Relationship between Body Fat Distribution and Upper Airway Dynamic Function during Sleep in Adolescents

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Introduction: The obstructive sleep apnea syndrome (OSAS) is associated with increased visceral adipose tissue (VAT) in adults; however, few studies have evaluated VAT in relation to upper airway function in adolescents. We hypothesized that increased neck circumference (NC) and VAT would be associated with increased upper airway collapsibility.

Methods: Adolescents (24 obese patients with OSAS, 22 obese control patients, and 29 lean control patients) underwent abdominal magnetic resonance imaging, and measurement of upper airway pressure-flow relationships in the activated and hypotonic upper airway states.

Results: Patients with OSAS had a greater activated slope of the pressure-flow relationship (SPF) than control groups (P < 0.001), whereas hypotonic SPF was greater in both obese groups compared with lean control patients (P = 0.01). NC and VAT were greater in obese control patients and those with OSAS than in lean control patients (P < 0.001), but did not differ between obese patients with OSAS and obese control patients. In lean control patients and those with OSAS, increased NC was associated with increased activated SPF, whereas in obese control patients it was associated with decreased activated SPF (P = 0.03). In contrast, increased NC was associated with increased hypotonic SPF in all groups (P < 0.001). There was no significant effect of VAT on either activated or hypotonic SPF for any of the three groups.

Conclusions: Increased neck circumference was associated with increased upper airway collapsibility in adolescents in the hypotonic but not activated state. These data suggest that obese adolescents without OSAS, despite a narrowed upper airway from adipose tissue, are protected from developing OSAS by upper airway neuromotor activation. Neither neck circumference nor visceral adipose tissue is useful in predicting upper airway collapsibility in obese adolescents.

Keywords: Adipose tissue, pressure-flow relationship

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INTRODUCTION

In adults, most cases of obstructive sleep apnea syndrome (OSAS) are associated with obesity. Obesity can contribute to OSAS in a number of ways, including mechanical compression of the upper airway by extrinsic fat deposited in the neck or intrinsic fat infiltrating into the upper airway structures,¹ fat deposition in the abdomen leading to thoracic restriction and changes in upper airway length,² and central hypoventilation. In particular, visceral fat has been shown to contribute to OSAS more than subcutaneous fat.³

In previous decades, pediatric OSAS was associated primarily with adenotonsillar hypertrophy rather than obesity, and failure to thrive was a common presentation.⁴ However, obesity is becoming more of an issue in the pathogenesis of pediatric OSAS. The prevalence of childhood obesity has increased dramatically, such that 17% of adolescents in the United States are currently obese.⁵ Obesity increases the risk of OSAS throughout life, from infancy through adulthood.⁶⁻¹⁰ However, the causal association between obesity and OSAS in the pe-

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diatric population is not well understood.11 In particular, little is known about the relationship between obesity and OSAS during the important developmental transition phase of adolescence. Several recent studies have shown an association between visceral fat and OSAS in pediatric patients.¹²⁻¹⁴ However, pediatric studies to date have focused on polysomnographically evident obstruction, and no studies have evaluated the relationship between fat distribution and functional upper airway measurements. Furthermore, no pediatric studies have included the entire spectrum of body size, including studies of lean patients. We therefore evaluated the relationship between upper airway dynamic function during sleep and body fat distribution in a sample of adolescents with varying degrees of obesity and OSAS. We hypothesized that adolescents with increased abdominal visceral fat would have a greater tendency for upper airway collapse. An advantage of measuring upper airway dynamics rather than simply the apnea-hypopnea index (AHI) is that this technique allows evaluation of the entire spectrum of upper airway collapsibility, whereas the AHI characterizes the upper airway only above the threshold for airway collapse.

