

Sequence Analysis of *In Vivo* Defective Interfering-Like RNA of Influenza A H1N1 Pandemic Virus

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Influenza virus defective interfering (DI) particles are naturally occurring noninfectious virions typically generated during *in vitro* serial passages in cell culture of the virus at a high multiplicity of infection. DI particles are recognized for the role they play in inhibiting viral replication and for the impact they have on the production of infectious virions. To date, influenza virus DI particles have been reported primarily as a phenomenon of cell culture and in experimentally infected embryonated chicken eggs. They have also been isolated from a respiratory infection of chickens. Using a sequencing approach, we characterize several subgenomic viral RNAs from human nasopharyngeal specimens infected with the influenza A(H1N1)pdm09 virus. The distribution of these *in vivo*-derived DI-like RNAs was similar to that of *in vitro* DIs, with the majority of the defective RNAs generated from the PB2 (segment 1) of the polymerase complex, followed by PB1 and PA. The lengths of the *in vivo*-derived DI-like segments also are similar to those of known *in vitro* DIs, and the *in vivo*-derived DI-like segments share internal deletions of the same segments. The presence of identical DI-like RNAs in patients linked by direct contact is compatible with transmission between them. The functional role of DI-like RNAs in natural infections remains to be established.

Defective interfering (DI) particles are best described as noninfectious virions that carry an incomplete copy of their genome (1). They are defective for *in vitro* replication and compete with infectious viruses during replication (1, 2). Influenza virus DI particles are generated during multiple passages of infectious viruses in the host cell where the defective RNA is replicated in the presence of a helper (i.e., functionally competent) virus (3, 4). Influenza virus defective interfering particles have been observed during *in vitro* serial passages in cell culture of the virus at a high multiplicity of infection (5, 6). Defective RNAs have also been observed in experimentally infected embryonated chicken eggs (7). The only instance DI particles were found linked to a natural infection was during an H5N2 respiratory outbreak in chickens where defective interfering particles were seen in clonal isolates generated in embryonated eggs (8, 9). To date, there are no reports of their occurrence in human infections. Importantly, the influenza virus DI particles characterized thus far are of similar size and density as the infectious or standard virus, which differentiates them from DI particles of other viruses. In addition, structural and biological properties of the major proteins, like hemagglutinin and neuraminidase, are conserved (3).

The genome of influenza virus consists of eight negative-sense RNA segments (viral RNA [vRNA]) that encode 10 to 12 proteins, depending on the subtype. During virion assembly, one copy of each of the eight segments is required to produce a fully infectious virus particle (10). DI particles are identified as viruses containing at least one defective RNA segment with a large internal deletion.

Although DI RNAs are believed to be the products of an aberrant replication event and are replicated during high-multiplicity passage, the generation of the subgenomic length vRNAs is sequence specific. DI RNA segments have a deletion of internal sequences of the parental vRNA while retaining certain 5' and 3' end-specific sequences of the progenitor vRNA. All influenza A virus DI RNAs retain identical terminal sequences derived from the first 13 nucleotides (nt) of the 5' end (AGUAGAAACAAGG) and the last 12 nucleotides of the 3' end (-CCUGCUUUCGCU-OH) of the segments and typically contain the critical packaging signals, which are within the terminal coding sequences of each gene segment (5, 11).

Those naturally occurring influenza virus DI RNAs documented thus far originate mostly from the polymerase genes (PB2, PB1, and PA), with a few cases of viral RNA deletions seen in other segments (7, 11, 12). DI vRNAs of almost all the major genes of

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influenza virus have been identified (10). In the first study identifying DI RNAs, the flanking sequences of internal deletions of the polymerase gene did not resemble a splicing sequence, an indication that this was the result of a replication event, rather than a splicing event (13). A coinoculation study with virus A/WSN (H1N1) (12) identified DI RNAs in mouse lungs, ranging in size between 350 and 450 nt and derived from PB1, PB2, PA, and matrix (M) segments with similar internal deletion, junction, and substitution sites as seen in earlier studies (7). In comparison to the full-length vRNAs, DI RNAs appear to be preferentially incorporated into the virions at the assembly step (14).

In the present study, we identified and characterized defective influenza virus RNAs by deep sequencing of primary clinical specimens collected from the upper respiratory tracts of symptomatic infected individuals. Critically, therefore, we consider DIs in nature rather than those in cell culture. The majority of these DI-like RNAs were derived from the polymerase subunit genes, with the highest number of these coming from PB2, followed by PB1 and PA, as observed in previous studies for culture-isolated viral particles (6, 7). We confirmed that these DI-like RNAs are present in the original upper respiratory tract specimens.

MATERIALS AND METHODS

Sample collection. INSIGHT (International Network for Strategic Initiatives in Global HIV Trials) is a large, multinational clinical trial network funded by the National Institute of Allergy and Infectious Diseases (NIAID) of NIH. Following the appearance of the influenza A(H1N1)pdm09 virus in 2009 (15), INSIGHT is conducting two large, international observational cohort studies of patients with influenza-like illness (ILI): FLU 002, conducted in an outpatient setting, and FLU 003, enrolling patients who are hospitalized with ILI. Baseline specimens, including deep nasal and oropharyngeal specimens, are collected and transported to a central laboratory for analysis, and patients are monitored for clinical outcomes over 14 days (FLU 002) or 60 days (FLU 003). Deep nasal and oropharyngeal swabs were collected from INSIGHT FLU 002 and 003 study participants (after giving informed consent) with influenza-like illness and placed into a single 3-ml vial of universal transport medium (Diagnostic Hybrids or Copan Diagnostics, depending on the collection site). One-milliliter aliquots were prepared and stored at -70°C . Aliquoted samples were shipped on dry ice to the central laboratory repository (Advanced BioMedical Laboratories, Cinnaminson, NJ, USA). One aliquot was used for nucleic acid testing for influenza A virus subtypes: if influenza A(H1N1)pdm09 was detected, another 1-ml aliquot (unopened) was sent on dry ice to the J. Craig Venter Institute laboratory (JCVI) (Rockville, MD, USA) for influenza virus sequence analysis.

Sequencing and analysis. Viral RNA was extracted from nasopharyngeal specimens and amplified by multisegment reverse transcription-PCR (RT-PCR) (16). The amplicons were processed for Sanger sequencing, as previously described (17). Problem samples, i.e., samples for which full virus segments could not be assembled, were sent for deep-sequence analysis on next-generation sequencing platforms. To do so, amplicons from the multisegment RT-PCR were bar coded using sequence-independent single-primer amplification (SISPA) as previously described (18). SISPA products were gel eluted, pooled, and sequenced on the 454/GS-FLX Roche platform. Twenty-six samples from the initial set of 150 received by JCVI were selected because they were problem samples and were processed in this manner. The 454 sequence reads were sorted by bar code, trimmed, and mapped to a reference influenza A virus genome using AMOScomp (19).

