25.1 years with a range of 21–31 years.

Estimates of frequency selectivity were obtained by deriving auditory filter (AF) shapes using the notched-noise method [24] in a simultaneous-masking paradigm. Each band of noise (0.4 times the signal frequency) was placed symmetrically or asymmetrically around the 500-Hz signal [25]. The signal was fixed at a level of 10 dB SL, and the masker level was varied adaptively. The masker and signal were 400 and 200 ms in duration, respectively. Prior to obtaining masked thresholds, quiet thresholds were measured for a 200-ms, 500-Hz signal. All thresholds were obtained using a 2-down, 1-up tracking rule to track 70.7% correct performance on the psychometric function [26]. A 3-interval forced-choice paradigm was used for all testing.

The masked thresholds in the presence of the different notched-noise conditions were utilized to derive filter shapes using a roex (p, k) model [27]. AF shapes are shown in figure 1 for each subject in the pre- and postimplant condition as well as the mean for the normal-hearing listeners. Comparisons across subjects and test points were made in terms of equivalent rectangular bandwidth (ERB) [28] of the AF. Table 1 provides the pre- and postimplant estimates of both psychophysical thresholds at 500 Hz as well as the ERB at 500 Hz. As seen in previous studies with hearing-impaired listeners, the EAS subjects demonstrated considerable intersubject variation in AF width [29, 30]. Two of the subjects (EAS4 and EAS5) demonstrated normal or near-normal frequency selectivity preoperatively – though the dynamic range of the filter was considerably less than normal for EAS4 (fig. 1). All subjects, however, displayed wider than normal AFs postoperatively (table 1; fig. 1). Two subjects, EAS3 and EAS4, demonstrated a complete lack of frequency selectivity postoperatively – with EAS3 demonstrating no frequency selectivity preoperatively, as well.

Statistical analysis using a one-way ANOVA on ranks revealed a significant difference in the width of the ERB (in Hz) between the normal-hearing and preoperative EAS subjects ($H = 8.05, p = 0.005$). Thus even prior to surgery the EAS patients had significantly poorer-than-normal frequency selectivity – as would be expected given the patients’ elevated auditory thresholds. A comparison of pre- and postimplant frequency selectivity did not reveal a significant difference in the width of the AF (in Hz) ($F = 1.8, p = 0.25$). This was likely influenced by the small sample size and the fact that subject EAS3 did not have any measurable frequency selectivity either pre- or postoperatively and thus no change in the

width of the AF. Nonetheless, it appears that for some patients frequency selectivity is minimally altered following successful hearing preservation surgery.

Frequency Selectivity and Speech Understanding with Electric and Acoustic Stimulation

The finding that frequency selectivity was poorer than normal, but still present, in some patients is consistent with the finding of Gifford et al. [15] of a diminished, but present, cochlear nonlinearity following surgery. And, consistent with the observations regarding the cochlear nonlinearity, we did not find a significant relationship between AF width and the gain in speech understanding when acoustic stimulation was added to electric stimulation for our initial 5 subjects tested.

In sum, our psychoacoustic tests document that normal nonlinear cochlear function, e.g. sharp frequency tuning, in the region of low-frequency hearing is not necessary for patients to enjoy large benefits in speech understanding when electric and acoustic stimulation are combined.

Frequency Discrimination and Melody Recognition

Finding no significant relationship among measures of auditory function and the gain in speech understanding with EAS, we turn to melody recognition to examine whether performance on measures of auditory function is related to music recognition. Although an increasing number of cochlear implant patients are able to achieve high levels of performance on difficult measures of speech recognition, most cannot recognize familiar melodies when temporal cues are removed [31–35]. This is not surprising given the modest, at best, spectral resolution achieved with electric stimulation [36–38]. As discussed above, following successful hearing preservation surgery EAS patients have some residual frequency selectivity for low-frequency acoustic stimuli. Thus, EAS patients may be better able to resolve simple and complex pitch patterns necessary for melody recognition than patients who receive only electric stimulation.

Gfeller et al. [32] evaluated the pitch perception abilities of 101 conventional cochlear implant recipients and 13 EAS listeners with binaural acoustic hearing, i.e., patients with low-frequency hearing in both the implanted ear and in the contralateral ear. For the pitch perception task, subjects were asked to determine the direction of pitch change (i.e., higher or lower) for the second pure tone in a ‘pitch pair’. The frequency of the standard tone ranged from 131 to 1,048 Hz. The data demonstrated that the EAS subjects – implanted with a 10-mm Nucleus Hybrid device – identified the direction of pitch change, for frequencies under 663 Hz, better than conventional implant patients.