METHODS

Adolescents with OSAS, age 12-16 y, were recruited from the Sleep Center at Children's Hospital of Philadelphia, and nonsnoring adolescents were recruited from the general population by means of advertisements, as part of a larger study evaluating the pathophysiology of OSAS.15 The study was approved by the Institutional Review Board of Children's Hospital of Phila-

Figure 1—Examples of MRI images showing fat distribution. Representative examples of abdominal MRIs from a male lean control patient, male obese control patient, and male patient with obstructive sleep apnea syndrome (OSAS) are shown. Subcutaneous adipose tissue is shown in blue and visceral adipose tissue in yellow.

delphia. Written informed consent was obtained from parents/ guardians, and assent from adolescents. Adolescents with a history of adenotonsillectomy were ineligible. Obesity was defined as a body mass index (BMI) $> 95th$ percentile for age and sex, or $>$ 30 kg/m².¹⁶ To limit overlap between groups, patients with OSAS were included only if they had an AHI \geq 5/h, and control patients were included if they had an $AHI < 1.5/h$.¹⁷⁻²⁰ Neck circumference (NC) was measured at the level of the cricothyroid cartilage in the Frankfort horizontal plane. Patients underwent standard baseline polysomnography,^{21,22} followed by a separate polysomnogram with pressure-flow measurements, and abdominal magnetic resonance imaging (MRI). Tanner pubertal staging was performed using a validated self-assessment form.²³

Upper Airway Pressure-Flow Measurements during Sleep

In addition to routine polysomnographic measurements, the patient wore a mask (Philips Respironics, Murrysville, PA) attached to a heated pneumotachometer (Hans Rudolph, Inc., Kansas City, MO) and transducer (Validyne Engineering Corp., Northridge, CA). Nasal pressure (P_N) was measured at the mask, using a differential pressure transducer referenced to atmosphere. P_N was altered in either a positive or subatmospheric direction, using a device provided by Philips Respironics. A toggle switch allowed the patient to be switched rapidly between positive and negative pressure, ranging from -25 to $+25$ cm H₂O. Measurements were performed during nonrapid eye movement (NREM) sleep.²⁴

Activated Technique

Patients slept while receiving a level of P_N sufficient to abolish inspiratory airflow limitation (the holding pressure). P_N was then lowered in 2 cm H_2O decrements every 5 breaths until flow approached zero or an arousal occurred. This slow, stepwise protocol allowed for recruitment of upper airway reflexes in response to subatmospheric pressure, and resulted in a neuromuscularly activated airway.25,26

Hypotonic Technique

 P_N was decreased abruptly from the holding pressure by 2 cm $H₂O$ for five breaths, following which it was rapidly returned to the holding pressure. P_N was dropped repeatedly to

incrementally lower levels (by 2 cm H_2O each drop), with a return each time to the holding pressure, until either flow approached zero or arousal occurred. Previous studies have shown that it takes several breaths at subatmospheric pressure before the upper airway reflexes are activated,²⁶⁻²⁸ thus analyzing only the first three breaths provides data on a relatively hypotonic upper airway.

Analysis

For the activated runs, the average midinspiratory flow was taken from the lowest two consecutive breaths at each level of pressure. For hypotonic runs, data were taken from the first three breaths after the pressure drop. Pressure-flow curves were constructed by plotting maximal inspiratory airflow (V_{Imax}) against P_N . P_N versus V_{Imax} curves were fitted by least squares linear regression, and the slope of the pressure-flow curve (SPF) and the X-axis intercept, i.e., the critical closing pressure (P_{crit}) where $V_{\text{Imax}} = 0$, were determined. As many pediatric patients are able to maintain airflow even at markedly subatmospheric pressures, the X-intercept cannot always be determined without extreme extrapolation. Therefore, a threshold value of -25 cm $H₂O$ (the lowest P_N deliverable by our equipment) was assigned to P_{crit} data that were extrapolated to < -25 cm H_2O ,^{26,29} and SPF was used as the primary parameter to characterize the upper airway response.^{26,30}

Abdominal MRI

Patients underwent abdominal MRI using a 1.5 T scanner (Siemens Avanto, Germany) with a body coil. Axial images were acquired with a magnetic resonance gradient echo pulse sequence. The abdominal compartment was defined as extending from the superior aspect of the xiphoid process to the most inferior slice depicting the L5-S1 interspace. Magnetic resonance images were obtained in 1-cm contiguous intervals (slice thickness of 1 cm) throughout the abdominal compartment. Analysis was performed using image analysis software (Amira 4.1.2, Visage Imaging Inc., Andover, MA). Each MRI slice was manually examined. Anatomical landmarks were identified and adipose tissue beds were labeled and segmented into either subcutaneous adipose tissue (SAT) or visceral adipose tissue (VAT) (Figure 1). The adipose areas from each slice were then