The alignments for the coverage plots demonstrating the mapping of all the deep-sequence reads on the reference segments were generated using the hmalign program from the HMMER2 suite of programs (20) (<http://hmmer.janelia.org/>). The profile hidden Markov models (profile

HMM) required by hmalign to produce multiple-sequence alignments from 454 reads were built incrementally by the following procedure. (i) After the identifying bar code from each read was trimmed, an additional 26 bases were removed from the beginning and end of each read to ensure that no contamination by either bar code sequence or random hexamer primer remained. (ii) The reads were mapped using nucmer (MUMmer program [21]) onto the consensus sequence for each segment as determined by Sanger sequencing; only those reads that showed a full-length match were retained, and reads were reverse complemented when necessary. (iii) The entire set of trimmed reads was clustered by similarity using the program CD-HIT-EST (22) to create a nonredundant set of representative reads. The sequence identity threshold used varied from segment to segment. The purpose of this step was to produce a set of representative reads, manageable in number yet capable of capturing as much of the diversity present in the sample as possible. (iv) The set of representative reads was searched against the NCBI nonredundant nucleotide database, using BLAST. The full-length sequences from the top 100 hits for each representative read were pooled, and duplicate entries were removed. From these full-length sequences, 200 were selected at random and aligned using the muscle program. (v) After visual inspection and correction when necessary, an HMM was built and then used to align the representative reads obtained earlier by similarity clustering. This alignment of reads was inspected, corrected when necessary, and then used to build a profile HMM. (vi) The improved HMM was used to align a larger set of representative sequences, obtained by CD-HIT-EST clustering at an increased sequence identity threshold. This process was repeated several times until a satisfactory alignment was obtained.

Cloning of DI-like segments. Extracted viral RNA was reamplified by multisegment RT-PCR (M-RT-PCR) using Uni12/13 primers and the One Step RT-PCR kit (Invitrogen Corp., USA) (16). To amplify specific genomic segments, M-RT-PCR products were amplified with PB2-, PB1-, PA-, and nucleoprotein (NP)-specific primers.

The cDNAs were cloned as follows: PCR products ranging from 200 to 600 bp were gel purified, A tailed using *Taq* polymerase (Promega, USA) and dATP. The A-tailed PCR products were cloned in TOPO pCR4.1 according to the manufacturer's protocol (Invitrogen Corp., USA). The TOPO ligated PCR products were transformed into New England BioLabs (NEB) competent cells (New England BioLabs, USA). Colonies were grown in Luria-Bertani medium, and plasmids were extracted using the alkaline lysis method. Clones were screened by PCR for PB2, PB1, and PA segments using segment-specific primers. The inserts were then sequenced by the Sanger method. The primers used for amplification of the internal region of PB2 were as follows: PB2-F (F stands for forward) (5' G TGGACCATATGGCCATAATC3') and PB2-R (R stands for reverse) (5' GACTCTTATCCCTCTCAGCGAC3').

Alignment of DI reads. Trimmed reads were mapped to reference segment sequences (PB2, PB1, and PA) using nucmer with a minimum cluster size of 20 and the maximum match option set to true. The middle of the reference sequences was removed based on where the truncated sequences mapped: from the most 3' position of the 5' DI-like sequence to the most 5' position of the 3' segment. Next, reads that mapped at both termini in the 5'-to-3' orientation were aligned using Fast Statistical Alignment (FSA) program (23) with the fast option invoked. The alignment was degapped using a custom biopython script and then mapped again to obtain the coordinates of these subgenomic segments, using nucmer with the same settings as previously specified. Using the Bioperl graphics 2.21 module, a graphical map was generated using the tab-delimited output from the show-coords function of the mummer package. The sequences were aligned manually in BioEdit.

RESULTS

Sequence analysis of influenza A(H1N1)pdm09 virus strains. Twenty-six specimens collected as part of the INSIGHT study (15) were analyzed by deep sequencing. Of the 26 specimens sequenced, only 13 had overrepresentation of the 5' and 3' ends of

TABLE 1 GenBank accession numbers of INSIGHT clinical specimens used in our study and lengths of segments

Clinical specimen	Accession no. and length of the following segment ^a :							
	1 (PB2)	2 (PB1)	3 (PA)	4 (HA)	5 (NP)	6 (NA)	7 (MP)	8 (NS)
INS002	CY055963 <i>2,293</i>	CY055962 <i>2,286</i>	CY055961 <i>2,175</i>	CY055956 <i>1,734</i>	CY055959 <i>1,523</i>	CY055958 <i>1,420</i>	CY055957 <i>987</i>	CY055960 <i>850</i>
INS006	CY055995 <i>2,293</i>	CY055994 <i>2,303</i>	CY055993 <i>2,172</i>	CY055988 <i>1,721</i>	CY055991 <i>1,523</i>	CY055990 <i>1,420</i>	CY055989 <i>987</i>	CY055992 <i>850</i>
INS007	CY083837 <i>2,316</i>	CY083836 <i>2,316</i>	CY083835 <i>2,182</i>	CY083830 <i>1,752</i>	CY083833 <i>1,540</i>	CY083832 <i>1,433</i>	CY083831 <i>1,002</i>	CY083834 <i>865</i>
INS014	CY083845 <i>2,280</i>	CY083844 <i>2,316</i>	CY083843 <i>2,182</i>	CY083838 <i>1,752</i>	CY083841 <i>1,536</i>	CY083840 <i>1,433</i>	CY083839 <i>1,002</i>	CY083842 <i>865</i>
INS023	CY083853 <i>2,280</i>	CY083852 <i>2,316</i>	CY083851 <i>2,151</i>	CY083846 <i>1,752</i>	CY083849 <i>1,540</i>	CY083848 <i>1,433</i>	CY083847 <i>1,002</i>	CY083850 <i>865</i>
INS039	CY083861 <i>2,280</i>	CY083860 <i>2,283</i>	CY083859 <i>2,182</i>	CY083854 <i>1,732</i>	CY083857 <i>1,537</i>	CY083856 <i>1,433</i>	CY083855 <i>1,002</i>	CY083858 <i>865</i>
INS047	CY083869 <i>2,280</i>	CY083868 <i>2,283</i>	CY083867 <i>2,208</i>	CY083862 <i>1,751</i>	CY083865 <i>1,537</i>	CY083864 <i>1,433</i>	CY083863 <i>1,002</i>	CY083866 <i>865</i>
INS049	CY083877 <i>2,280</i>	CY083876 <i>2,283</i>	CY083875 <i>2,224</i>	CY083870 <i>1,701</i>	CY083873 <i>1,540</i>	CY083872 <i>1,433</i>	CY083871 <i>1,002</i>	CY083874 <i>865</i>
INS050	CY083885 <i>2,313</i>	CY083884 <i>2,317</i>	CY083883 <i>2,192</i>	CY083878 <i>1,751</i>	CY083881 <i>1,538</i>	CY083880 <i>1,432</i>	CY083879 <i>999</i>	CY083882 <i>863</i>
INS077 ^{a,b}	CY116603 <i>312</i>	CY083682 <i>539</i>	CY116601 <i>221</i>	CY083677 <i>241</i>	na	CY083679 <i>146</i>	CY083678 <i>1,002</i>	CY083680 <i>865</i>
INS077 ^a	CY116604 <i>444</i>	na	CY116602 <i>454</i>	CY083677 <i>241</i>	na	na	na	na
INS079	CY083689 <i>2,301</i>	CY083688 <i>2,166</i>	CY083687 <i>2,127</i>	na	na	CY083685 <i>536</i>	CY083684 <i>1,002</i>	CY083686 <i>865</i>
INS147	CY083925 <i>2,316</i>	CY083924 <i>2,316</i>	CY083923 <i>2,224</i>	CY083918 <i>1,750</i>	CY083921 <i>1,540</i>	CY083920 <i>1,433</i>	CY083919 <i>1,002</i>	CY083922 <i>865</i>
INS148	CY083933 <i>2,313</i>	CY083932 <i>2,317</i>	CY083931 <i>2,192</i>	CY083926 <i>1,741</i>	CY083929 <i>1,541</i>	CY083928 <i>1,421</i>	CY083927 <i>999</i>	CY083930 <i>865</i>
INS086	CY083909 <i>2,313</i>	CY083908 <i>2,317</i>	CY083907 <i>2,177</i>	CY083902 <i>1,752</i>	CY083905 <i>1,541</i>	CY083904 <i>1,421</i>	CY083903 <i>999</i>	CY083906 <i>865</i>
INS132	CY083917 <i>2,316</i>	CY083916 <i>2,316</i>	CY083915 <i>2,182</i>	CY083910 <i>1,752</i>	CY083913 <i>1,540</i>	CY083912 <i>1,433</i>	CY083911 <i>1,002</i>	CY083914 <i>865</i>