In another study, Gfeller et al. [39] evaluated melody and musical instrument recognition for 4 EAS listeners and 39 conventional implant recipients. The EAS subjects obtained significantly higher scores on tests of melody recognition and instrument recognition than the patients who received only electric stimulation.

Gfeller’s studies leave little doubt that low-frequency acoustic hearing provides information about pitch that is unavailable from electric stimulation. However, because testing was completed in the sound field using EAS patients with acoustic hearing in both the implanted ear and the nonimplanted ear, it is not clear which partially hearing ear provided the additional information. In other words, it is possible that the acoustic hearing from the implanted ear did not offer any additional benefit over the acoustic hearing provided by the nonimplanted ear.

Several studies have shown that low-frequency acoustic hearing in the ear contralateral to the implant is sufficient to significantly improve melody recognition for implant patients. For example, Dorman et al. [34] described the melody recognition abilities of 15 bimodal patients (implant in one ear and acoustic hearing in the other ear) who had relatively good residual hearing in the nonimplanted ear (e.g. thresholds at 125 and 250 Hz of 35–45 dB). The recognition of melodies without rhythmic cues was assessed for a test set of 5 familiar melodies. Recognition was significantly better in the bimodal condition than in the electric-only condition. Recognition in the bimodal condition, however, was not better than in the acoustic-only condition. Thus, we found no synergistic effect of EAS for the recognition of melodies. All of the benefit of bimodal EAS for melody recognition, relative to a conventional implant, was due to the presence of the acoustic signal. Kong et al. [35] reported similar findings for 5 bimodal subjects with much poorer residual hearing than the patients in the study by Dorman et al. [34].

One Partially Hearing Ear versus Two Partially Hearing Ears

As noted above, EAS patients will have low-frequency acoustic hearing in both the implanted ear and in the contralateral ear. The contribution of the two partially hearing ears to speech recognition is not easy to determine. Most papers have provided speech perception data for the electric-only condition, the ipsilateral EAS condition, and/ or the combined EAS condition (implant plus both partially hearing ears) [2, 3, 6, 8–10]. It has not been common to report performance in the bimodal condition with the ipsilateral ear occluded. This condition is important because it is usually the case that the hearing in the contralateral ear is better than the hearing in the implanted ear, i.e., the ear with the poorer auditory thresholds is usually picked for surgery and/or the thresholds in the operated ear are poorer following surgery than before surgery.

Dorman et al. [40] assessed the bimodal and combined EAS speech perception performance of 22 patients implanted with the 10-mm Nucleus Hybrid electrode array. They found a small, nonsignificant improvement of 9 percentage points in the combined condition relative to the bimodal condition. In a subset of this subject population ($n = 7$), Gifford et al. [41] found identical scores for the bimodal and combined conditions on measures of sentence recognition in noise using the BKB-SIN test with the speech and noise originating from a single loudspeaker.

On the one hand, the data reported above cast doubt on the benefit to speech understanding of preserved hearing on the operated ear when compared to bimodal stimulation. On the other hand, the test environments in both experiments – stimulus presentation from a single loudspeaker placed at 0° azimuth – minimized the value of binaural cues that could be extracted with two partially hearing ears.

When two ears, rather than one ear, are allowed to participate in a listening test, and when signal and noise are presented from different locations (as is commonly the case in the ‘real world’), then three effects – head shadow, binaural squelch and binaural (or diotic) summation – can influence performance. Head shadow is a physical effect in which the head provides an acoustic barrier resulting in amplitude or level differences between the ears. If one ear is closer to the noise source, the other ear has a higher or better signal-to-noise ratio (SNR). Binaural squelch refers to a binaural effect in which an improvement in the SNR results from a central comparison of time and intensity differences for signals and noise arriving at the two ears. Binaural summation refers to the effect of having redundant information at the two ears.