Data shown as mean ± standard deviation or N (%). ^aP < 0.001 for lean controls versus both obese groups (lean control group versus obese control group and lean control group versus OSAS group). ^bP < 0.001 for OSAS versus both control groups (OSAS group versus lean control group and OSAS group versus obese control group). °P < 0.05 for OSAS versus lean control group. AHI, apnea-hypopnea index; BMI, body mass index; OSAS, obstructive sleep apnea syndrome; SpO₂, arterial oxygen saturation; REM, rapid eye movement; TST, total sleep time.

summed across the entire abdominal compartment and total SAT and VAT volumes were calculated.^{13,31}

Statistical Analysis

Data are presented as mean \pm standard deviation unless otherwise stated. A P value < 0.05 was considered significant. Statistical analyses were conducted by SPSS version 17.0 (SPSS, Inc., Chicago, IL). Group differences in demographics and polysomnography results were determined using chi-square analysis for categorical variables. One-way analysis of variance (ANOVA) was used to compare means of continuous variables between the OSAS, lean control, and obese control groups. If ANOVA identified a significant difference between the three groups, Bonferroni multiple comparison tests were then applied to identify significant pairwise differences in group means. Correction of VAT and NC for height and height² was assessed by conducting ANOVA (with subsequent Bonferroni corrected pairwise comparisons) for VAT and NC divided by height, and VAT and NC divided by height.² Spearman correlation coefficients were calculated to estimate association between continuous variables. Correlations between pressure-flow measurements and anthropometric measurements were estimated within each group to assess relationships and identify potential interactions. A linear regression model was constructed for the primary outcome (activated slope of the pressure-flow curve) with an indicator for subject group (OSAS and obese control groups versus the reference group of lean control patients) and parameter of interest (NC, VAT, or SAT) as predictors. Regression models for secondary outcomes such as P_{crit} were also fitted. Significant interactions (OSAS \times neck circumference and

obese control \times neck circumference) (P < 0.05) were included in the final regression model; the significant interaction terms indicated that the association between activated slope and NC differed between groups. The final model was also modified to include sex. The assumptions of linear regression were assessed using standard tests and graphical displays; these included the construction of quantile-quantile plots to assess the normality of the residuals.

RESULTS

Study Population

Seventy-five patients (24 with OSAS, 29 lean control patients, and 22 obese control patients) were recruited. Study group characteristics are shown in Table 1. Patients ranged from very thin to morbidly obese, with weight ranging from 36.8 to 151.8 kg, and BMI z-scores ranging from -3.45 to 3.16. By design, the obese OSAS and obese control patients had a significantly higher BMI than the lean control patients, and the OSAS group had a significantly higher AHI than either control group. No control patient had significant desaturation (the highest percentage of total sleep time with $SpO₂ < 90\%$ in control patients was 0.1%). Three obese control patients had hypoventilation (> 25% of total sleep time with end-tidal $PCO₂ > 50$ mm Hg), with a range of 0-48.5% total sleep time in the obese control group.

Pressure-Flow Measurements

Pressure-flow measurement results are shown in Table 2. Forty-three percent of all control patients and 21% of patients

Data shown as mean ± standard deviation. ^aP < 0.001 for OSAS versus both control groups (OSAS group versus lean control group and OSAS group versus obese control group). ^bP = 0.001 for OSAS versus both control groups (OSAS group versus lean control group and OSAS group versus obese control group).
^cP = 0.012 for lean control group versus both obese groups (lean co $P = 0.012$ for lean control group versus both obese groups (lean control group versus obese control group and lean control group versus OSAS group). P_{crit} critical closing pressure; SPF, slope of pressure-flow curve.