^a GenBank accession numbers of INSIGHT clinical specimens used in our study and sequenced by the Sanger method. The lengths of the respective segments in nucleotides are shown in italic type.

^b INS77^a contains multiple sequences for segments 1, 3, and 4.

segments 1 (PB2), 2 (PB1), and 3 (PA), indicative of internal deletions in copies of the segments (the other 13 samples did not have any evidence of internally deleted segments). For the remaining segments (hemagglutinin [HA], nucleoprotein [NP], neuraminidase [NA], matrix [M], and nonstructural [NS]), sequencing reads mapped across the complete segment. These samples with evidence of internal deletions were also sequenced by the Sanger method; full consensus segments could be assembled for the samples, except for INS077 and INS079 (GenBank accession numbers listed in [Table 1](#)).

The length and coverage of the sequence reads suggest an internal deletion, previously found to be associated with DI RNA in replicating influenza viruses (6). [Figure 1](#) shows the alignment of the sequence reads on the PB2 segment for specimens A/San Diego/INS007/2009 (H1N1) and A/District of Columbia/INS047/2009 (H1N1), indicative of the internal deletion in the majority of the molecules sequenced. All 13 specimens contained PB2-derived DI-like RNAs with 5' and 3' ends; 7 samples had PB1, and only 3 samples had PA DI-like RNAs. From the length of the internal deletions observed in the sequence reads that mapped to