EAS patients have two acoustically stimulated ears that could code interaural time and intensity differences and two ears to deliver redundant acoustic information. From this point

of view, EAS patients should have an advantage over bimodal patients when signal and noise originate from different spatial locations in a sound field. To test this hypothesis, we have collected speech perception data for conventional unilateral implant recipients ($n = 25$), bilateral cochlear implant recipients ($n = 10$), bimodal listeners ($n = 24$), and EAS listeners ($n = 5$). The 5 EAS listeners were 3 Nucleus Hybrid recipients (2 Hybrid 10 mm, 1 Hybrid-L24 16 mm) and 2 conventional Nucleus N24 (CI24RCA) long-electrode recipients with hearing preservation.

Hearing-in-noise test sentence recognition [42] was assessed in a restaurant noise background [43] originating from the R-SPACE™ 8-loudspeaker array. The 8 loudspeakers were placed circumferentially about the subject's head at a distance of 60 cm with each speaker separated by 45°. A speech reception threshold (SRT) was obtained using an adaptive procedure to determine the SNR required for 50% correct. The noise level was fixed at 71 dB SPL to simulate the average level of the noise observed during the restaurant recording. Figure 2 displays mean SRT data for the 4 subject groups. The unilateral and bilateral implant mean data are displayed as a reference for electric-only performance.

The unilateral and bilateral mean SRT scores were 12.2 and 9.6 dB SNR, respectively. For patients with bimodal stimulation, i.e., bimodal patients and EAS patients in the bimodal condition, the SRTs were 10.6 and 9.6 dB SNR, respectively. When the EAS patients were able to access the acoustic hearing in the operated ear, performance improved by 3.4 dB to a mean SRT of 6.2 dB SNR. These preliminary data support our hypothesis that the value of hearing preservation will be best shown in listening environments in which target and masker are spatially separated and environments in which binaural low-frequency cues can play a significant role. Given that every 1-dB improvement in the SNR can translate up to 8–15% improvement in speech recognition performance [42, 44], the addition of acoustic hearing from the implanted ear has the potential to provide large gains in speech intelligibility in complex listening environments.

Summary and Conclusions

There is ample evidence demonstrating that electrodes can be inserted into the scala tympani without destroying residual hearing and that EAS patients can combine information delivered by electric stimulation and acoustic hearing. The data presented in this paper document that it is possible to preserve normal or near-normal nonlinear cochlear processing in the implanted ear following EAS surgery – though this is not the typical outcome. We have also shown that while low-frequency frequency selectivity is generally disrupted following implantation, some degree of frequency selectivity can be preserved. And, in a surprising outcome, we find that neither nonlinear cochlear processing nor frequency selectivity in the acoustically stimulated ear is correlated with the gain in speech understanding when acoustic stimulation is added to electric stimulation.

The goal of hearing preservation surgery is to preserve hearing in the implanted ear. However, to date, it has not been clear whether significant benefit is gained from having two acoustic hearing ears (as in the case of EAS) versus just one (as in the case of bimodal stimulation). Our results demonstrate the benefit of hearing preservation in the implanted ear, i.e., having two acoustic hearing ears, for speech perception in a complex listening environment. Given the preliminary nature of the data, further study is warranted to fully describe the benefits of preserving acoustic hearing following cochlear implantation.

Acknowledgments

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Implantable Auditory Technologies, in Vienna, Austria, the 2005 Hearing Preservation Workshop in Warsaw, Poland, and the 2008 Hearing Preservation Workshop in Kansas City, Mo., USA.