Table 3—Volume of adipose tissue by magnetic resonance imaging

Data shown as mean ± standard deviation. ^aP < 0.001 for lean controls versus both obese groups (lean control group versus obese control group and lean control group versus OSAS group). OSAS, obstructive sleep apnea syndrome.

with OSAS had extrapolated activated P_{crit} measures that were ϵ -25 cm H₂O (P = 0.007). In the activated condition, SPF was higher in patients with OSAS compared with both obese and lean control patients, indicating a more collapsible upper airway in the OSAS group; whereas in the hypotonic condition, the SPF was higher in both obese groups (patients with OSAS and obese control patients) compared with lean control patients, indicating a more collapsible upper airway in the obese groups than the lean patients. P_{crit} values were higher in the OSAS group compared with the two control groups in both the activated and hypotonic conditions.

Adipose Tissue as Measured by MRI

The volumes of visceral, subcutaneous, and total adipose tissue were all significantly greater in the OSAS and obese control groups compared to the lean control patients (Table 3). However, there were no differences in any of these volumes between the two obese groups (OSAS and obese control). Similarly, when corrected for height or height², VAT remained greater in the OSAS and obese control groups compared to the lean control patients (all $P < 0.0005$), but did not differ between the obese control and obese OSAS groups. There were similar findings for NC corrected for height or height² (all $P < 0.0005$).

Relationship between Measurements of Adiposity and Upper Airway Dynamic Function during Sleep

Primary data for the relationships between measurements of adiposity and upper airway dynamic function during sleep are shown in Figures 2 through 4 and Table 4.

Relationship between NC and Upper Airway Function

A significant interaction between NC and SPF in the activated state was found (Table 5). However, this interaction differed by

group (Figure 2). Lean control patients and those with OSAS both showed a positive correlation between NC and activated SPF, such that a 1-unit increase in NC was associated with a 1.68- and 1.62-unit increase in activated slope, respectively. In contrast, obese control patients showed an inverse relationship, with a 1-unit increase in NC being associated with a 1.09-unit reduction in activated slope. A similar relationship was noted for activated P_{crit} : in lean control patients and those with OSAS, a 1-unit increase in NC was associated with 0.51 and 0.85 unit increases in P_{crit} , respectively. Among obese control patients, a 1 unit increase in NC was associated with a 1.57 unit reduction in P_{crit} .

The relationship between NC and hypotonic pressure-flow relationships was evaluated (Figure 2). In all three groups, increasing NC was associated with increasing SPF in the hypotonic condition ($P < 0.001$). No significant differences were found between the three groups. A similar association between NC and hypotonic P_{crit} was found, with no differences between the three groups ($P = 0.033$).

Relationship between Adipose Tissue on MRI and Upper Airway Function

There were no significant relationships between the volume of visceral fat and activated SPF, activated P_{crit}, hypotonic SPF, or hypotonic P_{crit} . Similarly, there was no relationship between visceral fat as a percentage of total fat and any of the outcomes (data not shown). The effects of subcutaneous fat and total fat were also evaluated. As with visceral fat, the effect of these predictors on activated SPF and P_{crit} or hypotonic SPF and P_{crit} were not significant (Figures 3 and 4).

Effect of Sex

There were more males in the OSAS group than in the control groups. Although this difference was not significant, the effect of sex on the correlations between upper airway dynamics

Figure 2—The relationship between neck circumference (NC) and the slope of the pressure flow relationship (SPF) is shown for the three groups. In the lean control patients and the OSAS group, increased NC was associated with increased activated SPF, whereas in obese control patients it was associated with decreased activated SPF. In contrast, increased NC was associated with increased hypotonic SPF in all three groups.

and NC and abdominal adipose tissue was examined. When the regression model was adjusted for male sex, the overall results did not change.