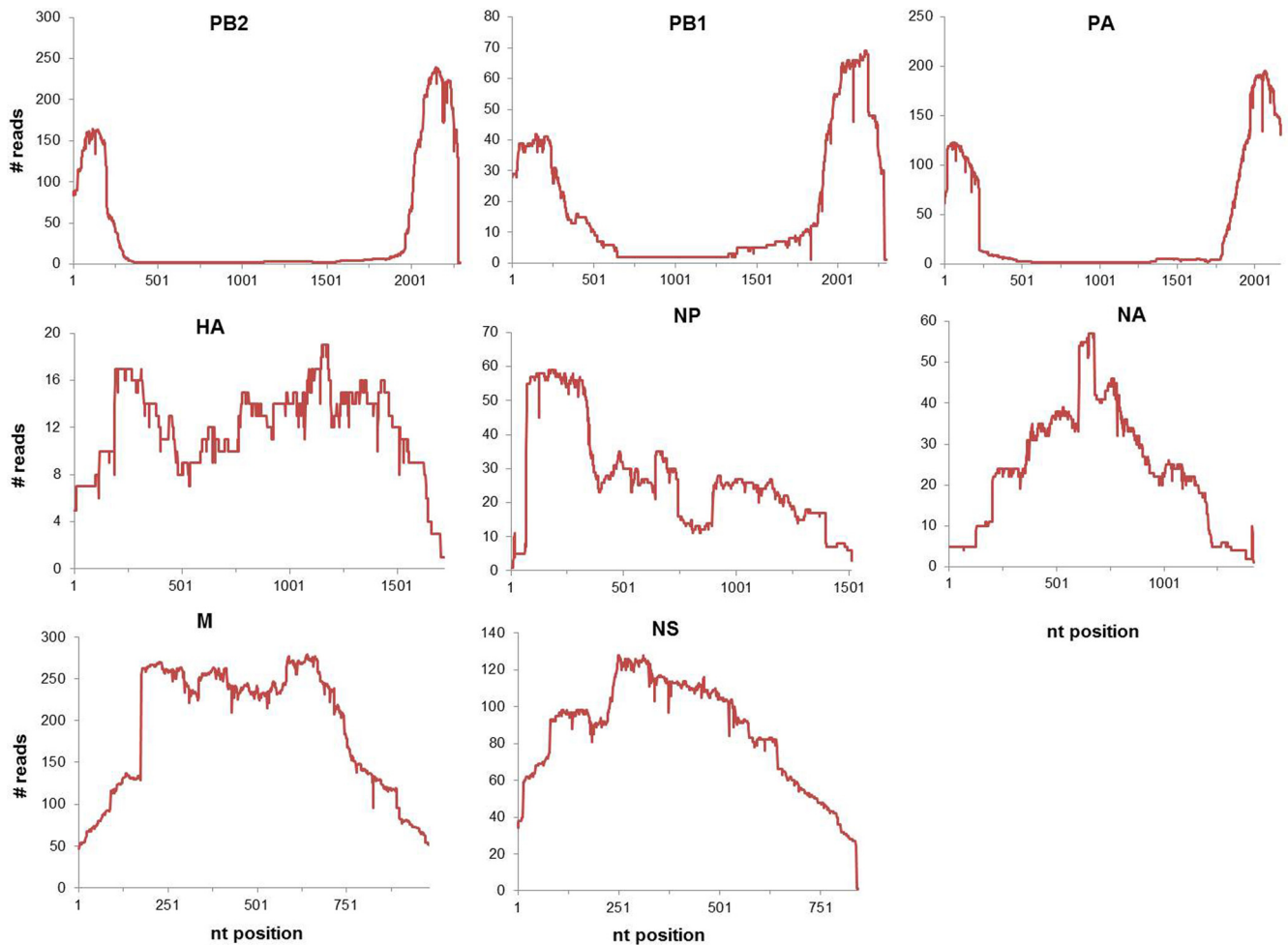


FIG 1 Example of mapping of deep-sequence reads on influenza virus segments. The sequencing reads from the GS-FLX (454) were mapped to the respective influenza A (H1N1) genomic segments. The plots depict the number of sequence reads that map to each nucleotide position across the genomic segment. The x axis represents the length of each segment, and each plot represents sequencing data for the indicated influenza virus segment from clinical isolate A/San Diego/INS007/2009 (H1N1).

the polymerase segments, the subgenomic segments appeared to range in size from 250 to 750 nt. While most of the reads mapping to these segments had a single internal deletion, a few reads had double deletions. In addition to the single internal deletion, we observed 3' DI RNAs (6) from PB1 and PA segments for a few of the specimens. The remaining five segments of the specimens were also analyzed for DI-like sequences, but no short segments were observed; moreover, the segments had full coverage by deep sequencing.

PB2 and PB1 DI-like RNAs from the clinical specimens had internal deletion junctions very similar to the ones observed in previous studies for cultured virus isolates (7). In general, the junction site positions for the 5' regions for all subgenomic RNAs (sgRNAs) found in our specimens occurred predominantly around nucleotide positions 200 to 300 from the ends of the parental segments. These positions are similar to previously observed internal deletion junction sites (7), suggesting that these coordinates are important to maintain packaging signals found on the 5' and 3' ends of the segments (10).

Cloning of subgenomic RNAs from clinical specimens. To confirm the presence of DI-like RNAs in the primary specimens

and map the junction sites of the deletions more clearly, we reextracted RNA from the primary clinical specimens that were shown to potentially have subgenomic segments. After M-RT-PCR, amplified fragments between 200 to 800 nt in size were gel extracted, cloned, and sequenced. We were not able to detect segment-specific fragments in all the specimens tested, possibly due to very low template concentrations for amplification. However, the products that were successfully amplified and sequenced support our deep sequencing data in identifying these DI-like RNAs (Fig. 2). The average length of the PB2, PB1, and PA subgenomic RNAs characterized by cloning (Table 2) was around 410 nt, within the range of the DI-like RNAs of the respective segments observed by deep sequencing.

Table 2 shows the deletion junction sites of the cloned DI-like RNAs. Some of the specimens had multiple junction sites but often within a 30- to 50-nt region. In half of the junction sites in the sequenced clones, the junction occurs at a site where a few of the deleted nucleotides on one side of the junction are repeated in the conserved sequence on the other side of the junction (e.g., INS007 PB2 clones have a UGA before the junction that is repeated in the deleted portion of the segment on the other side of