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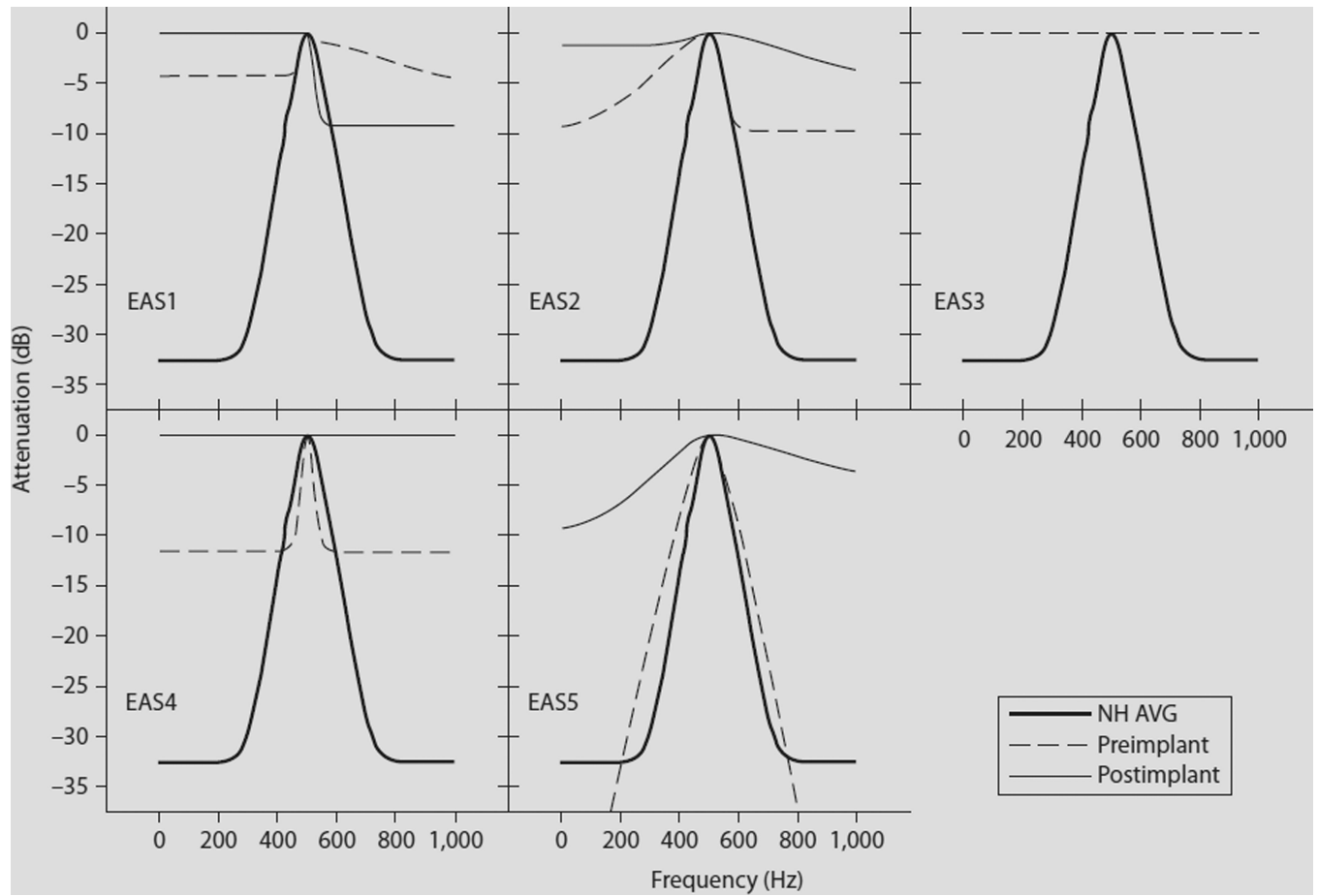


Fig. 1. AF shapes for the 5 individual EAS patients (EAS1–EAS5) and the average for the normal-hearing listeners (NH AVG). AF shapes for the pre- and postimplant conditions are represented by dashed and solid lines, respectively. The mean AF shape for the normal-hearing listeners is represented by the bold line in each panel. Subject EAS3 demonstrated no frequency selectivity either before or after implantation and thus her filter shapes are represented by superimposed horizontal lines.