Effect of OSAS Severity

The correlations between the AHI and other parameters were evaluated for the OSAS group only (as control patients all had an $AHI < 1.5/h$). In the activated condition, AHI correlated with SPF ($r = 0.69$, $P < 0.001$) and there was a trend for a correlation with P_{crit} (r = 0.38, P = 0.07). There were no significant correlations in the hypotonic condition (SPF: $r = 0.23$, $P = 0.28$; P_{crit} : $r =$ 0.28, $P = 0.19$). There were no significant correlations between AHI and NC ($r = 0.05$, $P = 0.83$), VAT ($r = 0.30$, $P = 0.16$), or SAT ($r = 0.02$, $P = 0.92$). However, the distribution of VAT dif-

fered significantly when patients with OSAS were categorized as having mild to moderate (AHI 5-15/h, $n = 17$) versus severe OSAS (AHI $> 15/h$, n = 7). Patients with severe OSAS had a significantly higher VAT $(1,327 + 1,055$ versus 648 ± 466 cm^3 , P = 0.037). There were no significant differences in NC $(P = 0.449)$, SAT $(P = 0.383)$, or total abdominal fat $(P = 0.237)$ between the mild to moderate OSAS and severe OSAS groups.

DISCUSSION

In summary, this study has shown that neither NC nor VAT differed between obese patients with OSAS and BMI-matched obese control patients. Under activated upper airway conditions, when upper airway dilatory muscles were active, upper airway collapsibility correlated with NC in lean patients and

Figure 4—The relationship between subcutaneous adipose tissue (SAT) and the slope of the pressure flow relationship (SPF) is shown for the three groups. There was no significant association between SPF and SAT for any group in either the activated or hypotonic condition.

BMI, body mass index; OSAS, obstructive sleep apnea syndrome; SAT, subcutaneous abdominal fat; SPF, slope of pressure-flow curve; VAT, visceral abdominal fat.

those with OSAS, but an inverse relationship was observed in obese control patients. In contrast, under hypotonic conditions, when minimal upper airway muscle activity was present, upper airway collapsibility correlated with NC in all patient groups including obese control patients. This finding is consistent with published data showing that some obese adolescents are protected from OSAS by the presence of intact upper airway reflexes during sleep.15 However, VAT did not correlate with SPF in either the activated or hypotonic state in any of the three groups, except for at the most severe range of OSAS. Unique aspects of this study were the inclusion of patients across the entire spectrum of adiposity including lean patients, recruitment of control patients from an asymptomatic community sample rather than a clinic-based sample, and the use of functional upper airway measurements rather than the AHI alone, allowing for detection of more subtle deficits in upper airway function during sleep.

Measurement of Upper Airway Function

The technique used for measuring upper airway pressure-flow relationships is a noninvasive means of evaluating upper airway function during sleep.³² Upper airway patency is affected by mechanical and structural factors as well as neural mechanisms. The techniques used provide a useful tool for the comprehensive evaluation of upper airway function,³³ with the hypotonic technique primarily evaluating structural factors (such as obesity) that promote airway collapsibility and the activated technique evaluating the combined effect of structural and neuromuscular factors. The AHI is defined as the number of apneic and hypopneic events occurring per h during sleep, and is used to diagnose and evaluate the severity of OSAS. In contrast with AHI, the technique of measuring the pressure-flow relationship allows the evaluation of the full spectrum of upper airway function, including patients without overt upper airway obstruction.

Table 5—Linear regression examining the effects of group (with lean controls as the reference) and neck circumference on the activated slope of the

In this study, SPF was used rather than P_{crit} to characterize upper airway collapsibility. Normal children and adolescents have an upper airway that is resistant to collapse; thus, previous studies in the pediatric population have found a very flat SPF, such that P_{crit} cannot be determined without extreme extrapolation.26,29,30,34-36 Because the extreme extrapolation cannot be relied upon to produce a physiologically valid value, studies in the literature have used a value of -25 cm $H₂O$ to represent P_{crit} when the extrapolated P_{crit} is < -25 cm H₂O, as -25 cm $H₂O$ is the lowest pressure the equipment provides.^{26,29,30,34-36} However, this results in a floor effect. Therefore, we have used SPF rather than P_{crit} as the primary means to characterize upper airway function.

Adolescence

Adolescence is a transitional stage from childhood to adulthood and is associated with many changes in sleep, including major changes in sleep state organization and circadian rhythm.37 The etiology of OSAS is multifactorial, especially in adolescents, and includes neuromuscular and anatomic factors. Thus, the association between obesity and OSAS in adolescents may be affected by many factors such as reduction in upper airway tone with age,^{26,33,36} differences in lymphoid tissue and in airway size,¹³ and developmental changes such as sex hormone secretion and differences in fat deposition. Although many of these factors will be different between children and adolescents, most pediatric studies have included both school-aged children and adolescents. The distinctive characteristics described in this paragraph warrant the study of adolescents as a separate group.