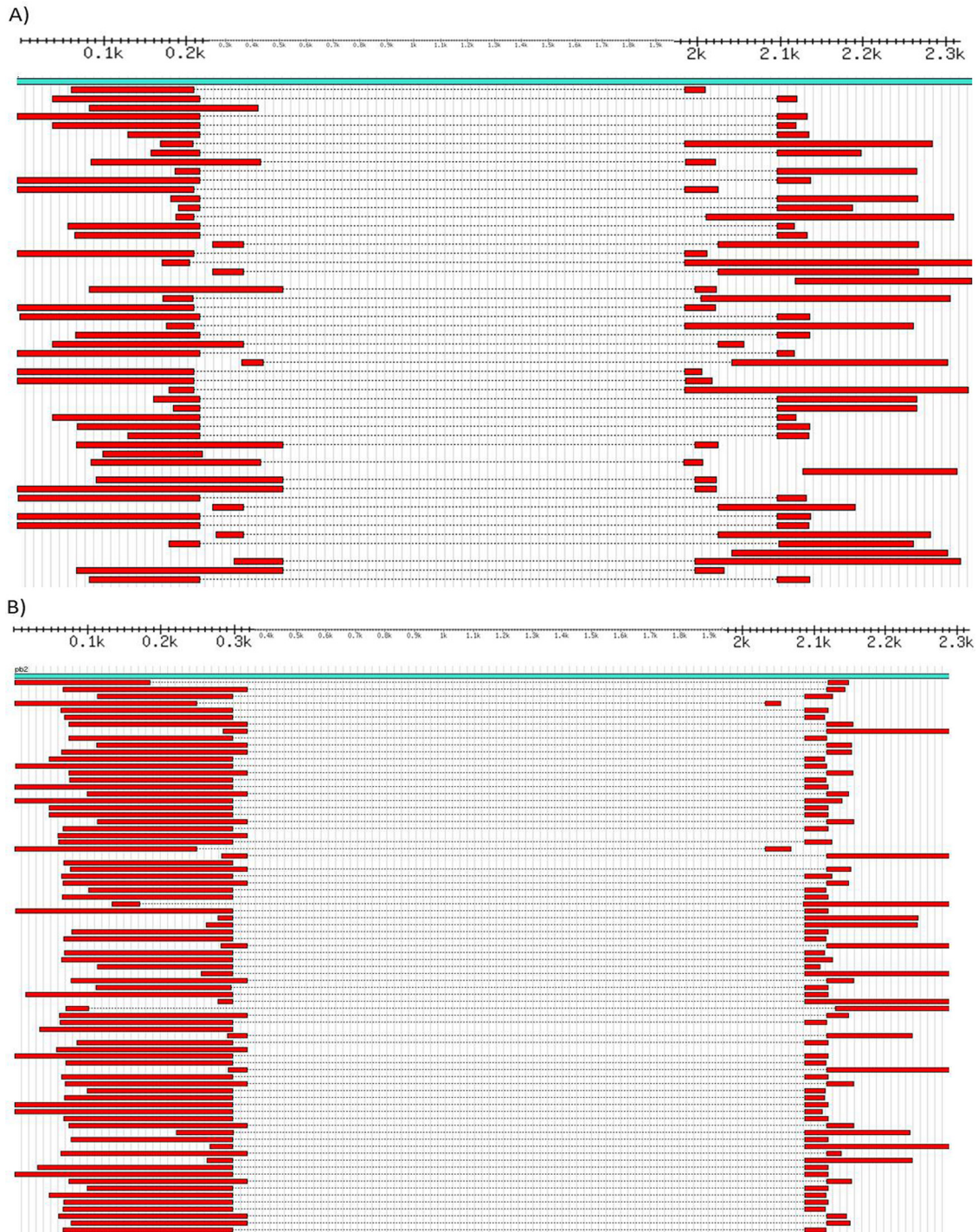


FIG 2 Alignment of DI-like sequences from deep-sequence data. (A and B) GS-FLX sequence reads of the PB2 segment of the clinical isolates A/San Diego/INS007/2009 (H1N1) (A) and A/District of Columbia/INS047/2009 (H1N1) (B) mapped to their respective reference sequences (CY083837 for INS007 and CY083869 for INS047). Positions are shown in thousands from 100 (0.1k) to 2,300 (2.3k).

the junction); the length of the overlapping nucleotides of the 5' and 3' junction sites varied from 2 to 3 nt. In a few cases, the internal deletions led to in-frame deletions, indicating that truncated proteins could be translated from potentially expressed truncated genes.

Comparison of the internal deletion junctions in the cloned

PB2 and PB1 cDNAs and the deep-sequence reads for the same segments demonstrates that the junction position is in some cases identical in both sets of sequences and across different specimens. Figure 3 provides an example for INS006, INS007, and INS0014 for PB2.

Notably, INS006 and INS007 have PB2 and PB1 DI-like RNAs

TABLE 2 Overlap sequences at the 5' and 3' junctions of cloned polymerase DI-like segments^a

Clinical Specimen	No. of clones	Deletion junctions (numbered from the first AUG)	Junction Sites	Estimated length of DI-like segments	In-frame deletion
PB2					
INS006	1	199 2075:2188 2200	ACAUGA <i>uuc uga</i> GAGGAU AGGGGG <i>acg uug</i> GUAAUG	455	Partial (1 st - yes; 2 nd - no)
	1	141 2114	AGAAUG <i>aag auu</i> GCCCAG	370	no
INS007	14	199 2075:2188 2200	ACAUGA <i>uuc uga</i> GAGGAU* AAGGGG <i>acg uug</i> GUAAUG*	455	Partial (1 st - yes; 2 nd - no)
INS014	18	155 2116:2166 2199	GAUGGC <i>aau ugc</i> CCAGCA* AAAGCU <i>aau guu</i> GGUAAU	350	no
	1	188 2004:2111 2168	GAGAAU <i>aat ucu</i> UGGAAA GAGAU <i>ugg cua</i> AUGUGC	470	yes
	1	18 1948:1979 2059:2173 2204	ACAUCA <i>gga gua</i> AGAGGC CAACAA <i>ggc gug</i> GAGUCU AUGUGC <i>uaa uaa</i> UGAAAC	305	no
INS023	1	100 2113	AAAAGU <i>aca uau</i> GGCCCA	330	no
	1	104 2113	GUACAC <i>auc uau</i> GGCCCA	335	no
	1	108 2132	GCAUCA <i>gga uca</i> AUGAAC	320	no
PB1					
INS002	2	163 2066	GAAAGU <i>gga u gu</i> ACCAGA	440	yes
INS006	3	113 2086:2143 2147	AGGAUA <i>cac cua</i> UUCGAG GCAUGG <i>uug</i> AGGCCA	370	no
	2	140 2086:2115 2157	AACACA <i>cca cua</i> UUCGAG UCAU <i>agg ggu</i> GUCUAG	360	no
	2	147 1803:1825 2082	CAAUAU <i>uca uau</i> ACGGAA CUGAAG <i>ucu caa</i> UCUAU	430	no
	1	113 2086	AGGAUA <i>cac cua</i> UUCGAG	370	no
	1	116 1991:2117 2139	AUACAC <i>cau cac</i> AUUCCU AUUAG <i>gag uag</i> CAUGGU	450	no
	1	30 35:134 2116	UUCCUA <i>aaa</i> UUCGAG AAACAG <i>aac uau</i> AGGAGA	360	yes
	1	134 2005	AAACAG <i>aac ccc</i> AAGAGG	470	no
	INS007	1	113 2086	AGGAUA <i>cac cua</i> UUCGAG	370
INS014	7	30 62:113 2117	UUCCUA <i>aaa cac</i> CAUCC AGGAUA <i>cac aua</i> GGAGAC	305	yes
INS023	1	145 2128	ACCAAU <i>acu uuu</i> GGAUU	360	no
PA					
INS006	3	<i>conserved end</i> 1912	AAAAGC <i>agg ugc</i> AGGACC	320	n/a
INS007	1	221 1793	GAAGCA <i>cca agg</i> CCGAGU*	660	no

^a Uppercase text indicates the junction sites of the putative DI-like segments; italic text corresponds to the genomic sequence that is deleted just before the junction site. Underlined and bold text indicates junction sites where the deleted nucleotides are identical to the nucleotides on the opposite side of the junction. Colored text represents the junction sites of clones with 2 internal deletions, highlighting the 5' (red) and 3' (blue) ends of the central region of the subgenomic RNAs. Black pipes represent the deletion sites at both 5' and 3' junctions. Colons within the pipes separate fragments generated by multiple deletions in the same segment. Values in bold in the deletion junction column represent the same deletion junction in the PB1 segment of clones INS006 and INS007. Stars mark sites that were detected in both the deep sequencing data and cloned DI-like RNAs (shown in Fig. 4 for INS007 and INS014).