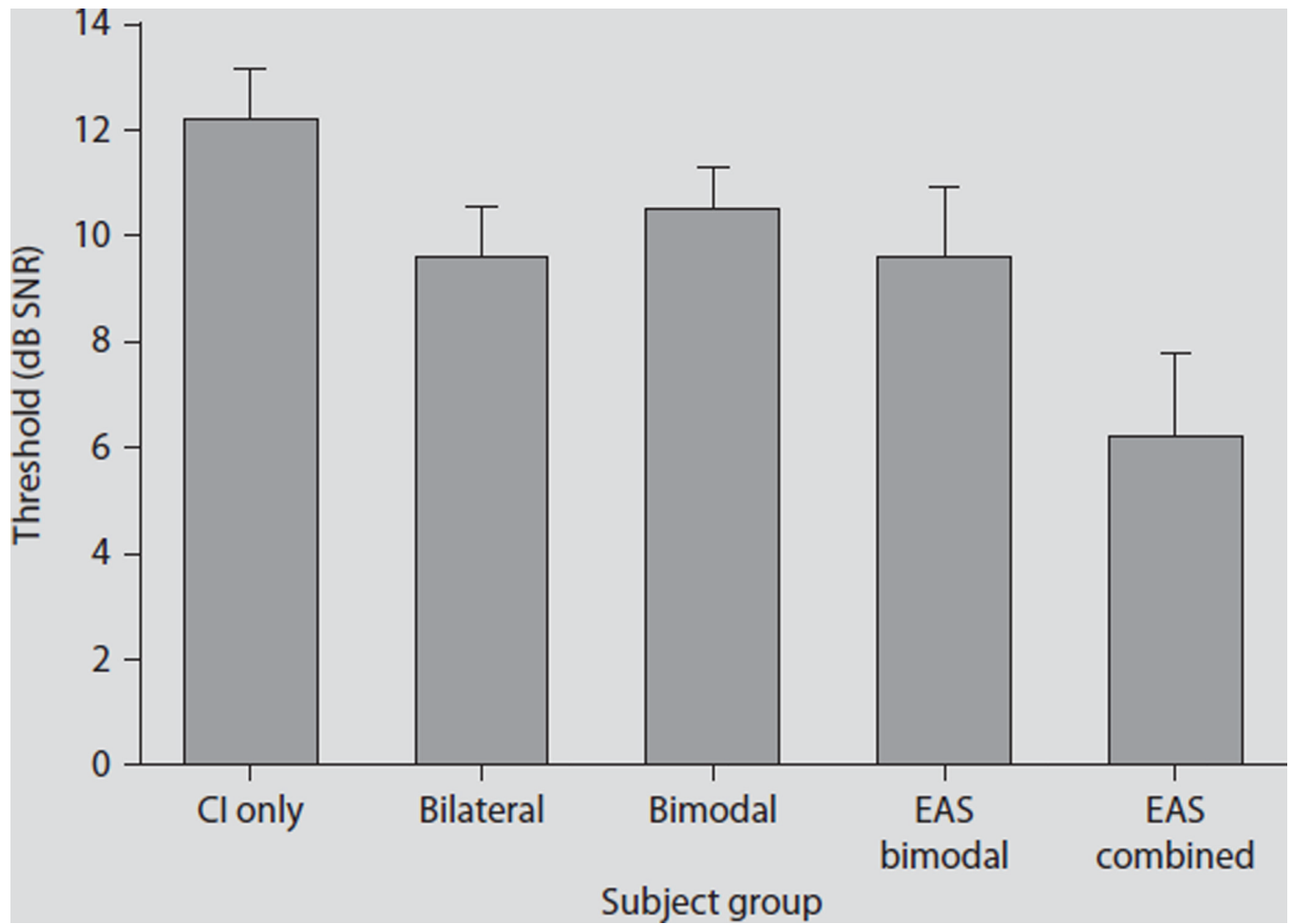


Fig. 2. SRT data for unilateral co-chlear implant (CI) recipients ($n = 25$), bilateral cochlear implant recipients ($n = 10$), bimodal listeners ($n = 24$), EAS listeners in the bimodal condition ($n = 5$, implanted ear occluded), and EAS listeners in the 'combined' condition ($n = 5$, electric plus binaural acoustic hearing). Error bars represent \pm one standard error.

Table 1

Psychophysical thresholds for 500 Hz and estimates of ERB (in Hz) for the derived AF shapes for the subjects with normal hearing (NH) as well as the pre- and postimplant EAS subjects

NH subjects	500-Hz threshold dB SPL	ERB Hz	EAS subjects	Preimplant 500-Hz threshold dB SPL	Preimplant ERB Hz	Postimplant 500-Hz threshold dB SPL	Postimplant ERB Hz
NH1	4	80.4	EAS1	36	338.4	49	360.0
NH2	7	97.6	EAS2	26	193.4	27	202.7
NH3	4	69.0	EAS3	62	N/A	66	N/A
NH4	6	76.3	EAS4	31	115.2	55	N/A
NH5	3	120.8	EAS5	35	106.5	60	270.0
NH6	6	80.2					
NH7	3	95.8					
NH8	9	69.5					
NH9	-3	68.3					
NH10	10	111.0					
NH11	2	66.6					
NH12	20	127.0					
NH13	6	43.6					
NH14	5	55.4					
NH15	4	46.2					
Mean	5.73	80.52	mean	38.00	188.38	51.40	277.57
SD	4.99	25.4	SD	13.98	107.37	15.01	78.92