Obesity, Body Fat Distribution, and OSAS in Adolescents

In adults, there is a clear association between obesity and OSAS.⁶ In the pediatric population, the association does not appear to be as robust. There appears to be a discrepancy in the strength of the association between older versus younger children. One study showed a correlation between BMI zscore and AHI in adolescents 12 y of age or older, but not in younger children.³⁸ However, few studies have evaluated adolescents as a separate group, despite the importance of this developmental stage.

Body fat stores are typically partitioned into visceral fat and subcutaneous fat. Visceral fat has been shown to be more closely associated with metabolic complications of obesity, including insulin resistance, cardiovascular disease and diabetes.^{3,39} In adults, VAT has been associated with OSAS.³ However, few studies have evaluated the relationship between OSAS and VAT in the pediatric population.12-14 In the largest study, Arens et al.13 found increased VAT in children and adolescents with OSAS compared with control patients, but no correlation between AHI and VAT. Hannon et al.¹² similarly found increased VAT in adolescents with OSAS compared with control patients, but also found a correlation between AHI and VAT. In a small study of children and adolescents undergoing MRI, Canapari et al.¹⁴ did not find a significant difference in VAT between patients with OSAS and control patients, but did show a relationship between VAT and AHI. In the current study, there was no relationship between VAT and either the presence of OSAS or the AHI. The discrepancy between studies may be due to a number of issues. The other studies in the literature included only obese patients compared with the range of lean and obese patients, and most included a mixture of schoolaged children and adolescents.

Interaction between Structural and Neuromotor Factors and OSAS in Adolescents

This study showed a correlation between NC and hypotonic SPF in all patients, but a divergence in the relationship between NC and activated SPF among the three groups (Figure 2). Although the lean control patients and the obese OSAS adolescents both had a correlation between NC and activated SPF, the obese control patients showed an inverse response the greater their NC, the flatter their SPF. In other studies in the same cohort, we have shown that obese adolescents without OSAS have increased genioglossal activity in response to subatmospheric pressure during sleep when compared with obese adolescents with OSAS or lean control patients.15 Thus, these studies indicate that obese control patients increase upper airway neuromuscular activity during sleep to counteract collapsibility caused by anatomical narrowing from adipose tissue. This finding is consistent with previous data showing that young children have active upper airway reflexes during sleep, and that these reflexes decrease during adolescence, $26,30,36$ albeit with significant individual variability.³⁶ Thus, when a wide range of adolescents are studied, including those without any symptoms of snoring or sleep disordered breathing, neuromotor factors appear to play a role in addition to structural factors, and it is therefore not surprising that a clear-cut relationship between measures of adiposity and obstructive apnea cannot be demonstrated. This may be different in adults, in whom upper airway reflexes during sleep are much diminished.^{26,30}

Other anatomical factors, such as adenotonsillar hypertrophy, play a role in the etiology of OSAS in adolescents. Further studies are needed to explore the interaction between adiposity, lymphoid tissue, and upper airway collapsibility.

Limitations

The sample sizes of 29, 22, and 24 in the lean control, obese control, and OSAS groups, respectively, were relatively small; smaller sample sizes result in increased likelihood of type I and type II errors. Future studies with larger sample sizes are needed to confirm the findings of this study.

CONCLUSIONS

In conclusion, this study suggests that neck size, presumably primarily reflecting neck adipose tissue, plays a role in the pathophysiology of OSAS in adolescents, but that abdominal visceral fat is not a major contributor to OSAS in this age group except, perhaps, at the most extreme end of the OSAS spectrum. Furthermore, neuromotor factors also play an important role in the etiology of OSAS in this age group. These data do not support routine measurement of either neck size or visceral fat in adolescents with suspected OSAS, as these measurements cannot predict OSAS without taking into consideration neuromotor factors during sleep.

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DISCLOSURE STATEMENT

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