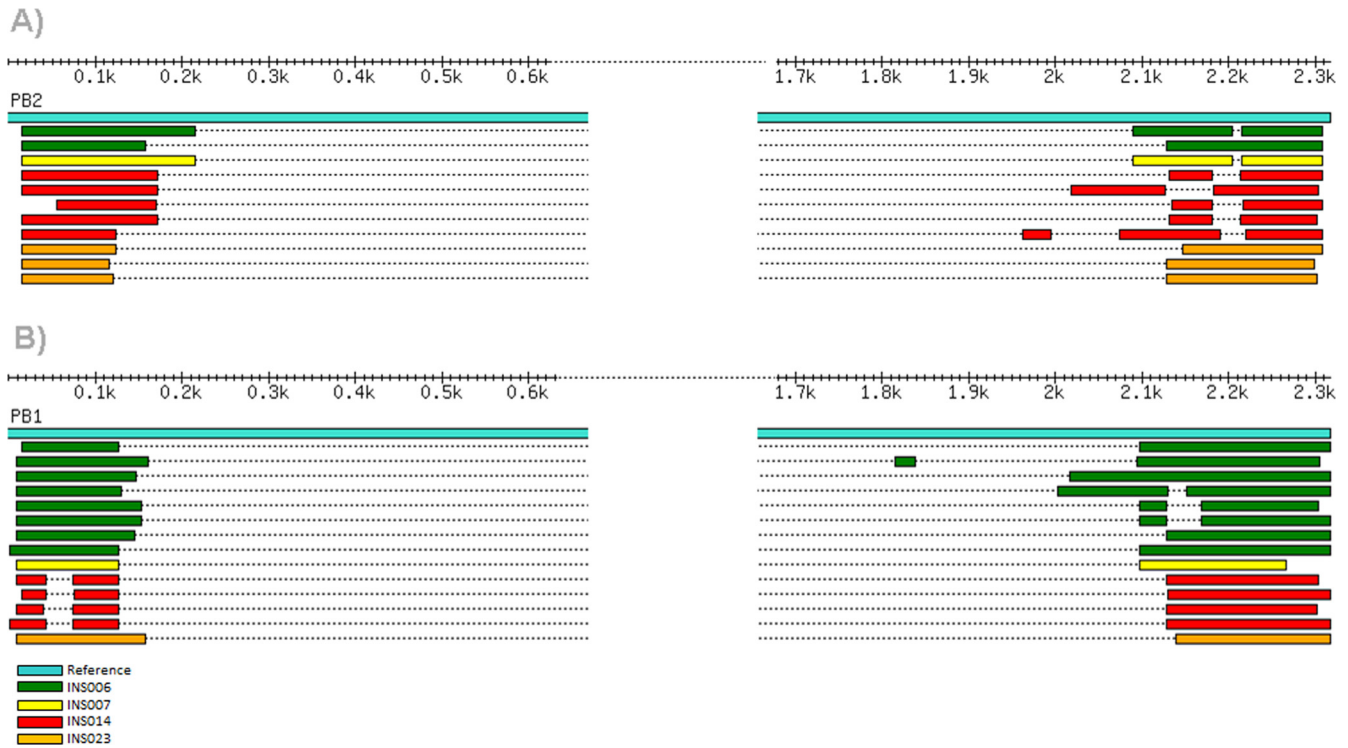


FIG 3 Alignment of cloned DI-like sequences. (A and B) Alignment of clone sequences generated from the INSIGHT influenza virus-positive clinical samples mapped to the reference segment 1 (PB2) (A) and segment 2 (PB1) (B).

that are identical (Table 2 and Fig. 3 and 4). These two specimens—along with INS002 for which cloning of the subgenomic RNAs was not as successful—belong to the same influenza virus contact network among students attending the University of California, San Diego (24). Such an evolutionary pattern is compatible with the transmission (as a minor population) of these DI-like RNAs among individuals. Although there is evidence for the transmission of defective (i.e., stop codon defined) viruses among individuals (25), including in influenza virus (26), this would represent the first evidence for the interperson transmission of DIs in influenza virus.

Confirmation of DI-like RNAs. To exclude the possibility that the subgenomic fragments identified were the result of PCR-specific errors, we repeated PB2 segment-specific RT-PCRs but comparing 2 different enzymes for the RT step: murine leukemia virus reverse transcriptase (MLV RT) and avian myeloblastosis virus reverse transcriptase (AMV-RT). The goal was to ensure that the detection of the fragments was not an effect of consistent reverse

transcriptase *cis* or *trans* strand transfer. Figure 5 shows clear bands for a number of the specimens for which we characterized DI-like RNAs in the deep-sequence and cloned data. Banding patterns were similar for both enzymes. Furthermore, the sizes of the internally deleted RNAs were consistent with those identified in the deep-sequence and cloning experiments. The M-RT-PCR reactions that generated the short segment-specific fragments from the RNA of the putative DI-containing samples failed to do so from the control clinical sample, INS036 (specimen for which no subgenomic RNA was observed in the deep-sequence data), even though the PB2 sequence for this virus is identical in regions corresponding to the junction sites observed for subgenomic RNA in other clinical specimens. This supports our hypothesis that DI-like RNAs are real and the observed short segments in the sequence data are not the result of reverse transcriptase movement or *Taq* errors during M-RT-PCR.

To further confirm the presence of subgenomic fragments directly from the original RNA extracted from the clinical specimens

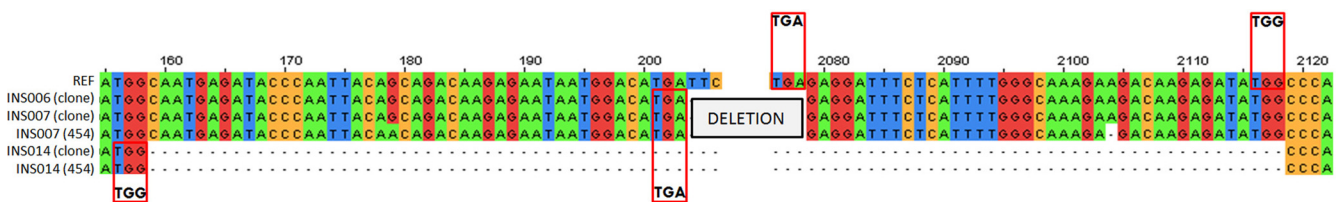


FIG 4 Graphical representation of the junction sites of cloned DI-like sequences compared to GS-FLX (454) sequences. Nucleotide sequences at the putative junctions between 5' and 3' regions of DI-like RNAs are shown. The top sequence is the reference (REF) sequence, whereas the remaining sequences are the cloned PB2 subgenomic RNAs of clinical specimens INS006, INS007, and INS014. The red boxes represent the overlapping sequences at the 5' end and beginning of the 3' region of the DI-like sequence. Table 2 shows detailed analysis of various junction sites observed with the INSIGHT samples.

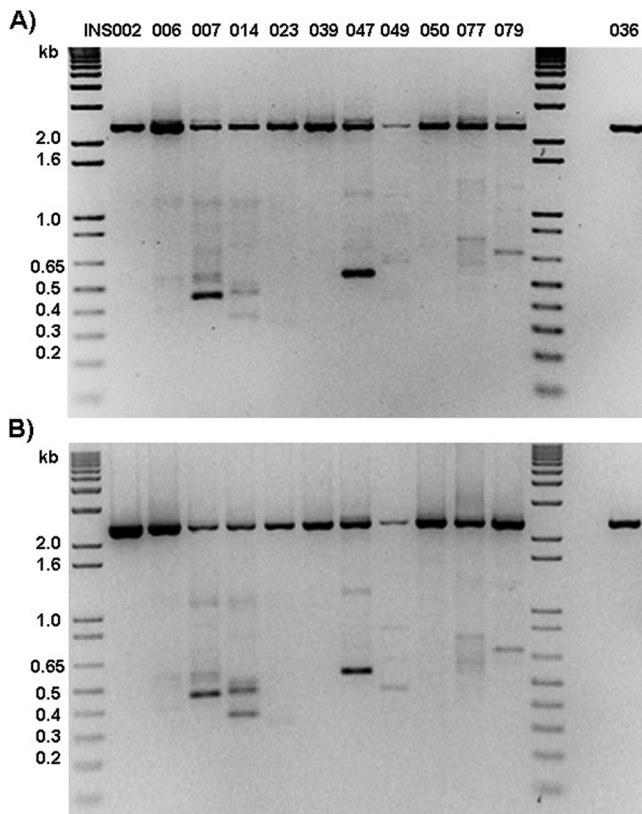


FIG 5 Detection of DI-like RNAs in clinical specimens. (A and B) RNA extracted from selected INSIGHT clinical specimens (INS002 to INS036) was subjected to PB2 segment-specific RT-PCR using SuperScript III (SSIII) first-strand synthesis kit MLV RT (Invitrogen) (A) or AMV RT (New England BioLabs) (B). INS036 is a negative control. The positions of molecular size standards (in kilobases) are shown to the left of the gels.

and before PCR, viral RNA was labeled and fractionated by polyacrylamide gel electrophoresis as described previously (27). We detected several short RNA species ranging from 200 to 600 nt (Fig. 6) in a few of the samples, but not in the control sample (INS086; sample for which the M-RT-PCR did not indicate the presence of DI-like RNAs), pointing to the existence of subgenomic RNAs with sizes that are similar to the DI-like segments we characterized.

DISCUSSION

The generation of defective particles of influenza viruses has been well documented *in vitro* and is suspected of competing with the replication of the wild-type virus. Here we provide a report of influenza virus defective genomic segments *in vivo* from clinical specimens from influenza A virus-infected individuals and observe identical DI-like RNA sequences in two individuals linked by contact, indicating possible transmission of defective viruses between them. Specifically, by sequencing, we identified several DI-like RNAs in nasopharyngeal swabs.

A number of studies describe the types and features of *in vitro* DI RNAs (6, 7, 11, 27), and the DI-like RNAs identified in our study are consistent with the characteristic features of the *in vitro*-generated DI RNAs. The similarity between the *in vitro* and *in vivo* subgenomic RNAs points to a mechanism that is conserved for the generation of defective particles. The DI-like RNAs we observed

contained internal deletions, overlap sequences at the 5' and 3' junction sites, and in some cases, in-frame deletions. The lengths of the PB2, PB1, and PA subgenomic RNAs were similar to those reported previously for *in vitro* influenza virus DI RNAs (7, 11, 12) and were derived primarily from the polymerase segments, which is in agreement with previous *in vitro* reports. In addition, the DI-like RNAs have conserved 5' and 3' ends, essential for genome packaging (10), and a major internal deletion, as observed in the *in vitro* defective interfering RNAs. All the clones generated from each specimen were influenza virus specific, with 90% originating from PB2. We did not detect DI-like RNAs of nonpolymerase segments either by deep sequencing or cloning, but a few of the clones contained very short sequences (oligonucleotides) of M, HA, NP, and NA that did not contain 5' - or 3' -segment ends.

Comparison of the complete nucleotide sequence of the DI-like RNAs with the corresponding parental segment showed the presence of extensive internal deletions, reducing the length to 15 to 20% of the original segment length. PB2- and PB1-derived DI-like RNAs had equal contributions of the 5' and 3' region, whereas the PA subgenomic RNAs had disproportionate distribution with more 3' region content. Analysis of the junctions revealed that the junction sites are flanked by overlap sequences, or identical sequences, indicative of a polymerase "skipping." The sequences of the junction sites were similar to those of *in vitro*-derived DI RNAs. The length of the overlap sequences varied from as small as 2 nucleotides to up to 5 nucleotides. The overlap sequences were segment specific and conserved in different specimens analyzed in this study. The presence of the overlap sequences in the subgenomic RNAs indicates that a polymerase slippage event could be involved in the formation of the DI RNAs during natural infections. Some of the subgenomic RNAs from PB2, PB1, and PA had an in-frame deletion, indicating the presence of an open reading frame, which could eventually be expressed. In the past, it has been shown that influenza virus DI RNAs are transcribed into cRNAs and that some of the DI RNAs could be *in vitro* translated into polypeptides (27). It can be speculated that these clinically detected DI-like RNAs are expressed during the infection and could interfere with replication of the standard virus.

It remains unclear whether DI particle replication is favored because the polymerase protein prefers the smaller size of their vRNA segments over the longer intact segments or whether it is due to competition between large vRNA segments and more numerous small segments. Active transcription and translation of DI RNAs generates two transcripts and novel polypeptides of PB2. One hypothesis is that the translational products might have a role in virus multiplication, but to date, no experimental evidence has been found to support the influence of DI polypeptides on replication or to show they have an interfering advantage over the standard virus or other DI viruses (27). Recently, the presence of defective interfering particles was shown in sera of patients with acute dengue infections (28). The dengue virus (DENV) DI RNAs also had internal genomic deletions retaining the critical 5' and 3' ends of the genome. The DENV DI particles reduce the yield of the wild-type dengue virus *in vitro*. DENV and influenza virus DI RNAs share some features with respect to the length of the RNAs, contribution of the 5' and 3' regions, and nucleotide sequences of the junctions (data not shown).

The presence of DI-like RNAs in nasopharyngeal swabs of some of the patients raises the question of their origin; specifically whether the DIs are transmitted from person to person or arise

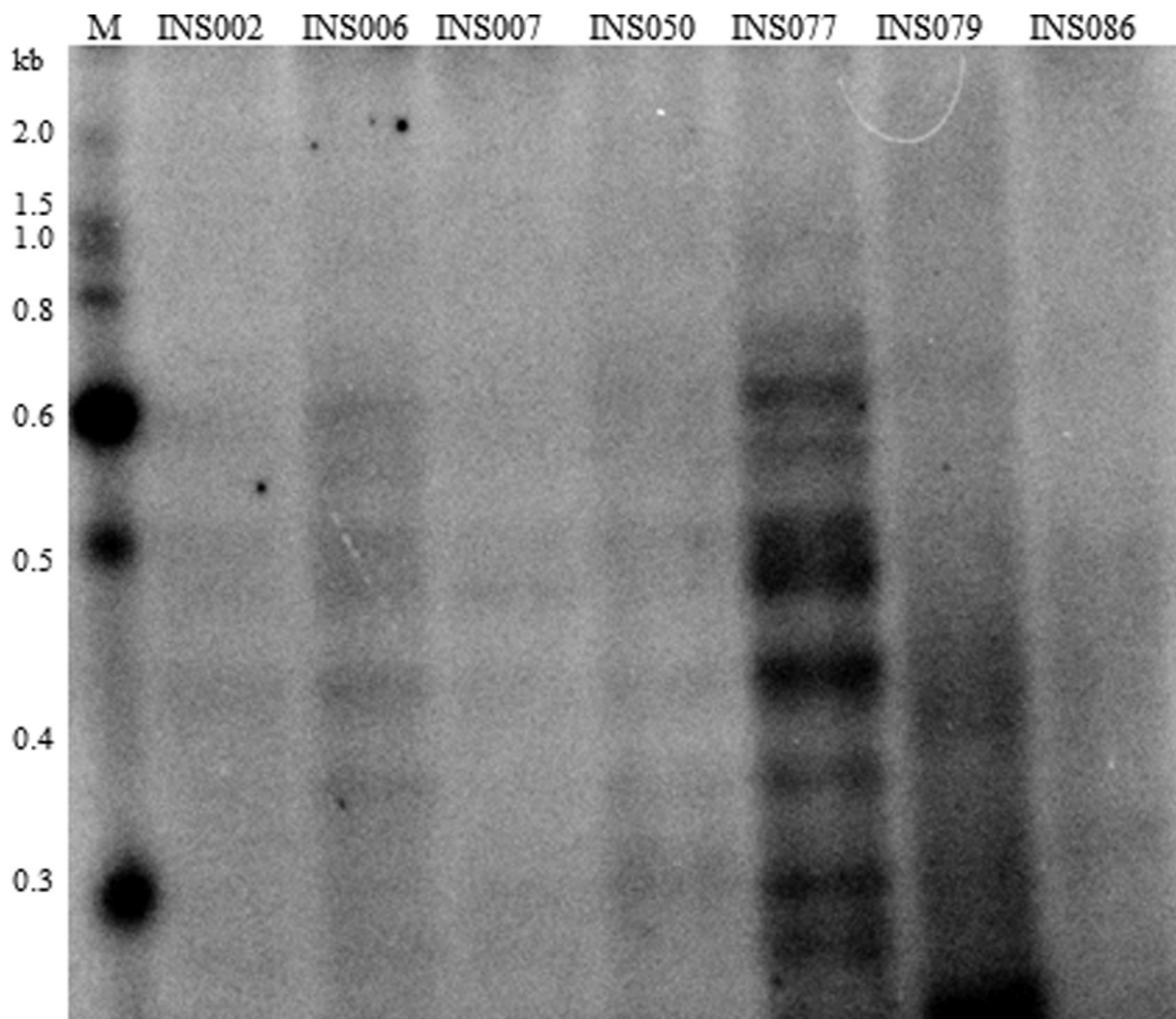


FIG 6 Gel electrophoresis of genomic RNA from INSIGHT clinical samples. Total RNA (~30 ng) from the clinical samples was end labeled with ^{32}P followed by separation of the genomic segments on a 6% native polyacrylamide gel. The autoradiograph was obtained using the Bio-Rad PhosphorImager. INS086 is a control sample for which no subgenomic RNAs were detected in the deep-sequence data. The positions of molecular size standards (M) (from 0.3 to 2.0 kilobases) are shown to the left of the gel.

after infection. Influenza virus infection stimulates both innate and adaptive immune responses through their genomic and antigenomic single-strand RNAs (29). Recent reports show that DI RNAs act as potent immune stimulators. Immunoprecipitation studies with RIG-I (retinoic acid-inducible gene 1) show that the pathogen receptors prefer influenza virus DI RNAs as ligands over the full-length genomic segments (30). It is possible that DI RNAs in the primary clinical specimens might play a significant role in interferon (IFN) induction during the natural infection of the host. Future studies could therefore be focused on determining the functional role of the identified DIs using reverse genetics and IFN induction reporter assays.

It has recently been shown that a molecularly cloned DI influenza A virus generated *in vitro* could be used as an intranasal treatment to protect mice and ferrets from subsequent influenza A virus infection (31, 32). In the case of mice, this defective virus was also able to protect against other unrelated heterologous viruses like influenza B virus (33). These studies have successfully dem-

onstrated the potential of DI RNAs as an additional and novel approach to antiviral therapy. Similarly, the DI-like RNAs identified in the present study have the potential to be used to generate antiviral preparations closer to clinical or natural infections. For example, a DI influenza virus could be generated by reverse genetics using these DI clones and assessed for its interferon stimulation capability and for how well it protects against infection. Since the DI-like RNAs identified here have only 20% of the original PB2 and PB1 sequences, resulting in a DI virus with a nonfunctional polymerase complex, a helper virus would be necessary to produce negative-sense influenza virus genomic RNAs. All these issues were addressed in a recent study that demonstrated that defective interfering influenza viruses act as a natural antiviral agent and are a more effective treatment than commonly used antivirals like oseltamivir (34). In this study, pretreatment of ferrets with a DI virus called 244/PR8 generated from cloned DI RNA derived from segment 1 and incorporated into A/PR/8/34 significantly reduced fever, respiratory symptoms, cellular infiltration, and virus load in

response to pandemic influenza virus A/California/04/09 infection. There was also a simultaneous increase in the population of DI RNA by more than 25,000-fold, suggesting a possible transmission of the DI virus to other cells in the respiratory tract, thereby increasing the population of virus-resistant cells containing DI RNA.

There is an ongoing debate about which segments undergo deletion during the generation of DIs from the *in vitro* infections. A few studies report only the subgenomic RNAs of the polymerase segments, whereas some argue for the presence of subgenomic RNAs of all the segments (5, 7, 11, 12, 35–38). Our observation of polymerase segment-derived DI-like RNAs as the major population in the clinical specimens supports the theory that polymerase segments may play an important role during interference.

Overall, our data point to the existence of defective interfering subgenomic RNAs of influenza A(H1N1)pdm09 virus in clinical specimens that share or resemble the well-characterized *in vitro* DI RNAs of influenza viruses. It has yet to be established whether the DI-like segments identified from the clinical samples interfere with the replication of the standard virus *in vitro* and the role, if any, of DIs in natural influenza infections has yet to be addressed. For example, do these particles interfere with replication of the influenza virus or progression from the upper respiratory tract to the lower respiratory tract or do they aid in induction of the innate immune pathways to restrict the virus? Future studies focusing on the detection of DIs in both the early and late phases of infection would provide important information about their role in the induction of host immune responses and replication interference.

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